

# NNPDF studies with Heavy Quarks

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INFN, Sezione di Milano

PDF4LHC, QCD at the LHC workshop  
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# Outline

What will not be discussed here

- ▶ Basics of the NNPDF methodology → M. U.'s talks
- ▶ Heavy quarks in DIS Theory and the FONLL GM scheme → P. Nason's talk

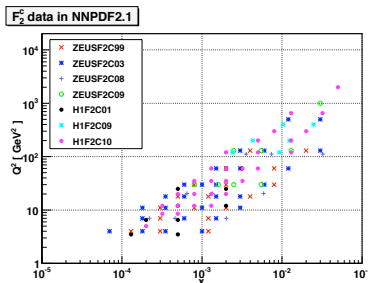
What we will talk about

- ▶ Impact of heavy quarks on PDFs and LHC observables
- ▶ Impact of the values of  $m_c$  and  $m_b$
- ▶ PDFs in fixed-flavour number schemes

# IMPACT OF HEAVY QUARK MASS EFFECTS IN THE NNPDF FRAMEWORK

# The NNPDF2.1 analysis

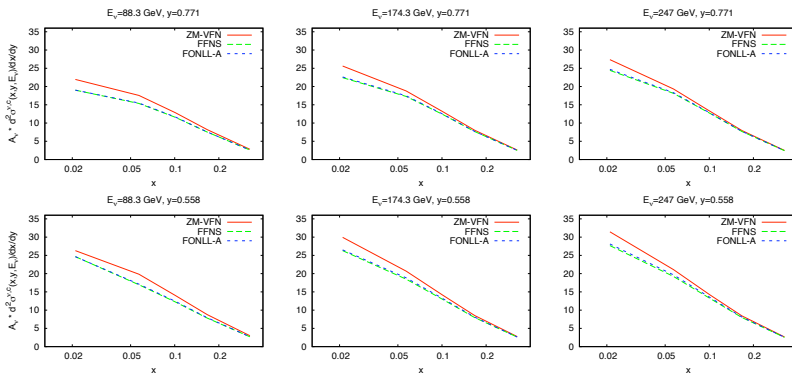
- ▶ **FONLL-A-Damp** as a General Mass scheme for NC and CC DIS observables
- ▶ Same dataset as NNPDF2.0 ([arXiv:1002.4407](#)), supplemented with **HERA  $F_2^c$**  data
- ▶ All results shown still **preliminary**



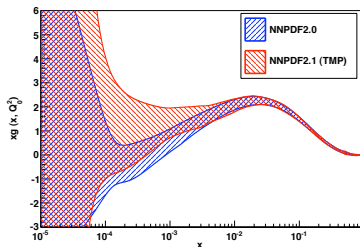
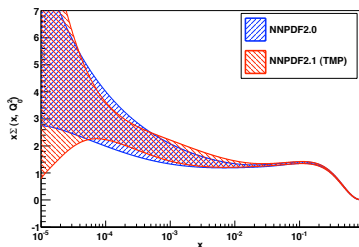
- ▶ For details on the FONLL GM scheme and its implementation in the NNPDF **FastKernel** framework, see [J. Rojo's talks](#) at PDF4LHC 01/10 and 07/10

# FONLL-A for Charged Current

The FONLL-A GM scheme also applies to **CC structure functions**  
In the NuTeV kinematical region  $\rightarrow$  **FONLL-A very close to FFNS**  
(Les Houches heavy quark benchmark settings)

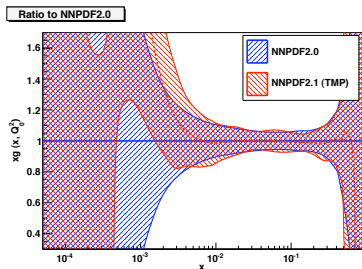
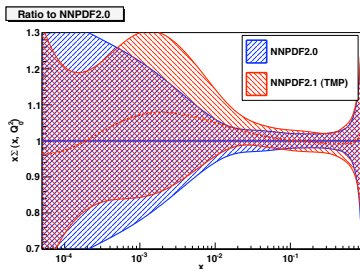


# The NNPDF2.1 analysis - PDFs at $Q_0^2 = 2 \text{ GeV}^2$



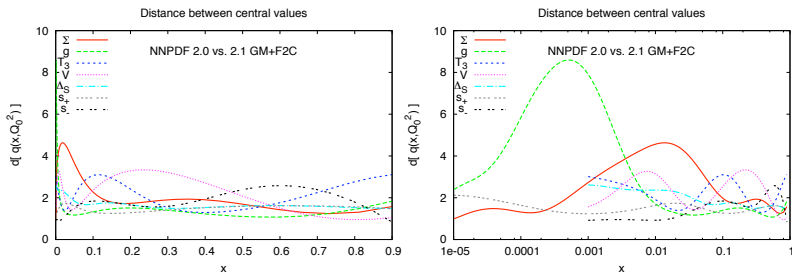
- ▶ HQ mass effects and  $F_2^c$  data enhance the singlet and the gluon PDFs at moderate and small- $x$
- ▶ NNPDF2.1 always within 1-sigma of NNPDF2.0 → HQ effects important though not dramatic
- ▶ Harder small- $x$  gluon partly from constraints of  $F_2^c(x, Q^2)$  data

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# The NNPDF2.1 analysis - PDFs at $Q_0^2 = 2 \text{ GeV}^2$



- Compute **distances** between PDF sets to quantify HQ impact

$$d^2 \left( \langle q^{(1)} \rangle, \langle q^{(2)} \rangle \right) = \frac{(\langle q^{(1)} \rangle_{(1)} - \langle q^{(2)} \rangle_{(2)})^2}{\sigma_{(1)}^2[\langle q^{(1)} \rangle] + \sigma_{(2)}^2[\langle q^{(2)} \rangle]}, \quad \sigma_{(i)}^2[\langle q^{(i)} \rangle] = \frac{1}{N_{\text{rep}}^{(i)}} \sigma_{(i)}^2[q^{(i)}] \quad (1)$$

- $d \sim 5$  for the singlet at  $x \sim 10^{-2}$  at  $Q_0^2 = 2 \text{ GeV}^2$
- $d \sim 8$  for the gluon at  $x \sim 10^{-3}$  at  $Q_0^2 = 2 \text{ GeV}^2$



# FONLL: treatment of subleading terms

- ▶ The FONLL  $F_{2c}$  structure function reads

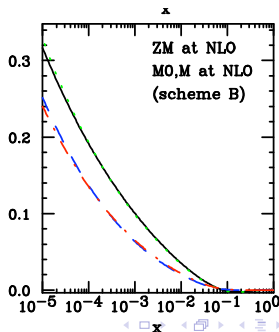
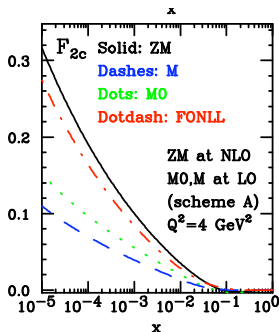
$$F_{2c}^{\text{fonll}}(x, Q^2) = F_{2c}^{(n_f)}(x, Q^2) + \Theta(Q^2 - m_c^2) \left(1 - \frac{m_c^2}{Q^2}\right)^2 \left(F_{2c}^{(n_f+1)}(x, Q^2) - F_{2c}^{(n_f,0)}(x, Q^2)\right)$$

with  $F_{2c}^{(n_f,0)}$  the massless limit of  $F_{2c}^{(n_f)}$

- ▶ The *difference term*  $\left(F_{2c}^{(n_f+1)} - F_{2c}^{(n_f,0)}\right)$  is  $\mathcal{O}(\alpha_s^2)$  for  $Q^2 \gtrsim m_c^2$ , but numerically it turns out to be non-negligible  
→ can be suppressed by terms that go to 1 when  $Q^2 \gg m_c^2$
- ▶ Possible choices are a **threshold damping factor**, or different forms of the  **$\chi$ -prescription**
- ▶ This threshold ambiguity is an **inherent theoretical uncertainty** to any General-Mass scheme, **can it be minimized?**

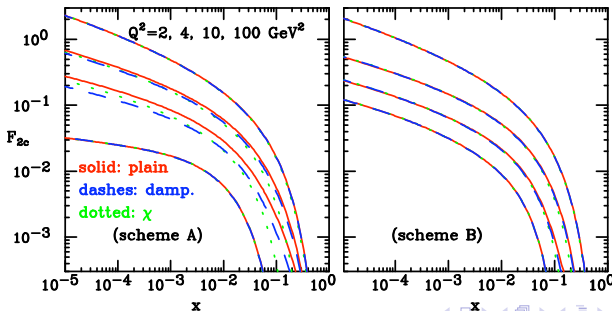
# FONLL: treatment of subleading terms

- ▶ FONLL allows to combine different perturbative orders in ZM and FFNS terms
- ▶ FONLL-A combines the ZM scheme at  $\mathcal{O}(\alpha_s)$  with the FFNS scheme at  $\mathcal{O}(\alpha_s)$   
→ Identical to S-ACOT
- ▶ FONLL-B combines the ZM scheme at  $\mathcal{O}(\alpha_s)$  with the FFNS scheme at  $\mathcal{O}(\alpha_s^2)$
- ▶ Advantage I: take into account consistently  $\mathcal{O}(\alpha_s^2)$  massive contributions, phenomenologically important at small  $x$  and  $Q^2$



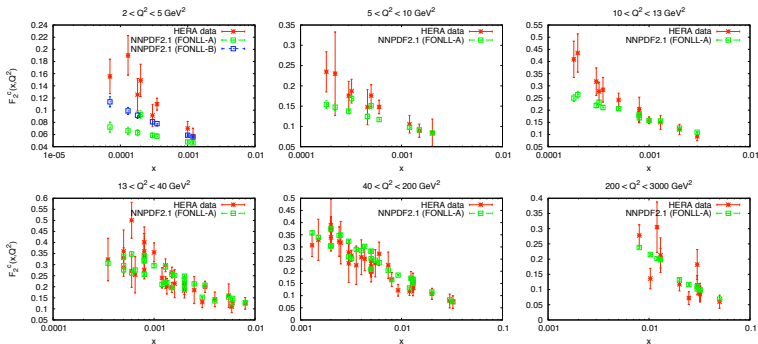
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- ▶ FONLL-B combines the ZM scheme at  $\mathcal{O}(\alpha_s)$  with the FFNS scheme at  $\mathcal{O}(\alpha_s^2)$
- ▶ Advantage II: reduction of dependence on arbitrary threshold prescription –  
 $F_{2c}^{\text{fonll}} \sim F_{2c}^{(n_1)}$  up to moderate  $Q^2$ , with accuracy of  $F_{2c}^{(n_1)} \mathcal{O}(\alpha_s^2)$



# Impact of $F_2^c$ data in NNPDF2.1

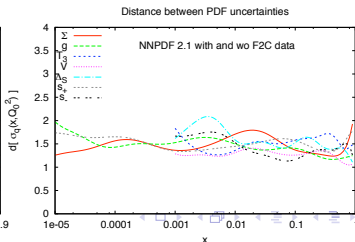
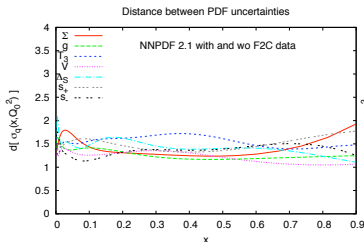
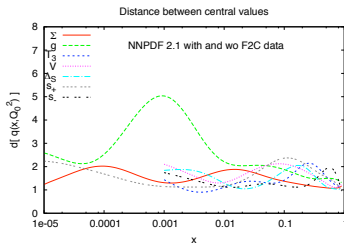
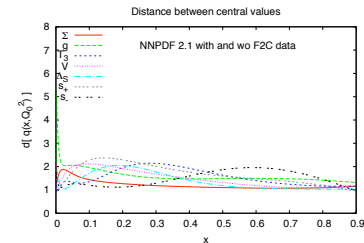
Good description of  $F_2^c$  data except at the smallest  $x$  and  $Q^2$  bins  
FONLL-A does not account for large  $\mathcal{O}(\alpha_s^2)$  corrections to  $F_2^c$  in the FFNS



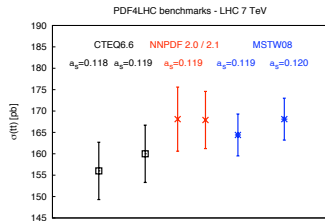
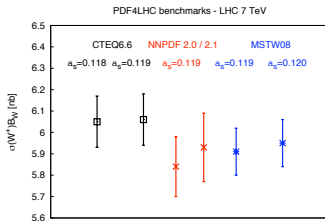
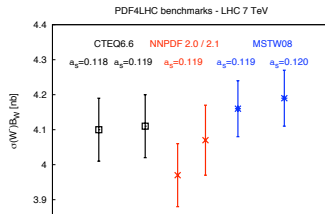
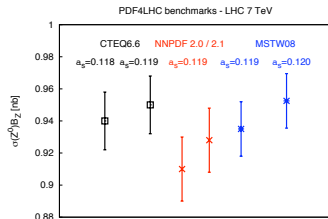
Update analysis with Combined HERA  $F_2^c$  dataset and with the FONLL-B GM scheme

# Impact of $F_2^c$ data in NNPDF2.1

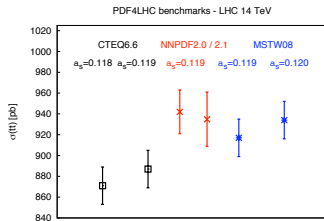
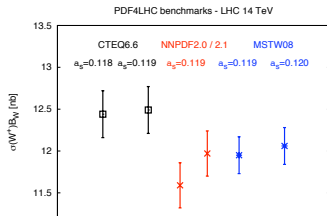
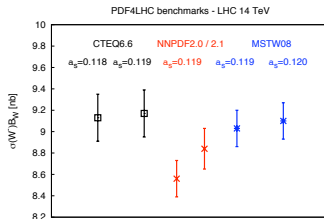
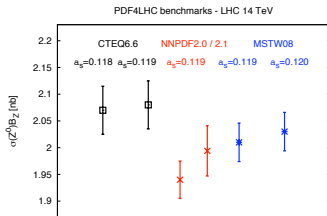
$F_2^c$  data lead to an important constraint on the **small- $x$  gluon**  
 $\rightarrow \sim 1/2$ -sigma shift at  $x \sim 10^{-3}$



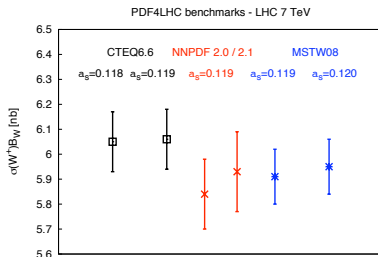
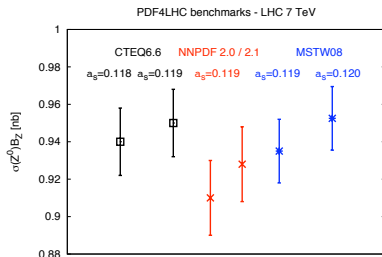
# Impact on LHC observables - 7 and 14 TeV



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- ▶ **HQ mass effects** and  $F_2^c$  data amount to an about  $\sim 1$ -sigma shift in LHC observables at 7 TeV and at 14 TeV
- ▶ **NNPDF2.1** predictions in excellent agreement with **MSTW08** for all observables
- ▶ Only **marginal agreement** with **CTEQ6.6** for most observables (also Higgs)
- ▶ Using **common  $\alpha_s$**  increases the agreement



# HEAVY QUARK PDFs

# Heavy quark PDFs

- ▶ Heavy quark PDFs,  $c(x, Q^2)$  and  $b(x, Q^2)$ , are much more dependent in the heavy quark mass chosen than on the VFN scheme adopted
- ▶ PDG values for HQ masses given by (NNLO scheme transformation)

$$m_c^{\overline{\text{MS}}} = 1.27_{-0.11}^{+0.07} \text{ GeV} \rightarrow m_c^{\text{pole}} \sim 1.73_{-0.10}^{+0.07} \text{ GeV}$$

$$m_b^{\overline{\text{MS}}} = 4.20_{-0.07}^{+0.17} \text{ GeV} \rightarrow m_b^{\text{pole}} \sim 4.90_{-0.08}^{+0.18} \text{ GeV}$$

but perturbative expansion for  $m_c^{\overline{\text{MS}}}$  poorly convergent

- ▶ Crucial problem  $\rightarrow$  Define best possible estimates of  $m_c$  and  $m_b$  and their associated uncertainties (analogously to the  $\alpha_s(M_Z)$  case)
- ▶ The issue of the possibility of extracting  $m_c$ ,  $m_b$  from the global fit should be separated from the choice of best  $m_h$  and  $\delta m_h$ , determined from many other external measurements

# Impact of $\delta m_c$ on LHC observables - 7 TeV

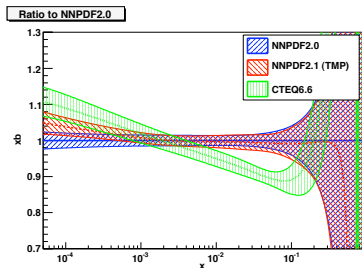
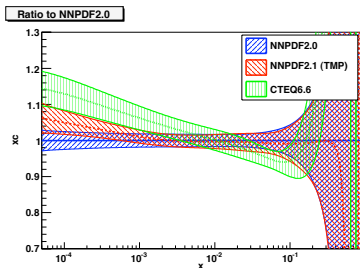
NNPDF2.1 analysis repeated for different  $m_c$  values

	$W^+ B_{l\nu}$	$W^- B_{l\nu}$	$Z^0 B_{\bar{l}l}$	$t\bar{t}$
$m_c = 1.41$	$5.93 \pm 0.16$	$4.07 \pm 0.10$	$0.930 \pm 0.02$	$167 \pm 7$
$m_c = 1.58$	$6.04 \pm 0.10$	$4.11 \pm 0.07$	$0.945 \pm 0.013$	$164 \pm 5$
$m_c = 1.70$	$6.10 \pm 0.15$	$4.16 \pm 0.10$	$0.956 \pm 0.02$	$163 \pm 7$

- ▶ Non-negligible impact of  $m_c$  variations, but not dramatic
- ▶ Uncertainties  $\delta m_c \sim 0.10$  (PDG uncertainty) induce variations in  $\sigma(W^\pm)$  and  $\sigma(Z)$  below the 1-sigma PDF uncertainty
- ▶ Crucial problem  $\rightarrow$  Define best estimate for  $\delta m_c$ !
- ▶ Similar studies performed by MSTW and HERAPDF
- ▶ The correlation between cross-sections and  $m_c$  can be easily computed in the NNPDF approach

# Heavy quark PDFs

Ratio to NNPDF2.0 at  $Q^2 = 10^4 \text{ GeV}^2$

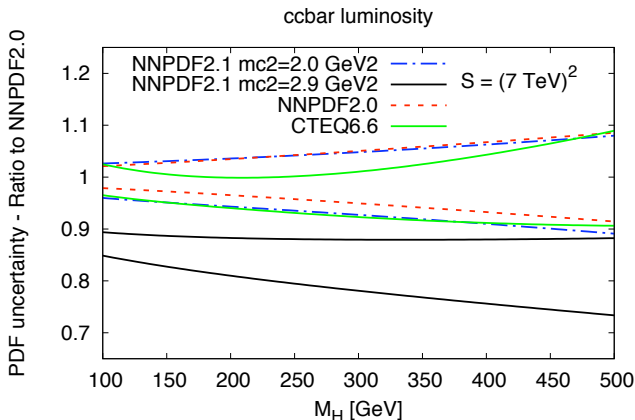


- ▶ Same pattern for  $c(x, Q^2)$  and  $b(x, Q^2)$  (Common evolution from singlet and gluon)
- ▶ Systematic discrepancy in b PDF for  $x \in [0.01, 0.1]$  unrelated to ZM/GM differences, rather to different choices for  $m_b$

# Heavy quark PDFs

Luminosity  $c\bar{c}$  at 7 TeV, Dependence on the charm quark mass and the GM scheme

The value of  $m_c$  more important than ZM/GM difference

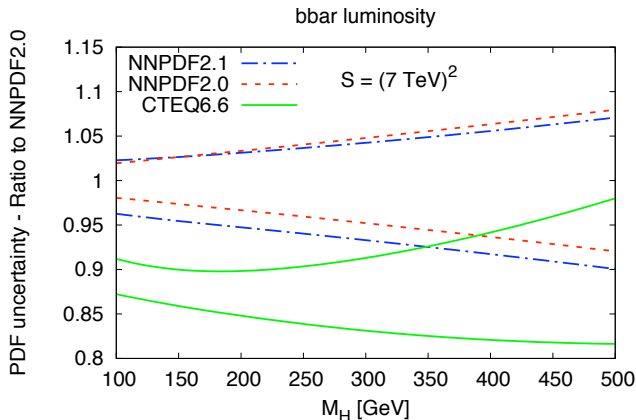


# The $b$ PDF

The  $b(x, Q^2)$  PDF is **anticorrelated** with  $m_b$

Different values of  $m_b$  lead to very different  $b\bar{b}$  luminosities

The differences in  $m_b$  much larger than the GM-ZM differences

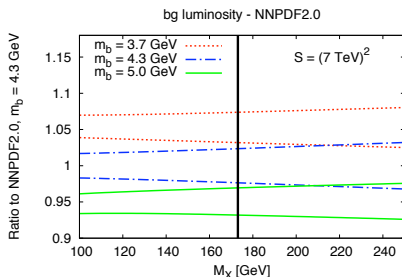


# The $b$ PDF

Taking into account uncertainty induced by  $m_b$  (correlated with the  $b$ -PDF) crucial for important LHC processes: **single-top, MSSM Higgs, ...**

Example: single top t-channel production:  $m_b$ -uncertainty  $\gg$  PDF uncertainty

Differences both from PDF luminosity and from matrix element



NNPDF2.0	$\sigma(t)_{t\text{-channel}}$
$m_b = 3.7$ GeV	$46.77 \pm 0.36$ pb
$m_b = 4.3$ GeV	$44.33 \pm 0.32$ pb
$m_b = 5.0$ GeV	$41.04 \pm 0.32$ pb

The **uncertainty in  $m_b$**  and its correlation with the  $b$  PDF are crucial for  **$b$ -initiated processes** at the LHC

Crucial to determine best estimates for  $m_b$ ,  $\delta m_b$

# PDF and heavy quark mass uncertainties

NNPDF2.1 sets for a range of different values of  $m_c$  and  $m_b$  will be provided

→ Combined PDF+ $m_h$  uncertainties, exact error propagation to physical observables

$$\langle \mathcal{F} \rangle_{\text{rep}} = \frac{1}{N_{\text{rep}}} \sum_{i=1}^{N_{m_c}} \sum_{j=1}^{N_{m_b}} \sum_{k_{ij}=1}^{N_{\text{rep}}^{(i,j)}} \mathcal{F} \left( \text{PDF}^{(k_{ij}, i, j)}, m_c^{(i)}, m_b^{(j)} \right),$$

$\text{PDF}^{(k_{ij}, i, j)}$  stands for the replica  $k_{ij}$  of the PDF fit obtained using  $m_c^{(i)}$  and  $m_b^{(j)}$

$$N_{\text{rep}} = \sum_i^{N_{m_c}} \sum_j^{N_{m_b}} N_{\text{rep}}^{(i,j)},$$

$N_{\text{rep}}^{(i,j)}$  number of PDF replicas randomly selected from the fit obtained with  $m_c^{(i)}, m_b^{(j)}$

$$N_{\text{rep}}^{(i,j)} \propto \exp \left( -\frac{\left(m_c^{(i)} - m_c^{(0)}\right)^2}{2\delta_{m_c}^2} - \frac{\left(m_b^{(j)} - m_b^{(0)}\right)^2}{2\delta_{m_b}^2} \right).$$

Important advantage: No extra CPU time required! (Set  $N_{\text{rep}} = 100$ )

Another advantage: both  $m_c^{(0)}, m_b^{(0)}$  and  $\delta_{m_c}, \delta_{m_b}$  can be decided by the PDF user



# PDFs WITH FIXED-FLAVOR NUMBER

# PDFs with Fixed Flavor Number

- ▶ PDF in the Fixed Flavour  $N_f = 3$  and  $N_f = 4$  schemes important for **LHC phenomenology**
- ▶ FFN sets can easily be obtained from  $N_f = 5$  GM PDF sets by **matching PDFs and  $\alpha_s$**  at the HQ mass threshold

$$\text{PDFs}^{(N_f)}(Q^2 = m_h^2) = \text{PDFs}^{(N_f+1)}(Q^2 = m_h^2)$$

$$\alpha_s^{(N_f)}(Q^2 = m_h^2) = \alpha_s^{(N_f+1)}(Q^2 = m_h^2) ,$$

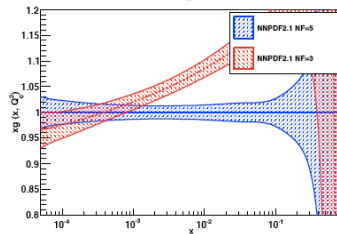
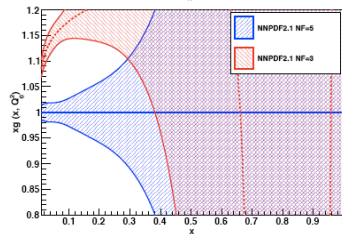
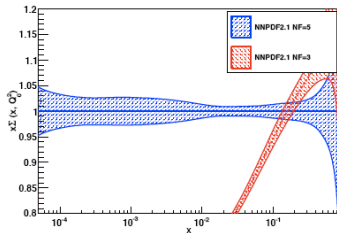
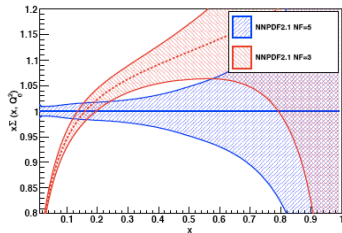
and then evolving upwards with fixed  $N_f$

- ▶ Bypass problems related to **unknown massive FFN** coefficient functions for jets and DY
- ▶ Same approach adopted by **CT** and **MSTW**

# NNPDF2.1 $N_f = 3$ PDFs

$N_f = 3$  and  $N_f = 4$  sets of NNPDF2.1 will be provided

Compare  $N_f = 3$  with  $N_f = 5$  PDFs at LHC scale  $Q^2 = 10^4 \text{ GeV}^2$



# Summary

- ▶ The NNPDF2.1 analysis is based in the FONLL General Mass scheme for heavy quark effects. Will be released in the coming weeks.
- ▶ NNPDF2.1 sets with different values of  $m_c$  and  $m_b$  will be provided
- ▶  $N_f = 3$  and  $N_f = 4$  PDF sets will also be provided
- ▶ The impact of  $m_c$  variations on LHC observables is comparable in size to GM/ZM differences
- ▶ The b-PDF depends crucial on the value of  $m_b$  → Important phenomenological impact in b-initiated LHC processes
- ▶ Within NNPDF, easy to compute and propagate the correlation between PDFs and heavy quark masses
- ▶ The choice of the heavy quark mass  $m_h$  can be as important as the ZM/GM difference → Crucial problem to converge on a common choice of best estimates for  $m_h$  and  $\delta m_h$

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- ▶ The **NNPDF2.1 analysis** is based in the **FONLL General Mass** scheme for heavy quark effects. Will be released in the coming weeks.
- ▶ NNPDF2.1 sets with different values of  $m_c$  and  $m_b$  will be provided
- ▶  $N_f = 3$  and  $N_f = 4$  PDF sets will also be provided
- ▶ The impact of  $m_c$  variations on LHC observables is comparable in size to GM/ZM differences
- ▶ The **b-PDF** depends crucial on the value of  $m_b \rightarrow$  Important phenomenological impact in b-initiated LHC processes
- ▶ **Within NNPDF, easy to compute and propagate the correlation between PDFs and heavy quark masses**
- ▶ The choice of the heavy quark mass  $m_h$  can be as important as the ZM/GM difference  $\rightarrow$  Crucial problem to converge on a common choice of *best estimates* for  $m_h$  and  $\delta m_h$

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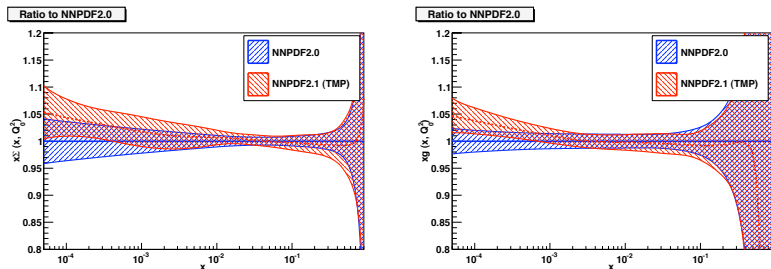
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# EXTRA MATERIAL

# The NNPDF2.1 analysis - PDFs at $Q_0^2 = 10^4 \text{ GeV}^2$

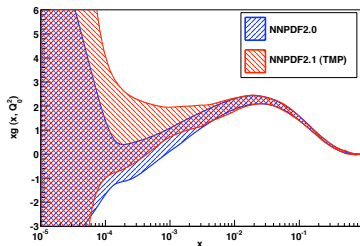
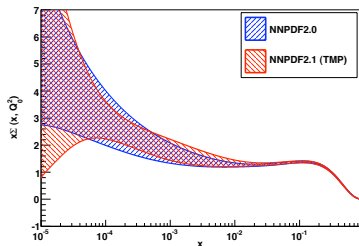
Compare PDFs at the LHC scale  $\rightarrow$  Assess effects of **quark-gluon mixing in DGLAP evolution**



Note greatly **reduced small-x PDF uncertainties**

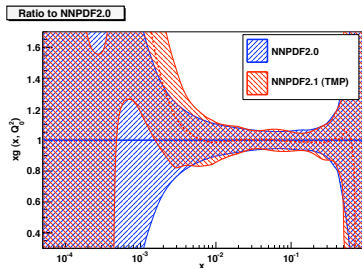
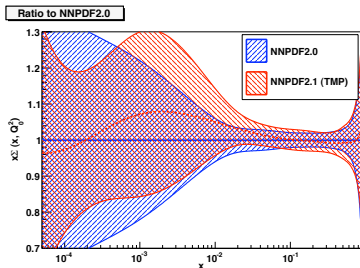
NNPDF2.0 and 2.1 always consistent within uncertainties

# The NNPDF2.1 analysis - PDFs at $Q_0^2 = 2 \text{ GeV}^2$



- ▶ HQ mass effects and  $F_2^c$  data enhance the singlet and the gluon PDFs at moderate and small- $x$
- ▶ NNPDF2.1 always within 1-sigma of NNPDF2.0  $\rightarrow$  HQ effects important though not dramatic
- ▶ Harder small- $x$  gluon partly from constraints of  $F_2^c(x, Q^2)$  data

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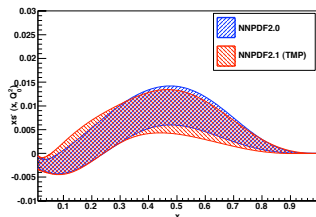
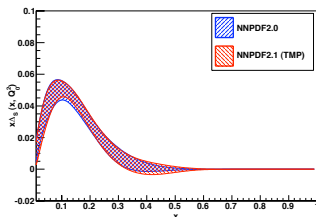
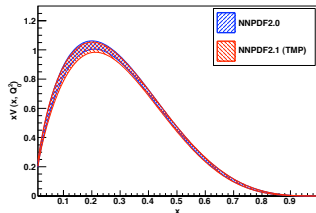
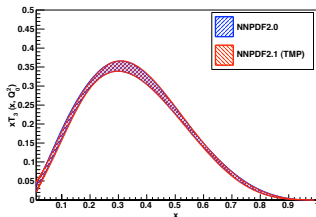


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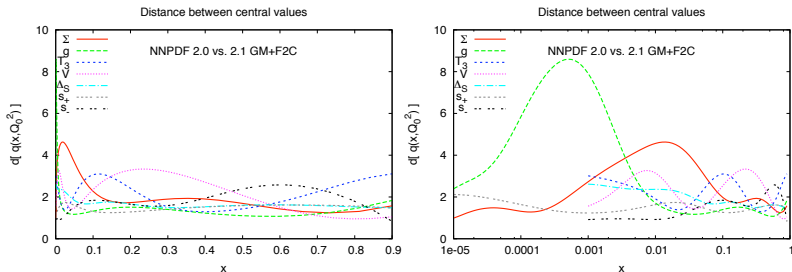


# The NNPDF2.1 analysis - PDFs at $Q_0^2 = 2 \text{ GeV}^2$

Large- $x$  valence PDFs **consistently unaffected** by HQ effects



# The NNPDF2.1 analysis - PDFs at $Q_0^2 = 2 \text{ GeV}^2$



- Compute **distances** between PDF sets to quantify HQ impact

$$d^2 \left( \langle q^{(1)} \rangle, \langle q^{(2)} \rangle \right) = \frac{(\langle q^{(1)} \rangle_{(1)} - \langle q^{(2)} \rangle_{(2)})^2}{\sigma_{(1)}^2[\langle q^{(1)} \rangle] + \sigma_{(2)}^2[\langle q^{(2)} \rangle]}, \quad \sigma_{(i)}^2[\langle q^{(i)} \rangle] = \frac{1}{N_{\text{rep}}^{(i)}} \sigma_{(i)}^2[q^{(i)}] \quad (2)$$

- $d \sim 5$  for the singlet at  $x \sim 10^{-2}$  at  $Q_0^2 = 2 \text{ GeV}^2$
- $d \sim 8$  for the gluon at  $x \sim 10^{-3}$  at  $Q_0^2 = 2 \text{ GeV}^2$

# The NNPDF2.1 analysis - Dataset description (Prel.)

Experiment/Set	2.0	2.0 + GM	2.1
Total	<b>1.20</b>	<b>1.23</b>	<b>1.21</b>
NMC-pd	1.04	1.03	0.96
NMC	1.69	1.51	1.61
SLAC	1.30	1.31	1.31
BCDMS	1.30	1.19	1.21
HERA1-av	1.13	1.28	1.11
HERA1-NCep	<b>1.32</b>	<b>1.56</b>	<b>1.29</b>
HERA1-NCem	0.85	0.88	0.82
HERA1-CCep	0.97	0.97	0.96
HERA1-CCem	0.57	0.57	0.57
ZEUS-H2	1.24	1.27	1.23
ZEUSF2C	1.80	2.14	1.89
H1F2C	1.67	1.70	1.59
CHORUS	1.20	1.18	1.19
NTVDMN	0.70	0.71	0.71

- ▶ Overall fit quality almost **identical** between GM and ZM fits
- ▶ Quality of **FT DIS data** (NMC, BCDMS) improves in the GM fit as compared to ZM
- ▶ Quality of fit to HERA-I data **unaffected**
- ▶ Heavy quark effects are **absorbed into the PDFs** in the ZM fit of HERA1-NCep data
- ▶ Fit to  $F_2^c$  data not completely satisfactory (see after)

# FONLL in a nutshell

- Express the massive result  $F^{(n_l)}$  in terms of the massless PDFs and  $\alpha_s$  (non trivial from  $\mathcal{O}(\alpha_s^2)$ )

$$F^{(n_l)}(x, Q^2) = x \int_x^1 \frac{dy}{y} \sum_{i=q, \bar{q}, g} B_i \left( \frac{x}{y}, \frac{Q^2}{m^2}, \alpha_s^{(n_l+1)}(Q^2) \right) f_i^{(n_l+1)}(y, Q^2),$$

- Define **massless limit of the massive computation** as

$$F^{(n_l, 0)}(x, Q^2) \equiv x \int_x^1 \frac{dy}{y} \sum_{i=q, \bar{q}, g} B_i^{(0)} \left( \frac{x}{y}, \frac{Q^2}{m^2}, \alpha_s^{(n_l+1)}(Q^2) \right) f_i^{(n_l+1)}(y, Q^2),$$

$$\lim_{m \rightarrow 0} \left[ B_i \left( x, \frac{Q^2}{m^2} \right) - B_i^{(0)} \left( x, \frac{Q^2}{m^2} \right) \right] = 0$$

- The FONLL approximation is then

$$F^{\text{FONLL}}(x, Q^2) \equiv F^{(d)}(x, Q^2) + F^{(n_l)}(x, Q^2),$$

$$F^{(d)}(x, Q^2) \equiv \left[ F^{(n_l+1)}(x, Q^2) - F^{(n_l, 0)}(x, Q^2) \right]$$

Important technical advantage: PDFs and  $\alpha_s$  expressed always in the  $(n_l + 1)$  scheme

# FONLL in a nutshell

- Far from threshold,  $Q^2 \gg m^2$   $F^{(n_l, 0)}(x, Q^2) \sim F^{(n_l)}(x, Q^2) \rightarrow$  the massless computation recovered

$$F^{\text{FONLL}}(x, Q^2) \sim F^{(n_l+1)}(x, Q^2)$$

- Near threshold the “difference term” is formally higher order but unreliable, so one can correct it by mass suppressed terms, using for example a damping factor (FONLL default)

$$F^{(d, th)}(x, Q^2) \equiv f_{\text{thr}}(x, Q^2) F^{(d)}(x, Q^2), \quad f_{\text{thr}}(x, Q^2) = \Theta(Q^2 - m^2) \left(1 - \frac{Q^2}{m^2}\right)^2,$$

or some form of  $\chi$ -scaling,

$$F^{(d, \chi)}(x, Q^2) \equiv F^{(d)}(x, Q^2) = x \int_{\chi(x, Q^2)} \frac{dy}{y} C\left(\frac{\chi(x, Q^2)}{y}, \alpha(Q^2)\right) f(y, Q^2),$$

$$F^{(d, \chi, v^2)}(x, Q^2) \equiv F^{(d)}(\chi(x, Q^2), Q^2), \quad \chi = x \left(1 + \frac{4m^2}{Q^2}\right).$$

The choice of threshold prescription represent an intrinsic ambiguity of the matching procedure. Can this ambiguity be minimized?

# Perturbative ordering in FONLL

Three FONLL schemes for different **ordering of the perturbative expansion** can be defined:

1. Scheme A  $\rightarrow \mathcal{O}(\alpha_s)$  in massless and in massive
2. Scheme B  $\rightarrow \mathcal{O}(\alpha_s)$  in massless and  $\mathcal{O}(\alpha_s^2)$  in massive
3. Scheme C  $\rightarrow \mathcal{O}(\alpha_s^2)$  in massless and in massive

In any of the three schemes, **any threshold prescription** can be implemented  
These schemes can be related to **existing approaches**

1. Scheme A is identical to S-ACOT
2. Scheme B was formulated with similar scope as TR (use the information from the  $\mathcal{O}(\alpha_s^2)$  massive computation in a NLO GM-VFN scheme), but they turn to be **different**
3. Scheme C should be S-ACOT at NNLO?