



Discovering “*new physics*” within QCD: evidence for BFKL dynamics in HERA data

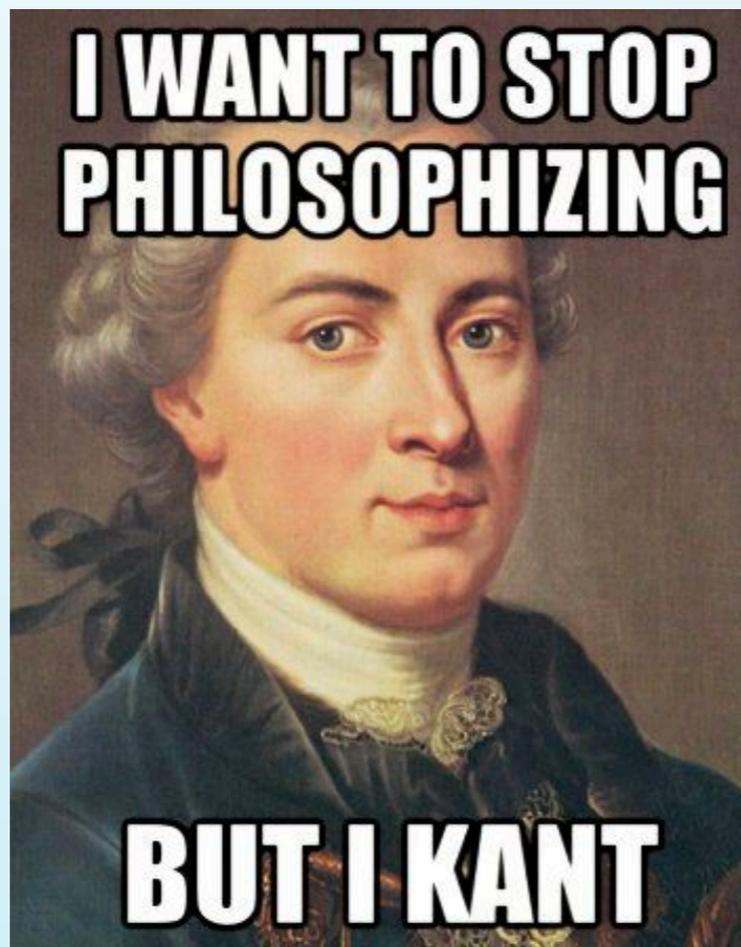
Juan Rojo

VU Amsterdam & Theory group, Nikhef

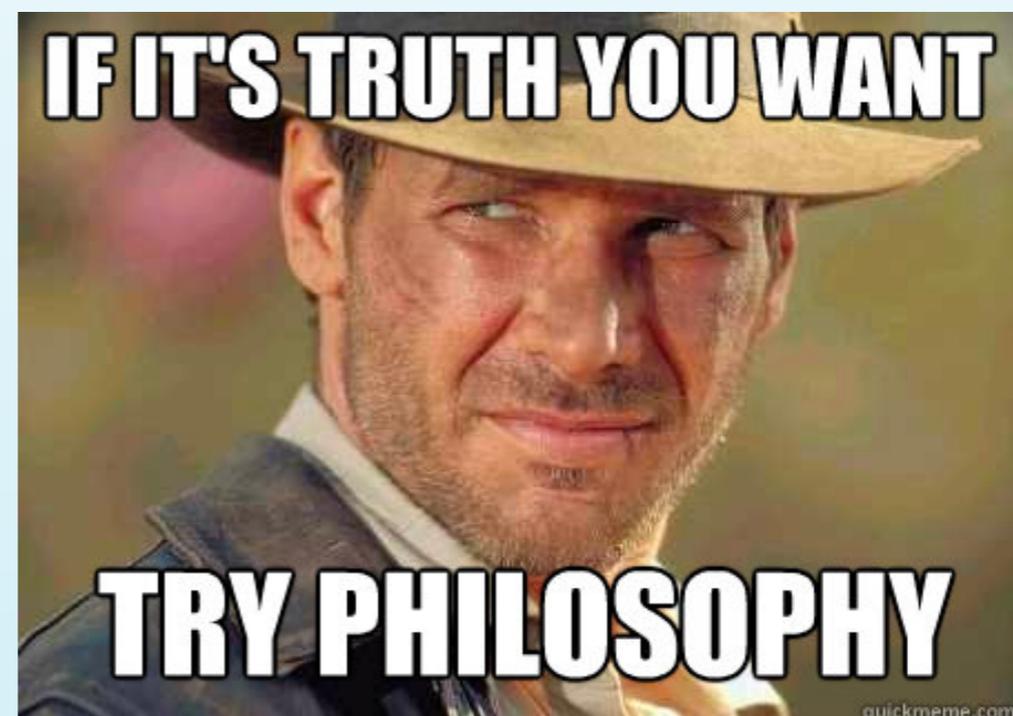
National Seminar Theoretical High Energy Physics

Nikhef, Amsterdam, 17/11/2017

Prologue: a short philosophical digression



Juan Rojo



What can we discover?

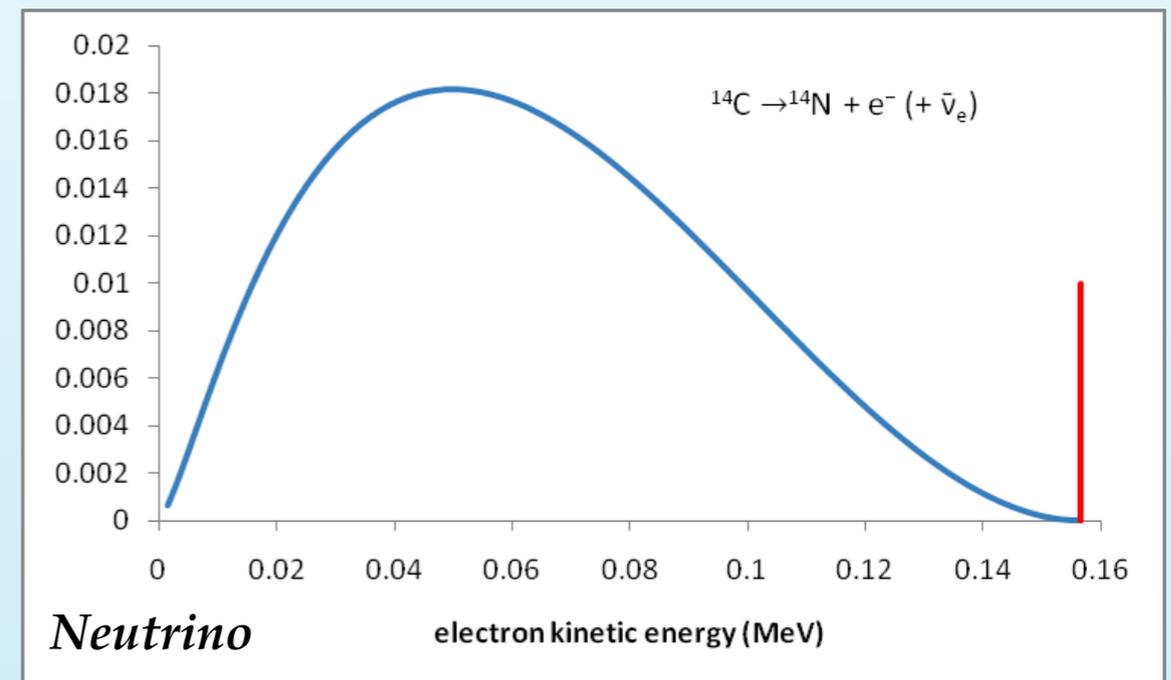
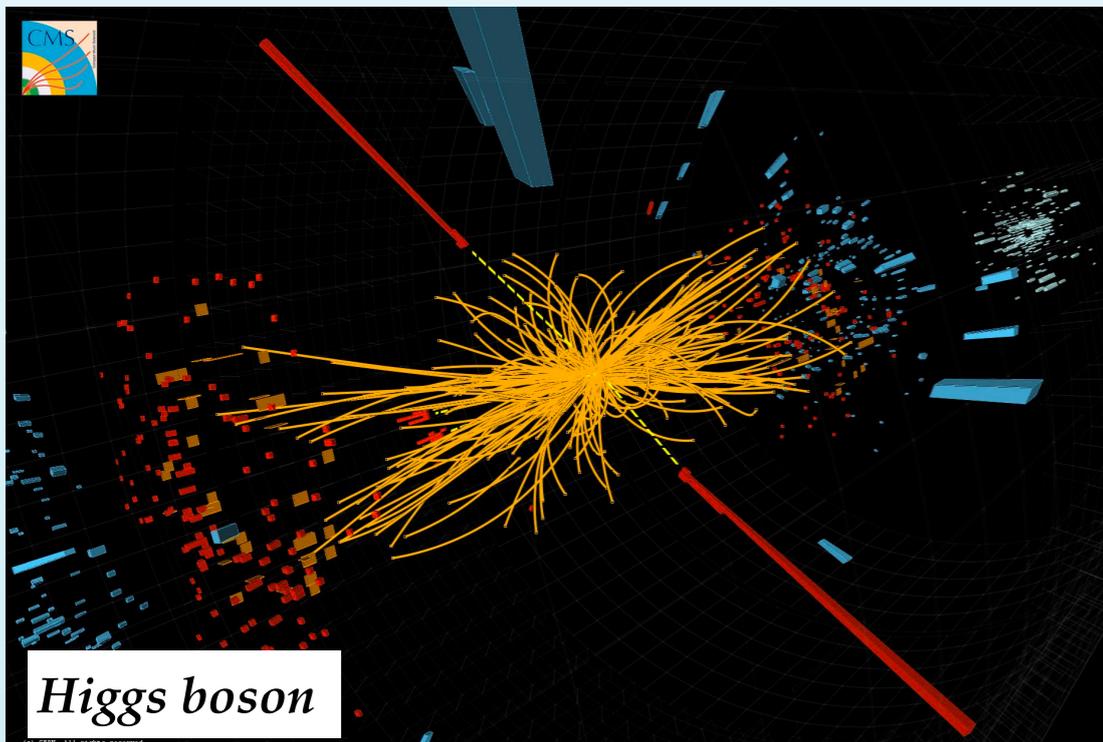
Discovering something **new** or **unexpected** is one of the main thrills of the scientific adventure (as we like to emphasise specially when writing grant applications)

People imply different things when talking about a **discovery** or **new physics**, *i.e.*

A new fundamental particle

i) Expected: confirms pre-existing theory

ii) Unexpected: leads to development of new theory



What can we discover?

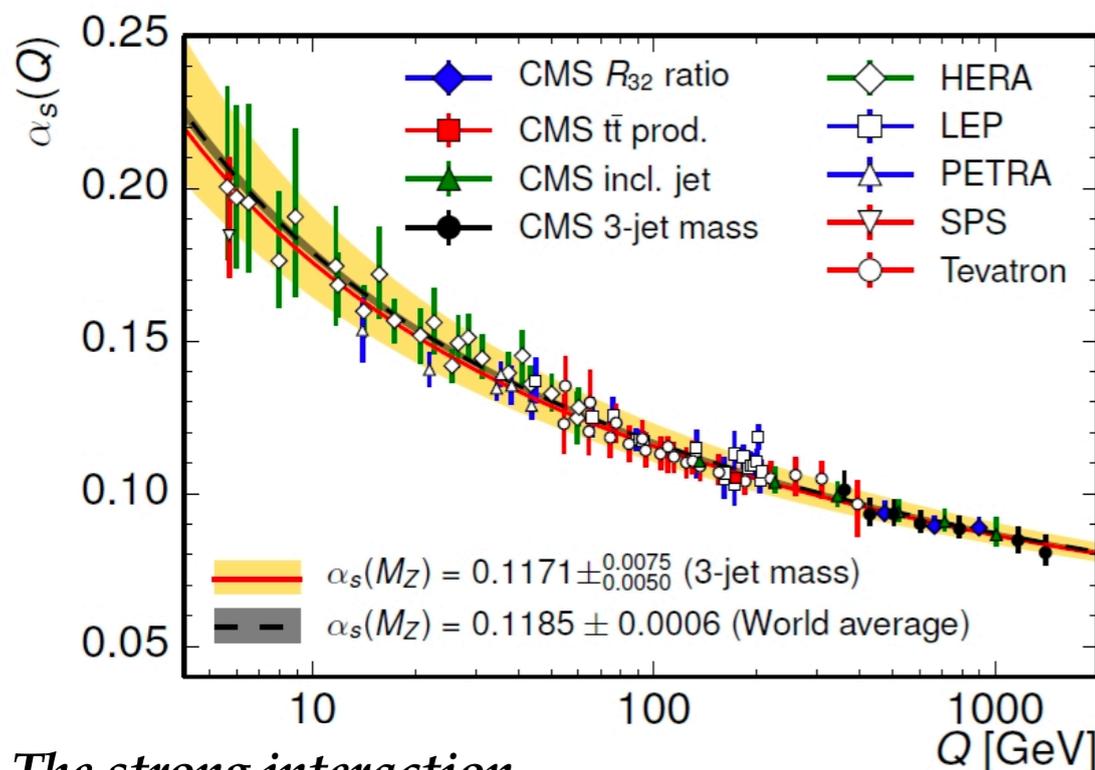
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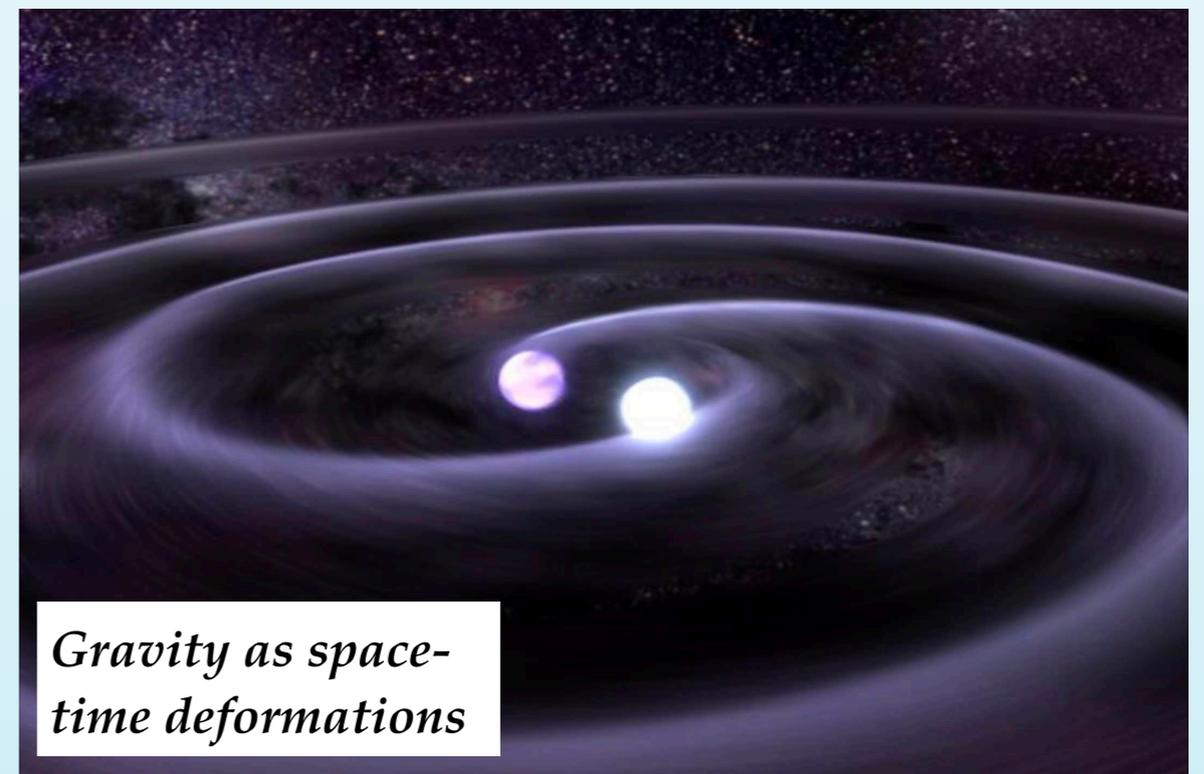
*A new understanding of a
fundamental interaction*

*i) Based on known
mathematical language*

*ii) Requires brand-new
mathematical language*



*The strong interaction
as a non-abelian QFT*



*Gravity as space-
time deformations*

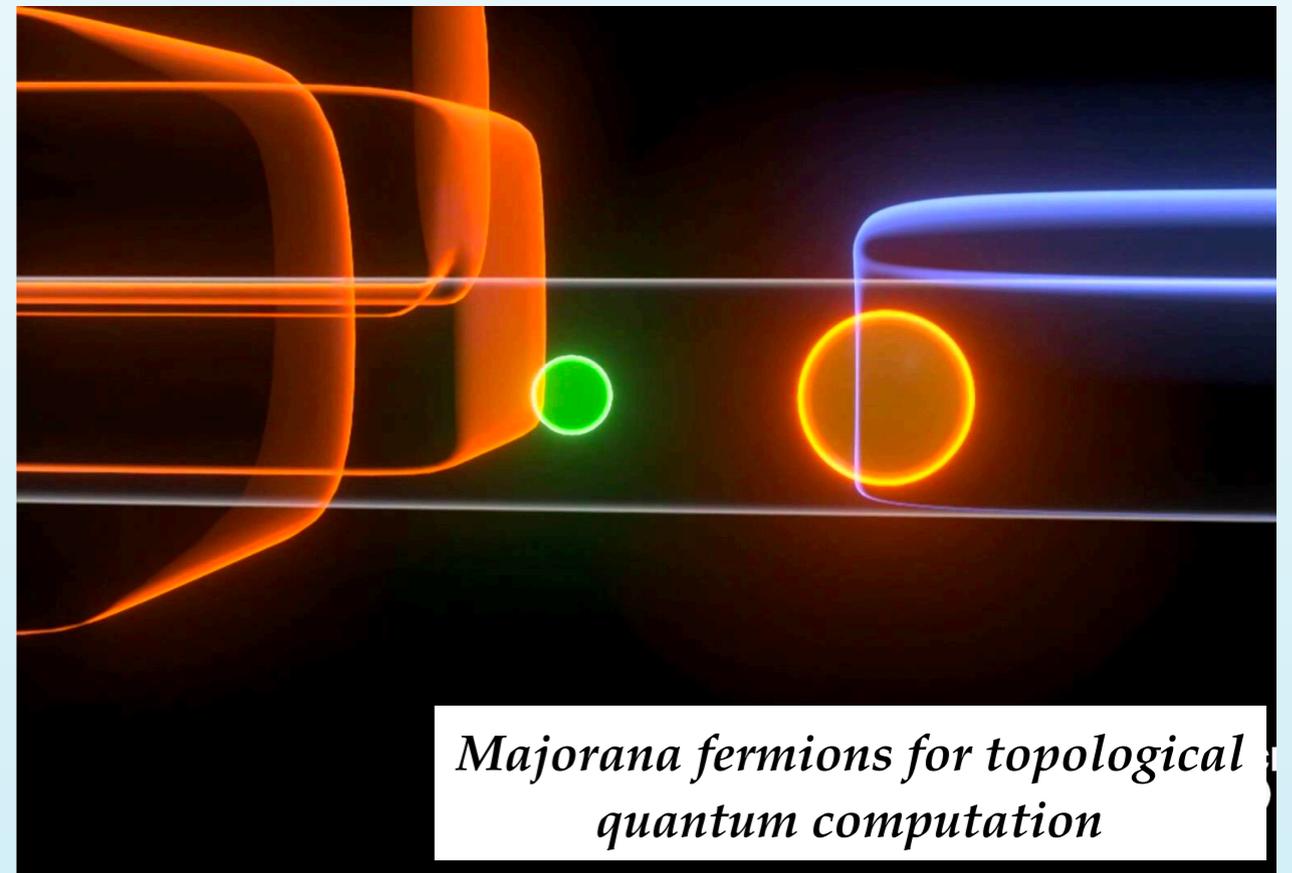
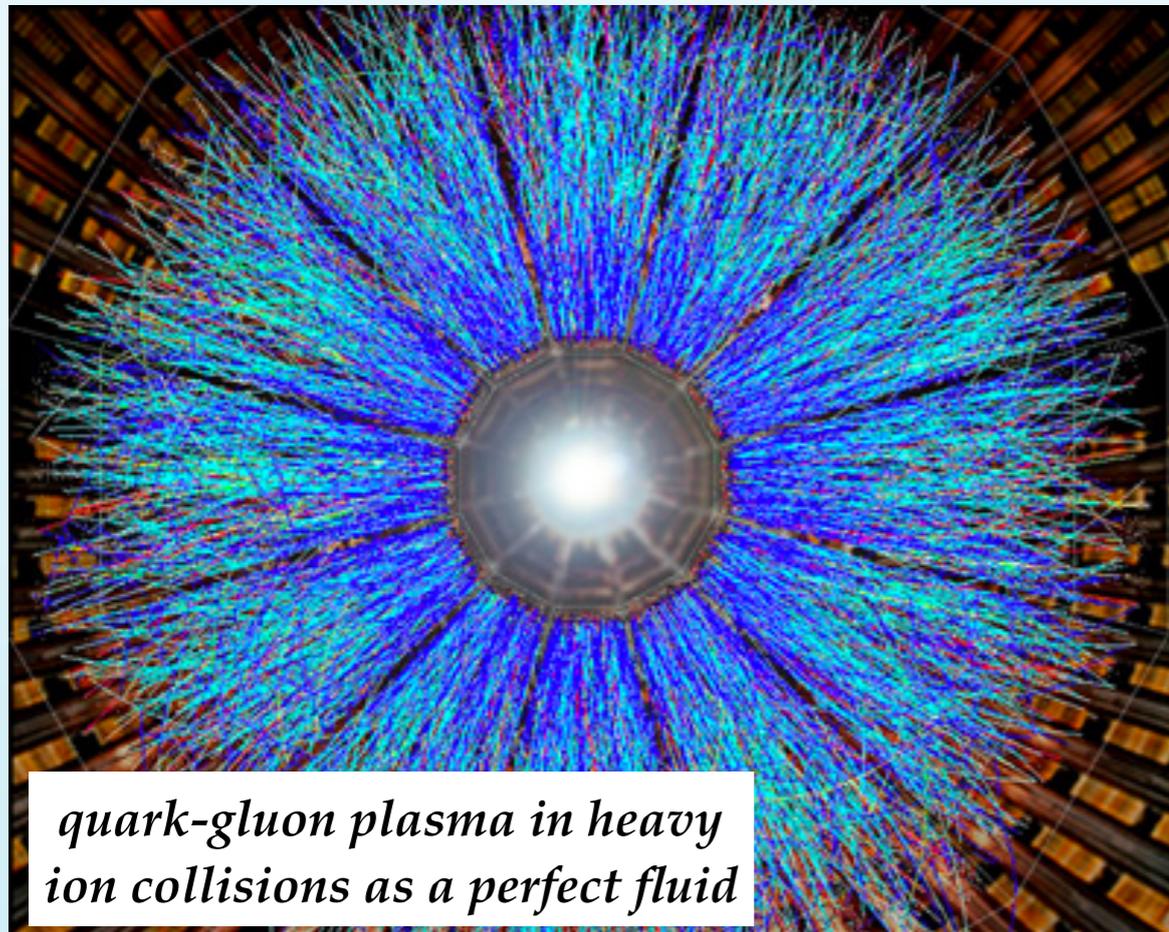
What can we discover?

Except one adopts a extreme **reductionist** point of view, one can meaningfully talk of **discovery** and **new physics** in many other contexts, *i.e.*

*Unexpected emergent phenomena within
a known theory*

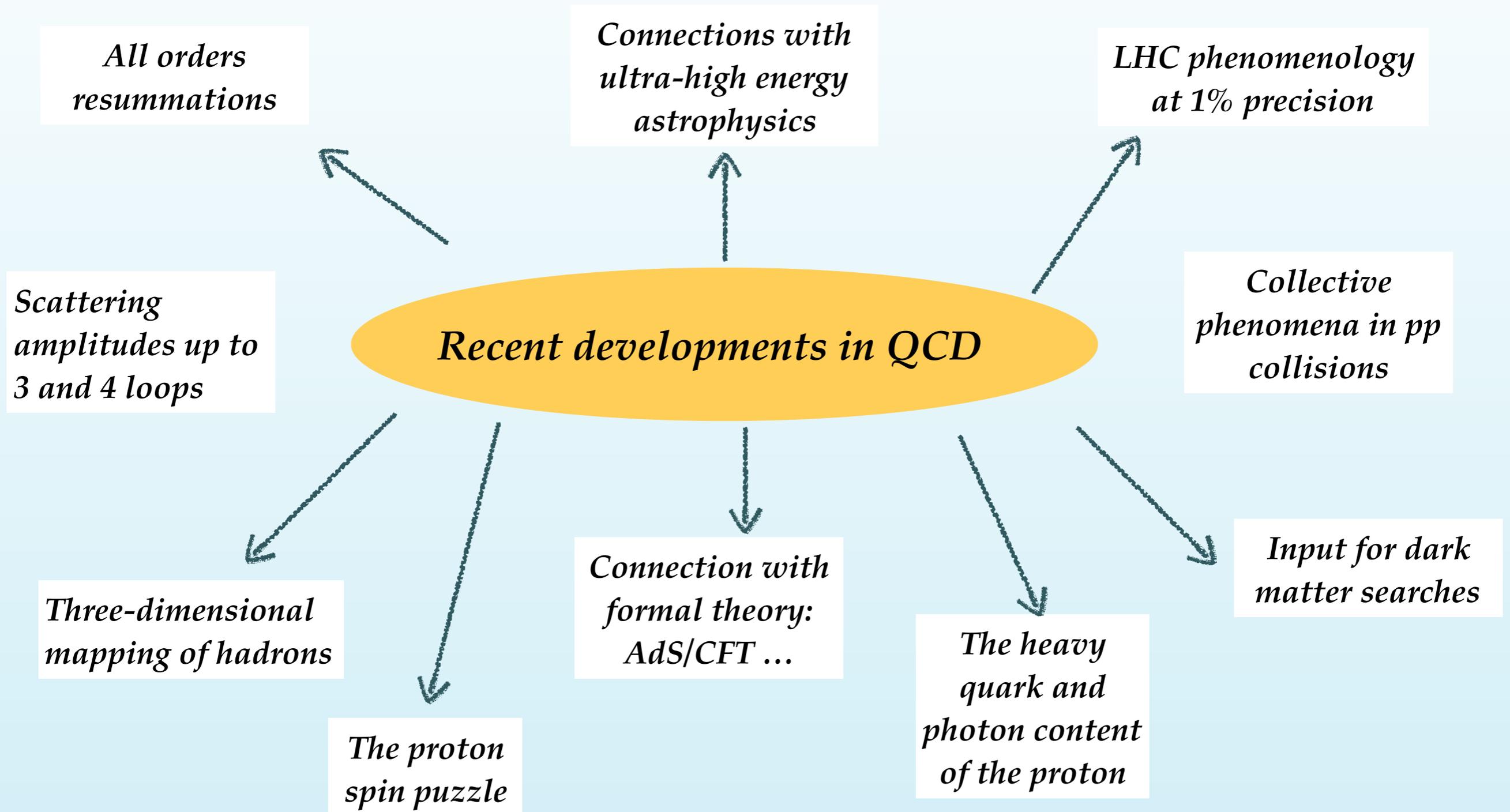
*i) Driven by experiment,
followed by theory*

*ii) Driven by theory,
confirmed by experiment*



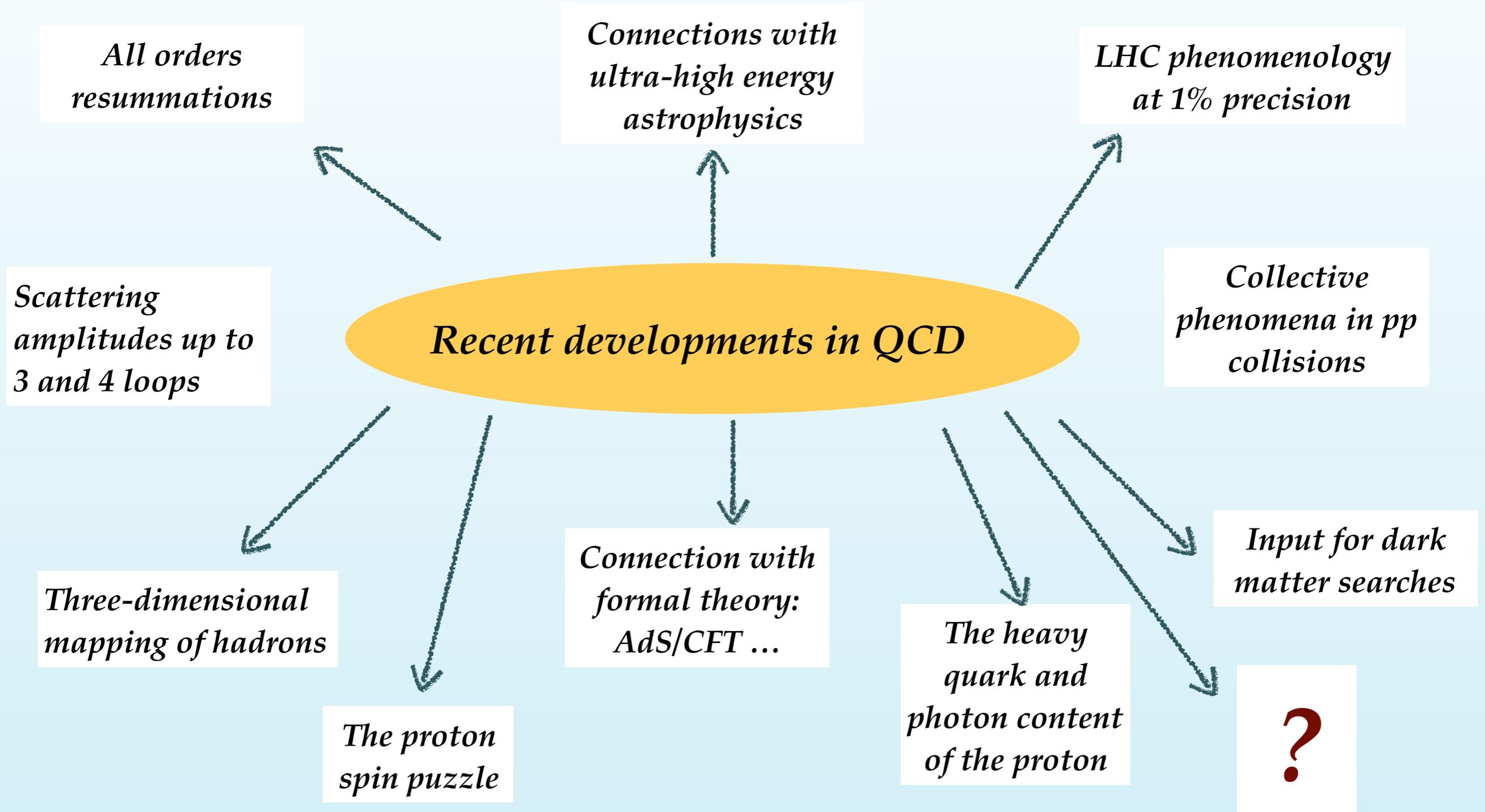
Pushing the boundaries of QCD

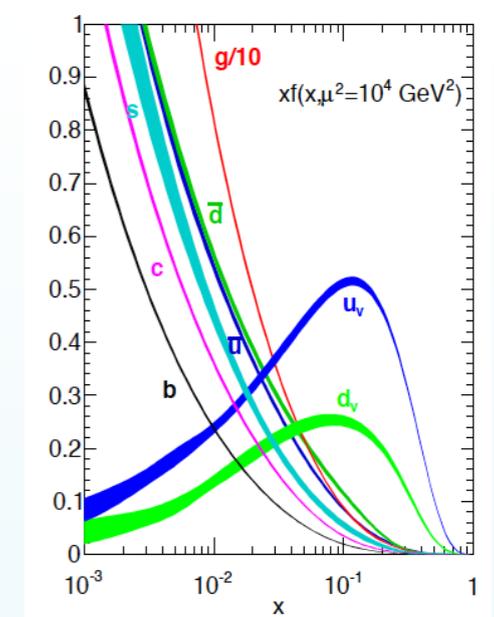
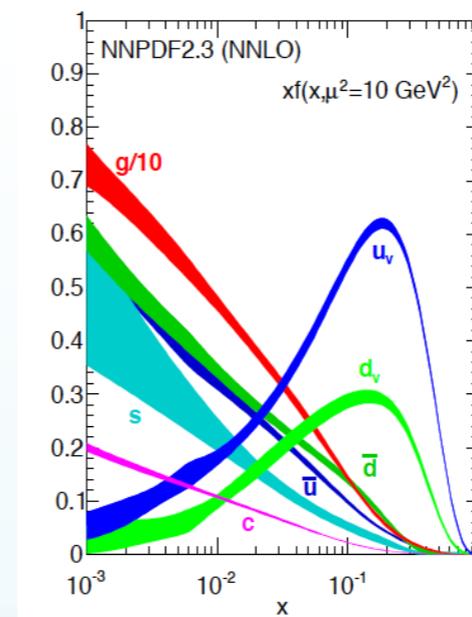
Quantum Chromodynamics is an extremely rich and complex theory which keeps fascinating us > 40 years after its mathematical formulation as a non-abelian QFT



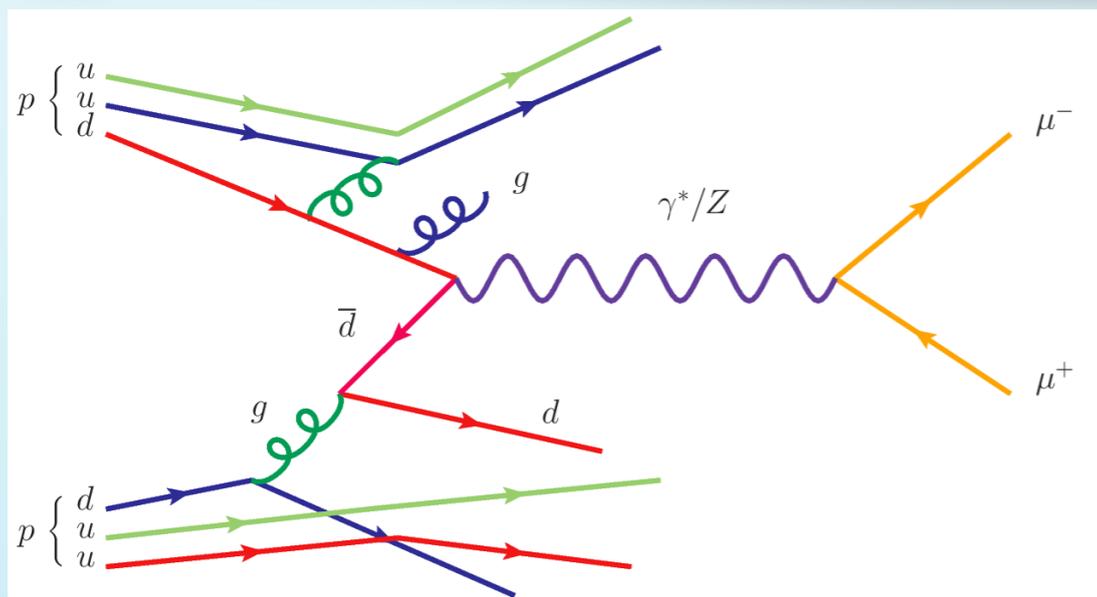
Pushing the boundaries of QCD

Quantum Chromodynamics is an extremely rich and complex theory which keeps fascinating us > 40 years after its mathematical formulation as a non-abelian QFT



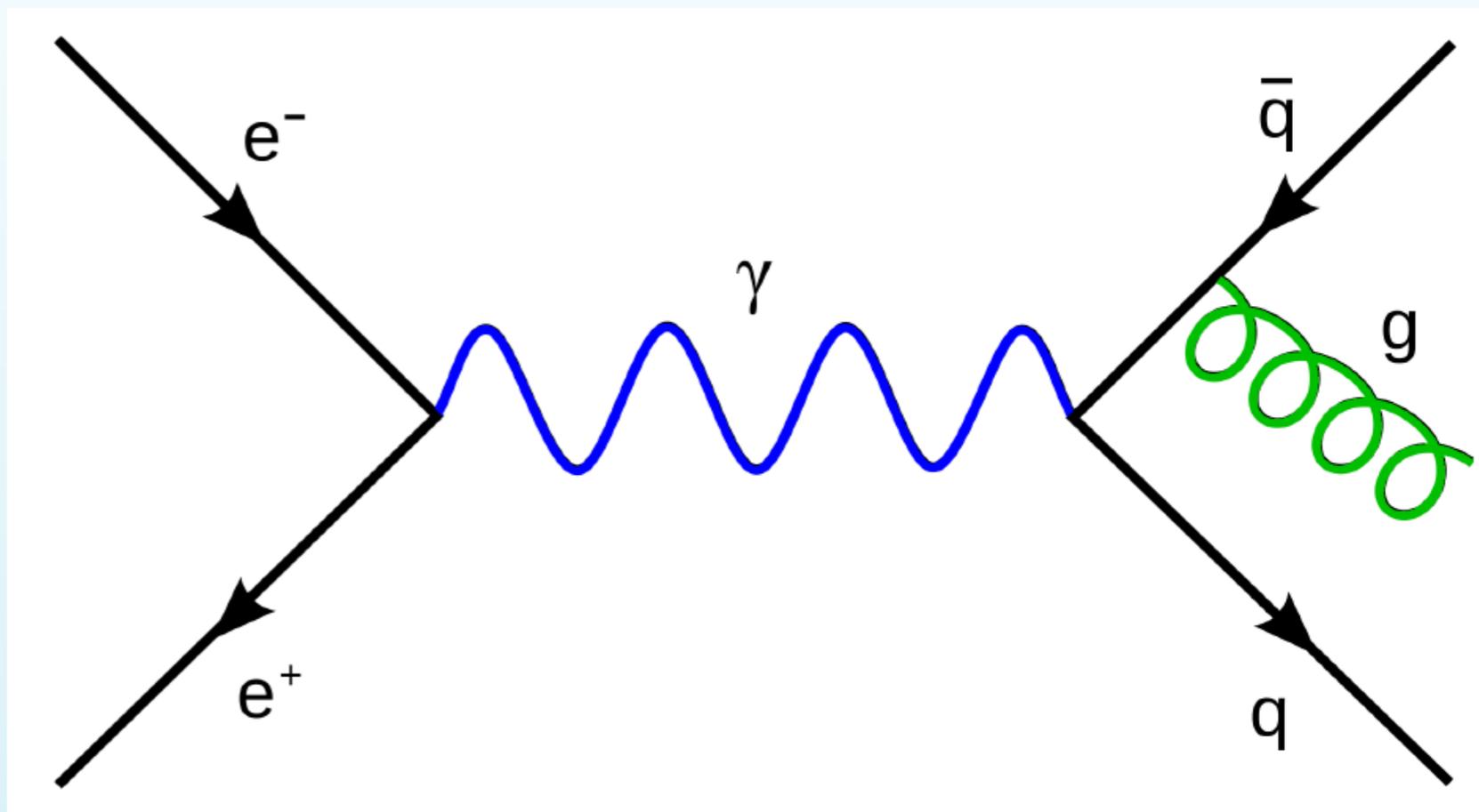
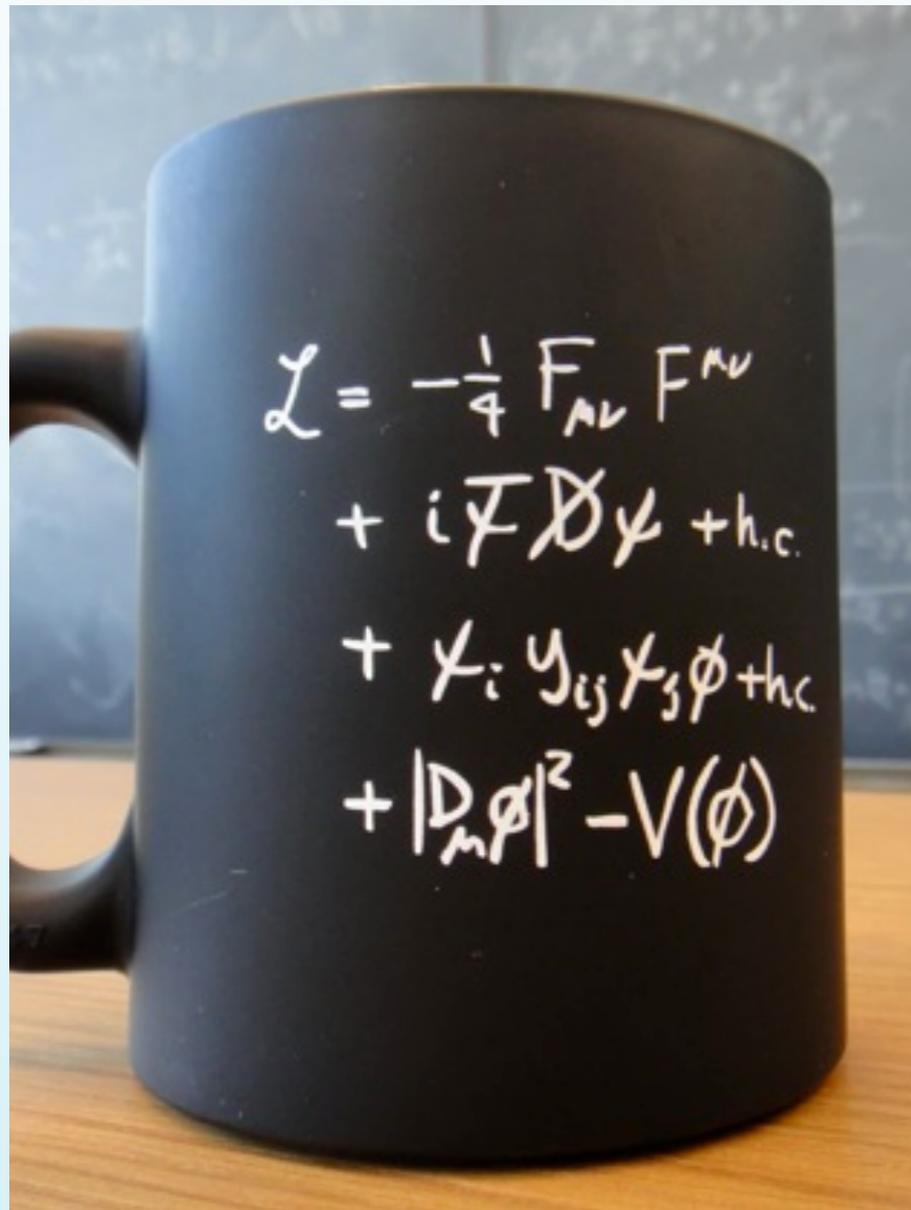


A crash course on parton distributions



QCD in hadronic collisions

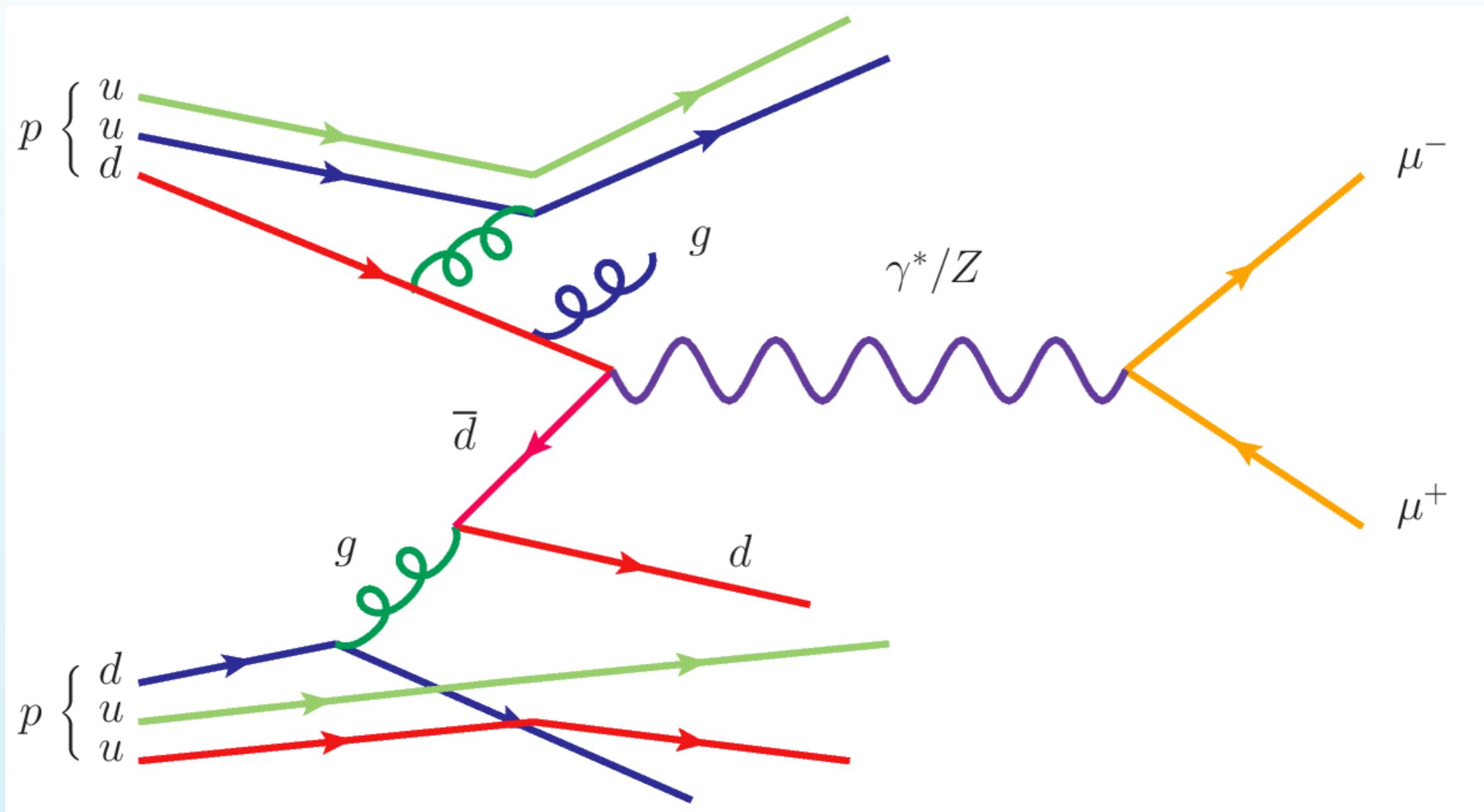
In high-energy lepton colliders, such as the Large Electron-Positron Collider (LEP) at CERN, the collisions involve **elementary particles** without substructure



Cross-sections in lepton colliders can be computed in perturbation theory using the Feynman rules of the Standard Model Lagrangian

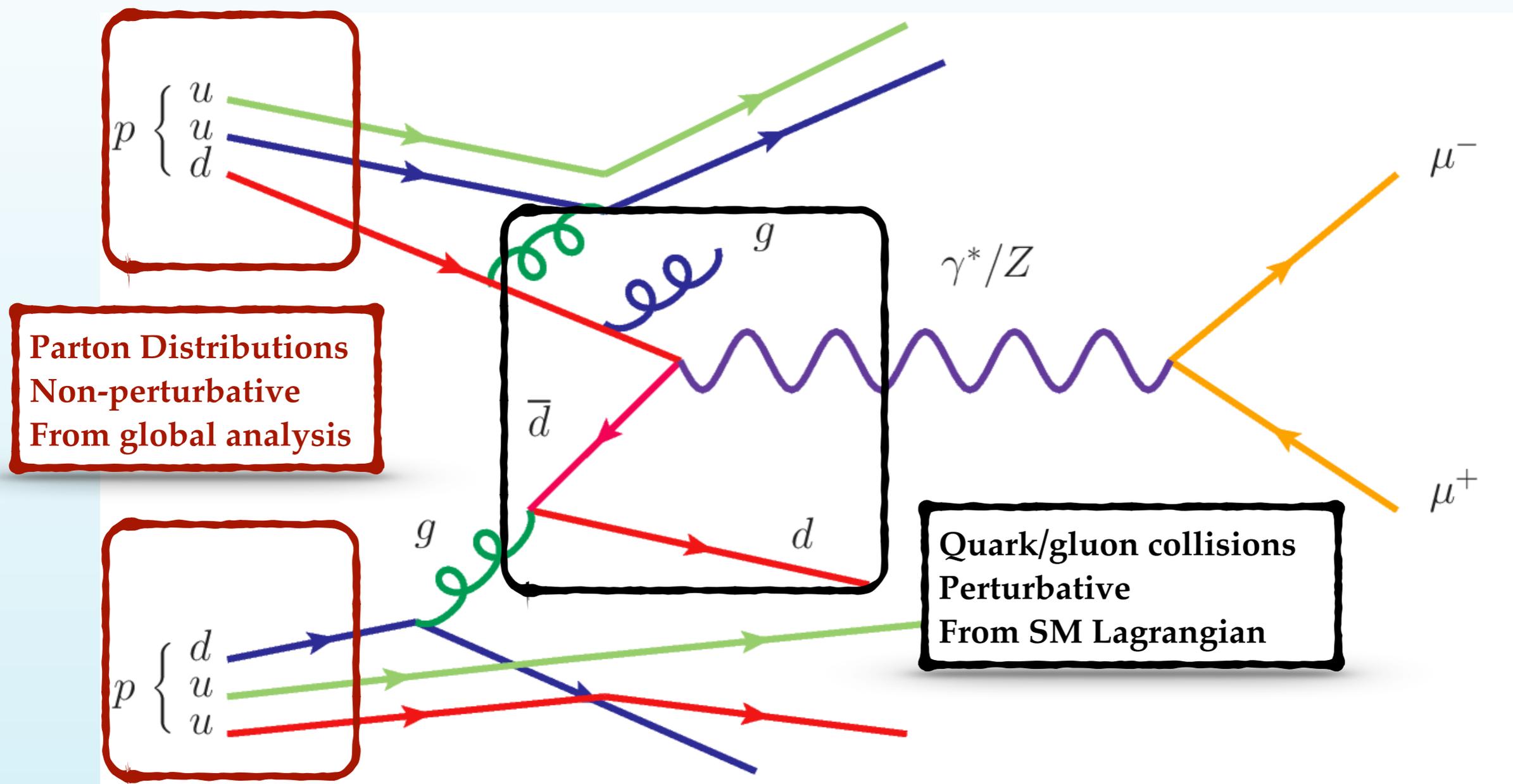
QCD in hadronic collisions

In high-energy **hadron colliders**, such as the LHC, the collisions involve **composite particles** (protons) with internal structure (quarks and gluons)



QCD in hadronic collisions

In high-energy **hadron colliders**, such as the LHC, the collisions involve **composite particles** (protons) with internal structure (quarks and gluons)



Calculations of **cross-sections** in hadron collisions require the combination of **perturbative, quark/gluon-initiated processes**, and **non-perturbative, parton distributions**, information

Parton Distributions

Distribution of energy that quarks and gluons carry inside proton quantified by **Parton Distributions**

$$g(x, Q)$$

Q : Energy of the quark/gluon collision
Inverse of the resolution length

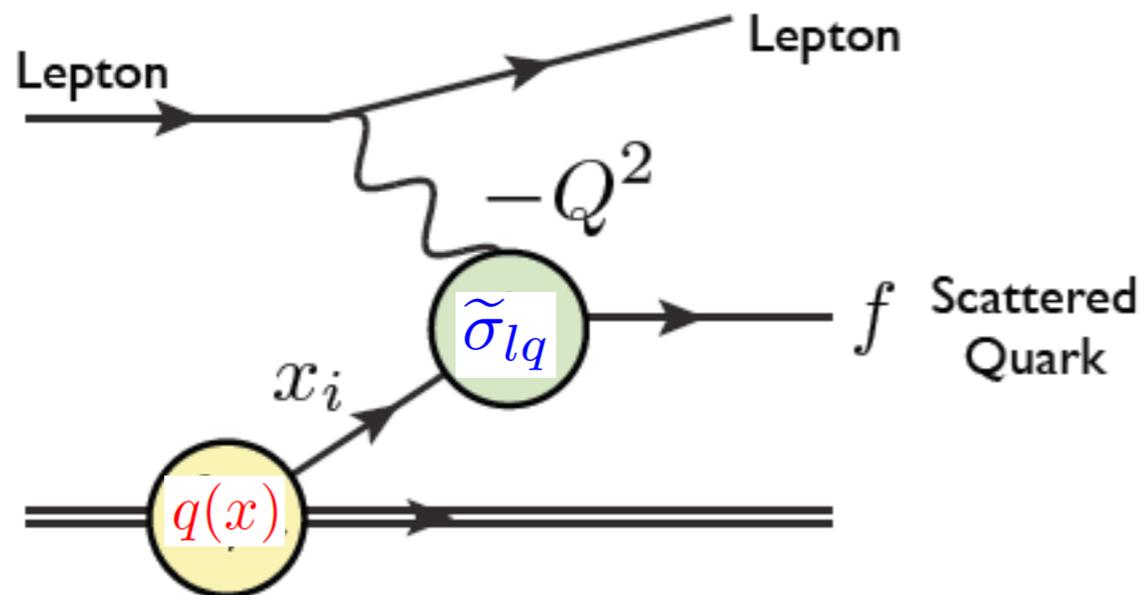
$g(x, Q)$: Probability of finding a gluon inside a proton, carrying a fraction x of the proton momentum, when probed with energy Q

x : Fraction of the proton's momentum

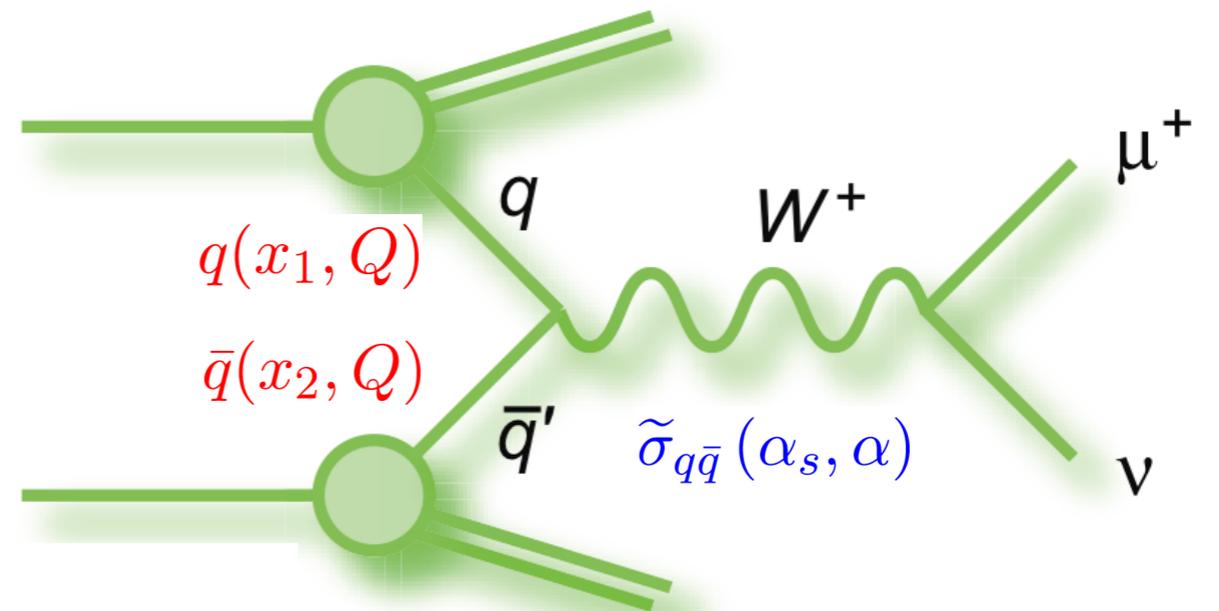
PDFs determined by non-perturbative QCD dynamics
Extract from experimental data within a global analysis

$$\sigma_{lp} \simeq \tilde{\sigma}_{lq}(\alpha_s, \alpha) \otimes q(x, Q)$$

$$\sigma_{pp} \simeq \tilde{\sigma}_{q\bar{q}}(\alpha_s, \alpha) \otimes q(x_1, Q) \otimes \bar{q}(x_2, Q)$$



Extract PDFs from lepton-proton collisions



Use PDFs to predict proton-proton cross-sections

Parton Distributions

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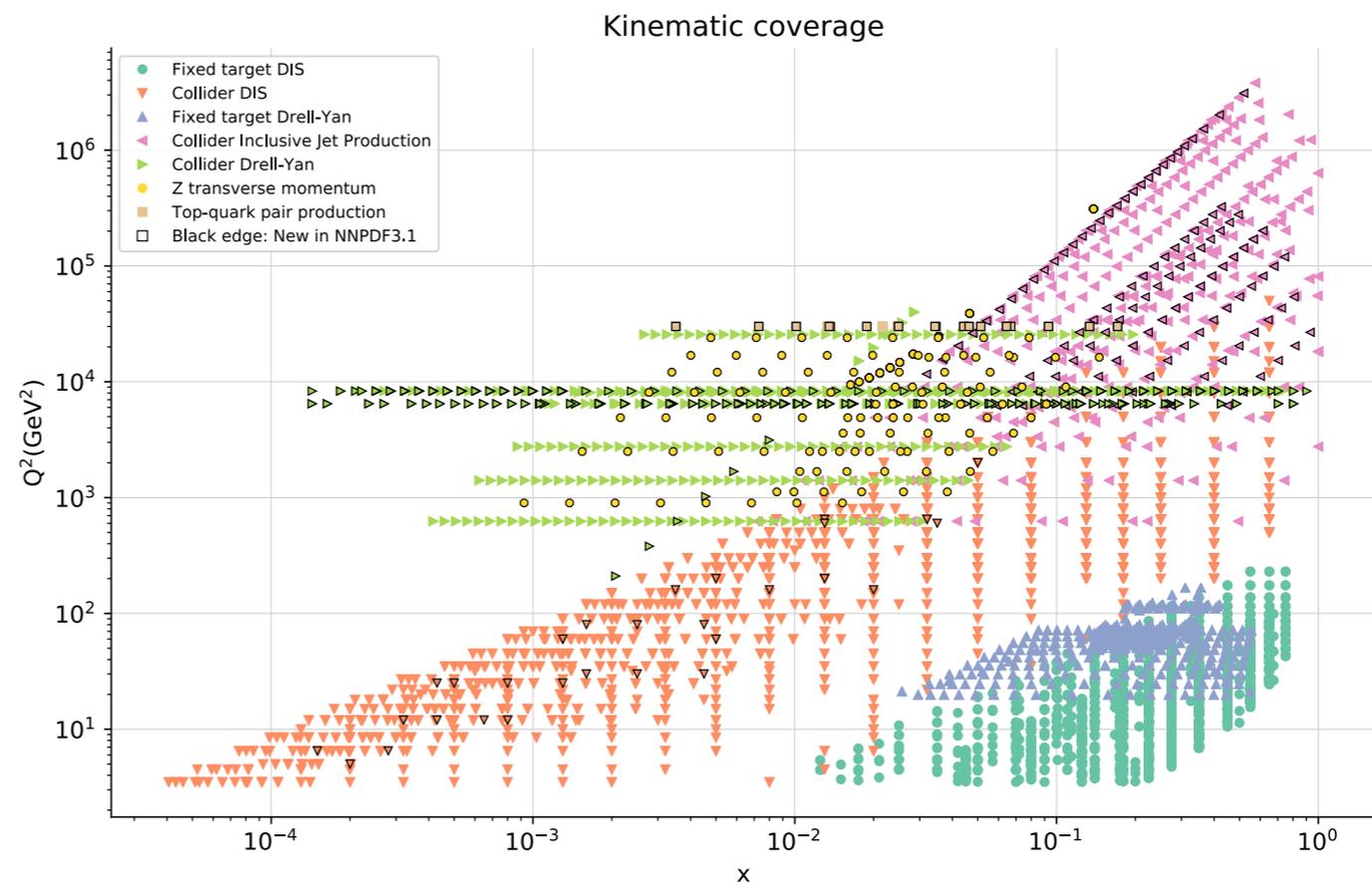
x : Fraction of the proton's momentum

PDFs determined by non-perturbative QCD dynamics
Extract from experimental data within a global analysis

Highly non-trivial validation of the
QCD factorisation framework:

- Including $O(5000)$ data points ,
- from $O(40)$ experiments,
- some of them with $\approx 1\%$ errors,

yet still $\chi^2/N_{\text{dat}} \approx 1$!

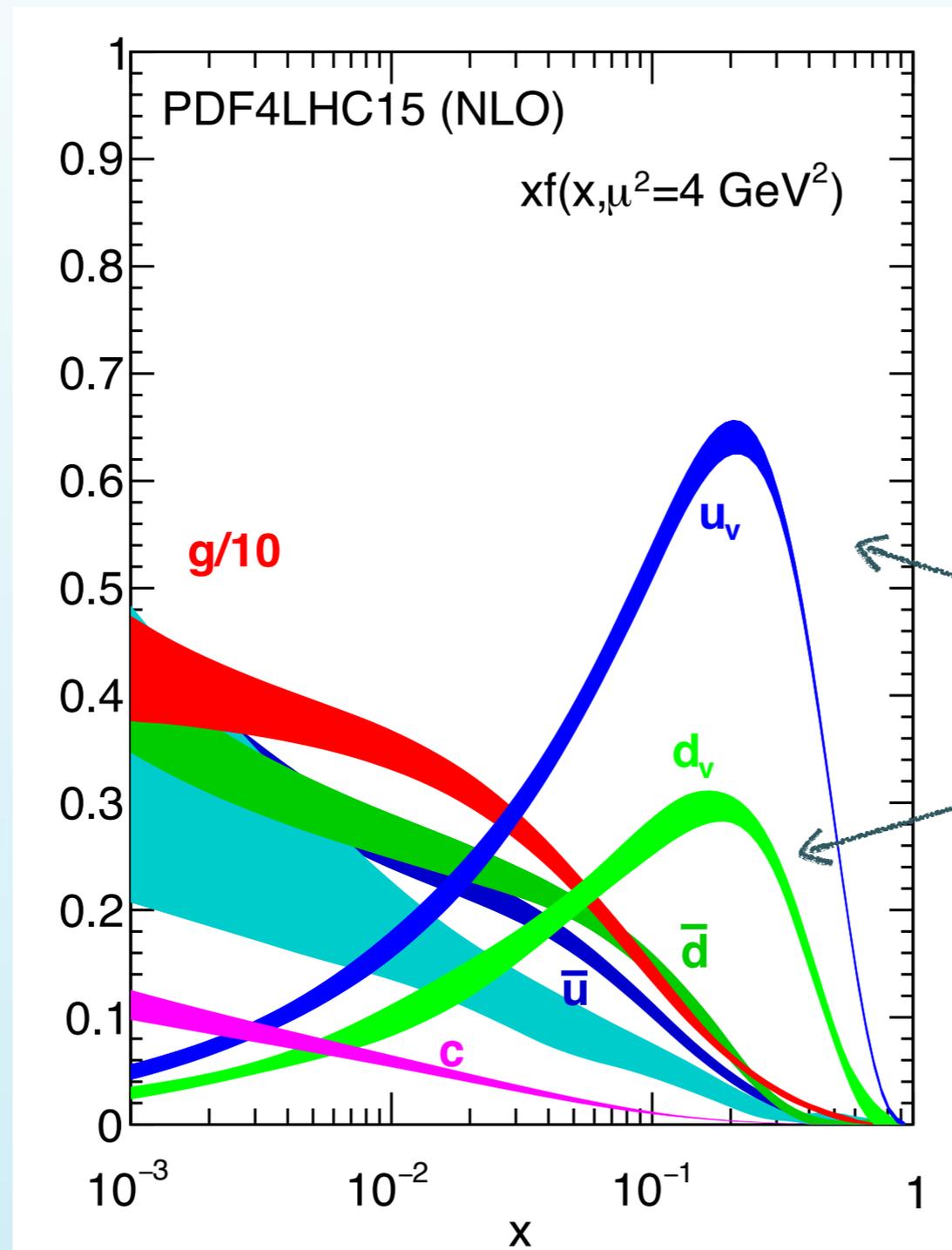


The global PDF analysis

- Combine **state-of-the-art theory calculations**, the constraints from PDF-sensitive measurements from different processes and colliders, and a **statistically robust fitting methodology**
- Extract Parton Distributions** at hadronic scales of a few GeV, where non-perturbative QCD sets in

Gluon PDF
Dominates small- x
region

Sea PDFs
Combination of
intrinsic and
perturbative input

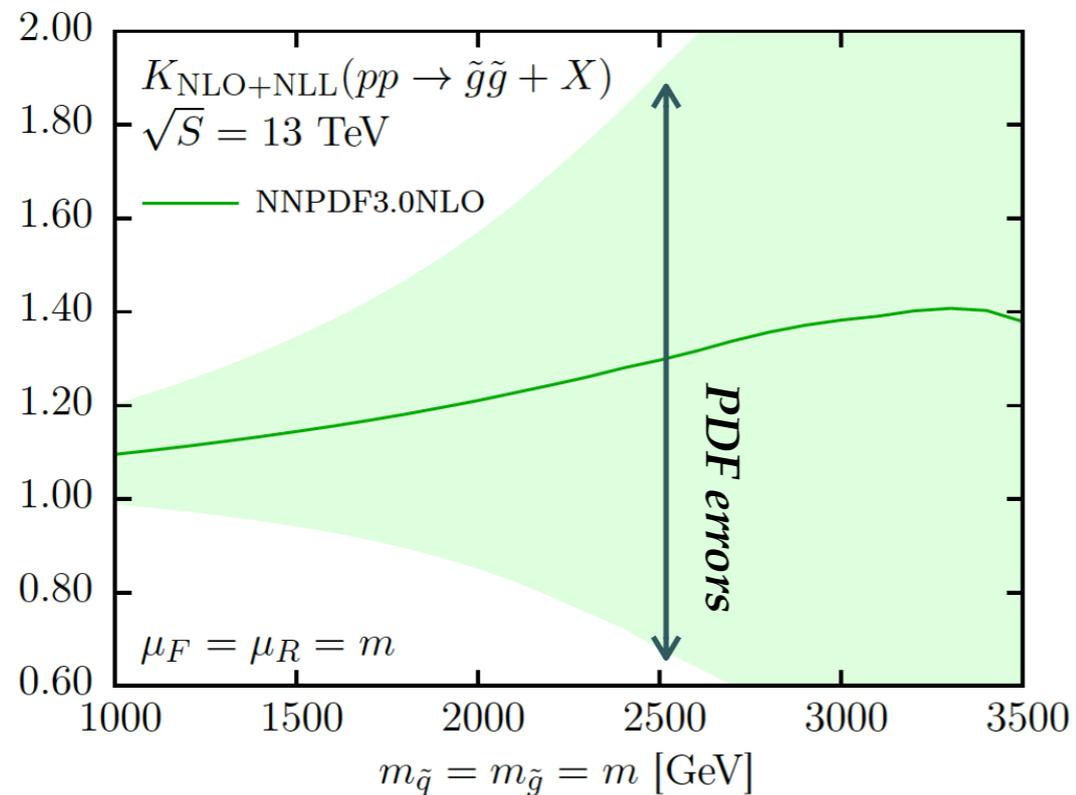


Valence PDFs
Normalisation fixed by
valence sum rules

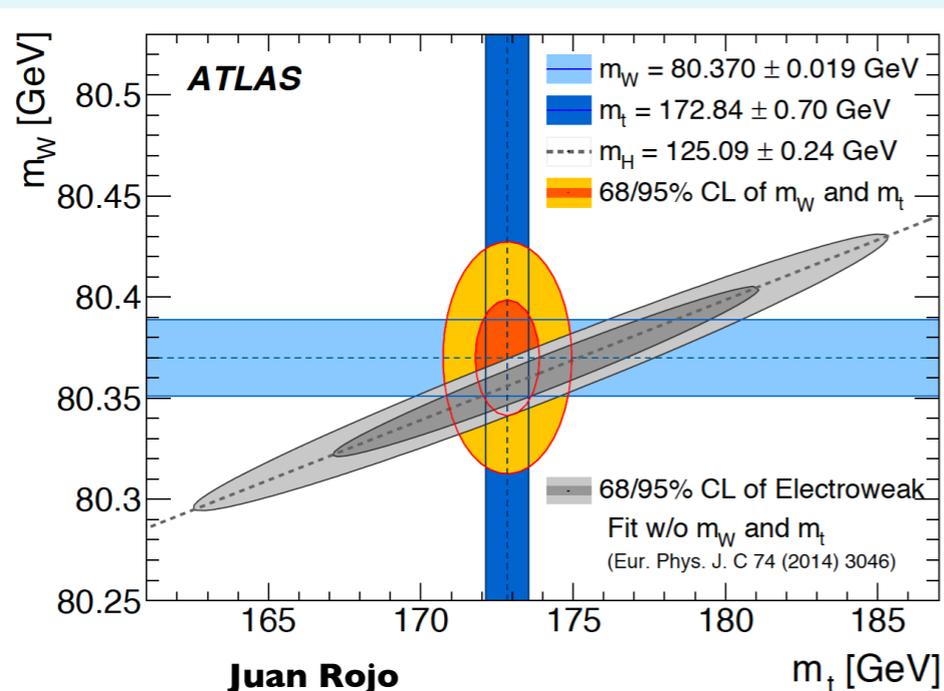
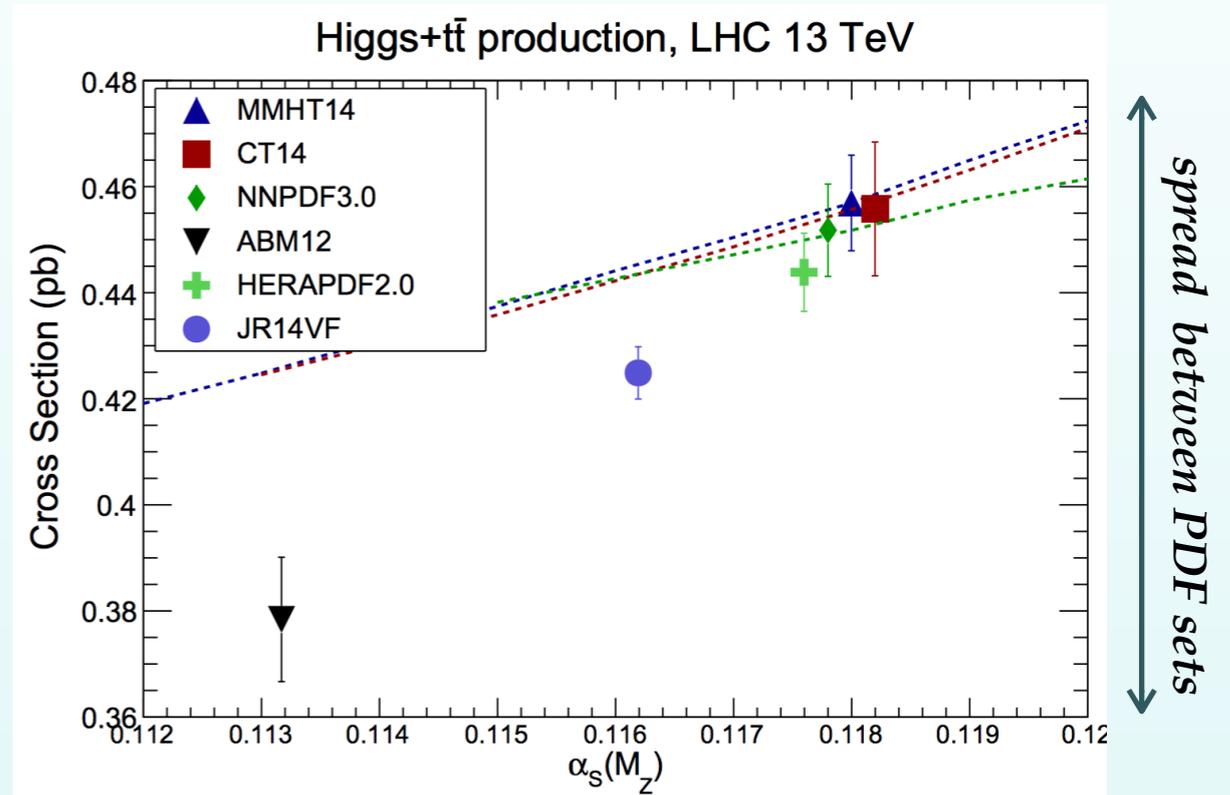
Why precision PDFs?

Ultimate accuracy of LHC calculations limited by knowledge of proton structure

heavy SUSY particle production



Higgs couplings



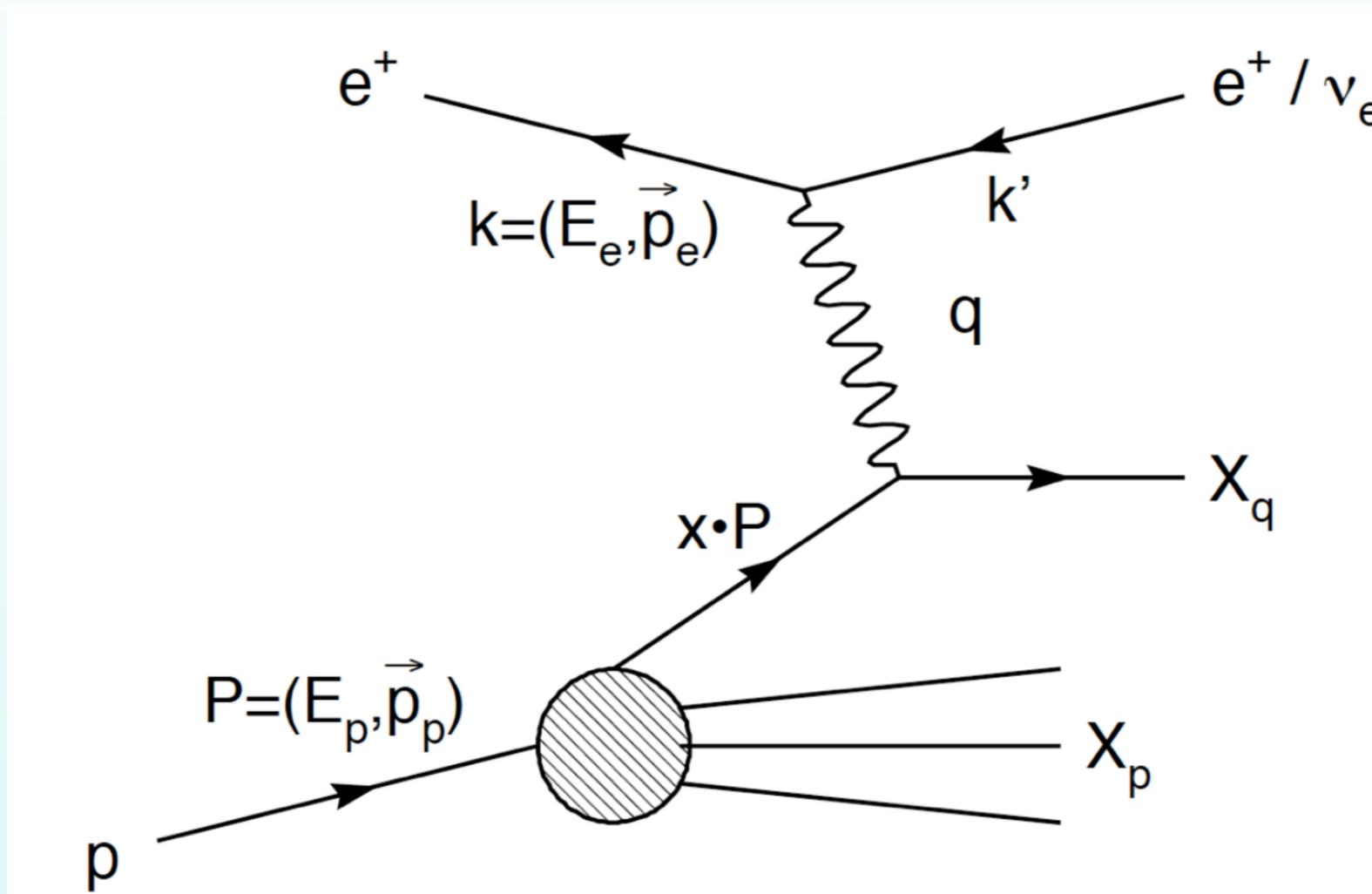
W mass determination

Value [MeV]	Stat. Unc.	Muon Unc.	Elec. Unc.	Recoil Unc.	Bckg. Unc.	QCD Unc.	EW Unc.	PDF Unc.	Total Unc.
80369.5	6.8	6.6	6.4	2.9	4.5	8.3	5.5	9.2	18.5

[HL-LHC forecast]

PDF evolution

- Let us review how parton distributions acquire scale dependence
- Try to compute the **deep-inelastic lepton-proton scattering cross-section** at one-loop



<i>Scale- independent PDFs</i>	<i>QED partonic cross-section</i>
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The Born cross-section is obtained via a QED calculation:

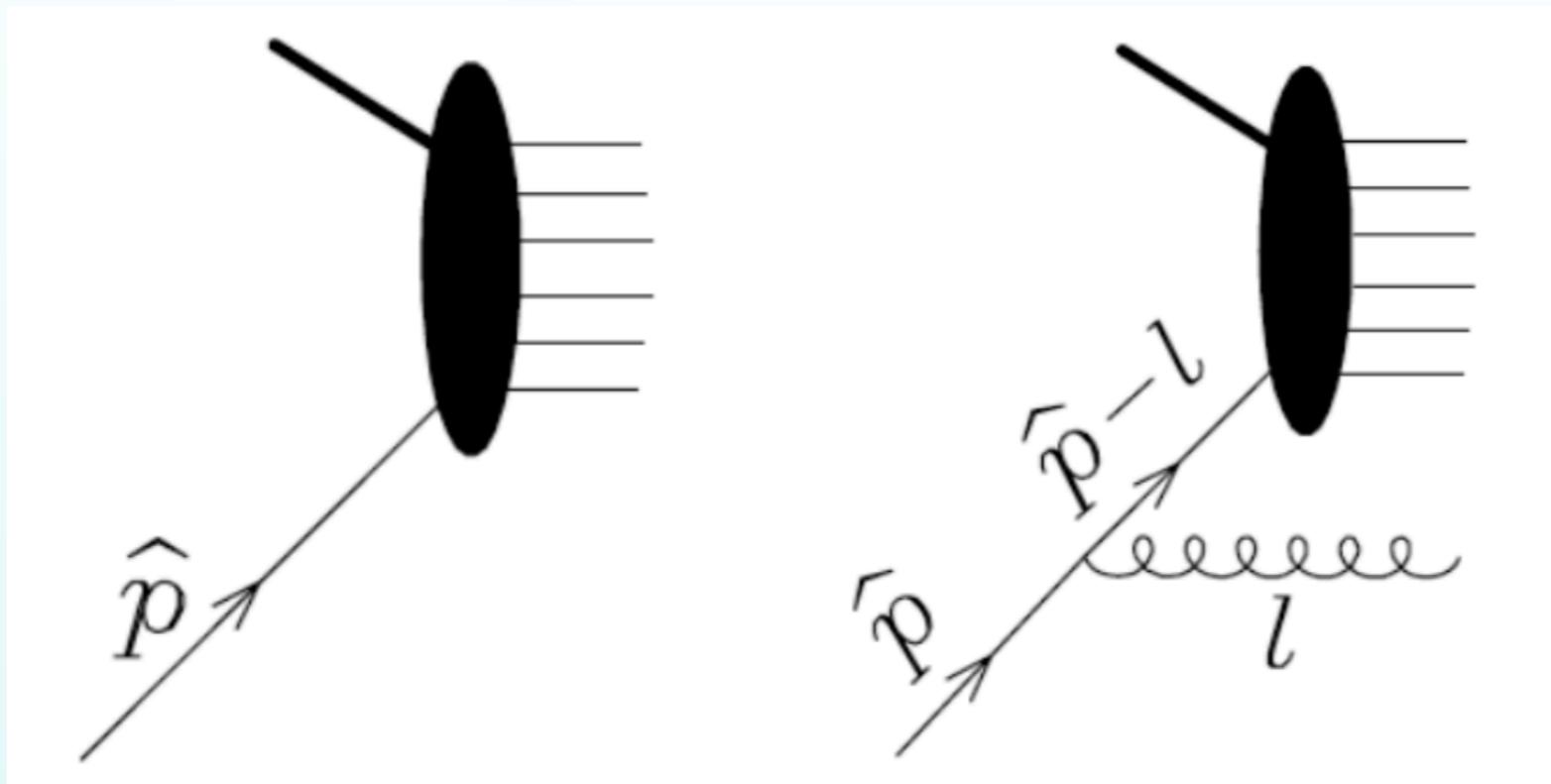
$$\frac{Q^4 x}{2\pi\alpha_{\text{QED}}^2 (1 + (1 - y)^2)} \frac{d^2\sigma^{\text{DIS}}}{dx dQ^2} = F_2(x) = \sum_{q, \bar{q}} \int_x^1 \frac{dz}{z} f_q(z) \hat{\sigma}_{q\gamma^* \rightarrow X} \left(\frac{x}{z} \right)$$

PDF evolution

Now let's include QCD corrections, starting from the **real emission off the incoming quark leg**

Born

NLO corrections: real radiation



(1-z): fraction of longitudinal momentum carried by gluon

l_{\perp} : transverse momentum carried by gluon

NLO partonic cross-section

From real emission

From virtual diagrams

Soft singularity

Collinear singularity

$$\hat{\sigma}^{(1)} = \frac{\alpha_S C_F}{2\pi} \int \left[\sigma^{(0)}(z\hat{p}) - \sigma^{(0)}(\hat{p}) \right] \frac{1+z^2}{1-z} \frac{dl_{\perp}^2}{l_{\perp}^2} dz$$

Collinear singularities do not cancel in the NLO partonic cross-section!

PDF evolution

- However initial-state collinear divergences are unphysical, and will be eventually **screened by infrared dynamics** such as finite quark masses and non-perturbative QCD effects
- They can be absorbed into a **redefinition of the proton's parton distributions**
- Introduction the **factorisation scale μ** that separates **low-scale from high-scale dynamics**

λ : infrared regulator

$$\hat{\sigma}^{\text{NLO}} = \left(\mathbb{1} + \frac{\alpha_s C_F}{2\pi} \ln \frac{\mu^2}{\lambda^2} P_{qq} \right) \otimes \left(\mathbb{1} + \frac{\alpha_s C_F}{2\pi} \ln \frac{Q^2}{\mu^2} P_{qq} \right) \sigma^{(0)}(\hat{p})$$

Encodes IR sensitivity

no dependance on IR physics

$$\sigma^{\text{DIS}}(p) = f_q \hat{\sigma}^{\text{NLO}}(\hat{p}) \equiv \tilde{f}_q(\mu) \tilde{\sigma}(p, \mu)$$

$$\tilde{f}(\mu) \equiv f \otimes \left(\mathbb{1} + \frac{\alpha_s C_F}{2\pi} \ln \frac{\mu^2}{\lambda^2} P_{qq} \right)$$

$$\tilde{\sigma}(p, \mu) \equiv \left(\mathbb{1} + \frac{\alpha_s C_F}{2\pi} \ln \frac{Q^2}{\mu^2} P_{qq} \right) \hat{\sigma}^{(0)}(\hat{p})$$

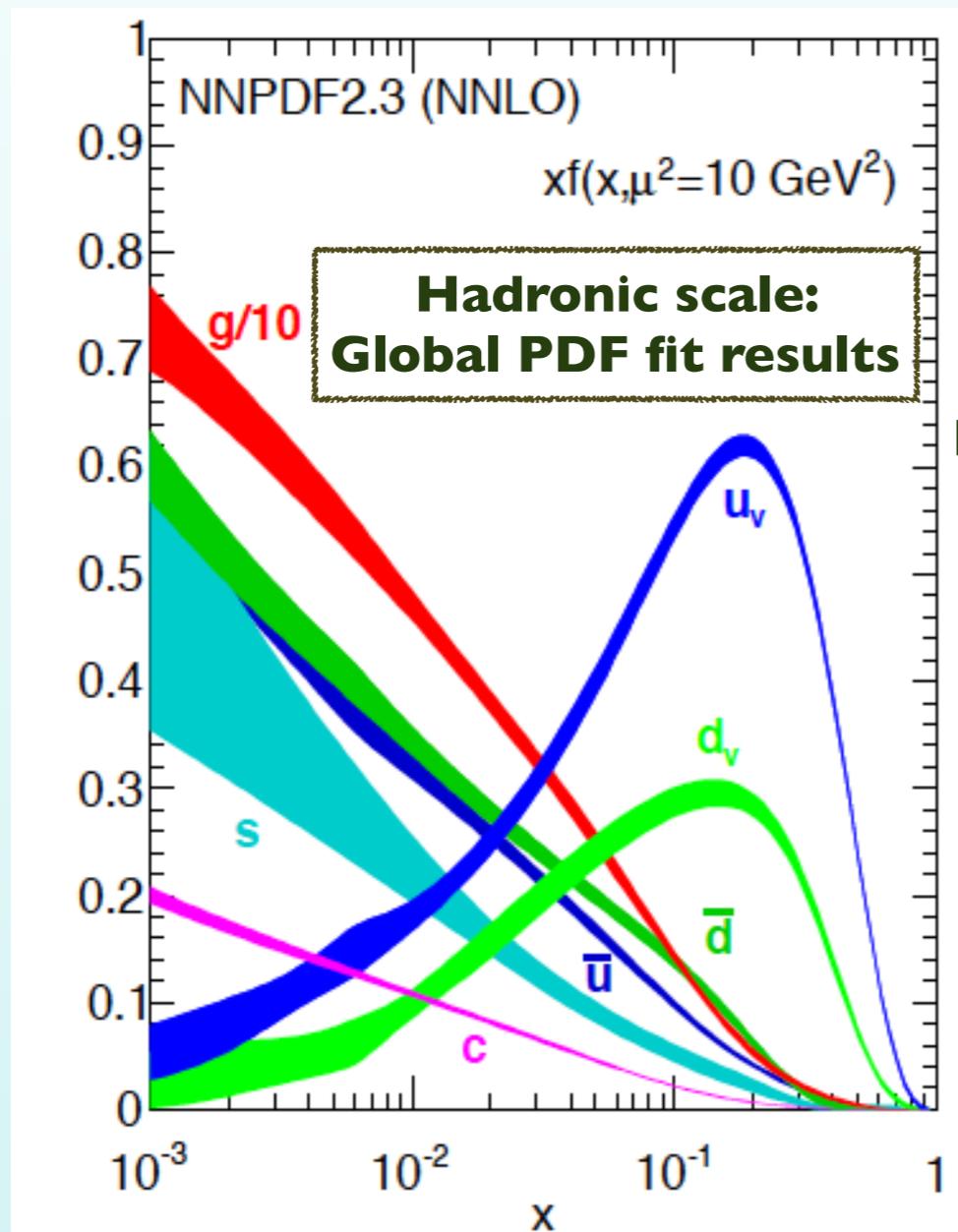
The DIS NLO cross-section is now finite!

All IR sensitivity absorbed into the PDFs, which are determined from data anyway

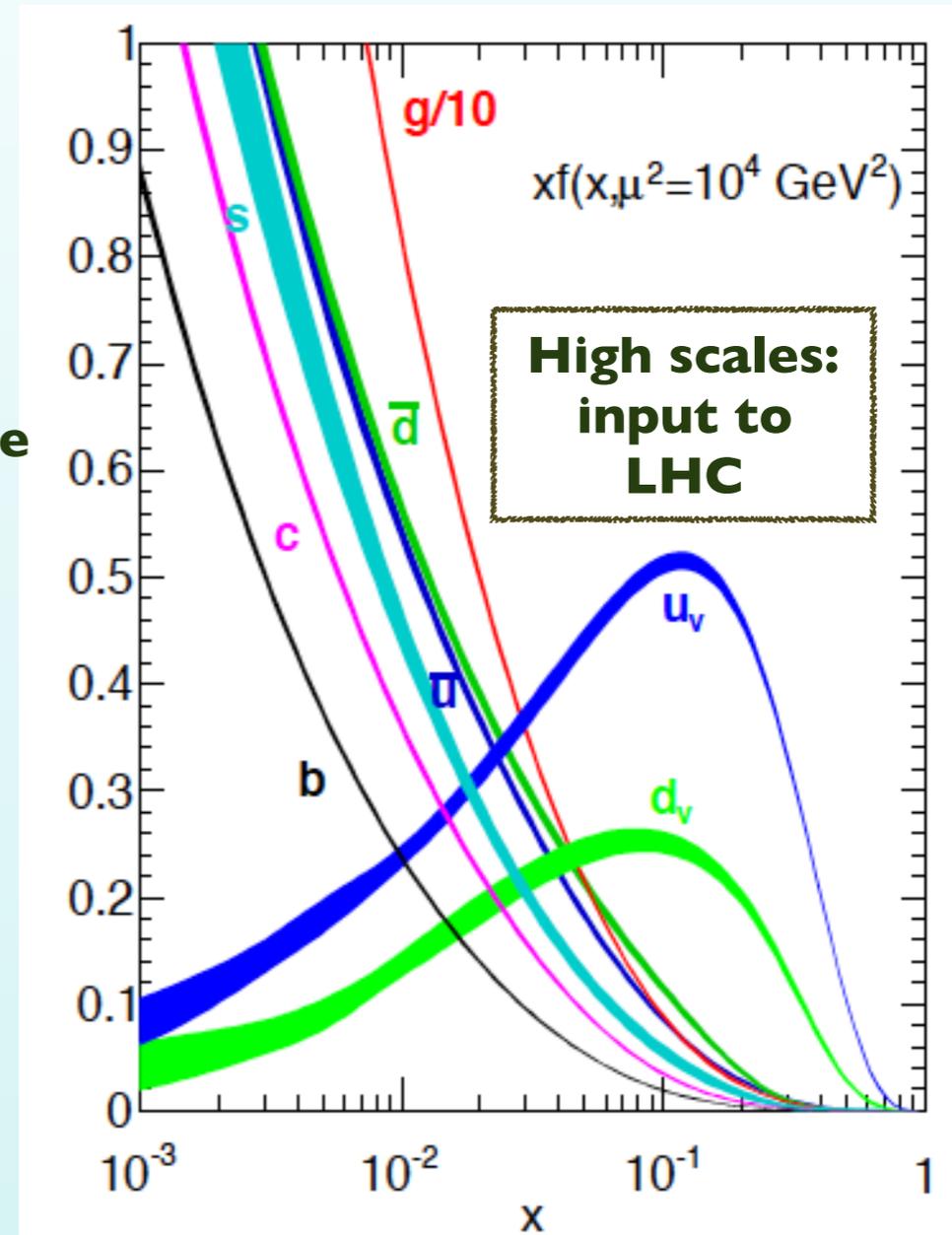
PDF evolution

- The NLO cross-section **cannot depend** on the unphysical factorization scale (up to NNLO terms)
- This restriction fully specifies the **scale dependence of the PDFs: the DGLAP evolution equations**

$$\mu^2 \frac{\partial f_q(x, \mu^2)}{\partial \mu^2} = \frac{\alpha_s(\mu^2)}{2\pi} \int_x^1 \frac{dz}{z} P_{qq}(z) f_q\left(\frac{x}{z}, \mu^2\right)$$

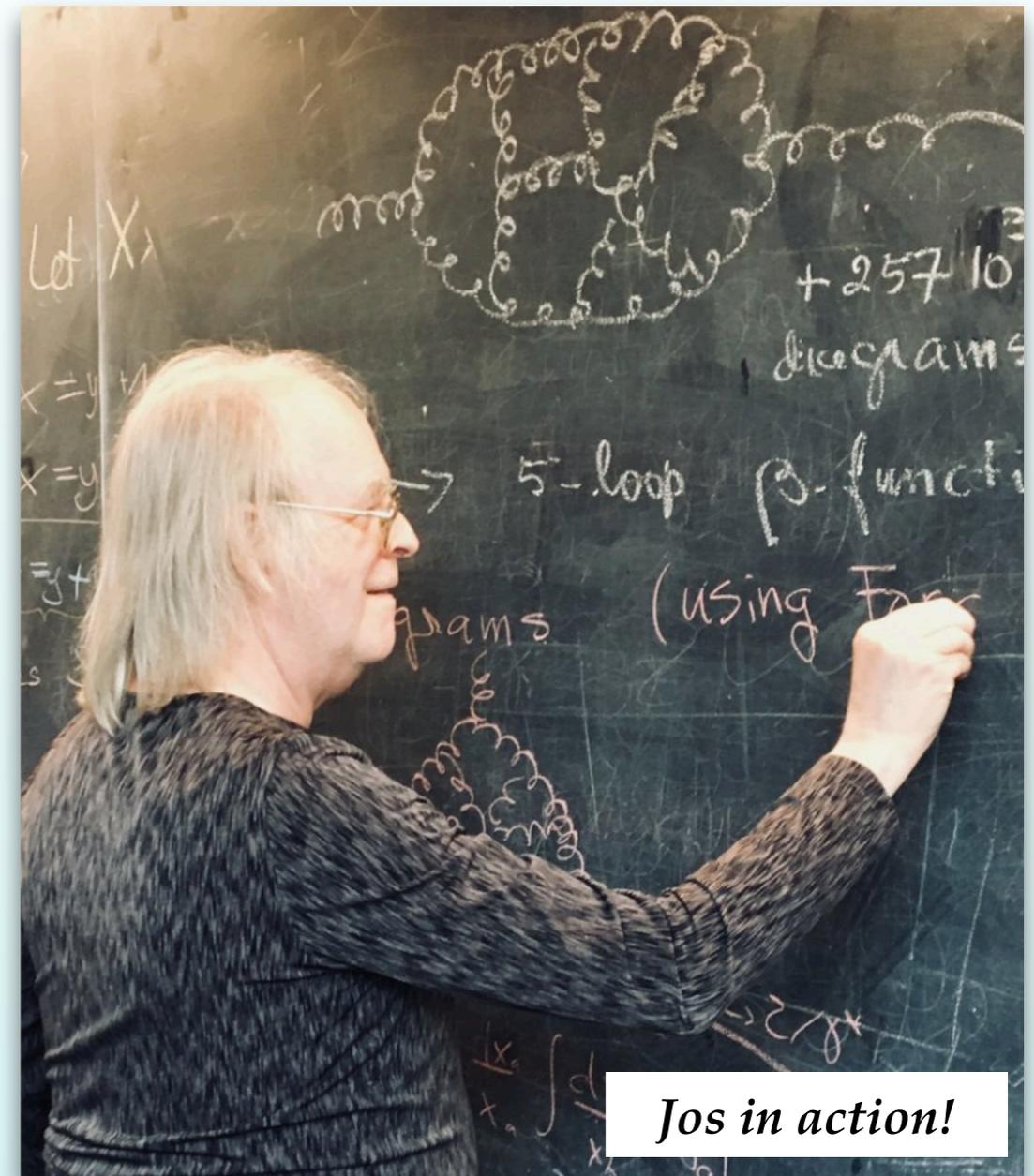
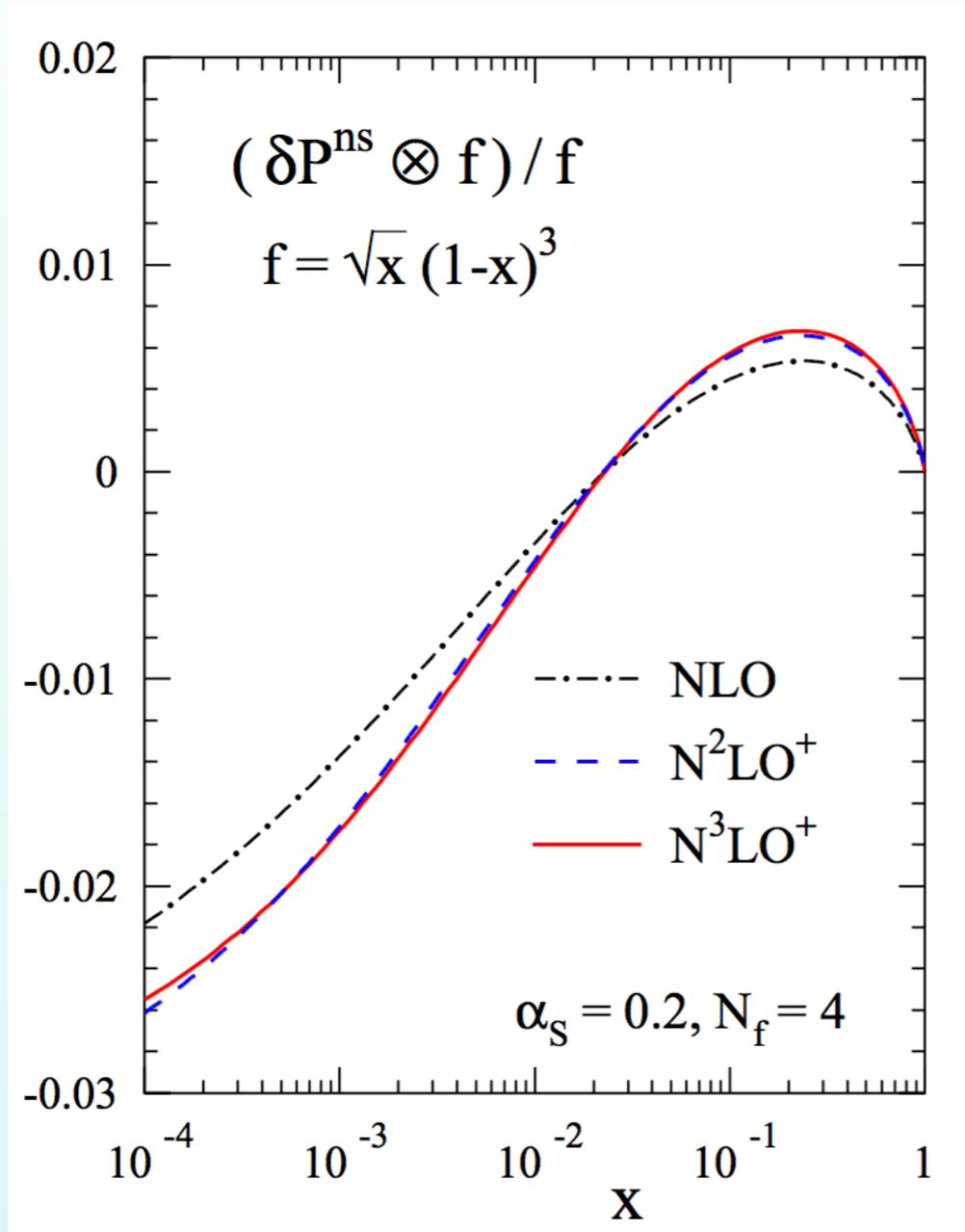


Perturbative Evolution
 →



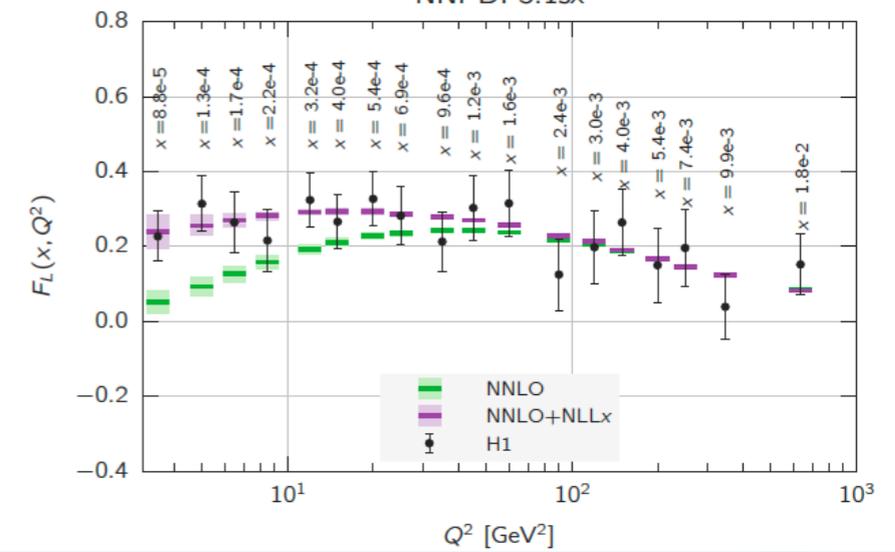
Loops and more loops

First results on the 4-loop QCD splitting functions computed by Jos Vermaseren and collaborations

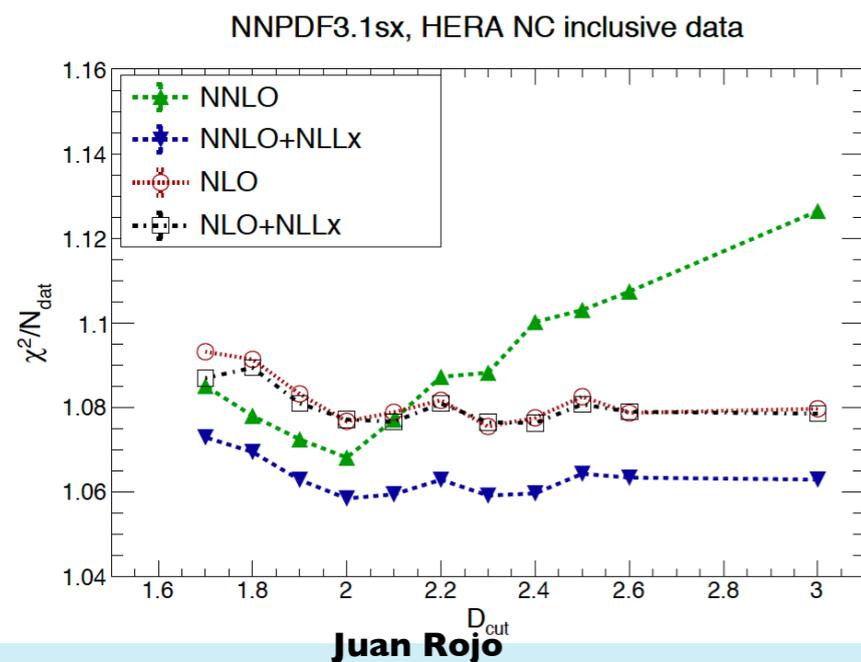


Moch et al 17

Towards PDF evolution with per-mille theoretical uncertainties



Unravelling BFKL dynamics from HERA data



Ball, Bertone, Bonvini, Marzani, Rojo, Rottoli 17

Theory motivation: beyond DGLAP

• **Perturbative fixed-order QCD calculations** have been extremely successful in describing a wealth of data from proton-proton and electron-proton collisions

• There are theoretical reasons that eventually we need to go beyond DGLAP: at very small- x , **logarithmically enhanced terms in $1/x$ become dominant** and need to be resummed to all orders

$$P_{gg} \sim \frac{1}{x} \sum_n \alpha_s^n \ln^{n-1} \frac{1}{x}$$

• **BFKL/high-energy/small- x resummation** can be matched to the **DGLAP collinear framework**, and thus can be included into a standard PDF analysis

DGLAP
Evolution in Q^2

$$\mu^2 \frac{\partial}{\partial \mu^2} f_i(x, \mu^2) = \int_x^1 \frac{dz}{z} P_{ij} \left(\frac{x}{z}, \alpha_s(\mu^2) \right) f_j(z, \mu^2),$$

BFKL
Evolution in x

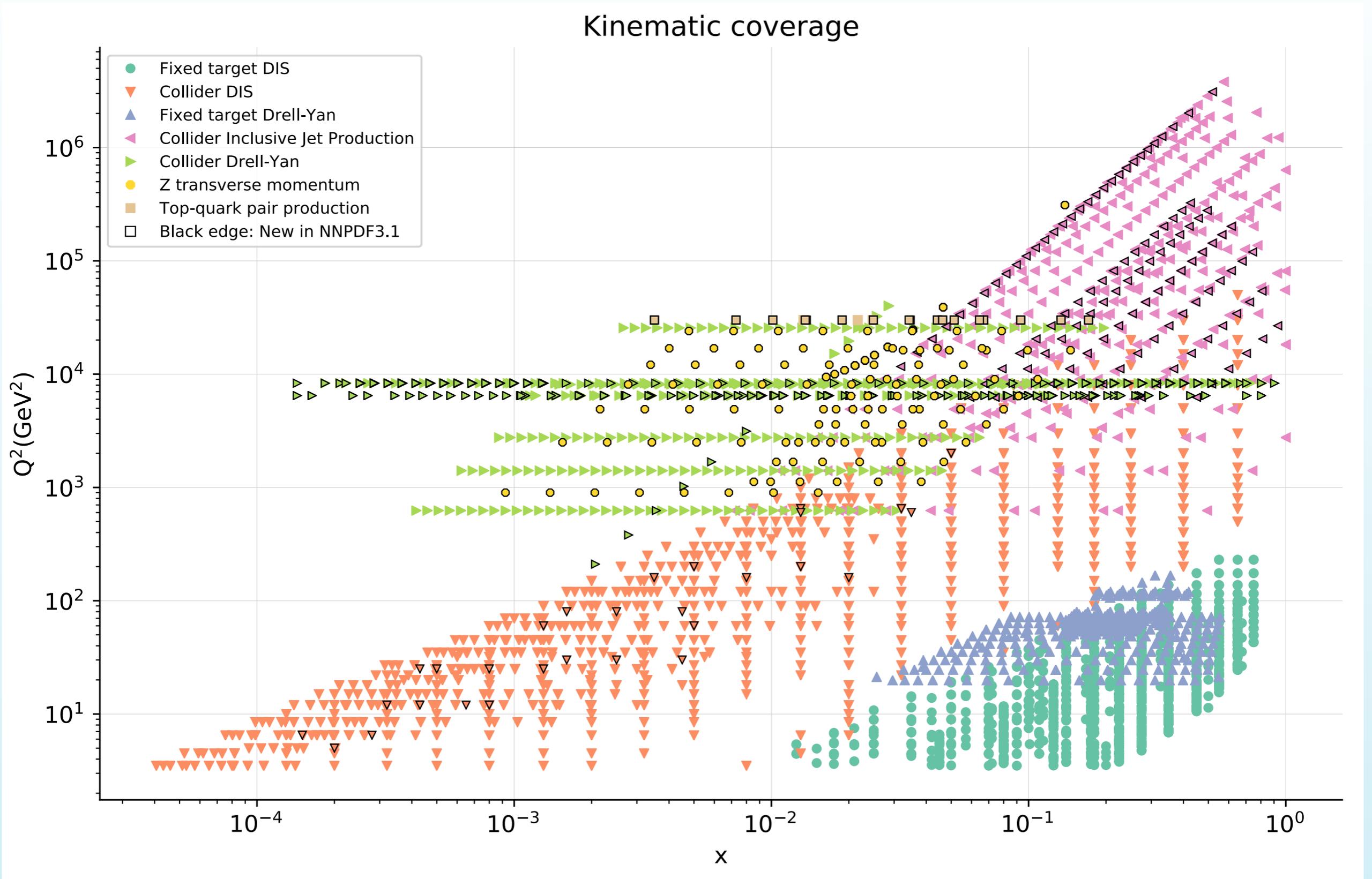
$$-x \frac{d}{dx} f_+(x, \mu^2) = \int_0^\infty \frac{d\nu^2}{\nu^2} K \left(\frac{\mu^2}{\nu^2}, \alpha_s \right) f_+(x, \nu^2)$$

Within small- x resummation, the N^k LO fixed-order DGLAP splitting functions are complemented with the N^h LL x contributions from BKFL

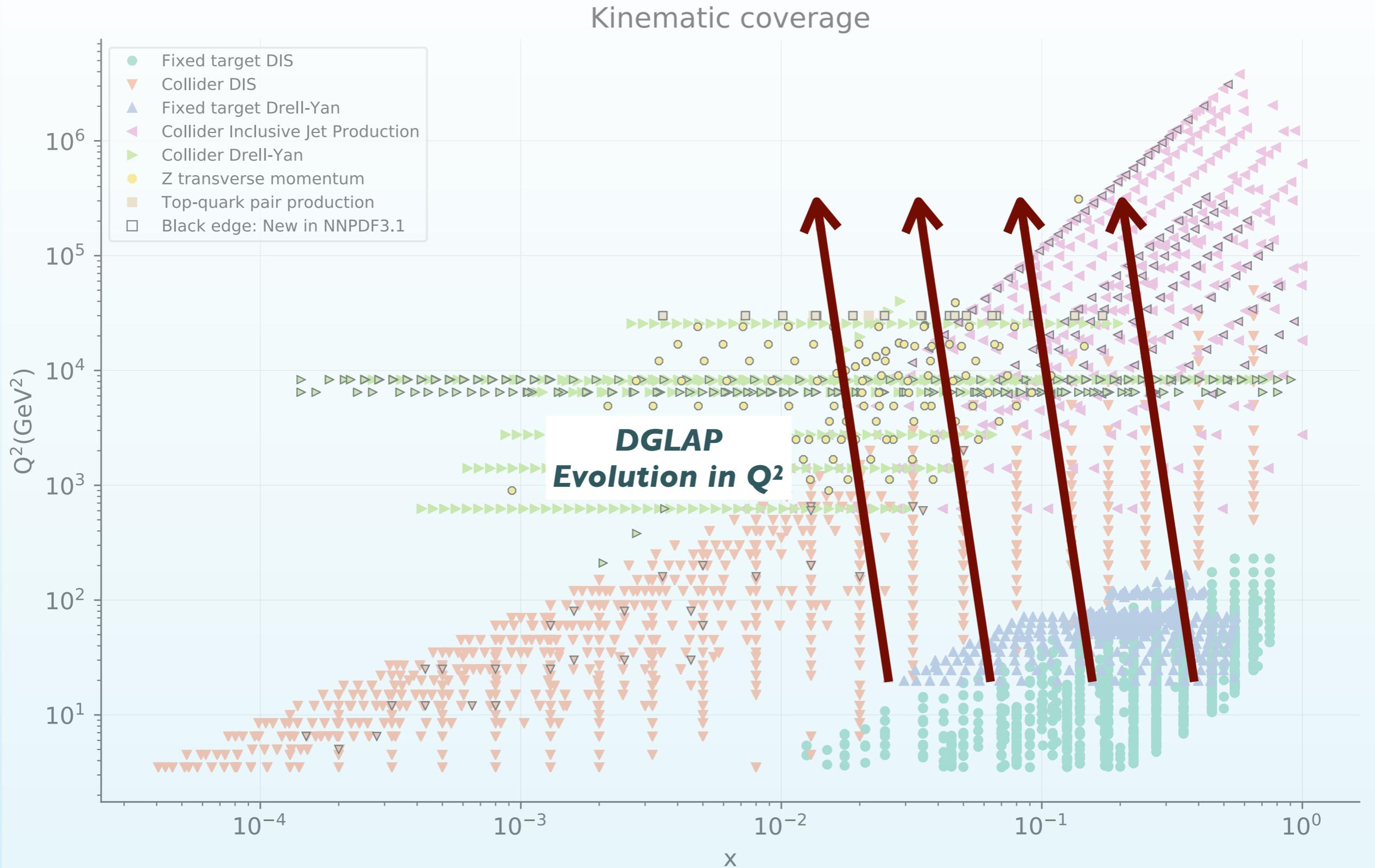
ABF, CCSS, TW + others, 94-08

$$P_{ij}^{N^k \text{LO} + N^h \text{LL}x}(x) = P_{ij}^{N^k \text{LO}}(x) + \Delta_k P_{ij}^{N^h \text{LL}x}(x),$$

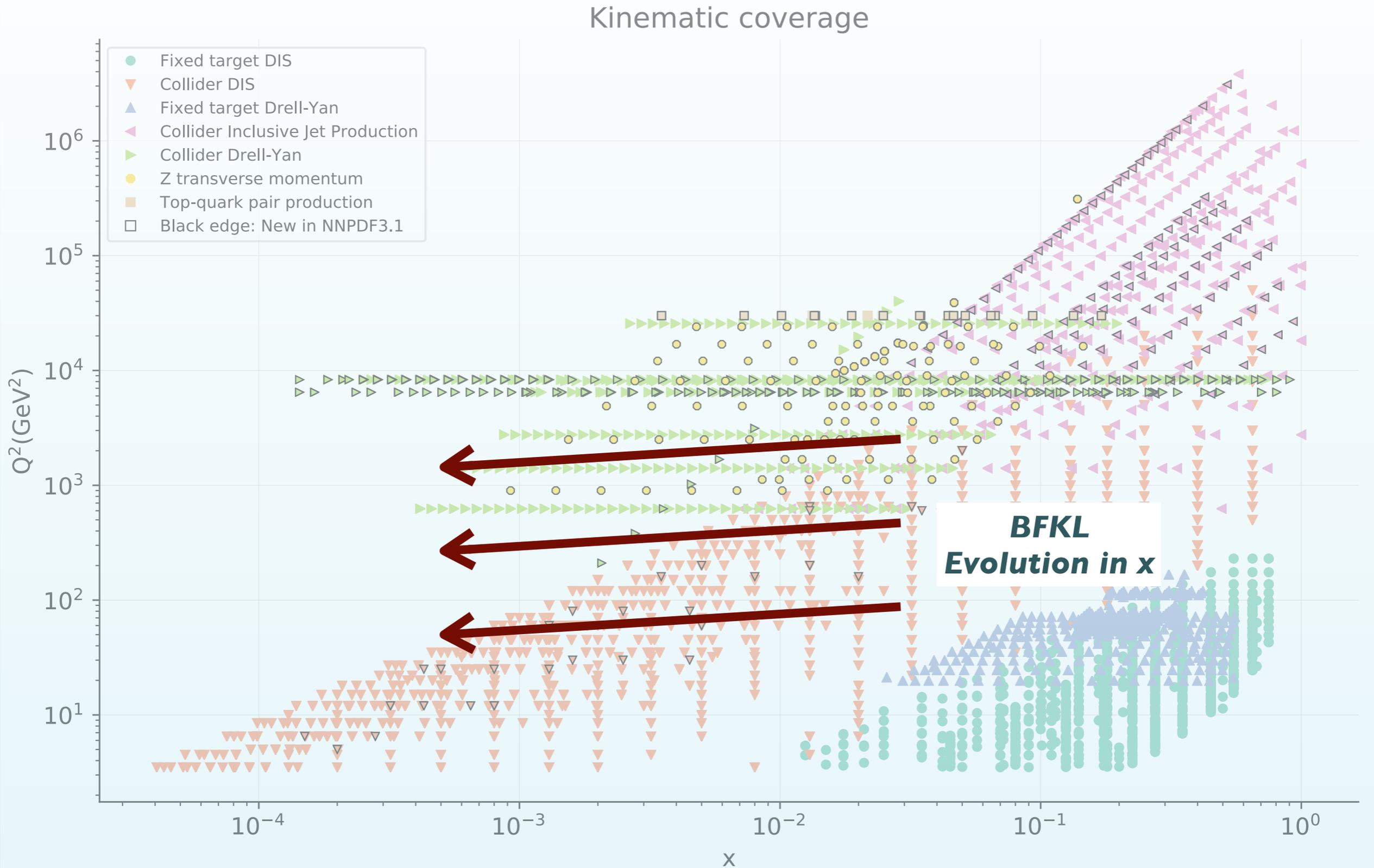
Theory motivation: beyond DGLAP



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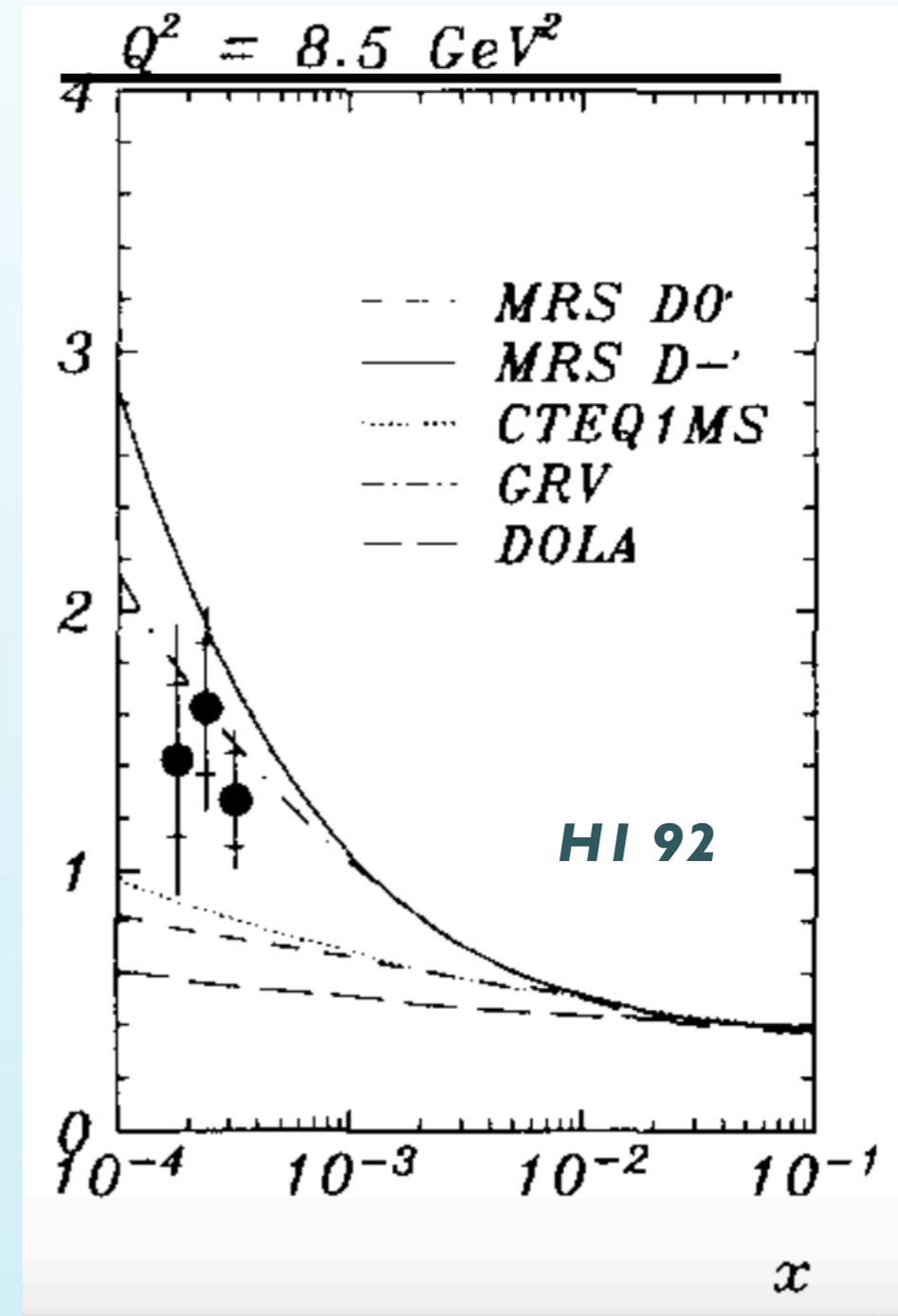


Theory motivation: beyond DGLAP



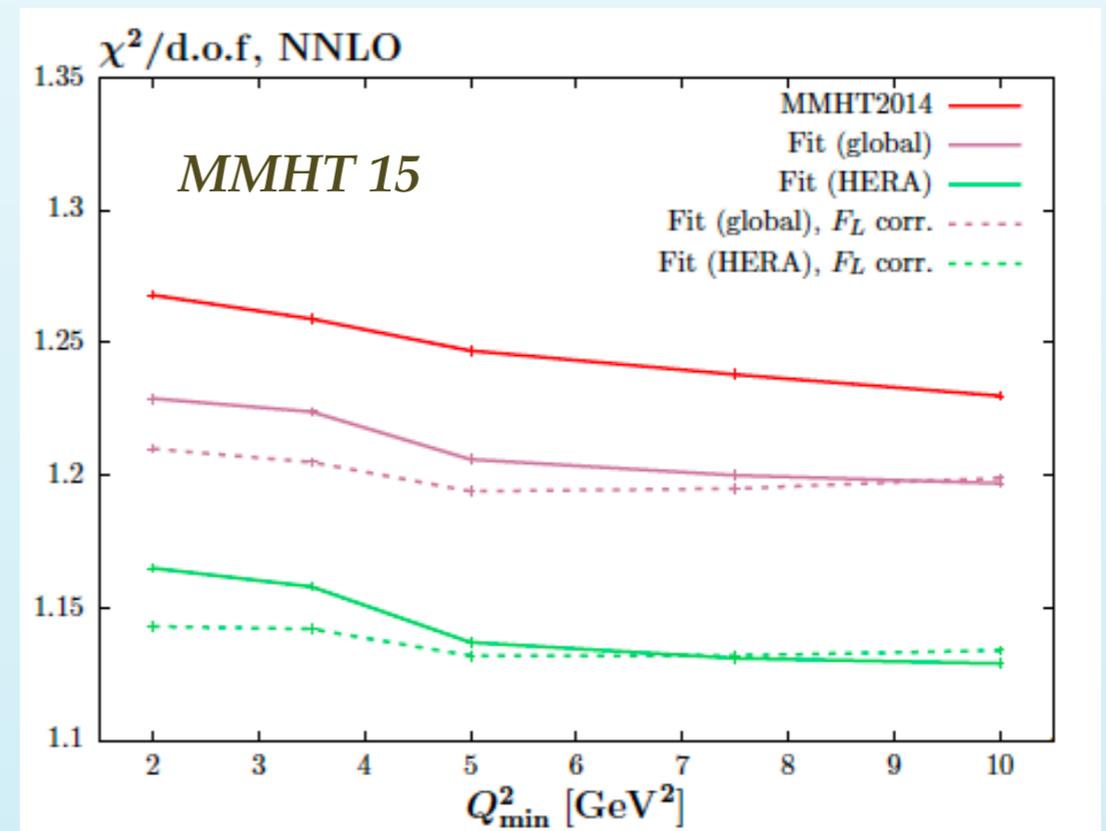
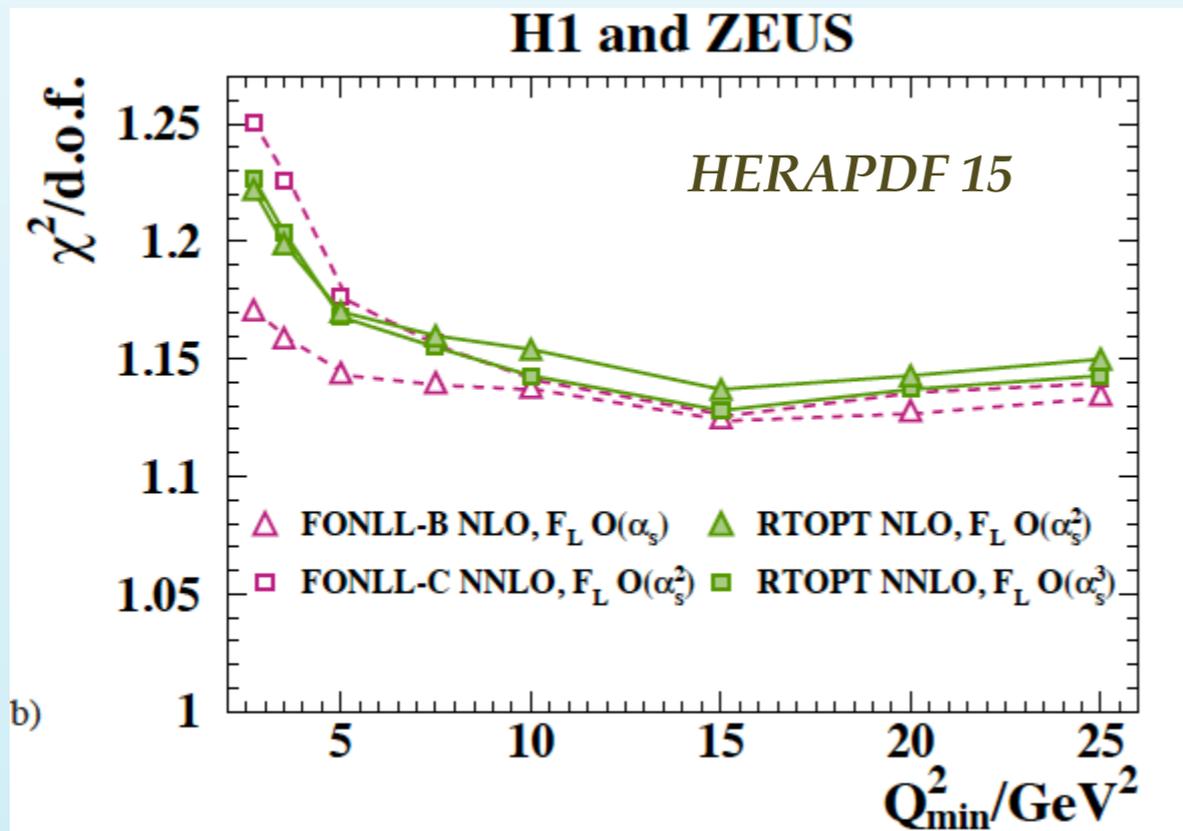
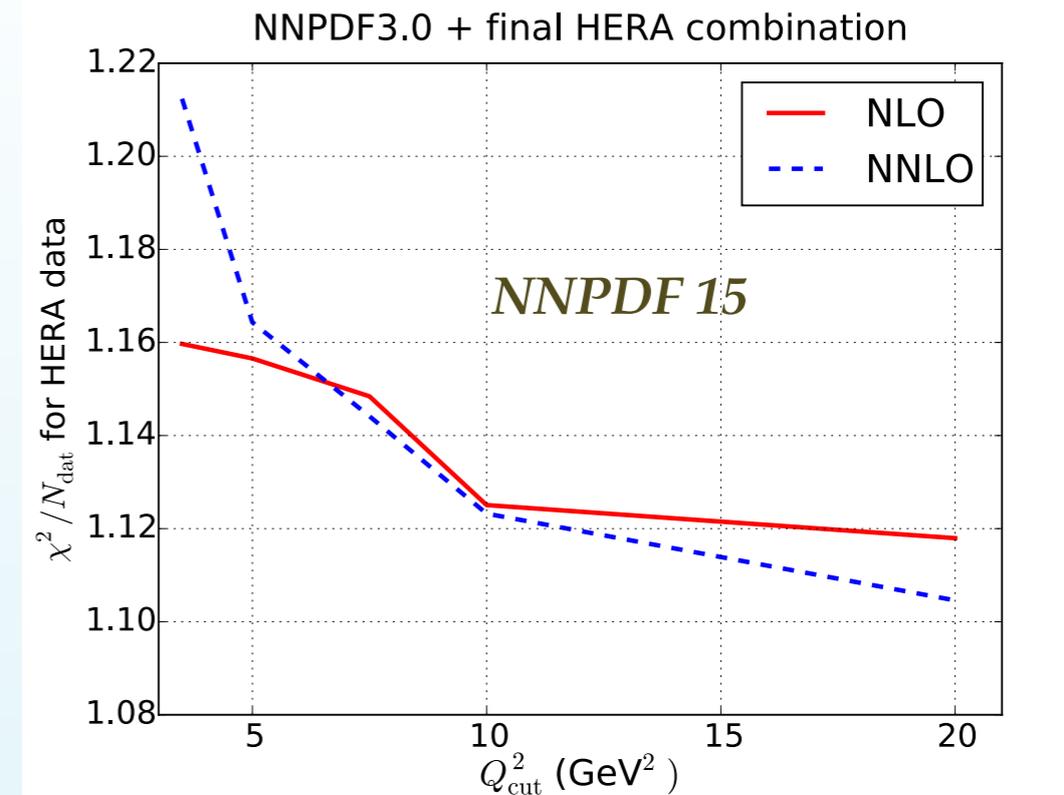
Experimental motivation: tensions in HERA data

- HERA was the first (and only) **lepton-proton collider**, operating in DESY between 1992 and 2007
- HERA measurements provide the **backbone of all global PDF analyses**
- Evidence for BFKL (small- x) dynamics searched for **> 25 years**, without success



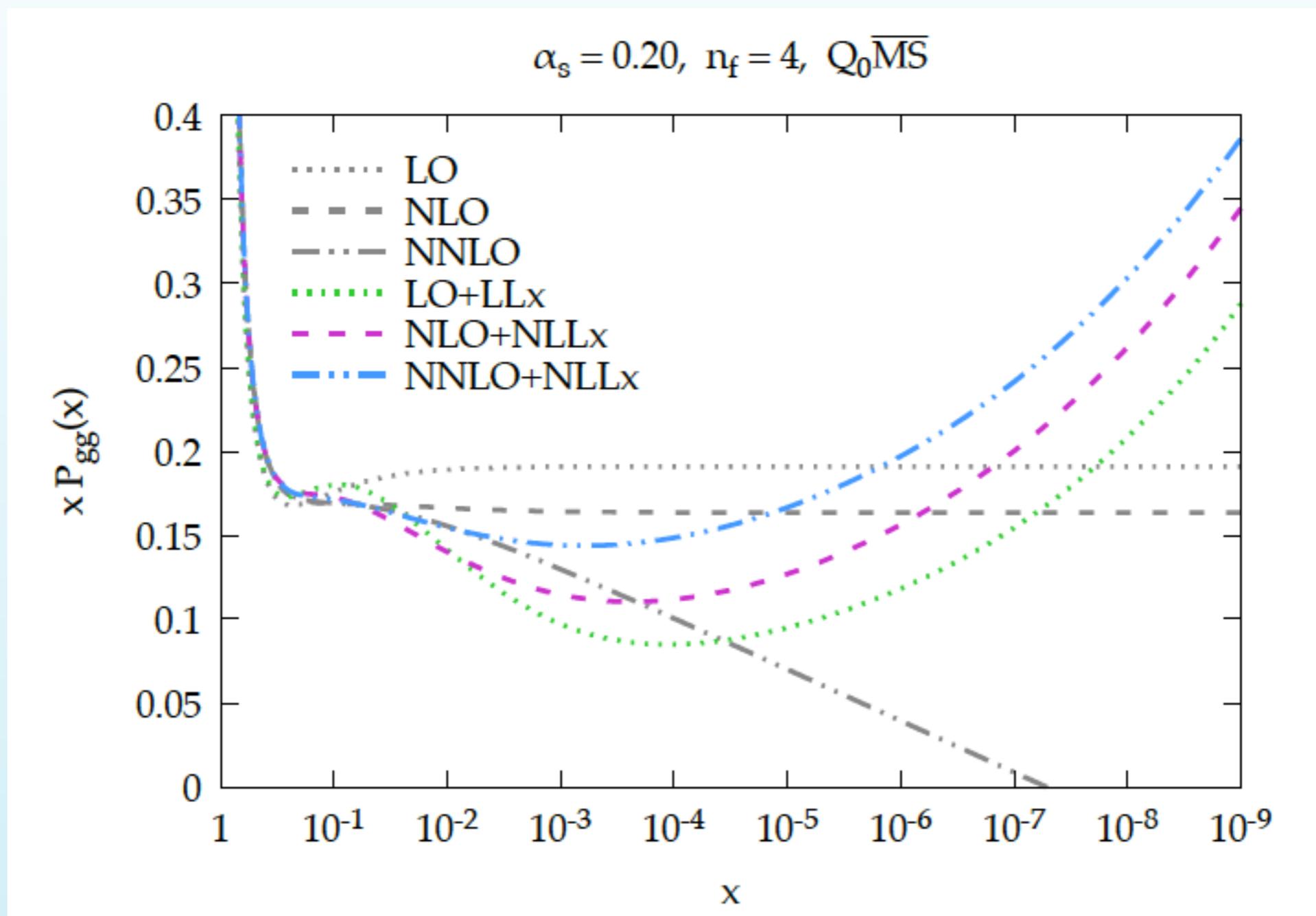
Experimental motivation: tensions in HERA data

- Several groups have reported that the **fit quality to the legacy HERA inclusive data gets worse in the small- x and small- Q region**
- Typically this trend is **more marked at NNLO**
- Several explanations have been advocated, from **higher twists** (*i.e.* saturation), issues with the **heavy quark schemes**, experimental systematics, ...
- What happens if the **PDF fit includes NLL x resummation?**



PDFs with small-x resummation

- Ultimately, the need for (or lack of) BKFL resummation in ep and pp collider data can only be assessed by performing a **global PDF analysis based on (N)NLO+NLLx theory**
- Theoretical tools are now available: **HELL for NLLx resummation**, interfaced to **APFEL**



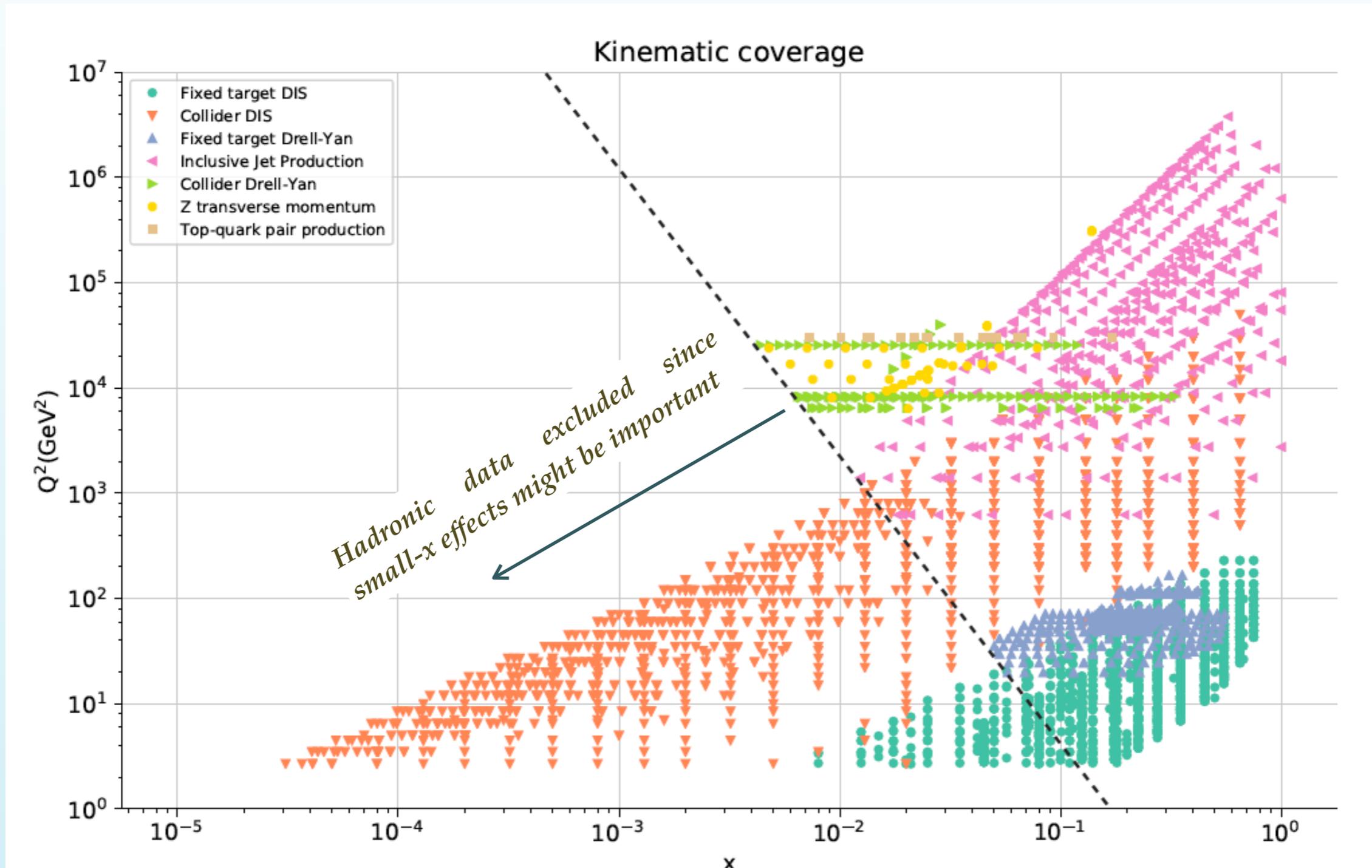
APFEL: Bertone, Carrazza, Rojo 13
<https://apfel.hepforge.org/>

HELL: Bonvini, Marzani, Peraro, Muselli 16-17
<https://www.ge.infn.it/~bonvini/hell/>

PDFs with small-x resummation

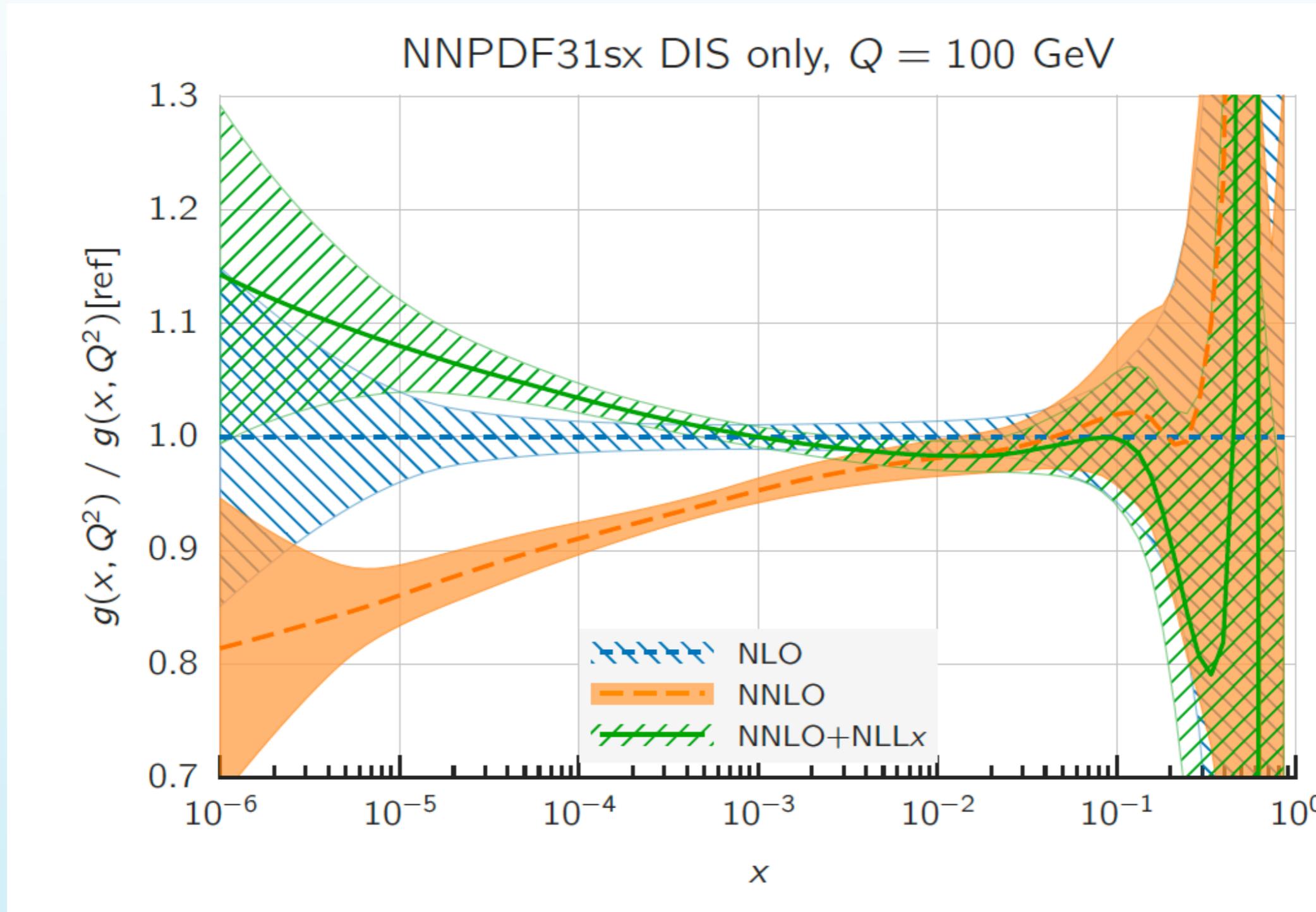
• NNPDF3.1sx: Variant of NNPDF3.1 global fits using NLO+NLL x and NNLO+NLL x theory

• Hadronic data treated at NNLO: impose cut to remove region sensitive to small-x effects



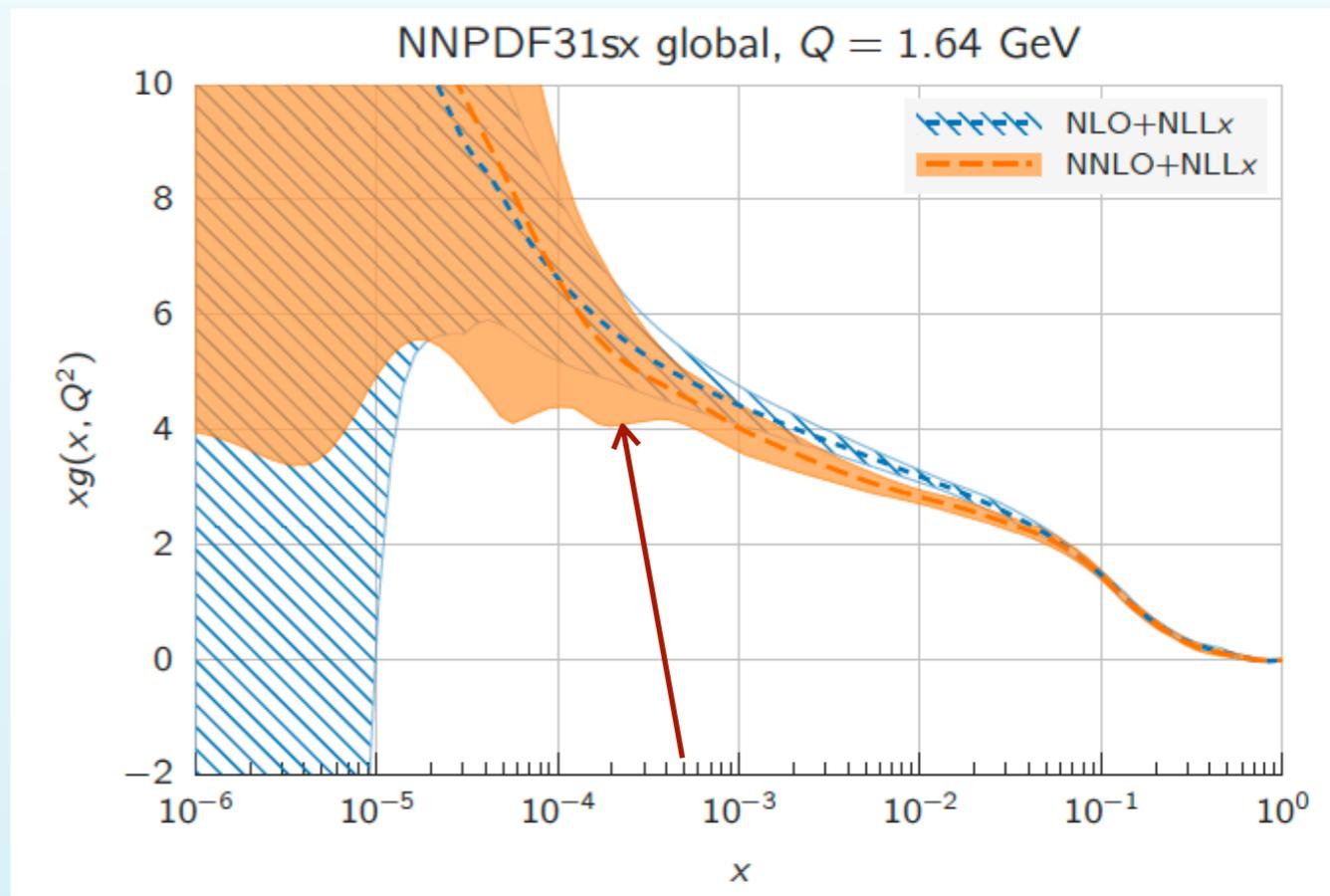
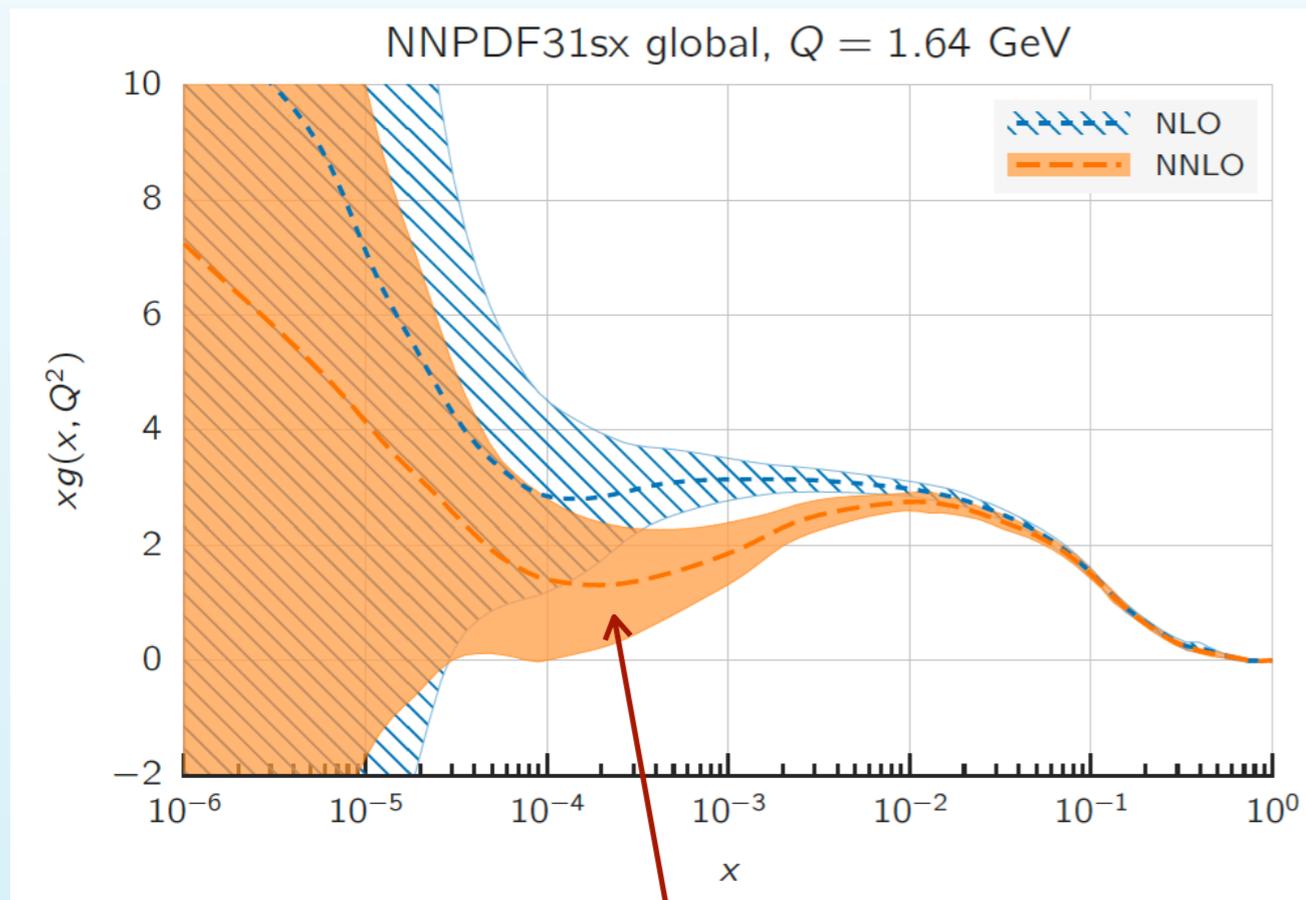
PDFs with small-x resummation

- **NNPDF3.1sx**: Variant of NNPDF3.1 global fits using NLO+NLLx and NNLO+NLLx theory
- Using NNLO+NLLx theory **stabilises small-x gluon** wrt perturbative order



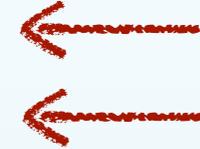
PDFs with small-x resummation

- 🔊 **NNPDF3.1sx**: Variant of NNPDF3.1 global fits using NLO+NLLx and NNLO+NLLx theory
- 🔊 With resummation, the NNLO gluon at low scales is **not negative anymore**
- 🔊 **Positivity of physical observables**, such as $F_L(x, Q)$, automatically guaranteed



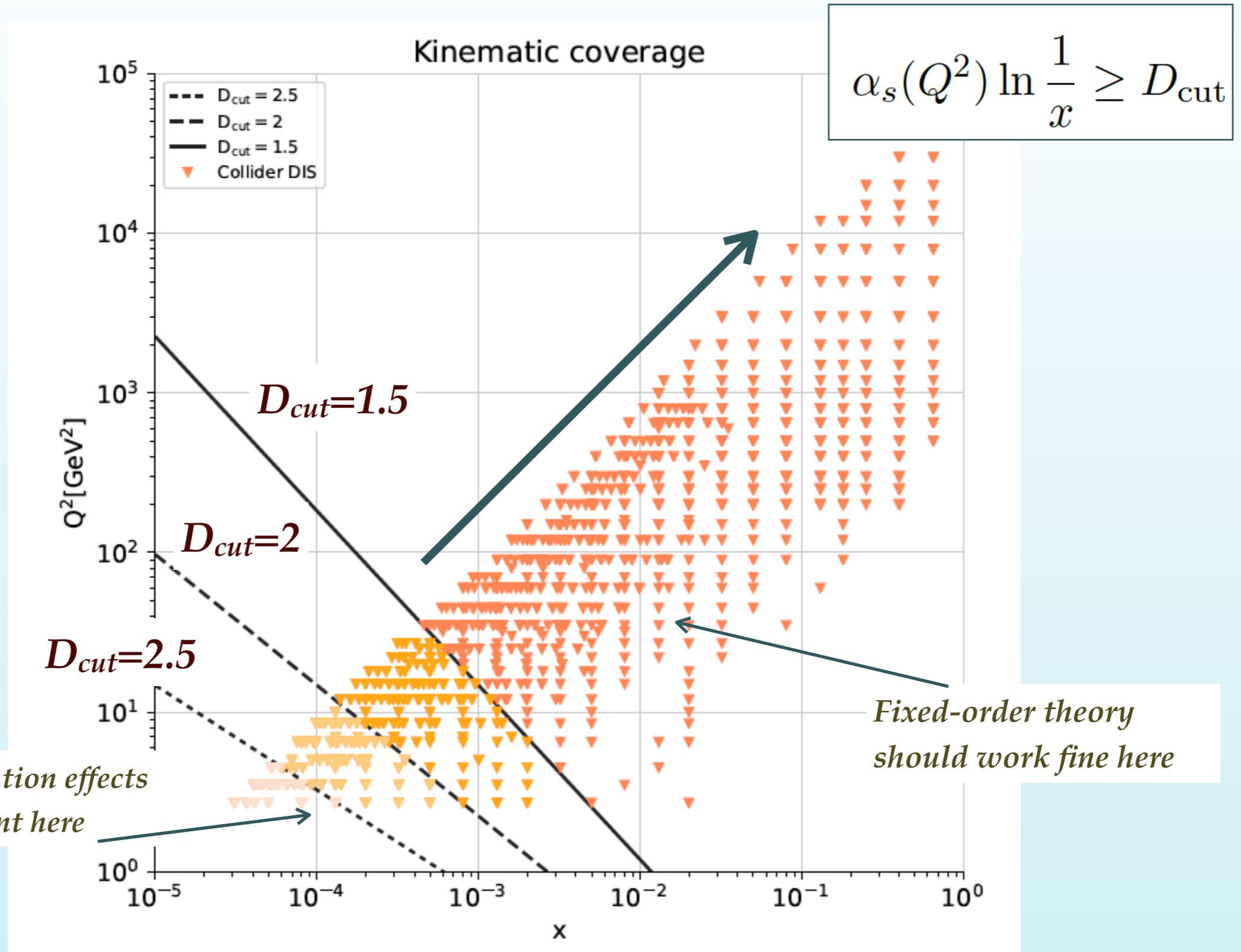
PDFs with small-x resummation

	χ^2/N_{dat}		$\Delta\chi^2$	χ^2/N_{dat}		$\Delta\chi^2$
	NLO	NLO+NLL x		NNLO	NNLO+NLL x	
NMC	1.35	1.35	+1	1.30	1.33	+9
SLAC	1.16	1.14	-1	0.92	0.95	+2
BCDMS	1.13	1.15	+12	1.18	1.18	+3
CHORUS	1.07	1.10	+20	1.07	1.07	-2
NuTeV dimuon	0.90	0.84	-5	0.97	0.88	-7
HERA I+II incl. NC	1.12	1.12	-2	1.17	1.11	-62
HERA I+II incl. CC	1.24	1.24	-	1.25	1.24	-1
HERA σ_c^{NC}	1.21	1.19	-1	2.33	1.14	-56
HERA F_2^b	1.07	1.16	+3	1.11	1.17	+2
DY E866 $\sigma_{\text{DY}}^d/\sigma_{\text{DY}}^p$	0.37	0.37	-	0.32	0.30	-
DY E886 σ^p	1.06	1.10	+3	1.31	1.32	-
DY E605 σ^p	0.89	0.92	+3	1.10	1.10	-
CDF Z rap	1.28	1.30	-	1.24	1.23	-
CDF Run II k_t jets	0.89	0.87	-2	0.85	0.80	-4
D0 Z rap	0.54	0.53	-	0.54	0.53	-
D0 $W \rightarrow e\nu$ asy	1.45	1.47	-	3.00	3.10	+1
D0 $W \rightarrow \mu\nu$ asy	1.46	1.42	-	1.59	1.56	-
ATLAS total	1.18	1.16	-7	0.99	0.98	-2
ATLAS W, Z 7 TeV 2010	1.52	1.47	-	1.36	1.21	-1
ATLAS HM DY 7 TeV	2.02	1.99	-	1.70	1.70	-
ATLAS W, Z 7 TeV 2011	3.80	3.73	-1	1.43	1.29	-1
ATLAS jets 2010 7 TeV	0.92	0.87	-4	0.86	0.83	-2
ATLAS jets 2.76 TeV	1.07	0.96	-6	0.96	0.96	-
ATLAS jets 2011 7 TeV	1.17	1.18	-	1.10	1.09	-1
ATLAS Z p_T 8 TeV (p_T^l, M_{ll})	1.21	1.24	+2	0.94	0.98	+2
ATLAS Z p_T 8 TeV (p_T^l, y_{ll})	3.89	4.26	+2	0.79	1.07	+2
ATLAS $\sigma_{t\bar{t}}^{\text{tot}}$	2.11	2.79	+2	0.85	1.15	+1
ATLAS $t\bar{t}$ rap	1.48	1.49	-	1.61	1.64	-
CMS total	0.97	0.92	-13	0.86	0.85	-3
CMS Drell-Yan 2D 2011	0.77	0.77	-	0.58	0.57	-
CMS jets 7 TeV 2011	0.88	0.82	-9	0.84	0.81	-3
CMS jets 2.76 TeV	1.07	0.98	-7	1.00	1.00	-
CMS Z p_T 8 TeV (p_T^l, y_{ll})	1.49	1.57	+1	0.73	0.77	-
CMS $\sigma_{t\bar{t}}^{\text{tot}}$	0.74	1.28	+2	0.23	0.24	-
CMS $t\bar{t}$ rap	1.16	1.19	-	1.08	1.10	-
Total	1.117	1.120	+11	1.130	1.100	-121



PDFs with small-x resummation

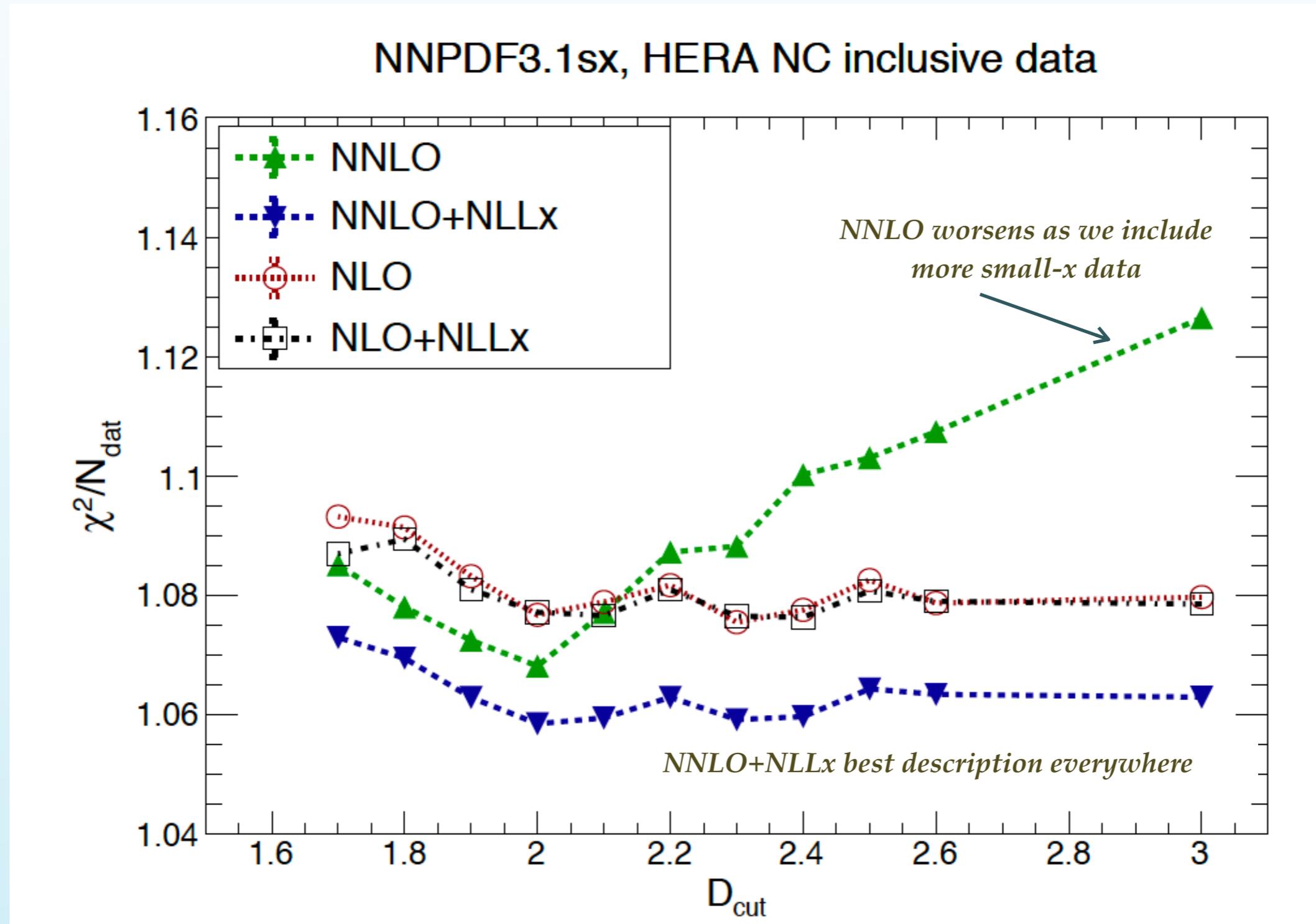
In order to assess the impact of small-x resummation for the description of the small- x and Q^2 HERA data, compute the χ^2 removing data points in the region where resummation effects are expected



PDFs with small-x resummation

Using NNLO+NLLx theory, the NNLO instability of the χ^2 disappears

Excellent fit quality to inclusive and charm HERA data achieved in the entire (x, Q^2) region

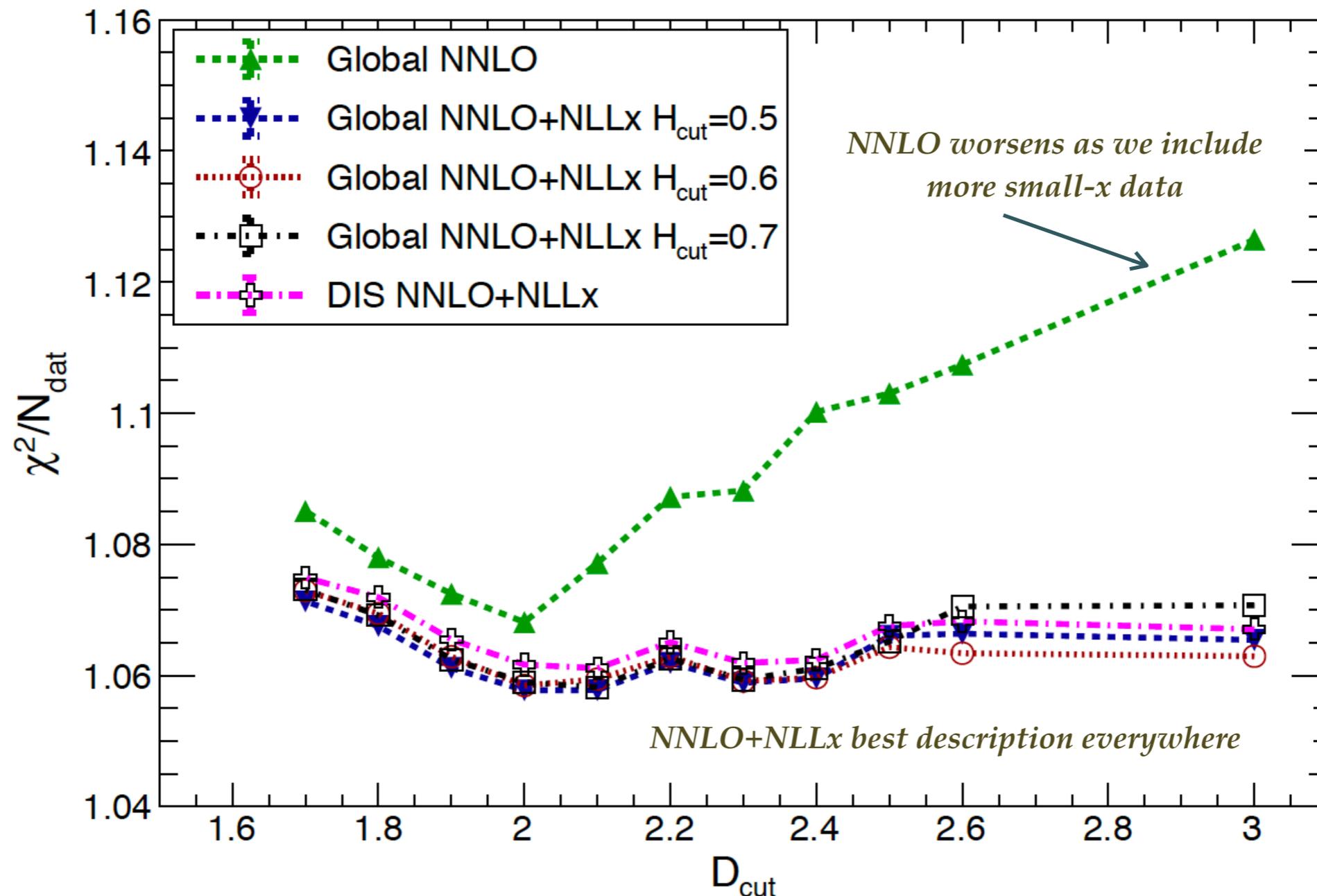


PDFs with small- x resummation

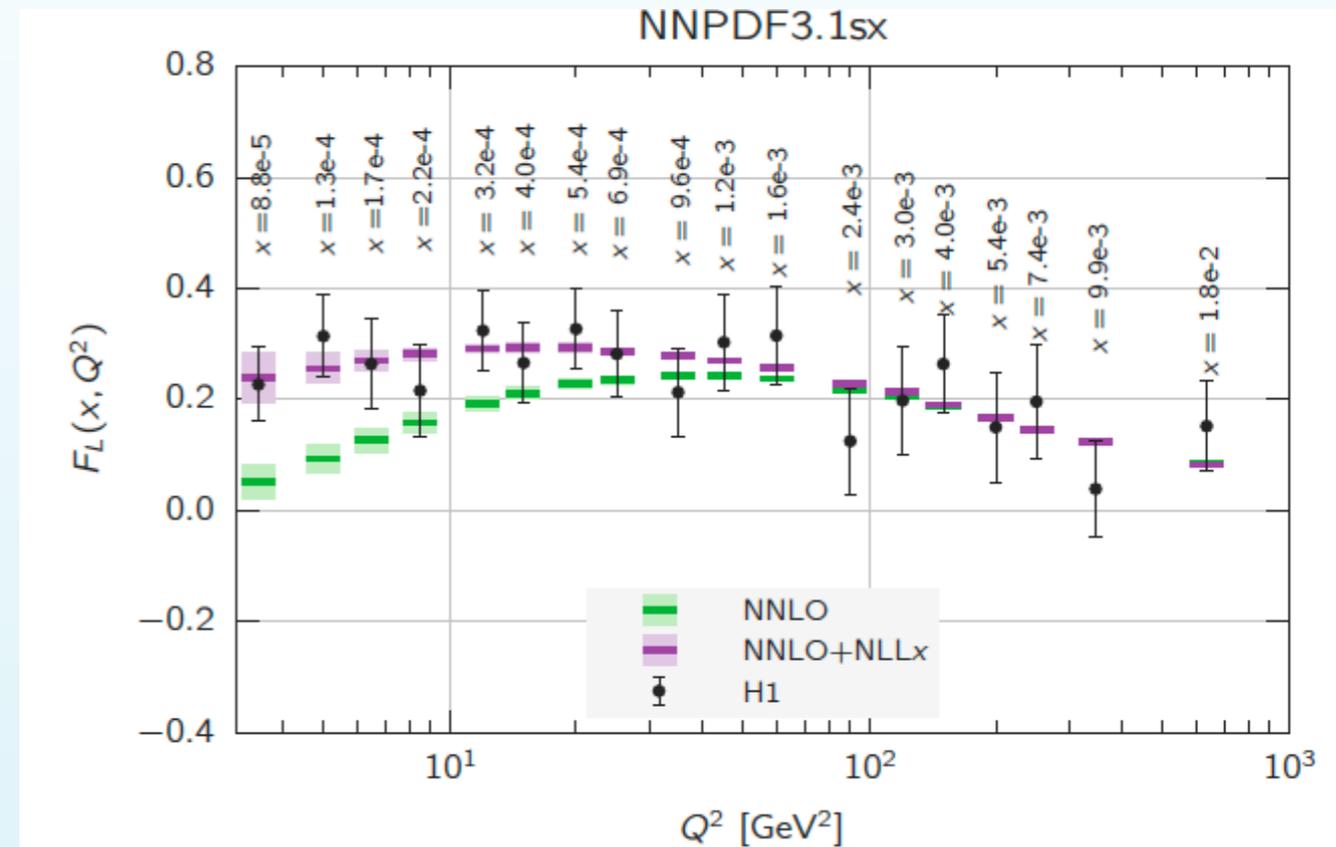
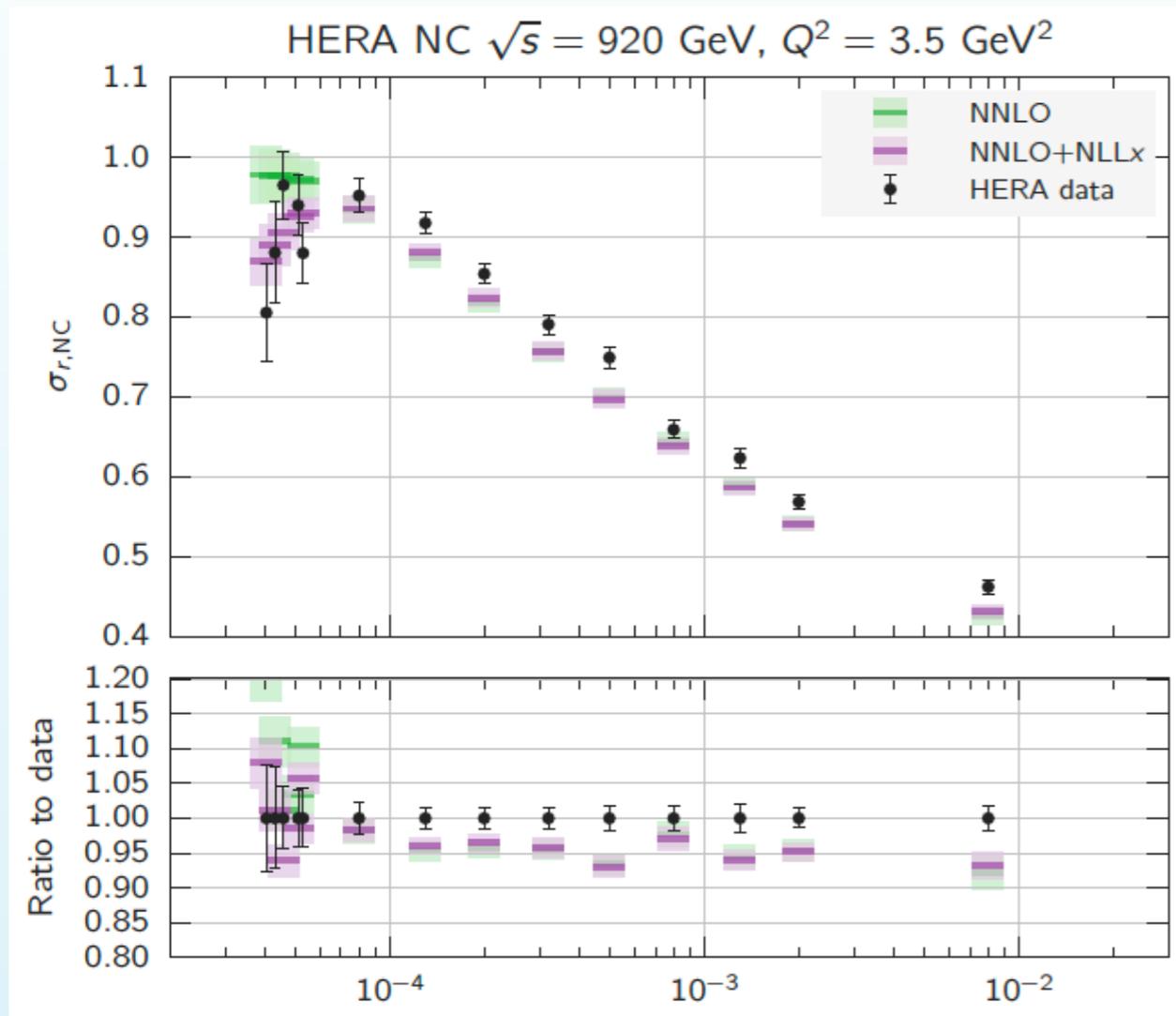
Using NNLO+NLL x theory, the NNLO instability of the χ^2 disappears

Results stable with respect to the specific treatment of hadronic data: genuine DIS small- x phenomenon

NNPDF3.1sx, HERA NC inclusive data



Comparison with HERA data



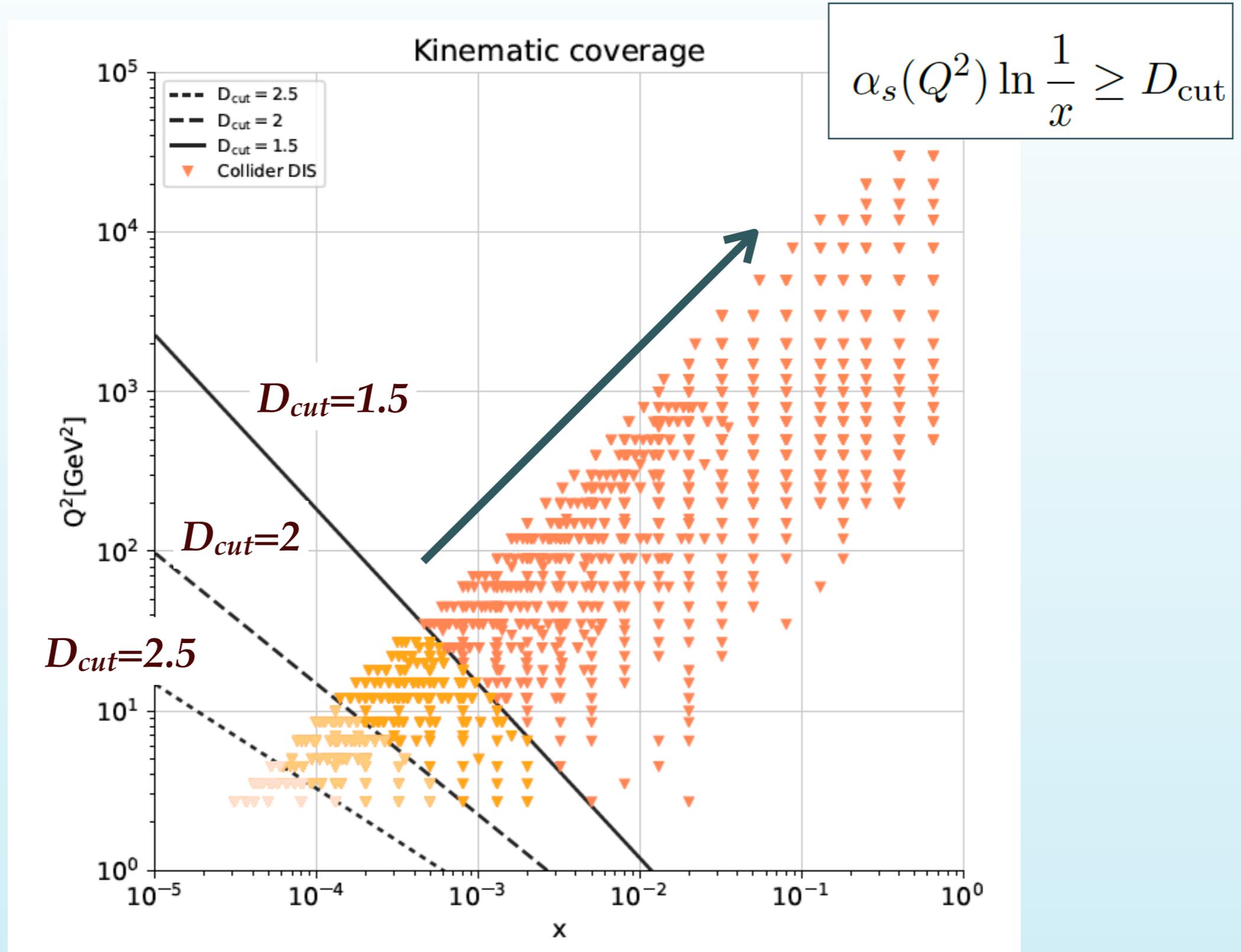
Using **NNLO+NLLx theory**, improved description of the **small-x NC cross-sections**, in particular of the **change of slope** (related to differences in F_L)

Also **improved description of F_L** , which moreover remains markedly **positive** down to the smallest values of x and Q probed

Implications for fixed-order fits

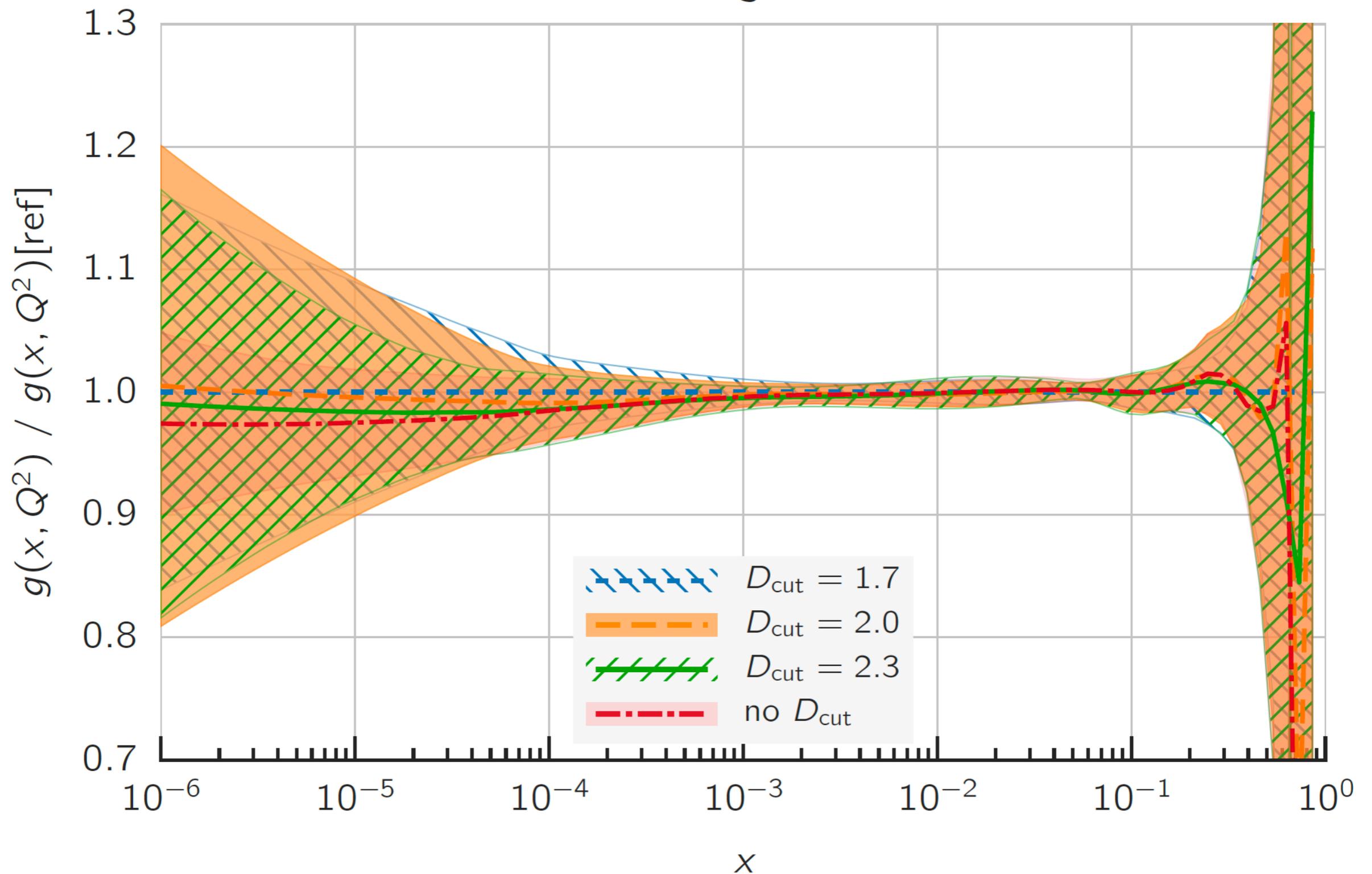
Do these results imply that existing NNLO fits are **biased**? What are implications for LHC pheno?

Study **stability of NNLO fits** as the HERA data at small x and Q is cut away



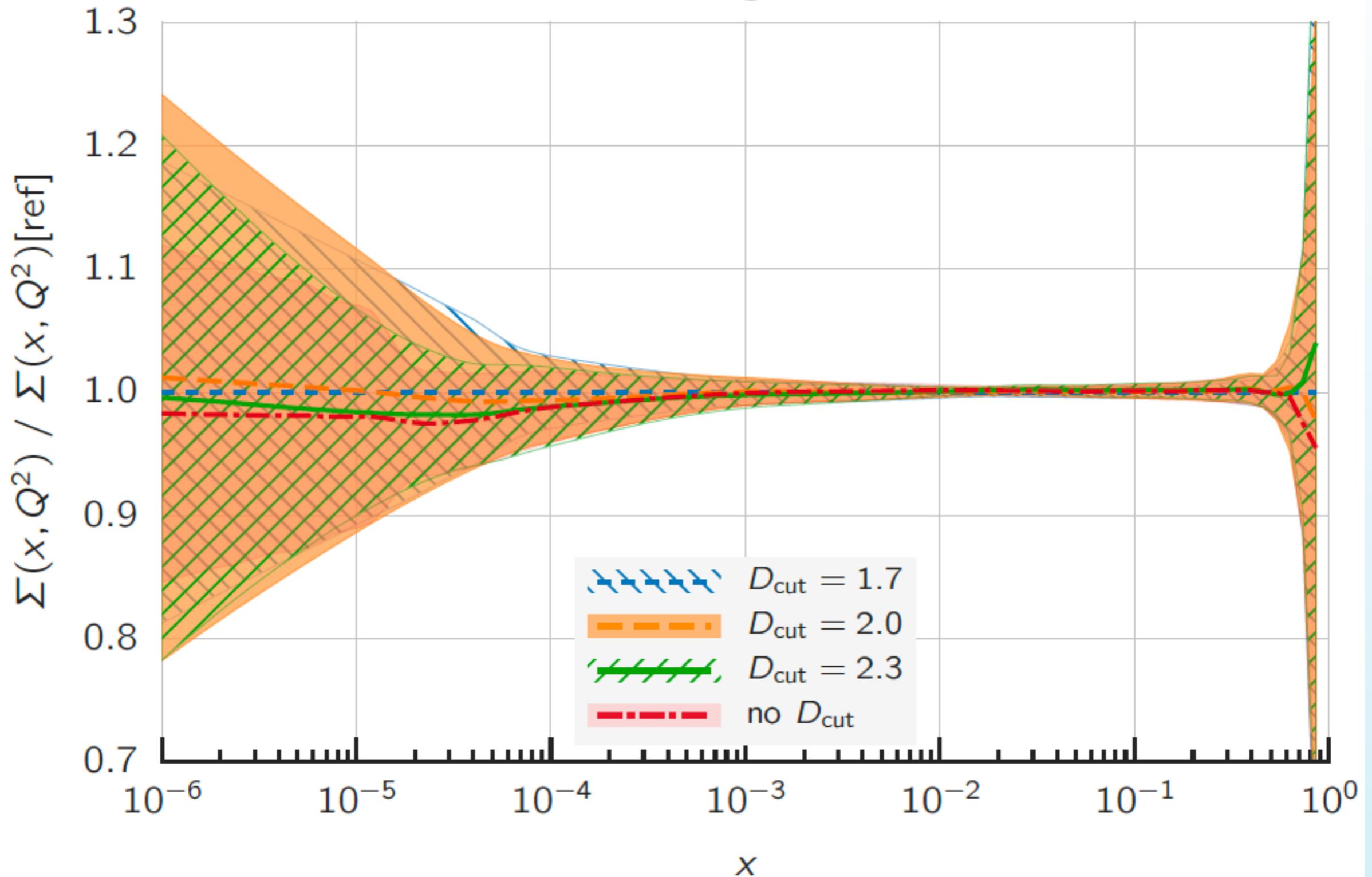
Implications for fixed-order fits

NNPDF31sx NNLO global, $Q = 100$ GeV



Implications for fixed-order fits

NNPDF31sx NNLO global, $Q = 100$ GeV

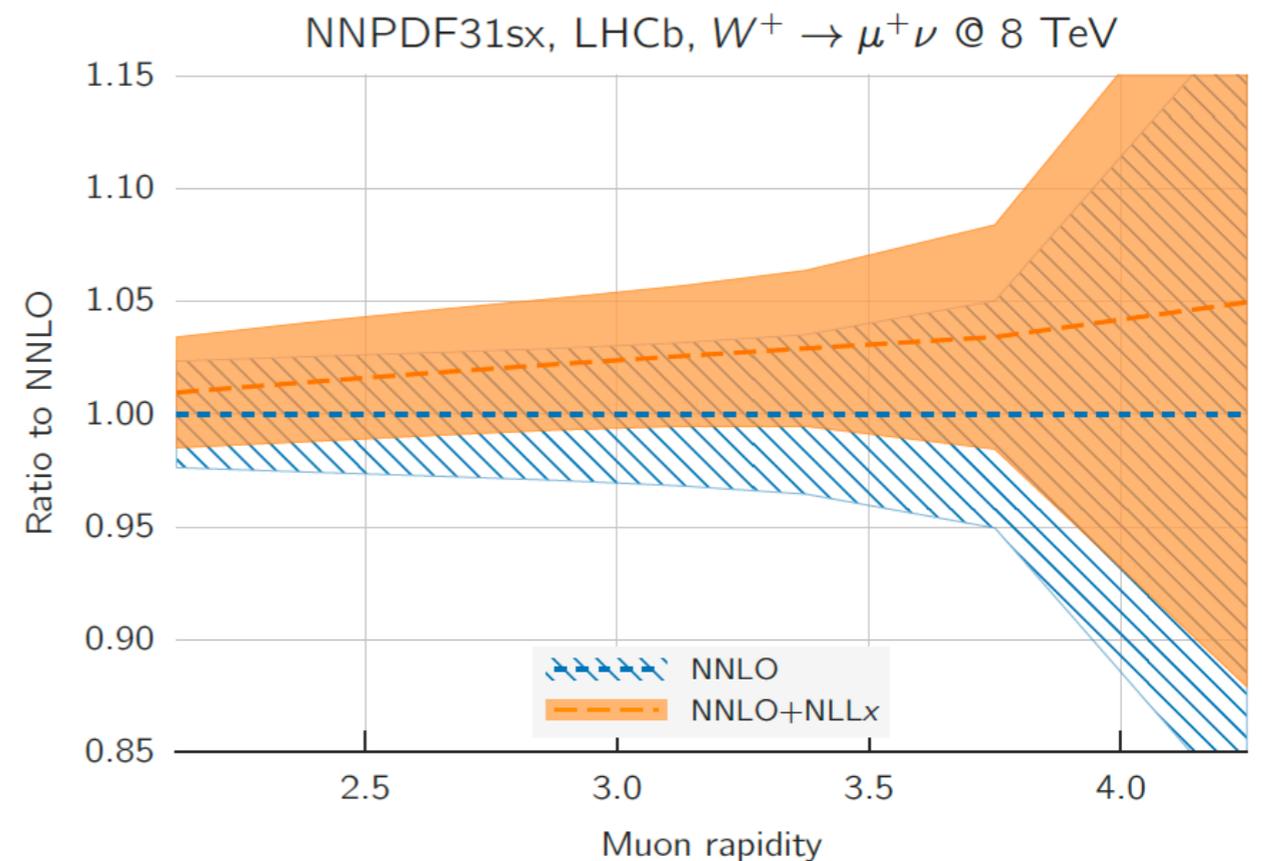
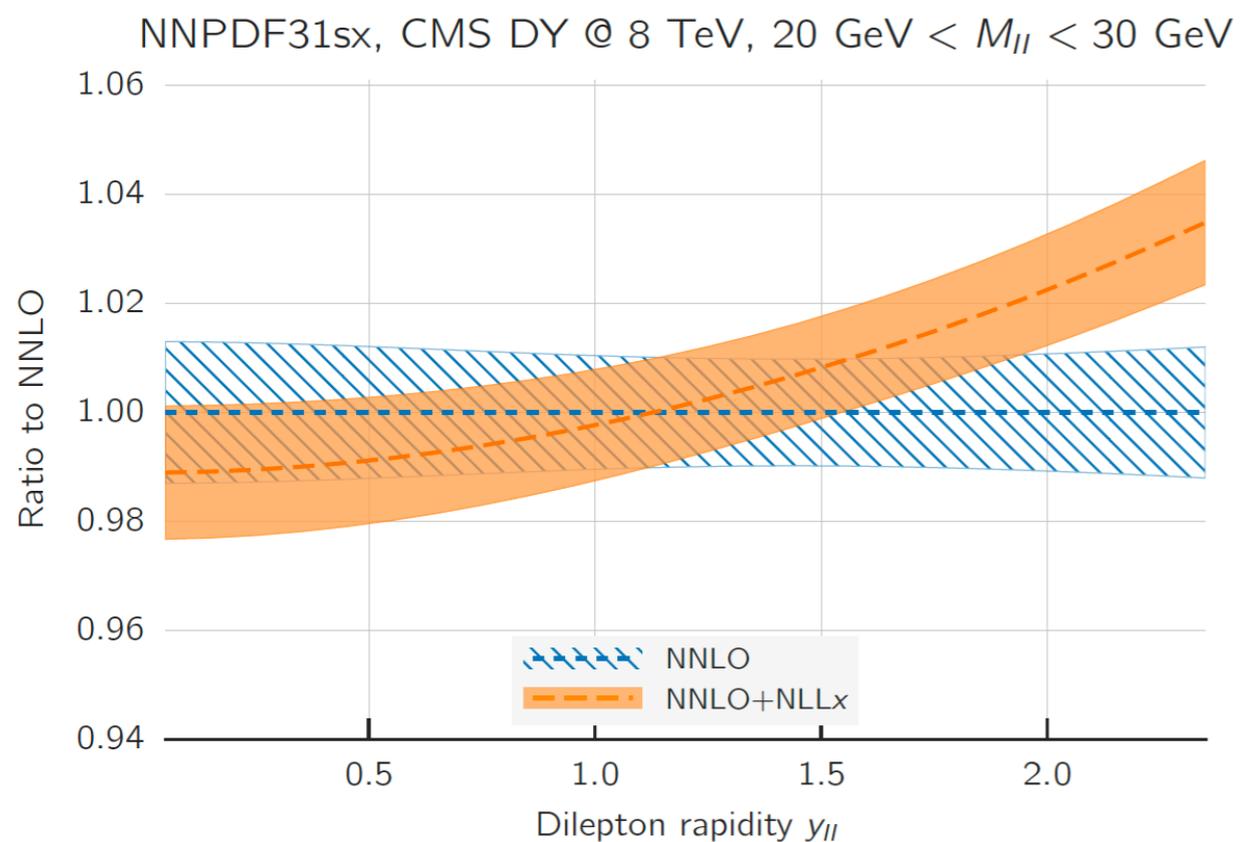


Good stability at medium and large- x : NNLO global fits perfectly fine for LHC applications

What next?

Aim to a **consistent NNLO+NLLx global analysis**: need to implement as well resummation of hadronic cross-sections, to being with **Drell-Yan**

A first estimate of expected impact provided by comparing xsecs with **resummation only in PDFs**, not in the partonic matrix elements



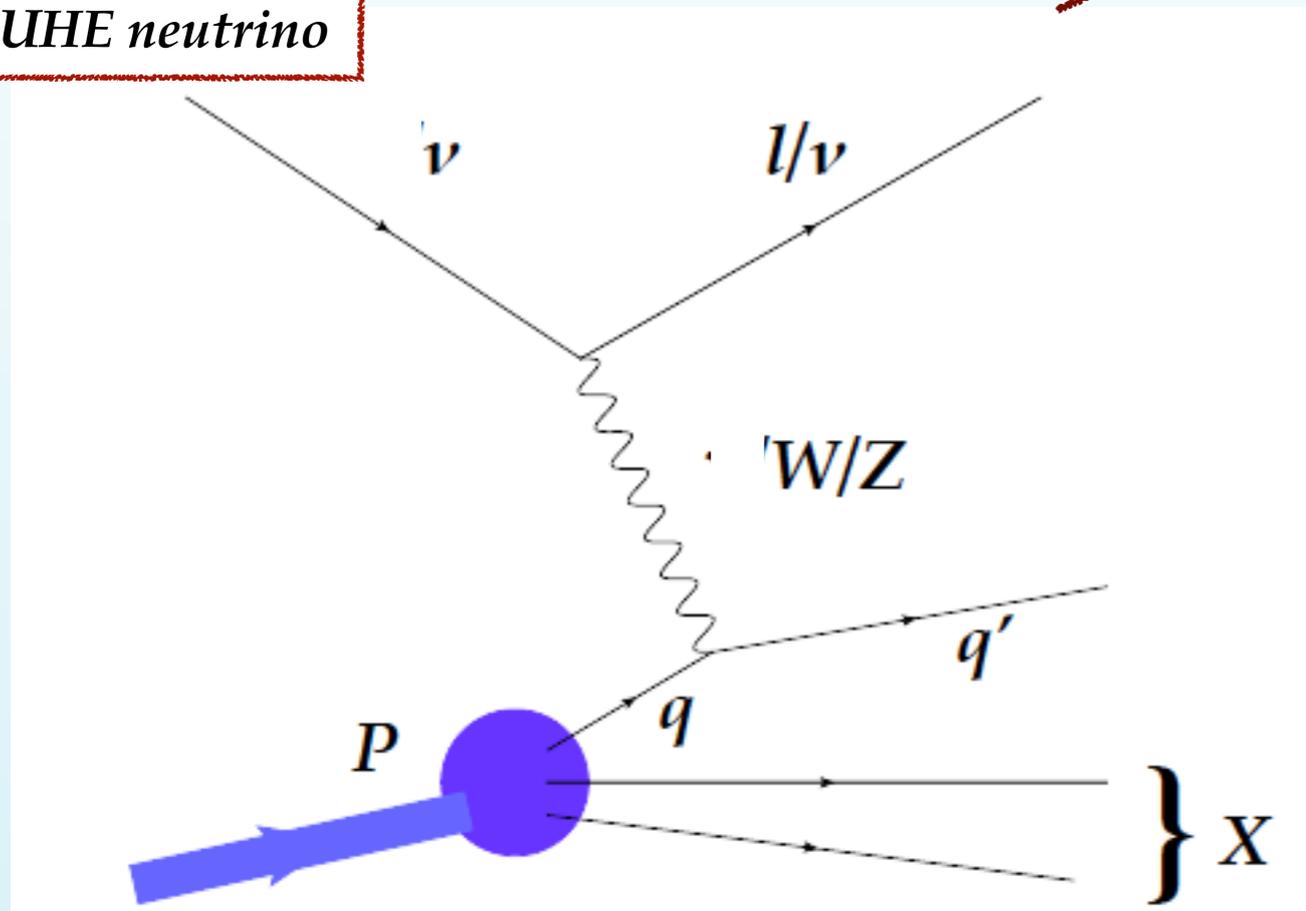
NB none of these exps included in NNPDF3.1sx

Small-x resummed PDFs might be needed to **push the boundaries of precision LHC phenomenology**

From the LHC to Neutrino Telescopes

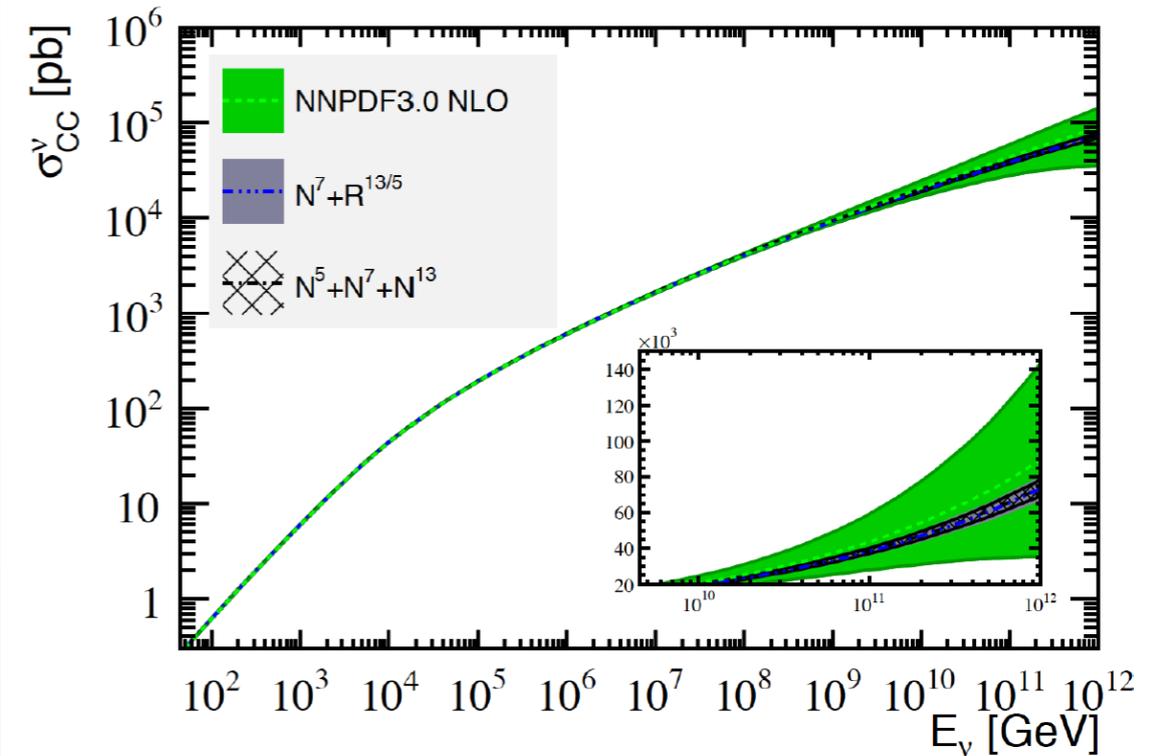
Provide state-of-the-art predictions for the UHE neutrino nucleus cross-sections for neutrino telescopes

UHE neutrino

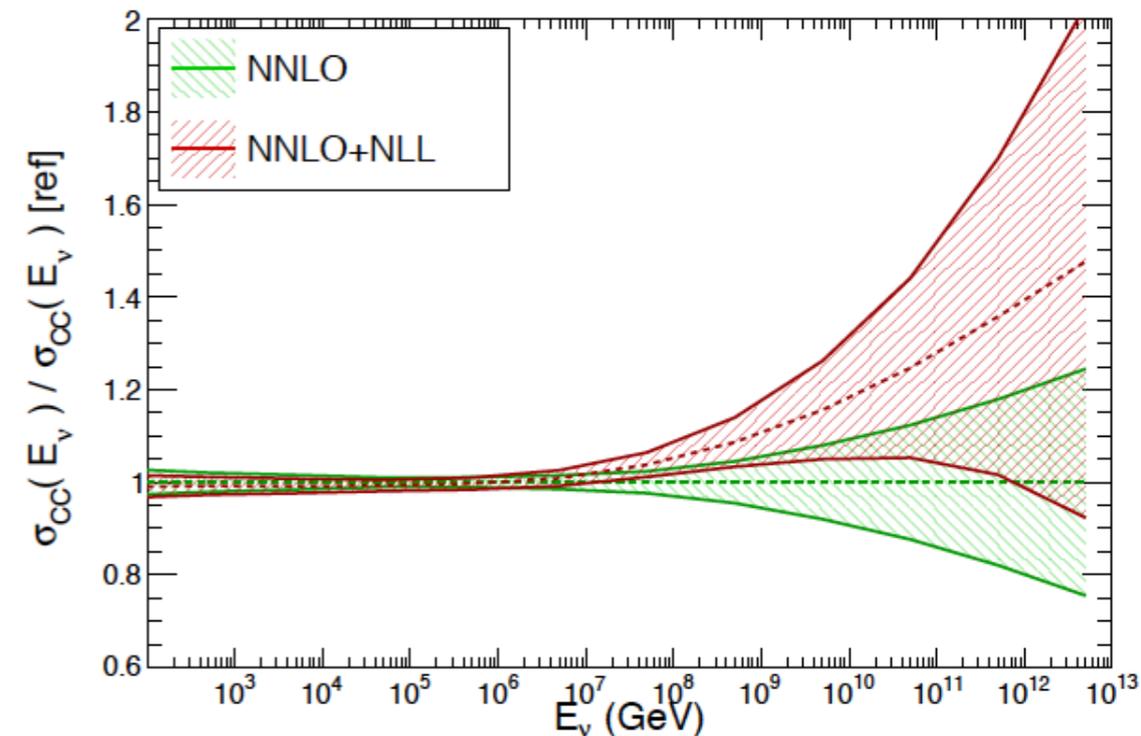


Using better theory

Constraints from LHCb D production



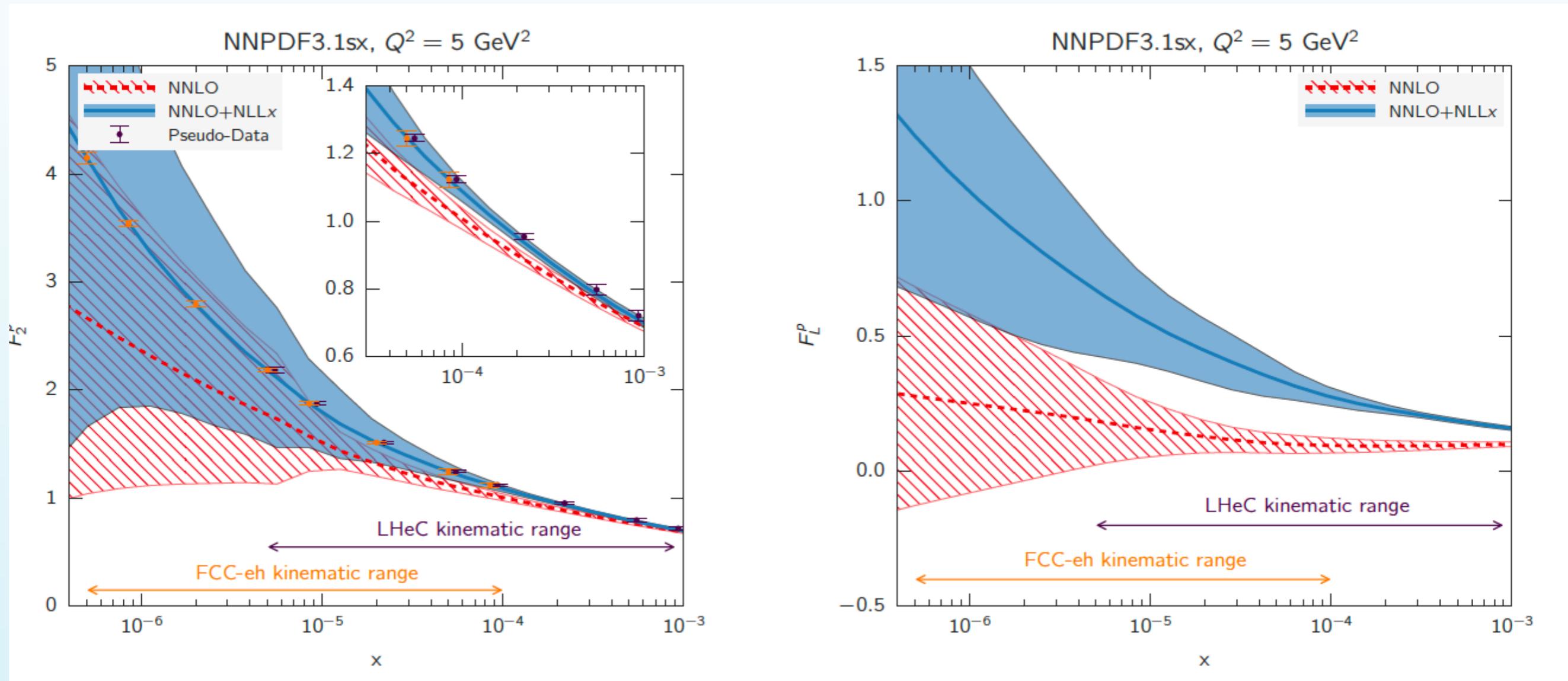
NNPDF3.1sx



Constraints from BFKL resummation

BFKL resummation at the LHeC

Small- x resummation will be key aspect of the physics program of **future electron-proton colliders**



In order to quantify sensitivity to BFKL dynamics of LHeC/FCC-eh:
redo the NNPDF3.1sx fits including LHeC/FCC-eh pseudo-data

Discovering new physics in QCD

- 📌 Quantum Chromodynamics is an extremely rich and complex theory that keeps **delivering many exciting surprises**
- 📌 The **QCD evolution equations** allow us to relate physics in different regimes: from low scales to high scales (DGLAP) and from large x to small x (BFKL)
- 📌 NNLO+NLL x theory improves the **perturbative expansion at small- x** , curing the χ^2 instability, and allows a superior description of the **inclusive and charm HERA data**
- 📌 After > 25 years of searching, we now have **convincing evidence for the onset of BFKL dynamics** in HERA structure function data
- 📌 Next step is assessing the implications for **high-precision LHC phenomenology**

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NNLO+NLL x theory improves the **perturbative** description of the data, removing the χ^2 instability, and allows a superior description of the **charm HERA data**

After > 25 years, we now have **convincing evidence for the onset of BFKL dynamics** in the structure function data

Next step is assessing the implications for **high-precision LHC phenomenology**

Thanks for your attention!