### NNPDF in the LHC Era

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### Outline



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- NNPDF Methodology

### NNPDF2.1

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- Implementation
- NNLO Parton Distributions
- Perturbative Stability
- Phenomenology

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- Included data
- Results

### Conclusions

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### Part I

Introduction

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- Extraction of a set of functions with errors from a set of data points.
- We need an error band, i.e. a probability density  $\mathcal{P}[f(x)]$  in the space of PDFs :

$$\langle \mathcal{O} \rangle = \int \mathcal{D} f \mathcal{P}[f] \mathcal{O}[f]$$

$$\sigma_{\mathcal{O}}^{2} = \int \mathcal{D}f \mathcal{P}[f] \left( \mathcal{O}[f] - \langle \mathcal{O} \rangle \right)^{2}$$

#### Standard approach:

Choose a specific functional form:

$$q_i(x, Q_0^2) = A_i x^{b_i} (1-x)^{c_i} (1+...).$$

Errors determined by means of linear error propagation.

But:

- Is the parametrization flexible enough?
- What is the error associated to any particular choice?
- Need to rely on linear propagation of errors.

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- Generate Monte Carlo replicas of the experimental data:
  - Generation through Monte Carlo sampling of data,
  - Validation against experimental data.
  - $\Rightarrow$  No need to rely on **linear propagation** of errors,
  - $\Rightarrow$  possibility to test for **non-gaussian behaviour** in fitted PDFs.
- Fit PDFs with a set of Neural Networks on each replica:
  - Redundant Parametrization: 7 independent PDFs  $\Rightarrow$  259 free parameters.
  - Dynamical Stopping Criterion: Cross-Validation method.
  - $\Rightarrow$  Neural Networks provide an **unbiased** parametrization.
- Expectation values for observables are Monte Carlo integrals:

$$\langle \mathcal{O}[f] \rangle = \frac{1}{N} \sum_{k=1}^{N} \mathcal{O}[f_k]$$

... and the same is true for errors, correlations, etc.

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### Part II

### NNPDF2.1 LO, NLO and NNLO sets

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NNPDF2.1 is an ensemble of PDF sets presently available at LO, NLO and NNLO. The NNPDF Collaboration, R.D. Ball et al., [arXiv:1107.2652]

Features:

- Global Fit: DIS + DY + JET data.
- Heavy quark mass effects included using the FONLL method up to NNLO, S. Forte et al., Nucl. Phys. B834 (2010) 116, [arXiv:1001.2312]
- FastKernel method for the inclusion of the higher order corrections:
  - DIS up to NNLO,
  - DY and JET up to NLO.

The NNPDF Collaboration, R.D. Ball et al., Nucl. Phys. B838 (2010) 136, [arXiv:1002.4407]

- NNLO corrections to DY included by means of K-factors,
- NNLO corrections to inclusive JET implemented using FastNLO:
  - approximated NNLO corrections based on threshold resummation.
  - T. Kluge, K. Rabbertz and M. Wobisch, (2006), [hep-ph/0609285]

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- Inclusion of the HERA  $F_2^c$  data.
- Inclusion of systematics.

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• NNPDF2.1 implements the **FONLL method**:

prescription for combining massive quarks in the decoupling scheme  $N_F = 3$  and massless quarks in the  $\overline{MS}$  scheme  $N_F = 4$ , at any given order, avoiding double counting. S.Forte, E.Laenen, P.Nason, J. Rojo [ArXiv:1001.2312]



FONLL interpolates smoothly between massive (low  $Q^2$ ) and massless (high  $Q^2$ ) scheme.

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### Stability going from NLO to NNLO



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### NNPDF2.1 NNLO Parton Distributions: Impact of the NNLO corrections

In order to quantify the impact on the NNLO corrections, one defines the distance:



- NLO and NNLO PDFs very similar at small-x,
- Largest distances for quarks at  $x \sim 0.1 0.2$ ,
- very small distances for PDF uncertainties:
  - same (and only) experimental uncertainties for both NLO and NNLO fit.

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- Apart from NNPDF2.1, MSTW08 is presently the only NNLO PDF set available for different values of α<sub>s</sub>.
- Comparison performed with a common value of  $\alpha_s(M_Z) = 0.119$  (NNPDF2.1 default value).



- Reasonable agreement between central values,
- MSTW08 uncertainties unusually small,
- MSTW08 gluon unstable at small x:
  - it becomes markedly negative.

• Sizable differences in the Strange distributions,

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restictive MSTW parametrization for s<sup>+</sup> and s<sup>-</sup> (only 4 parameters).

#### NNPDF2.1 now available at LO, NLO and NNLO $\Longrightarrow$

## study of **Perturbative Stability**



- Excellent convergence of the perturbative expansion,
- NLO and NNLO always agree within uncertainties.

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Total momentum carried by partons:

$$[q](Q^2) \equiv \int_0^1 dx \, xq(x, Q^2) \quad \Rightarrow \quad [M] = [\Sigma] + [g] \stackrel{!}{=} 1$$

strong consistency check of global analysis framework.

- Default NNPDF2.1 sets produced imposing the momentum sum rule (MSR).
- Sets NNPDF2.1 LO\*, NLO\* and NNLO\* produced relaxing MSR:



Momentum fractions in NNPDE2 1 NNLO\*





### **Parabolic** fit of the global $\chi^2$ as a function of the input parameter $\alpha_s(M_z)$ :



NNLO  $\alpha_s$  (M<sub>Z</sub>) from PDF Analyses



- NNPDF2.1 global determination consistent with the PDG determination at 1- $\sigma$  level,
- NNPDF2.1 global and DIS-only determinations agree within uncertainties,
- MSTW08 and ABKM09 values of  $\alpha_s$  extracted as a fitting parameter,
- NNPDF2.1 and MSTW08 determinations in good agreement.

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### NNPDF2.1

Phenomenology: NNLO vs. NLO Parton Luminosities

At LHC observables depend on PDFs through Parton Luminosities:

$$\Phi_{ij}(\tau) = \frac{1}{s} \int_{\tau}^{1} \frac{dx}{x} f_i(x, M_X^2) f_j(\tau/x, M_X^2) \quad \text{with} \quad \tau = \frac{M_X^2}{s}$$



- All luminosities reasonably compatible.
- Gluon-gluon luminosity relevant for the Higgs production:
  - particularly stable in the "standard Higgs" region ( $\sqrt{\tau} \simeq 2 \times 10^{-2}$ ).
- Quark-antiquark luminosity relevant for the *W*/*Z* production:

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• NNLO significantly larger than NLO in the W/Z mass region ( $\sqrt{\tau} \simeq 10^{-2}$ ).

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#### Higgs production from gluon-gluon fusion: Higgs exclusion bounds.



- Strong dependence on  $\alpha_s$ .
- NNPDF2.1 and MSTW08 in excellent agreement, provided the same value of  $\alpha_s$ .
- Sizable differences between NNPDF2.1 and ABKM09:
  - partially accounted by the different value of  $\alpha_s$  (right plot).

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#### $t\overline{t}$ cross-section: senstive probe of the gluon distribution.



- Comparison with CMS and ATLAS measurements:
  - Discrimination between PDF sets,
  - NNPDF2.1 and MSTW08 in good agreement with LHC data,
  - ABKM09 does not agree with the present LHC measurements.
- NNPDF2.1 and MSTW08 in good agreement with each other:
  - consistently, using the same value of  $\alpha_s$  improves the agreement.

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#### W and Z production: light flavour decomposition.



- Weaker dependence on  $\alpha_s$  for these processes:
  - but higher order correction not negligible.
- Less significant differences between PDF sets.

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### Part III

### The first PDF set including LHC data: NNPDF2.2

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The NNPDF Collaboration, R.D. Ball et al., [arXiv:1108.1758]

### NNPDF2.2 NLO: ↓

PDF set including D0 and LHC data

**Reweighting** NNPDF2.1 NLO using **D0**, **ATLAS** and **CMS** *W* asymmetry data:

$$A_W^{l} = \frac{d\sigma_{I^+}/d\eta_I - d\sigma_{I^-}/d\eta_I}{d\sigma_{I^+}/d\eta_I + d\sigma_{I^-}/d\eta_I}$$

Experiment	$N_{ m dat}$	$\chi^2$ (NNPDF2.1)	$\chi^2$ (NNPDF2.2)
ATLASmuASY	11	[0.77]	1.07
CMSeASY	6	[1.83]	1.08
CMSmuASY	6	[1.24]	0.56
D0eASY	12	[4.39]	1.38
D0muASY	10	[1.48]	0.35
Total		1.165	1.157

\*In NNPDF2.2 no deterioration in the  $\chi^2$  of the other experiments.

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### NNPDF2.2 Results: NNPDF2.2 vs. NNPDF2.1

### **Light flavour PDFs** more affected by the *W* asimmetry data:

• d distribution and light sea asymmetry  $\Delta_s = (\overline{d} - \overline{u})$  most affected.



Most noticeable effect in two separate regions:

- x ~ 10<sup>-3</sup>, mostly affected by the ATLAS data.
- $x \sim 10^{-2} 10^{-1}$ , mostly affected by the CMS and D0 data.
- Deviation up to  $1-\sigma$  in the high x region:

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mostly due to D0 data.

#### Sizable uncertainties reduction:

- ~ 20% in the low-x region,
- up to 30% at the higher x.

#### NNPDF2.2 Results: NNPDF vs. CT10 and MSTW08

- NNPDF2.1 and MSTW08 do not include any W asymmetry data,
- CT10 includes only D0 data,
- NNPDF2.2 includes **D0**, **ATLAS** and **CMS** data (⇒ most reliable).



#### Rather good agreement among NLO global PDFs, but:

• MSTW08 prediction too high at medium x and too low at large x.

### Part IV

Conclusions

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### NNPDF Methodology:

- Monte Carlo sampling of data  $\Rightarrow$  No need for linear propagation of errors,
- **Neural Networks** as interpolating functions  $\Rightarrow$  Unbiased parametrization.

### NNPDF2.1:

- global fit including heavy quark mass effects,
- available at LO, NLO and NNLO,

### NNPDF2.2:

• Presently, the only PDF set including ATLAS and CMS data.

All the NNPDF PDF sets are available either on the web site:

http://sophia.ecm.ub.es/nnpdf

or through the LHAPDF inteface.

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### Part V

Backup Slides

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OBS	Data sets	
DIS		
$F_2^p$	NMC,SLAC,BDCMS	
$F_2^d$	SLAC,BCDMS	
$F_2^d/F_2^p$	NMC-pd	
$\sigma_{NC}$	HERA-I AV, ZEUS-H2	
$\sigma_{CC}$	HERA-I AV, ZEUS-H2	
$F_L$	H1	
$\sigma_{\nu}, \sigma_{\bar{\nu}}$	CHORUS	
dimuon prod.	NuTeV	
$F_2^c$	ZEUS (99,03,08,09)	
$F_2^c$	H1 (01,09,10)	

DY		
$d\sigma^{\rm DY}/dM^2 dy$	E605	
$d\sigma^{\rm DY}/dM^2 dx_F$	E886	
W asymmetry	CDF	
Z rap. distr.	CDF,D0	

JET	
incl. $\sigma^{(jet)}$	D0(cone) Run II
incl. $\sigma^{(jet)}$	$CDF(k_T)$ Run II

#### NLO cuts:

- $W^2 = Q^2(1-x)/x > 12.5 \text{ GeV}^2$ ,
- $Q^2 > 3 \text{ GeV}^2 + \text{further cuts on } F_2^c$ :
  - no data below  $m_c$  ( $m_c^2 = 2, 2.25, 2.56, 2.89 \text{ GeV}^2$ ),
- no cuts on hadronic data.

#### LO cuts:

- NLO cuts,
- $F_L$  data removed (null at LO).

#### NNLO cuts:

- NLO cuts,
- further cuts on  $F_2^c$  removed,
- conversion of the E866 data from  $x_F$  to y distributions,

• NMC proton data included as reduced cross-sections, rather than structure functions.

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• NNPDF2.1 implements the FONLL method:

prescription for combining massive quarks in the decoupling scheme  $N_F = 3$  and massless quarks in the  $\overline{MS}$  scheme  $N_F = 4$ , at any given order, avoiding double counting. Reference: S.Forte, E.Laenen, P.Nason, J. Rojo [ArXiv:1001.2312]

• Definition of FONLL structure function:

 $F^{\text{FONLL}}(x, Q^2) = F^{(n_f+1)}(x, Q^2) + F^{(n_f)}(x, Q^2) - F^{(n_f, 0)}(x, Q^2)$ 

 $F^{(n_f+1)}(x, Q^2)$ : massless-scheme structure function  $F^{(n_f)}(x, Q^2)$ : massive-scheme structure function  $\Rightarrow$  inclusion of the mass suppressed terms  $F^{(n_f,0)}(x, Q^2)$ : massless limit of  $F^{(n_f)}(x, Q^2) \Rightarrow$  subtraction of the double counting terms

- At the moment, three possibilities:
  - FONLL-A:  $\mathcal{O}(\alpha_s)$  PDFs +  $\mathcal{O}(\alpha_s)$  coefficient functions (NNPDF2.1 NLO set),
  - FONLL-B:  $\mathcal{O}(\alpha_s)$  PDFs +  $\mathcal{O}(\alpha_s^2)$  coefficient functions,
  - FONLL-C:  $\mathcal{O}(\alpha_s^2)$  PDFs +  $\mathcal{O}(\alpha_s^2)$  coefficient functions (NNPDF2.1 NNLO set).
- $\mathcal{O}(\alpha_s^2)$  coefficient functions available only in the NC and not the CC sector.
- NNPDF2.1 is presently available in all the above schemes.

#### NNPDF2.1 Implementation: Hadronic Data

- Hadronic data treated consistently in pQCD up to NLO.
- DY NNLO effects included by means of K-factors:

$${\cal K}=rac{(d^2\sigma/dydM^2)_{
m NNLO}}{(d^2\sigma/dydM^2)_{
m NLO}}$$



- Collider data: a few percent level,
- fixed-target data: up to  $25\% \Rightarrow$  but experimental errors  $\mathcal{O}(20\%)$ .
- *K*-factor recomputed with NNPDF2.1 NNLO set:
  - accuracy <2%  $\Rightarrow$  cross-section uncertainty <0.5%
- Inclusive JET data, exact NNLO corrections not known yet, but:
  - approximated NNLO corrections based on threshold resummation,
  - exact NNLO PDF evolution.

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- Interesting comparison with the ABKM09 ( $N_f = 3$ ) NNLO set, but some *caveat*:
  - only one value of  $\alpha_s$  available for the ABKM set:  $\alpha_s(M_Z) = 0.1135 \pm 0.0014$ ,
  - the ABKM distributions account for the combined PDF+  $\alpha_{s}$  error.



#### NNPDF2.1 Phenomenology: NNPDF2.1 vs. MSTW08 Parton Luminosities

At LHC observables depend on PDFs through Parton Luminosities:





- Same value of  $\alpha_s = 0.119$ .
- General good agreement in the region of  $\tau$  of the typical electoweak final states at LHC ( $M_x \sim 100$  GeV).
- Huge disagreement at high  $M_X$ :
  - MSTW08 NNLO gluon instability at small->

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The **NNPDF approach** allows to obtain reliable PDFs from datasets of widely varying size without having to modify any aspect of the methodology.

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Study of the dependece of PDFs on the underlying dataset.

NNPDF provides four new NNLO PDF set, based on subsets of the full dataset:

#### INNPDF2.1 NNLO HERA-only: only HERA data

- the HERAPDF group provides PDFs based on the same dataset.
- INPDF2.1 NNLO DIS-only: no hadron-hadron data
  - DIS data theoretically and experimentally more clean.
- INNPDF2.1 NNLO DIS+DY: no JET data
  - approximated NNLO JET.
- INNPDF2.1 NNLO Colliders-only: no fixed-target data
  - fixed-target data less clean: low energy and nuclear targets.

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#### NNPDF2.1 Accuracy of the NNLO Determination: Full Set vs. Subsets



- HERA-only: general poor description of data,  $s^+$  very uncertain  $\Rightarrow \chi^2$  NuTeV data very poor.
  - bad Singlet-Triplet ( $\Sigma$  and  $T_3$ ) decomposition, ٠
  - bad light sea  $(\Delta_s)$  decomposition. ٥

#### DIS-only: reasonably accurate flavour decomposition.

- $\Sigma$  and g in perfect agreement with the global fit,
- also V,  $s^+$  and  $\Delta_s$  in good agreement,
- substantial deviation of  $T_{3}$ . (???)

#### DIS+DY: quite close to the global fit,

- almost al PDFs statistically equivalent,
- reduction of the uncertainties.

#### Colliders-only: fixed-target data description very poor.

- almost all PDFs disagree with the global fit,
- collider only dataset more consistent (global  $\chi^2 = 1.02$ ),
- improvement expected with the upcoming HERA-II and LHC data. A D > A B > A B >

Experiment	Global	HERA-only	DIS-only	DIS+DY	Collider-only
$N_{\rm dat}$	3507	976	2933	3321	1232
Total	1.16	1.07	1.15	1.18	1.02
NMC-pd	0.93	[13.15]	0.88	0.94	[3.43]
NMC	1.63	[1.91]	1.69	1.69	[2.06]
SLAC	1.01	[3.17]	0.97	1.03	[1.23]
BCDMS	1.32	[2.15]	1.28	1.30	[2.22]
HERAI-AV	1.10	1.05	1.09	1.09	1.06
CHORUS	1.12	[2.63]	1.08	1.13	[1.74]
FLH108	1.26	1.32	1.27	1.26	1.26
NTVDMN	0.49	[60.51]	0.45	0.54	[23.02]
ZEUS-H2	1.31	1.21	1.26	1.28	1.30
ZEUSF2C	0.88	0.77	0.86	0.88	0.75
H1F2C	1.46	1.30	1.47	1.50	1.24
DYE605	0.81	[9.06]	[6.86]	0.82	[1.34]
DYE866	1.32	[12.41]	[2.70]	1.32	[5.76]
CDFWASY	1.65	[7.71]	[13.94]	1.64	1.07
CDFZRAP	2.12	[3.74]	[2.15]	1.91	1.22
D0ZRAP	0.67	[1.11]	[0.67]	0.65	0.61
CDFR2KT	0.74	[1.15]	[0.99]	[1.25]	0.64
D0R2CON	0.82	[1.28]	[0.88]	[1.03]	0.83

\*  $[\ldots]$  not fitted sets.

Reweighting allows to incorporate a new dataset into an PDF set without refitting.

#### For users:

- Take an NNPDF parton ditribution set  $\{f_k\}$ , with  $k = 1, ..., N_{rep}$  (NNPDF2.1 for instance),
- 3 take the new dataset  $y = \{y_1, \dots, y_n\}$  and its eventual covariance matrix  $\sigma_{ij}$ ,
- **(3)** compute the  $\chi^2$  of each replica on the new set y according to usual formula:

$$\chi_k^2 = \sum_{i,j=1}^n (y_i - y_i[f_k]) \sigma_{ij}^{-1} (y_j - y_j[f_k])$$

where  $y_i[f_k]$  is the prediction for the experimental point  $y_i$  using the *k*-th replica, evaluate the weights according to the formula:

$$w_k \propto (\chi_k^2)^{rac{1}{2}(n-1)} e^{-rac{1}{2}\chi_k^2} \quad ext{with} \quad \sum_{k=1}^{N_{rep}} w_k = N_{rep} \, ,$$

Sompute the new expectation value of your favourite observable as:

$$\langle \mathcal{O} 
angle_{\textit{new}} = rac{1}{N_{\textit{rep}}} \sum_{k=1}^{N_{\textit{rep}}} w_k \mathcal{O}[f_k] \, .$$

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#### Unweighting allows to construct a standard PDF set (without weights) statistically equivalent to a given reweighted set.

#### Idea:

Given a weighted set of  $N_{rep}$  replicas, select (eventually more than once) replicas carrying relatively hight weight and discard replicas carrying relatively small weight.

#### Construction of the unweighted set:

**(**) Decide the number of replicas  $N'_{rep}$  of the unweighted set:

• pointless to choose  $N'_{rep} > N_{rep}$ : no gain of information.

ealculate for the k-th replica of the reweighted set the integer non negative number:

$$w'_{k} = \sum_{j=1}^{N'_{rep}} \theta\left(\frac{j}{N'_{rep}} - w_{k-1}\right) \theta\left(w_{k} - \frac{j}{N'_{rep}}\right) \quad \left(\Rightarrow \sum_{k=1}^{N_{rep}} w'_{k} = N'_{rep}\right) ,$$

**(a)** construct the unweighted set taking  $w'_k$  copies of the k-th replica, for  $k = 1, ..., N_{rep}$ .

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Reference: [arXiv:0911.0884]



- HERAPDF analysis: computation of parametrization uncertainty on top of the model (initial scale and heavy quark mass effects) and experimental uncertainty.
- In many regions the parametrization uncertainty is dominating.

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• Generation of artificial Monte Carlo data according to the distribution:

$$\mathcal{O}_{i}^{(art)(k)} = (1 + r_{norm}^{(k)}\sigma_{norm}) \left[ \mathcal{O}_{i}^{(exp)} + r_{stat}^{(k)}\sigma_{stat} + \sum_{p=1}^{N_{sys}} r_{sys,p}^{(k)}\sigma_{sys,p} \right]$$

where  $r_i^{(k)}$  are univariate (gaussianly distributed) random numbers.

• Validation of the Monte Carlo replicas against experimental data .



Red points: 10 replicas Green points: 100 replicas Blue points: 1000 replicas

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•  $\mathcal{O}(1000)$  replicas needed to reproduce correlations to the percent accuracy.

- Unbiased basis of functions parameterized by a very large and redundant set of parameters  $\Rightarrow$  Neural Networks.
- Each one of the 7 independent PDFs:

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Gluon	g(x)
Singlet	$\Sigma(x) = \sum_{q} (q(x) + \overline{q}(x))$
Valence	$V(x) = \sum_{q}^{r} (q(x) - \overline{q}(x))$
Triplet	$T_3(x) = (u(x) + \overline{u}(x)) - (u(x) + \overline{u}(x))$
Sea asymmetry	$\Delta_{\mathcal{S}}(x) = \overline{d}(x) - \overline{u}(x)$
Total Strangeness	$s^+(x) = s(x) + \overline{s}(x)$
Strange Valence	$s^{-}(x) = s(x) - \overline{s}(x)$

is parametrized at the initial scale  $Q_0^2 = 2 \text{ GeV}^2$  by an individual Neural Network having architecture 2-5-3-1  $\Rightarrow$  37 parameters.

#### 259 parameters Standard fits have $\sim 25$ parameters in total

$$\xi_i = g\left(\sum_j \omega_{ij}\xi_j + heta_i
ight),$$

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A redundant parametrization might fit not only to physical behavior but also to random statistical fluctuations of data.

#### UNDERLYING PHYSICAL LAW



A redundant parametrization might fit not only to physical behavior but also to random statistical fluctuations of data.



#### UNDERLEARNING

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A redundant parametrization might fit not only to physical behavior but also to random statistical fluctuations of data.



#### **PROPER LEARNING**

A redundant parametrization might fit not only to physical behavior but also to random statistical fluctuations of data.



#### **OVERLEARNING**

### Need for a suitable stopping criterion!

#### Cross-validation method:

- Divide data in two sets: training and validation (for each experiment).
- \* Random division for each replica (tipically  $f_t = f_v = 0.5$ ).
- \* Minimisation performed only on the training set. Meantime, the validation  $\chi^2$  is monitored.
- \* When the training  $\chi^2$  still decreases while the validation  $\chi^2$  stops decreasing  $\rightarrow$  STOP.



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- Simple functional forms  $q(x) = Ax^b(1-x)^c P(x)$  (CT, MSTW, ABKM, HERAPDF)  $\rightarrow$  systematic underestimation of uncertainties
- Artificial Neural Networks as universal interpolants (NNPDF)
   → avoid theoretical bias from choice of PDF functional form

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- We use Neural Networks as functions to represent PDFs at the starting scale.
- We employ Multilayer Feed-Forward Neural Networks trained using a Genetic Algorithm
- Activation determined by weights and thresholds:

$$\xi_i = g\left(\sum_j \omega_{ij}\xi_j - heta_i
ight), \qquad g(x) = rac{1}{1 + e^{-x}}$$

For instance, a (1-2-1) NN is:

$$\xi_{1}^{(3)} = \frac{1}{\substack{\theta_{1}^{(3)} - \frac{\omega_{11}^{(2)}}{1 + e^{1}} - \frac{\omega_{11}^{(2)}}{1 + e^{2}} - \frac{\omega_{11}^{(2)}}{1 + e^{2}} - \frac{\omega_{12}^{(2)}}{1 + e^{2}}}$$

• They provide a parametrization which is redundant and robust against variations.

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