

Fragmentation Functions and their uncertainties

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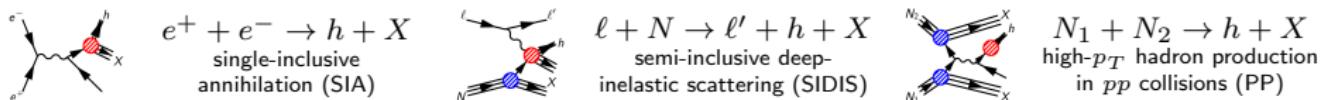


Foreword

Fragmentation functions encode the information on how partons produced in a hard-scattering process are turned into an observed colorless hadronic bound final-state [PRD 15 (1977) 2590]

Starting point: (leading-twist) QCD factorization

$$d\sigma^h(x, Q^2) = \sum_{i=-n_f}^{n_f} \int_x^1 dz d\sigma^i \left(\frac{x}{z}, \frac{Q^2}{\mu^2}, \frac{m_i^2}{Q^2}, \alpha_s(\mu^2) \right) D_i^h(z, \mu^2)$$



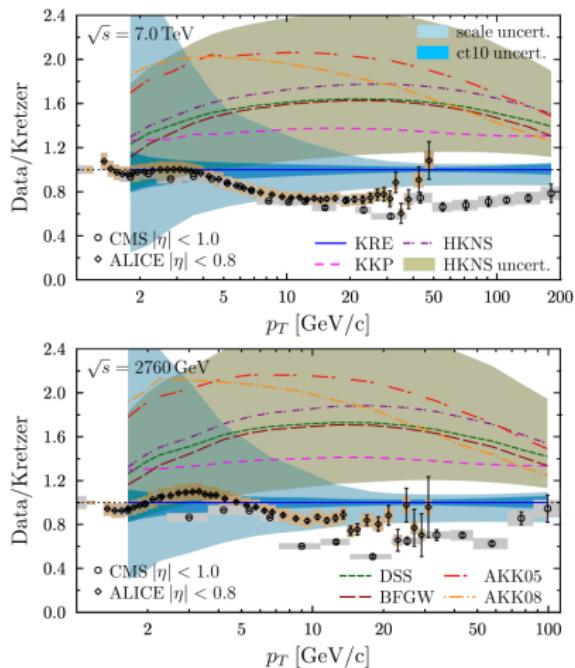
	DHESS	HKNS	JAM	NNFF1.0
SIA	☒	☒	☒	☒
SIDIS	☒	☒	☒	☒
PP	☒	☒	☒	☒
statistical treatment	Iterative Hessian 68% - 90%	Hessian $\Delta\chi^2 = 15.94$	Monte Carlo	Monte Carlo
hadron species	$\pi^\pm, K^\pm, p/\bar{p}, h^\pm$	$\pi^\pm, K^\pm, p/\bar{p}$	π^\pm, K^\pm	$\pi^\pm, K^\pm, p/\bar{p}$
latest update	PRD 91 (2015) 014035; 1702.06353	PTEP 2016 (2016) 113B04	PRD 94 (2016) 114004	in preparation

New data: BELLE [PRL 11 (2013) 062002], BABAR [PRD 88 (2013) 032001] (SIA)
 HERMES [PRD 87 (2013) 074029], COMPASS [PLB 764 (2017) 1; PLB 767 (2017) 133] (SIDIS)

Improved methodological sophistication, improved SIA theory: NNLO [PRD 92 (2015) 114017],
 low- z resummation [PRD 95 (2017) 054003], HQ [PRD 94 (2016) 034037]

Fragmentation functions: why should we bother?

Example 1: Ratio of the inclusive charged-hadron spectra measured by CMS and ALICE



Figures taken from [NPB 883 (2014) 615]

Example 2: The strange polarised parton distribution at $Q^2 = 2.5 \text{ GeV}^2$ ($\Delta s = \Delta \bar{s}$)

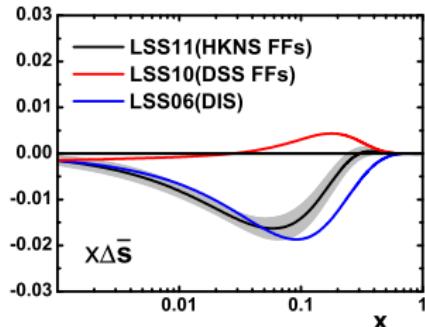


Figure taken from [PRD 84 (2011) 014002]

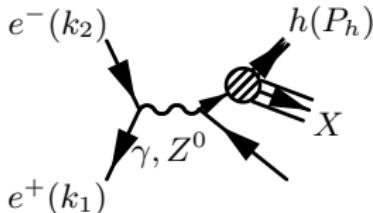
- 1 Predictions from all available FF sets are not compatible with CMS and ALICE data, not even within scale and PDF/FF uncertainties
→ input for nuclear medium modifications
- 2 If SIDIS data are used to determine Δs , K^\pm FFs for different sets lead to different results. Such results may differ significantly among them and w.r.t. the results obtained from DIS
→ input for polarised PDFs and TMDs

Towards NNFF1.0

A first determination of fragmentation functions à la NNPDF

1 Data:

- ▶ all untagged and tagged SIA data for π^\pm , K^\pm , p/\bar{p}



$$e^+(k_1) + e^-(k_2) \xrightarrow{\gamma, Z^0} h(P_h) + X$$
$$q = k_1 + k_2 \quad q^2 = Q^2 > 0 \quad z = \frac{2P_h \cdot q}{Q^2}$$

2 Theory:

- ▶ LO, NLO, NNLO (will be the only NNLO fit together with [PRD 92 (2015) 114017]), $\overline{\text{MS}}$

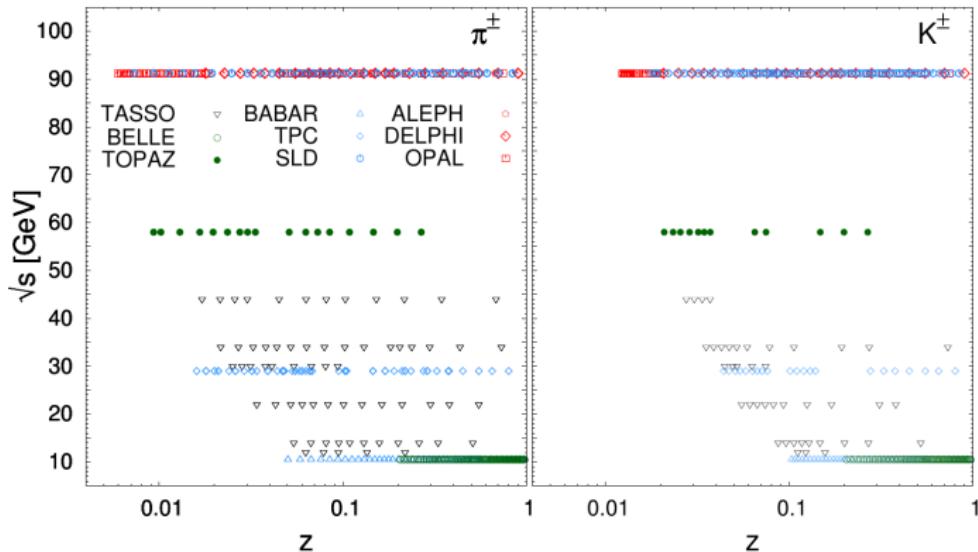
3 Fit methodology/technology:

- ▶ à la NNPDF, successfully used for the determination of unpolarised/polarised PDFs
 - Monte Carlo sampling of experimental data + neural network parametrisation
 - Genetic algorithm minimisation + determination of the best fit by cross-validation
 - closure tests for a full characterisation of procedural uncertainties
- ▶ use of APFEL [CPC 185 (2014) 1647] for the calculation of SIA observables (FK tables)
- ▶ keep mutual consistency with NNPDF unpolarised/polarised PDF sets

Results presented in this talk refer to π^+ and K^+ fragmentation functions

π and K constitute the largest fraction in measured yields, work in progress for p/\bar{p}

The dataset



CERN-LEP: ALEPH [ZP C66 (1995) 353] DELPHI [EPJ C18 (2000) 203] OPAL [ZP C63 (1994) 181]

KEK: BELLE ($n_f = 4$) [PRL 111 (2013) 062002] TOPAZ [PL B345 (1995) 335]

DESY-PETRA: TASSO [PL B94 (1980) 444, ZP C17 (1983) 5, ZP C42 (1989) 189]

SLAC: BABAR ($n_f = 4$) [PR D88 (2013) 032011] SLD [PR D58 (1999) 052001] TPC [PRL 61 (1988) 1263]

$$\frac{d\sigma^h}{dz} = \frac{4\pi\alpha^2(Q^2)}{Q^2} F_2^h(z, Q^2) \quad h = \pi^+ + \pi^-, K^+ + K^-; \quad \text{possibly normalised to } \sigma_{\text{tot}}$$

From observables to fragmentation functions

$$\mathcal{F}_2^h = \langle e^2 \rangle \left\{ C_{2,q}^S \otimes D_\Sigma^h + n_f C_{2,g}^S \otimes D_g^h + C_{2,q}^{NS} \otimes D_{NS}^h \right\}$$

$$\langle e^2 \rangle = \frac{1}{n_f} \sum_{q=1}^{n_f} \hat{e}_q^2 \quad D_\Sigma^h = \sum_{q=1}^{n_f} D_{q+}^h \quad D_{NS}^h = \sum_{q=1}^{n_f} \left(\frac{\hat{e}_q^2}{\langle e^2 \rangle} - 1 \right) D_{q+}^h \quad D_{q+}^h = D_q^h + D_{\bar{q}}^h$$

Coefficient functions and splitting functions known up to NNLO

[NPB 751 (2006) 18; NPB 749 (2006) 1; PLB 638 (2006) 61; NPB 845 (2012) 133]

$$\begin{aligned} F_2^{h, n_f=5} = & \frac{1}{5} \left[(2\hat{e}_u^2 + 3\hat{e}_d^2) C_{2,q}^S + 3(\hat{e}_u^2 - \hat{e}_d^2) C_{2,q}^{NS} \right] \otimes \left(D_{u+}^h + D_{c+}^h \right) \\ & + \frac{1}{5} \left[(2\hat{e}_u^2 + 3\hat{e}_d^2) C_{2,q}^S - 2(\hat{e}_u^2 - \hat{e}_d^2) C_{2,q}^{NS} \right] \otimes \left(D_{d+}^h + D_{s+}^h + D_{b+}^h \right) \\ & + (2\hat{e}_u^2 + 3\hat{e}_d^2) C_{2,g}^S \otimes D_g^h \end{aligned}$$

No sensitivity to individual quark and antiquark FFs

Limited sensitivity to flavour separation via the variation of \hat{e}_q with Q^2
 $\hat{e}_u^2/\hat{e}_d^2(Q^2 = 10 \text{ GeV}) \sim 4 \Rightarrow D_{u+}^h, D_{d+}^h + D_{s+}^h$; $\hat{e}_u^2/\hat{e}_d^2(Q^2 = M_Z) \sim 0.8 \Rightarrow D_\Sigma^h$
Flavor separation between uds and c, b quarks achieved thanks to tagged data

Direct sensitivity to D_g^h only beyond LO, as $C_{2,g}^S$ is $\mathcal{O}(\alpha_s^2)$, and tenous
Indirect sensitivity to D_g^h via scale violations in the time-like DGLAP evolution

Fit settings

Physical parameters: consistent with the upcoming NNPDF3.1 PDF set

$$\alpha_s(M_Z) = 0.118, \alpha(M_Z) = 1/127, m_c = 1.51 \text{ GeV}, m_b = 4.92 \text{ GeV}$$

Solution of DGLAP equations: numerical solution in z -space as implemented in APFEL
extensive benchmark performed up to NNLO [JHEP 1503 (2015) 046]

Parametrisation: each FF is parametrised with a feed-forward neural network (2-5-3-1)

$$D_i^h(Q_0, z) = \text{NN}(x) - \text{NN}(1), Q_0 = 5 \text{ GeV}$$

PIONS	KAONS
$h = \pi^+ + \pi^-$, $i = u^+, s^+, c^+, b^+, g$	$h = K^+ + K^-$, $i = u^+, d^+, s^+, c^+, b^+, g$
$D_{u^+}^{\pi^\pm} = D_{d^+}^{\pi^\pm}$ (isospin symmetry)	no further theoretical assumptions
we assume charge conjugation, from which $D_{q^+}^{\pi^+} = D_{q^+}^{\pi^-}$	

we enforce positivity by construction, assuming quadratic NNs

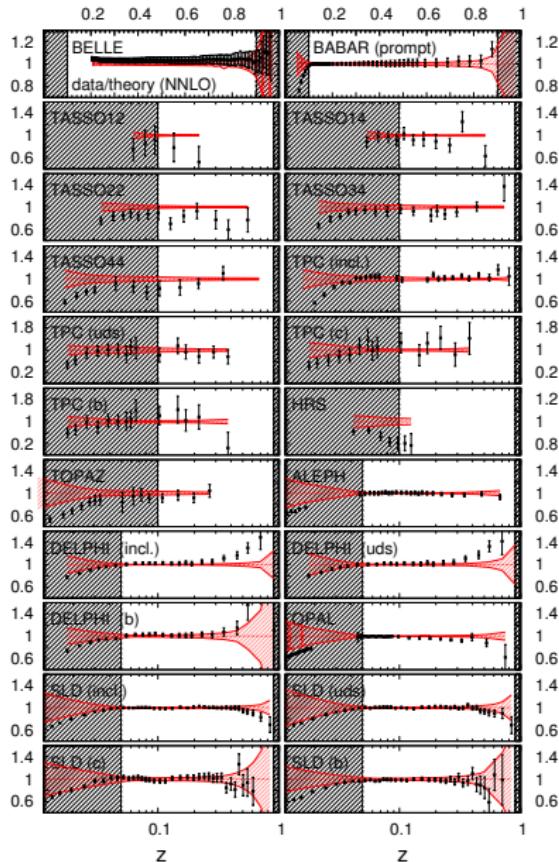
initial scale above m_b , but below the lowest c.m. energy of the data, avoid threshold crossing

Heavy flavours: heavy-quark FFs are parametrised independently at the initial scale Q_0
a matched GM-VFNS (like FONLL) may be required if $Q_0 < m_c$ [PRD 94 (2016) 034037]

Kinematic cuts: $z \rightarrow 0$: contributions $\propto \ln z$; $z \rightarrow 1$: contributions $\propto \ln(1-z)$
PIONS KAONS

$z_{\min} = 0.1, z_{\min} = 0.05 (\sqrt{s} = M_Z); z_{\max} = 0.90$	$z_{\min} = 0.2, z_{\min} = 0.1 (\sqrt{s} = M_Z); z_{\max} = 0.90$
$z_{\min} = 0.075, z_{\min} = 0.01 (\sqrt{s} = M_Z); z_{\max} = 0.90$	$z_{\min} = 0.1, z_{\min} = 0.05 (\sqrt{s} = M_Z); z_{\max} = 0.90$
kinematic corrections $\propto M_h/(sz^2)$ included exactly in the cross sections [PRD 73 (2006) 054020]	

Fit quality: π^+



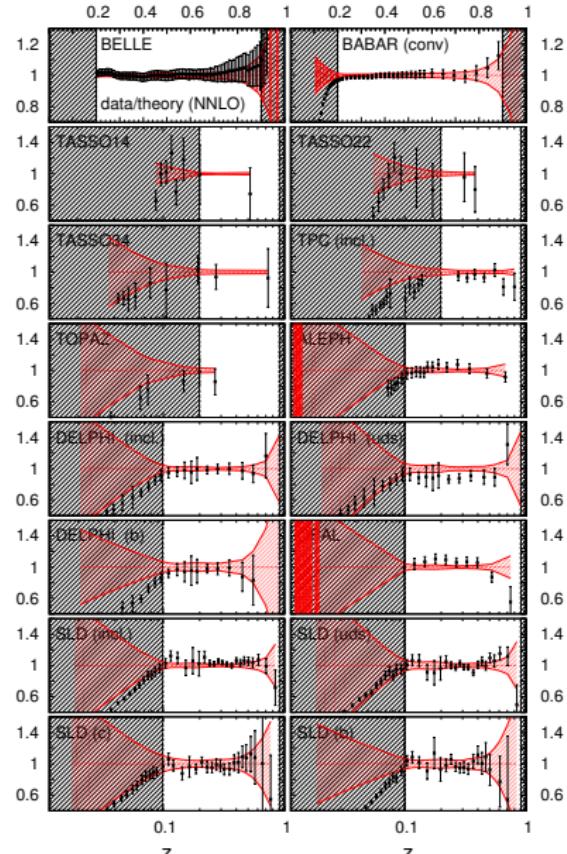
Exp.	N_{dat}	NNLO theory	
		χ^2/N_{dat}	remarks
BELLE	70	0.08	lack of correlations
BABAR	37	1.17	✓
TASSO12	2	1.61	small sample
TASSO14	7	1.83	} data fluctuations
TASSO22	7	2.16	
TASSO34	8	1.09	✓
TASSO44	5	1.95	data fluctuations
TPC	12	0.98	✓
TPC-UDS	6	0.45	✓
TPC-C	6	0.50	✓
TPC-B	6	1.41	✓
TOPAZ	4	0.66	✓
ALEPH	22	0.88	✓
DELPHI	16	2.32	tension with OPAL
DELPHI-UDS	16	1.90	tension with OPAL
DELPHI-B	16	1.09	✓
OPAL	22	2.05	tension with DELPHI
SLD	29	1.09	✓
SLD-UDS	29	0.80	✓
SLD-C	29	0.97	✓
SLD-B	29	0.44	✓
TOTAL	378	0.99	✓

Overall good description of the dataset
 Signs of tension OPAL vs DELPHI (inclusive)
 Anomalously small χ^2/N_{dat} for BELLE

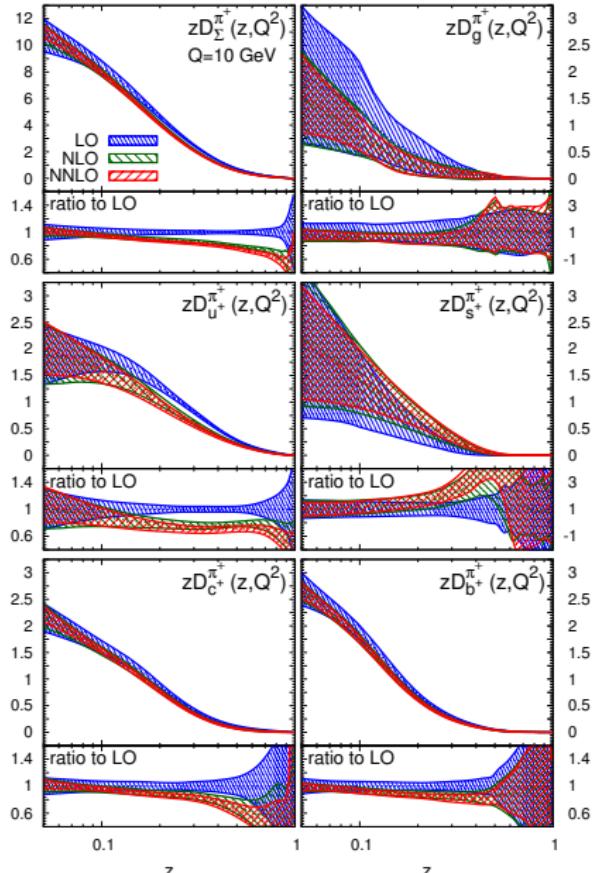
Fit quality: K^+

Exp.	N_{dat}	χ^2/N_{dat}	NNLO theory remarks
BELLE	70	0.19	lack of correlations
BABAR	28	0.77	☒
TASSO14	3	1.30	
TASSO22	2	0.29	} small sample
TASSO34	2	0.09	
TPC	7	1.19	☒
ALEPH	13	0.72	☒
DELPHI	11	0.17	☒
DELPHI-UDS	11	1.97	☒
DELPHI-B	11	0.41	☒
OPAL	9	2.10	tension with other M_Z data
SLD	21	0.77	☒
SLD-UDS	21	1.11	☒
SLD-C	20	0.42	☒
SLD-B	21	0.71	☒
TOTAL	250	0.67	☒

Overall good description of the dataset
 Excellent BELLE/BABAR consistency
 Signs of tension OPAL vs DELPHI (inclusive)
 Anomalously small χ^2/N_{dat} for BELLE
 Data description rapidly deteriorates at low z
 Prediction uncertainties blow up at low z



Dependence upon perturbative order: π^+



Exp.	N_{dat}	LO χ^2/N_{dat}	NLO χ^2/N_{dat}	NNLO χ^2/N_{dat}
BELLE	70	0.67	0.12	0.08
BABAR	37	1.64	1.26	1.17
TASSO12	2	1.19	1.57	1.61
TASSO14	7	1.60	1.81	1.83
TASSO22	7	1.81	2.19	2.16
TASSO34	8	1.26	1.11	1.09
TASSO44	5	2.05	2.00	1.95
TPC	12	0.51	0.69	0.98
TPC-UDS	6	0.79	0.52	0.45
TPC-C	6	0.54	0.51	0.50
TPC-B	6	1.45	1.41	1.41
TOPAZ	4	1.25	0.75	0.66
ALEPH	22	2.25	1.10	0.88
DELPHI	16	1.63	2.17	2.32
DELPHI-UDS	16	1.40	1.75	1.90
DELPHI-B	16	1.45	1.18	1.09
OPAL	22	2.68	2.19	2.05
SLD	29	2.66	1.35	1.09
SLD-UDS	29	1.63	0.98	0.80
SLD-C	29	2.39	1.15	0.97
SLD-B	29	0.45	0.43	0.44
TOTAL	378	1.50	1.05	0.99

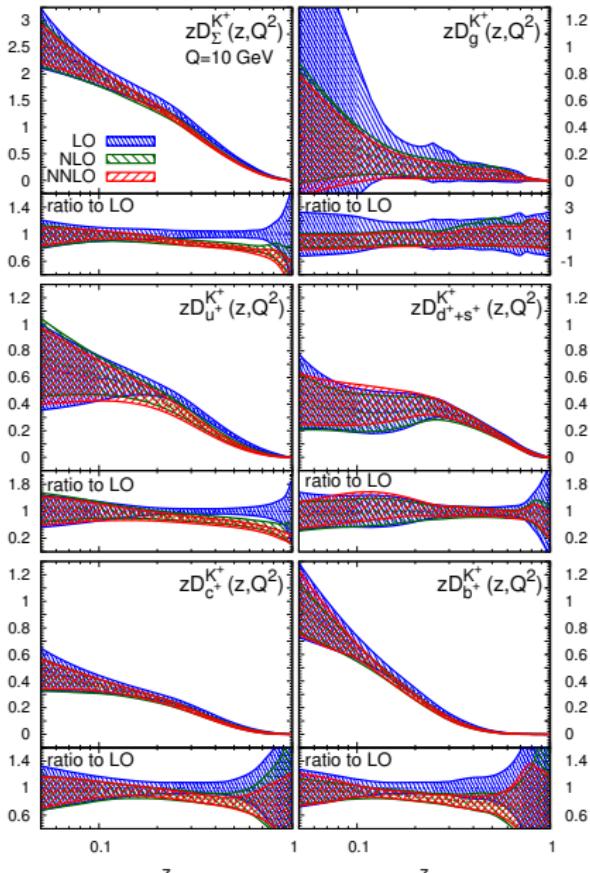
Excellent perturbative convergence
FFs almost stable from NLO to NNLO
LO FF uncertainties larger than HO

Dependence upon perturbative order: K^+

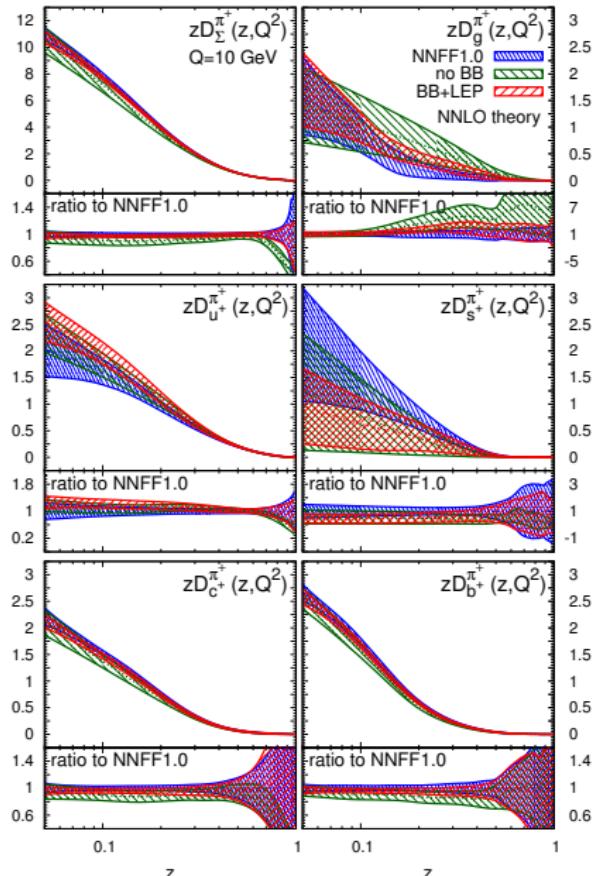
Exp.	N_{dat}	LO	NLO	NNLO
		χ^2/N_{dat}	χ^2/N_{dat}	χ^2/N_{dat}
BELLE	70	0.37	0.34	0.19
BABAR	28	1.02	0.96	0.77
TASSO14	3	1.23	1.24	1.30
TASSO22	2	0.29	0.32	0.29
TASSO34	2	0.02	0.03	0.09
TPC	7	0.41	0.49	1.19
ALEPH	13	0.66	0.71	0.72
DELPHI	11	0.17	0.16	0.17
DELPHI-UDS	11	2.01	1.94	1.97
DELPHI-B	11	0.51	0.44	0.41
OPAL	9	2.02	2.08	2.10
SLD	21	0.81	0.80	0.77
SLD-UDS	21	1.16	1.19	1.11
SLD-C	20	0.49	0.46	0.42
SLD-B	21	0.71	0.68	0.71
TOTAL	250	0.73	0.72	0.67

Excellent perturbative convergence
 FFs almost stable from NLO to NNLO
 LO FF uncertainties larger than HO

i	$N^{i+1}\text{LO}/N^i\text{LO}$	D_g	D_Σ	D_{c+}	D_{b+}
0	NLO/LO [%]	95-300	70-80	65-80	70-85
1	NNLO/NLO [%]	70-130	90-100	90-110	95-115



Dependence upon the dataset: π^+



NNLO theory Exp.	N_{dat}	NNFF1.0 χ^2/N_{dat}	no BB χ^2/N_{dat}	BB+LEP χ^2/N_{dat}
BELLE	70	0.08	(5.95)	0.08
BABAR	37	1.17	(82.2)	1.22
TASSO12	2	1.61	0.84	(1.61)
TASSO14	7	1.83	1.77	(1.85)
TASSO22	7	2.16	1.55	(2.48)
TASSO34	8	1.09	1.35	(1.55)
TASSO44	5	1.95	2.22	(2.60)
TPC	12	0.98	1.94	(0.87)
TPC-UDS	6	0.45	0.56	(0.79)
TPC-C	6	0.50	0.73	(0.57)
TPC-B	6	1.41	1.59	(1.47)
TOPAZ	4	0.66	0.75	(1.50)
ALEPH	22	0.88	0.69	0.71
DELPHI	16	2.32	2.50	2.38
DELPHI-UDS	16	1.90	1.98	1.91
DELPHI-B	16	1.09	1.10	1.13
OPAL	22	2.05	1.87	1.98
SLD	29	1.09	0.72	1.07
SLD-UDS	29	0.80	0.60	0.73
SLD-C	29	0.97	0.80	1.10
SLD-B	29	0.44	0.43	0.43
TOTAL	378	0.99	1.14	0.93

no BB: larger uncertainties; different gluon shape and different light flavour separation

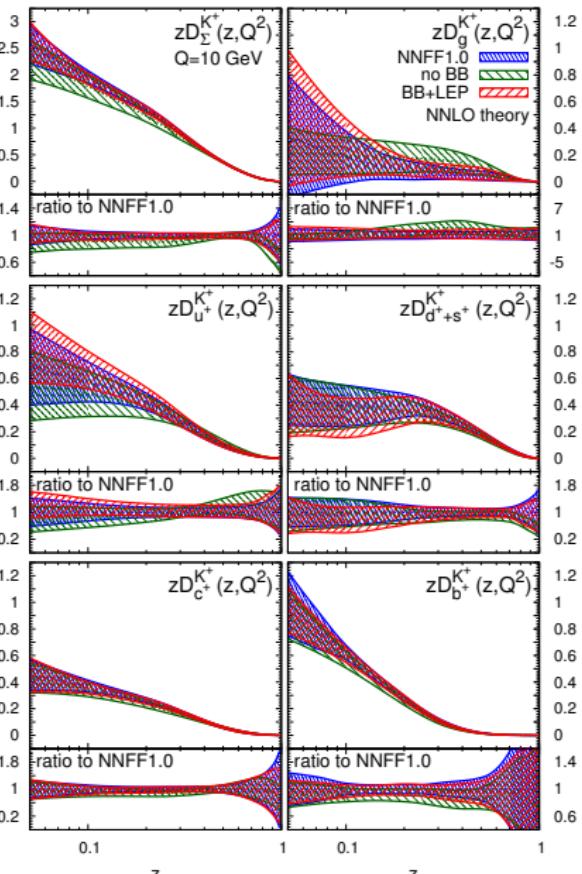
BB+LEP: comparable uncertainties; slightly different size of gluon and light flavoured quarks

Dependence upon the dataset: K^+

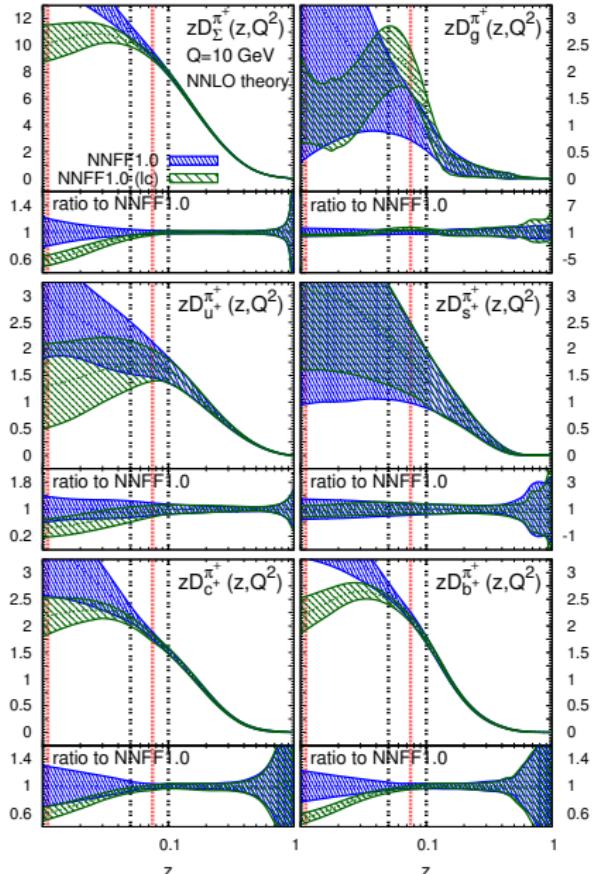
NNLO theory Exp.	N_{dat}	NNFF1.0 χ^2/N_{dat}	no BB χ^2/N_{dat}	BB+LEP χ^2/N_{dat}
BELLE	70	0.19	(16.3)	0.37
BABAR	28	0.77	(190)	0.99
TASSO14	3	1.30	1.80	(1.23)
TASSO22	2	0.29	0.23	(0.33)
TASSO34	2	0.09	0.02	(0.04)
TPC	7	1.19	0.61	(0.45)
ALEPH	13	0.72	0.75	0.63
DELPHI	11	0.17	0.23	0.16
DELPHI-UDS	11	1.97	2.05	2.00
DELPHI-B	11	0.41	0.45	0.48
OPAL	9	2.10	2.01	2.01
SLD	21	0.77	0.76	0.77
SLD-UDS	21	1.11	1.12	1.19
SLD-C	20	0.42	0.36	0.47
SLD-B	21	0.71	0.76	0.70
TOTAL	250	0.67	0.86	0.74

no BB: larger uncertainties; different gluon shape and different light flavour separation; significant degradation in the description of BELLE and BABAR data

BB+LEP: comparable uncertainties; FFs stable; no significant degradation in fit quality; fair description of the data not included in the fit



Dependence upon kinematic cuts: π^+



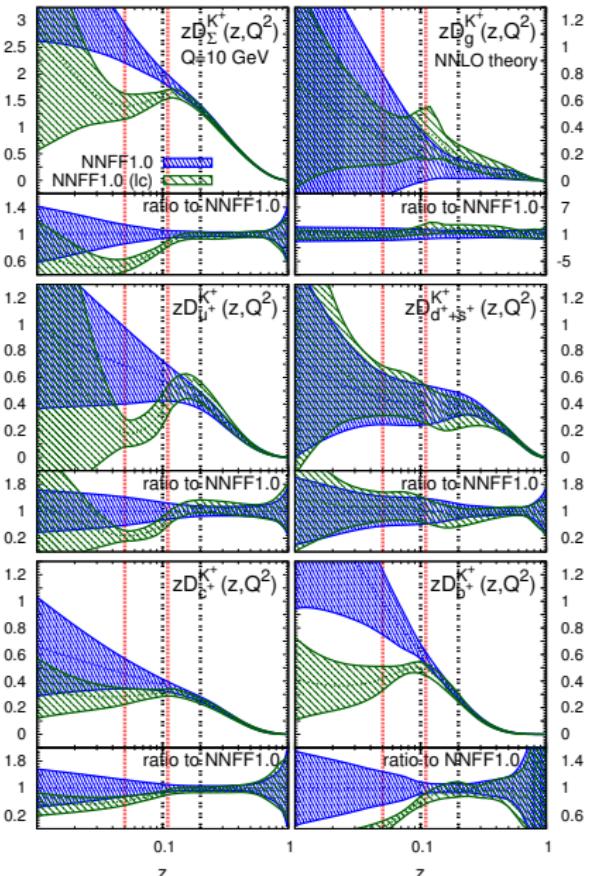
NNLO theory Exp.	NNFF1.0 N_{dat}	χ^2/N_{dat}	NNFF1.0 (lc) N_{dat}	χ^2/N_{dat}
BELLE	70	0.08	70	0.09
BABAR	37	1.17	40	0.82
TASSO12	2	1.61	4	0.87
TASSO14	7	1.83	9	1.69
TASSO22	7	2.16	8	1.88
TASSO34	8	1.09	9	0.97
TASSO44	5	1.95	6	2.32
TPC	12	0.98	13	0.88
TPC-UDS	6	0.45	6	0.47
TPC-C	6	0.50	6	0.52
TPC-B	6	1.41	6	1.42
TOPAZ	4	0.66	5	0.75
ALEPH	22	0.88	30	2.39
DELPHI	16	2.32	22	1.70
DELPHI-UDS	16	1.90	22	1.43
DELPHI-B	16	1.09	22	0.85
OPAL	22	2.05	38	1.31
SLD	29	1.09	38	0.97
SLD-UDS	29	0.80	38	0.61
SLD-C	29	0.97	38	0.84
SLD-B	29	0.44	38	0.41
TOTAL	378	0.99	468	0.94

Slight improvement of the overall fit quality
 Excellent consistency in the overlapping region
 Significantly varied FF shapes at low z
 Possible tensions with ALEPH at small z

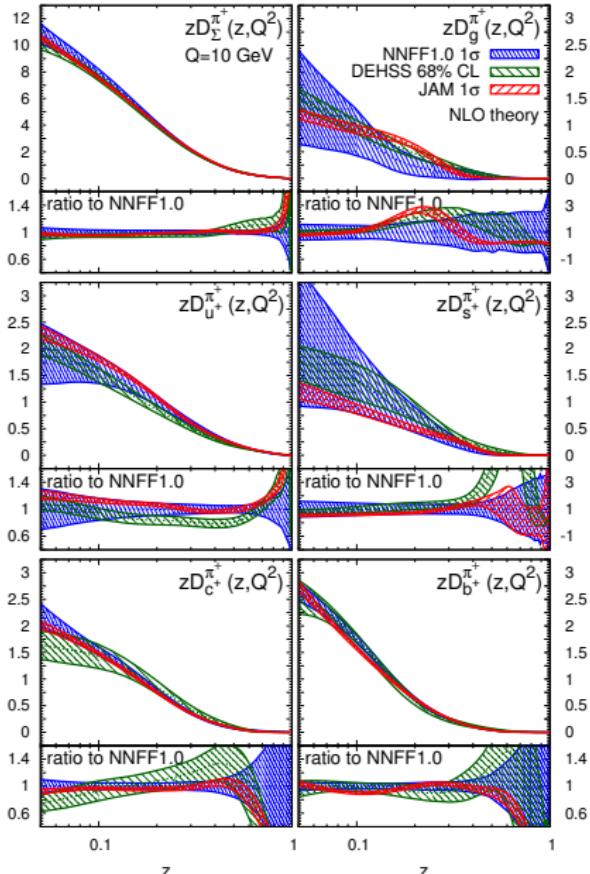
Dependence upon kinematic cuts: K^+

Exp.	NNLO theory		NNFF1.0		NNFF1.0 (lc)	
	N_{dat}	χ^2/N_{dat}	N_{dat}	χ^2/N_{dat}	N_{dat}	χ^2/N_{dat}
BELLE	70	0.19	70	0.32		
BABAR	28	0.77	43	1.12		
TASSO12	—	—	3	1.02		
TASSO14	3	1.30	7	2.03		
TASSO22	2	0.29	4	0.33		
TASSO34	2	0.09	4	0.04		
TPC	7	1.19	12	0.72		
TOPAZ	—	—	3	0.73		
ALEPH	13	0.72	18	0.48		
DELPHI	11	0.17	16	0.23		
DELPHI-UDS	11	1.97	16	1.63		
DELPHI-B	11	0.41	16	0.33		
OPAL	9	2.10	10	1.68		
SLD	21	0.77	29	0.71		
SLD-UDS	21	1.11	29	1.02		
SLD-C	20	0.42	29	0.41		
SLD-B	21	0.71	29	0.84		
TOTAL	250	0.67	338	0.73		

Slight deterioration of the overall fit quality
 Excellent consistency in the overlapping region
 Significantly varied FF shapes at low z



Comparison with other FF sets: π^+



DEHSS [[arXiv:1702.06353](https://arxiv.org/abs/1702.06353)]

(+SIDIS +PP)

JAM [[PRD 94 \(2016\) 114004](https://doi.org/10.1103/PRD.94.114004)]

(almost same dataset as NNFF1.0)

$D_{\Sigma}^{\pi^+}$: excellent mutual agreement
both c.v. and unc. (bulk of the dataset)

$D_g^{\pi^+}$: slight disagreement
different shapes, larger uncertainties
DEHSS: data; JAM: parametrisation

$D_u^{\pi^+}$, $D_s^{\pi^+}$: good overall agreement
excellent with JAM, though larger uncertainties
slight different shape w.r.t. DHESS (dataset)

$D_c^{\pi^+}$, $D_b^{\pi^+}$: good overall agreement
excellent with JAM, same uncertainties
slight different shape w.r.t. DHESS (dataset)

Comparison with other FF sets: K^+

DEHSS [PRD 91 (2015) 014035]
 (+SIDIS +PP)

JAM [PRD 94 (2016) 114004]
 (almost same dataset as NNFF1.0)

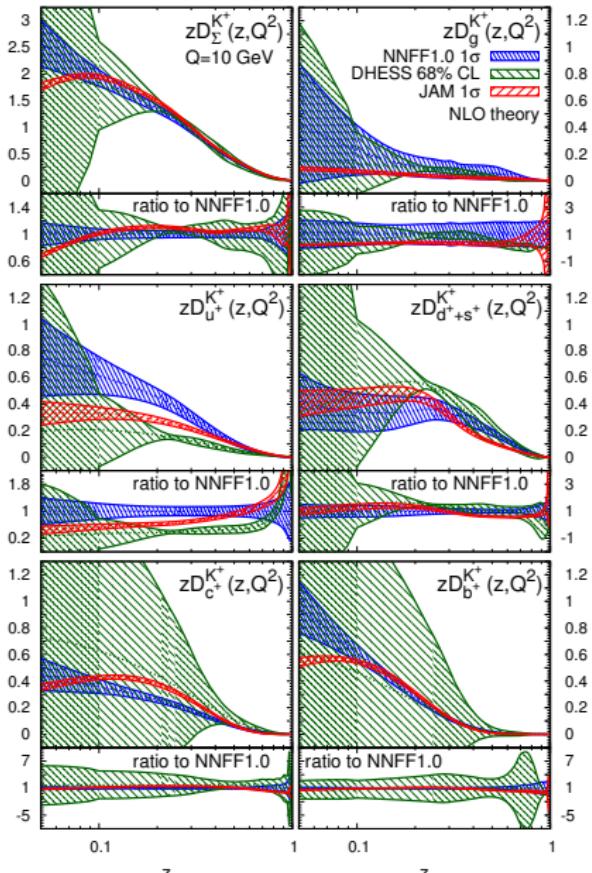
$D_{\Sigma}^{\pi^+}$: excellent agreement (both c.v. and unc.)
 bulk of the dataset

$D_g^{\pi^+}$: good mutual agreement
 similar shapes, larger uncertainties
 DEHSS: data; JAM: parametrisation

$D_{u^+}^{\pi^+}$: mutual sizable disagreement
 differences in dataset and parametrisation
 comparable uncertainties in the data region

$D_{d^+}^{\pi^+} + D_{s^+}^{\pi^+}$: fair mutual agreement
 differences in dataset and parametrisation
 comparable uncertainties in the data region

$D_{c^+}^{\pi^+}, D_{b^+}^{\pi^+}$: excellent mutual agreement
 uncertainties similar to JAM
 DHESS shows inflated uncertainties



Summary and outlook

- ➊ NNFF1.0 is the first determination of fragmentation functions à la NNPDF
 - ▶ based on SIA data only (still limited phenomenological usefulness)
 - ▶ provided at LO, NLO and NNLO
- ➋ Preliminary results for π^+ and K^+ fragmentation functions were presented
 - ▶ good description of all untagged and tagged SIA data
 - ▶ inclusion of higher-order corrections up to NNLO, good perturbative convergence
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 - ▶ significant stability under removal of the least precise data
 - ▶ good fit quality as z cuts are lowered, modified FF shapes
 - ▶ shape and size of the uncertainties of $D_{\Sigma}^{\pi^+, K^+}$ comparable to other sets
 - ▶ uncertainties larger than other sets for $D_g^{\pi^+, K^+}$ (with different shapes for π^+)
 - ▶ slight different light flavour separation, especially for K^+ , w.r.t. other sets
 - ▶ overall good agreement for $D_{c^+}^{\pi^+, K^+}$ and $D_{b^+}^{\pi^+, K^+}$ with other sets
- ➌ The NNFF1.0 release will include fragmentation functions of π^\pm , K^\pm and p/\bar{p}
 - ▶ they will be made available for each hadron species through the LHAPDF interface
- ➍ Beyond NNFF1.0: inclusion of SIDIS and PP data, GM-VFNS, resummation(s), ...

Summary and outlook

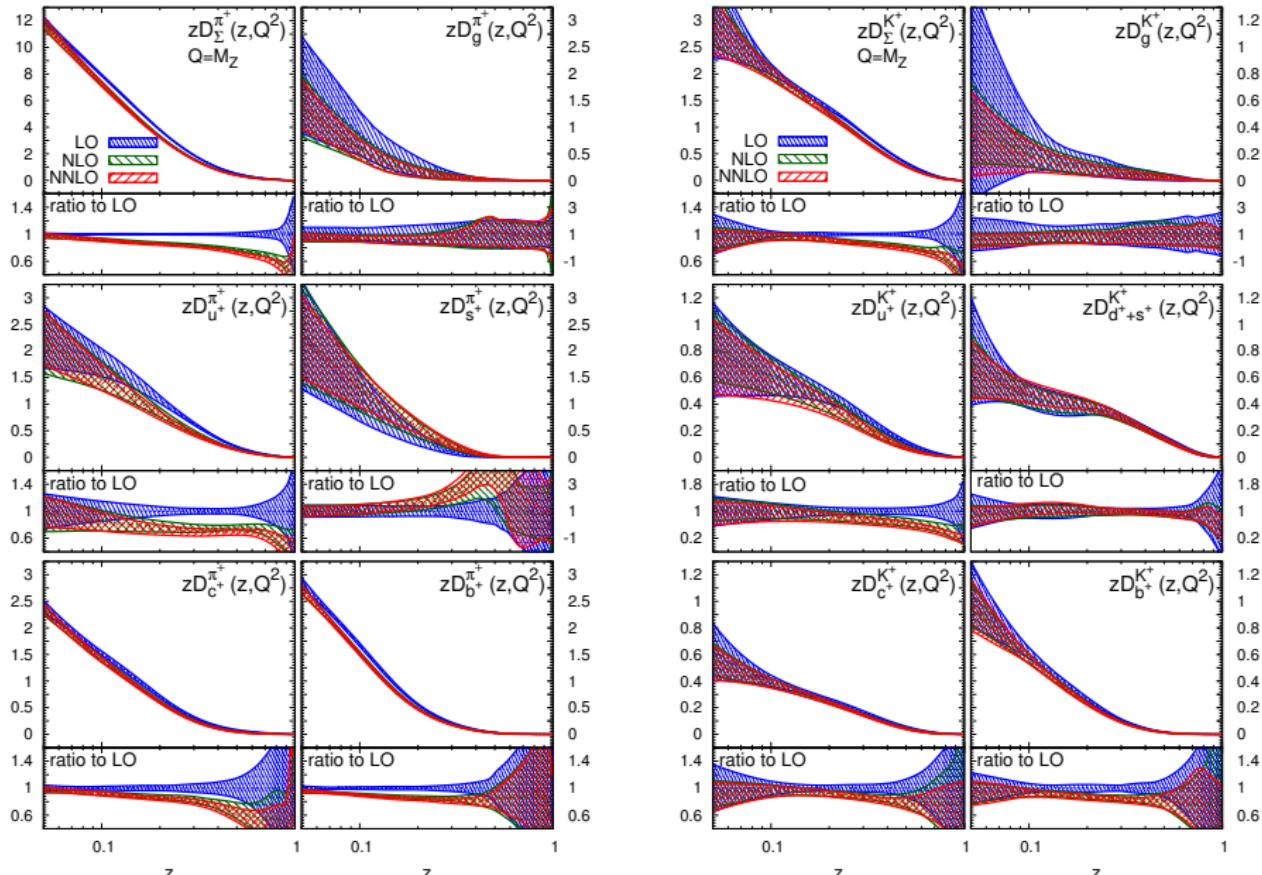
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Thank you

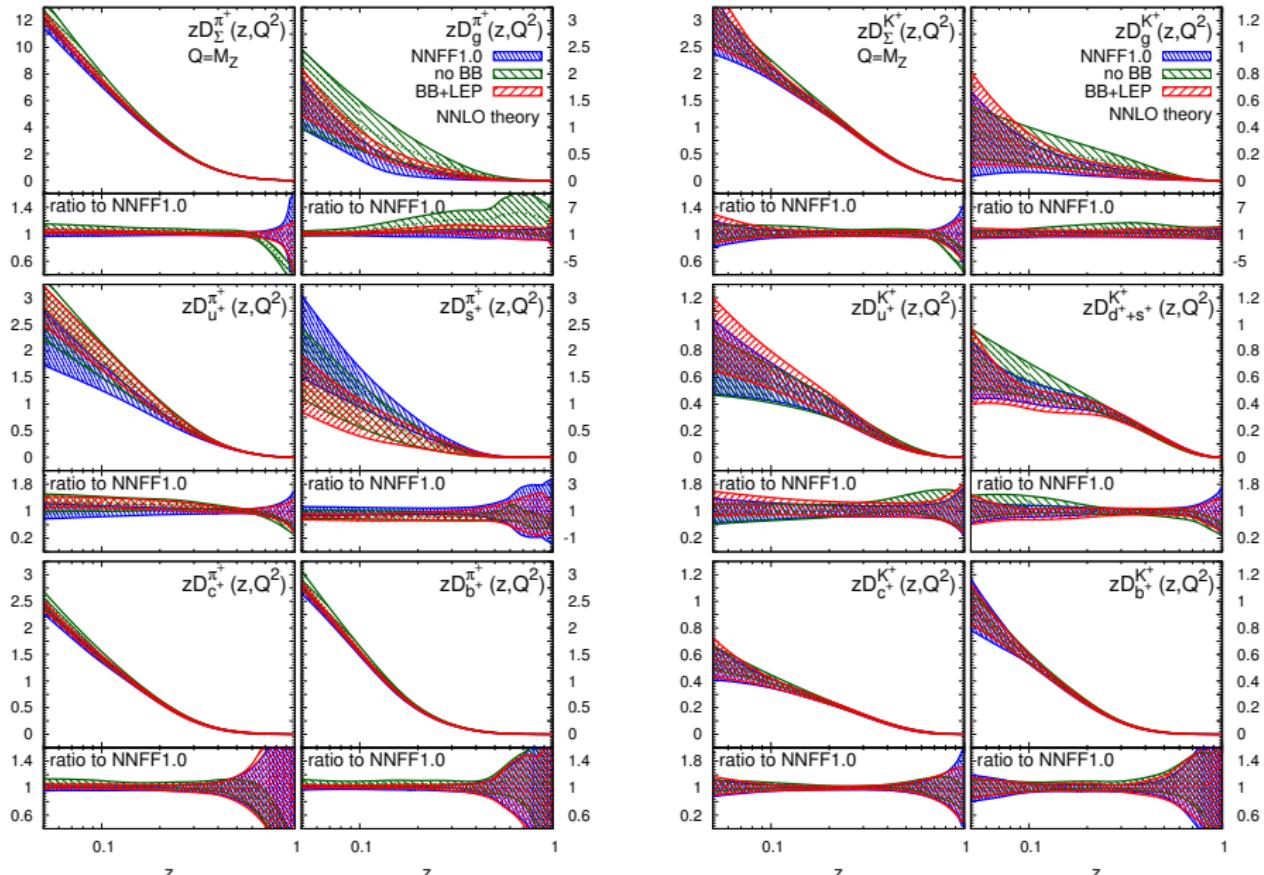
Extra material



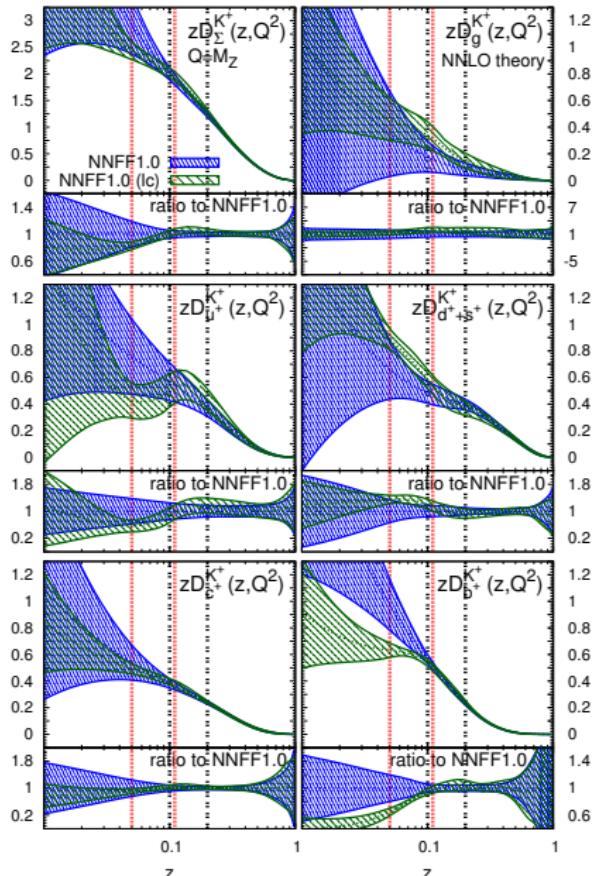
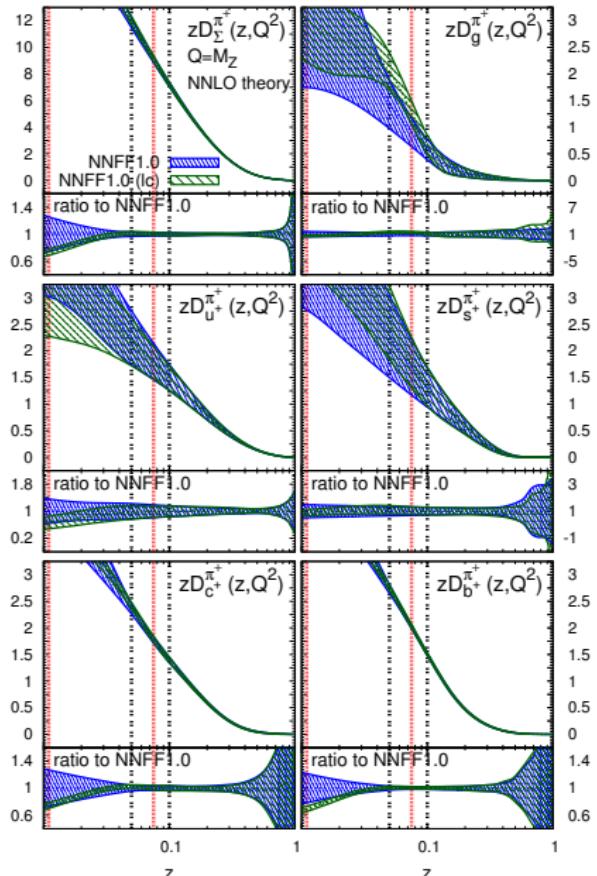
Dependence upon perturbative order



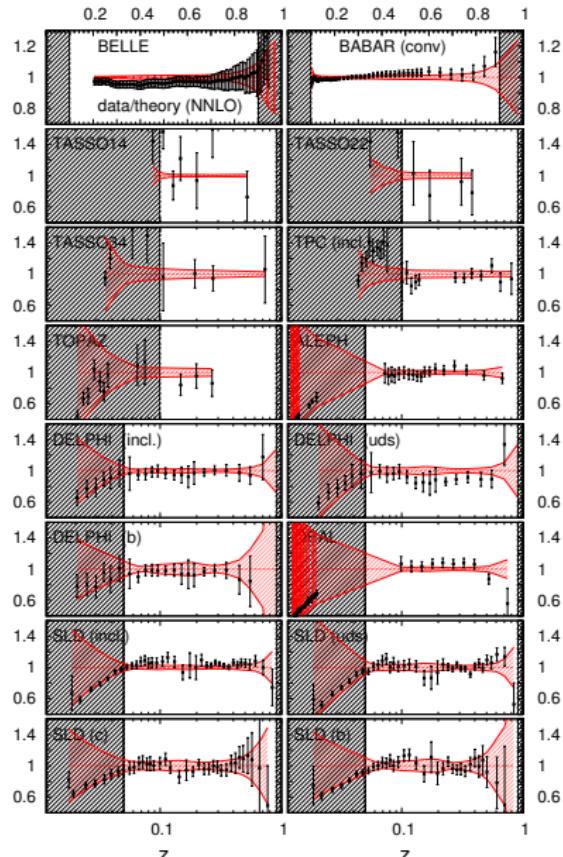
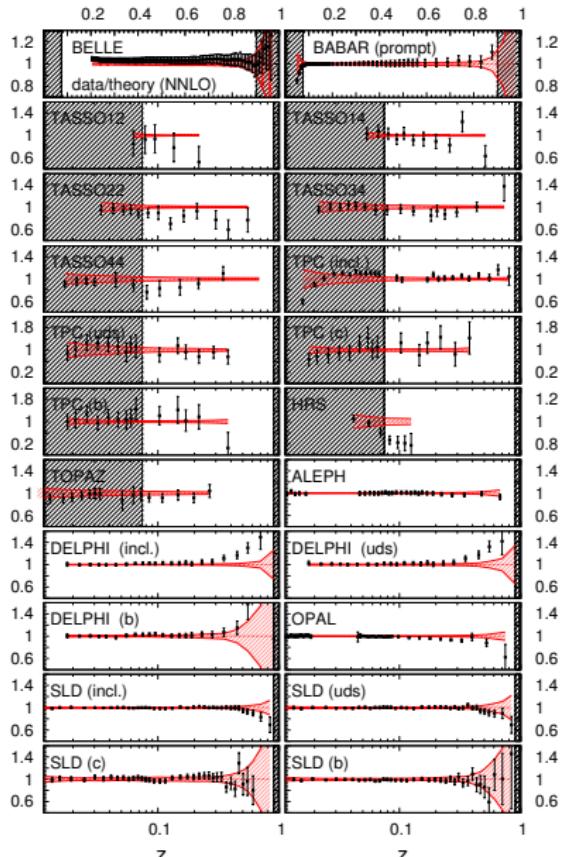
Dependence upon the dataset



Dependence upon kinematic cuts



Data/theory comparison



Comparison with other sets

