

# Collinear polarised PDFs: recent results on helicity and transversity extractions

5<sup>th</sup> International Workshop on Transverse Polarization Phenomena  
in Hard Processes

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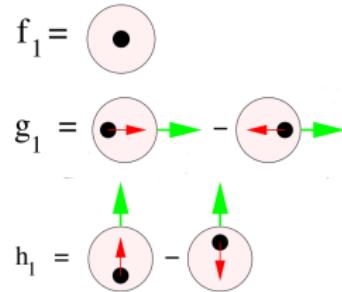
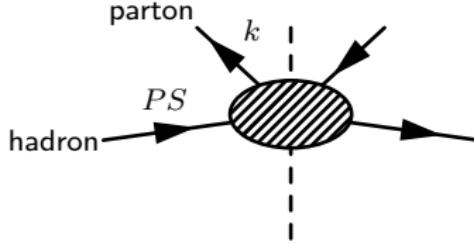
Laboratori Nazionali di Frascati – 13<sup>th</sup> December 2017

Foreword: (collinear) leading twist PDF map

## quark polarization

| nucleon polarization | U              | L        | T                                     |
|----------------------|----------------|----------|---------------------------------------|
| U                    | $f_1$          |          | $h_1^\perp$                           |
| L                    |                | $g_{1L}$ | $h_{1L}^\perp$                        |
| T                    | $f_{1T}^\perp$ | $g_{1T}$ | $\textcolor{red}{h}_1 \ h_{1T}^\perp$ |

# Foreword: (collinear) leading twist PDF map



$$\phi_{ij}(k; P, S) = 2\pi \sum_X \int \frac{d^3 \mathbf{P}_X}{2E_X} \delta^4(P - k - P_X) \langle P, S | \bar{\psi}_j(0) | X \rangle \langle X | \psi_i(0) | P, S \rangle$$

$$\boxed{\phi(x, S) = \frac{1}{2} \left[ \mathbf{f}_1(x) \not{p}_+ + S_L \mathbf{g}_1(x) \gamma^5 \not{p}_+ + \mathbf{h}_1 i \sigma_{\mu\nu} \gamma^5 n_+^\mu S_T^\nu \right]}$$

In this talk  $\mathbf{f}_1 \rightarrow f$ ,  $\mathbf{g}_1 \rightarrow \Delta f$  and  $\mathbf{h}_1 \rightarrow h_1$

$$f(x) = \frac{1}{4\pi} \int dy^- e^{-ixP^+y^-} \langle P, S | \bar{\psi}_f(0, 0, \mathbf{0}_\perp) \gamma^+ \mathcal{P} \psi_f(0, y^-, \mathbf{0}_\perp) | P, S \rangle$$

$$\Delta f(x) = \frac{1}{4\pi} \int dy^- e^{-ixP^+y^-} \langle P, S | \bar{\psi}_f(0, 0, \mathbf{0}_\perp) \gamma^+ \gamma^5 \mathcal{P} \psi_f(0, y^-, \mathbf{0}_\perp) | P, S \rangle$$

$$h_1(x) = \frac{1}{4\pi} \int dy^- e^{-ixP^+y^-} \langle P, S | \bar{\psi}_f(0, 0, \mathbf{0}_\perp) i \sigma^{1+} \gamma^5 \mathcal{P} \psi_f(0, y^-, \mathbf{0}_\perp) | P, S \rangle$$

# 1. Collinear helicity

# Experimental probes

| Process | Reaction   | Subprocess   | PDFs probed  | $x$  | $Q^2/p_T^2/M^2 [\text{GeV}^2]$  |
|---------|--|--|--|--|---|
|         | $\ell^\pm \{p, d, n\} \rightarrow \ell^\pm X$  | $\gamma^* q \rightarrow q$   | $\Delta q + \Delta \bar{q}$<br>$\Delta g$  | $0.003 \lesssim x \lesssim 0.8$  | $1 \lesssim Q^2 \lesssim 70$  |
|         | $\ell^\pm \{p, d\} \rightarrow \ell^\pm h X$   | $\gamma^* q \rightarrow q$   | $\Delta u \Delta \bar{u}$<br>$\Delta d \Delta \bar{d}$<br>$\Delta g$               | $0.005 \lesssim x \lesssim 0.5$  | $1 \lesssim Q^2 \lesssim 60$  |
|         | $\ell^\pm \{p, d\} \rightarrow \ell^\pm DX$  | $\gamma^* g \rightarrow c\bar{c}$  | $\Delta g$   | $0.06 \lesssim x \lesssim 0.2$   | $\sim 10$   |
|         | $\vec{p} \vec{p} \rightarrow \text{jet}(s)X$<br>$\vec{p} p \rightarrow W^\pm X$<br>$\vec{p} \vec{p} \rightarrow \pi X$ | $gg \rightarrow qg$<br>$q\bar{q} \rightarrow qg$<br>$u_L \bar{d}_R \rightarrow W^+$<br>$d_L \bar{u}_R \rightarrow W^-$<br>$gg \rightarrow qg$<br>$q\bar{q} \rightarrow qg$ | $\Delta g$<br>$\Delta u \Delta \bar{u}$<br>$\Delta d \Delta \bar{d}$<br>$\Delta g$ | $0.05 \lesssim x \lesssim 0.2$<br>$0.05 \lesssim x \lesssim 0.4$<br>$0.05 \lesssim x \lesssim 0.4$ | $30 \lesssim p_T^2 \lesssim 800$<br>$\sim M_W^2$<br>$1 \lesssim p_T^2 \lesssim 200$ |

DIS : 
$$g_1 = \frac{\sum_q^{n_f} e_q^2}{2n_f} (C_{\text{NS}} \otimes \Delta q_{\text{NS}} + C_S \otimes \Delta \Sigma + 2n_f C_g \otimes \Delta g)$$

SIDIS : 
$$g_1^h = \sum_{q, \bar{q}} e_q^2 \left[ \Delta q \otimes C_{qq}^{1,h} \otimes D_q^h + \Delta q \otimes C_{gq}^{1,h} \otimes D_g^h + \Delta g \otimes C_{qg}^{1,h} \otimes D_q^h \right]$$

pp : 
$$\Delta\sigma = \sigma^{(+) +} - \sigma^{(+) -} = \sum_{a,b,(c)} \Delta f_a \otimes (\Delta) f_b (\otimes D_c^h) \otimes \Delta \hat{\sigma}_{ab}^{(c)}$$

Coefficient functions are known up to NNLO for DIS and up to NLO for SIDIS and pp  
 Splitting functions known up to NNLO [NP B889 (2014) 351]

# Available determinations of polarised PDFs

More than 20 years of NLO studies of polarised PDFs

Gehrman, Stirling [PRD 53 (1996) 6100], Altarelli, Ball, Forte, Ridolfi [APP B29 (1998) 1145],  
de Florian, Sassot [PRD 57 (1998) 5803], Glück, Reya, Stratmann, Vogelsang [PRD 63 (2001) 094005] ...

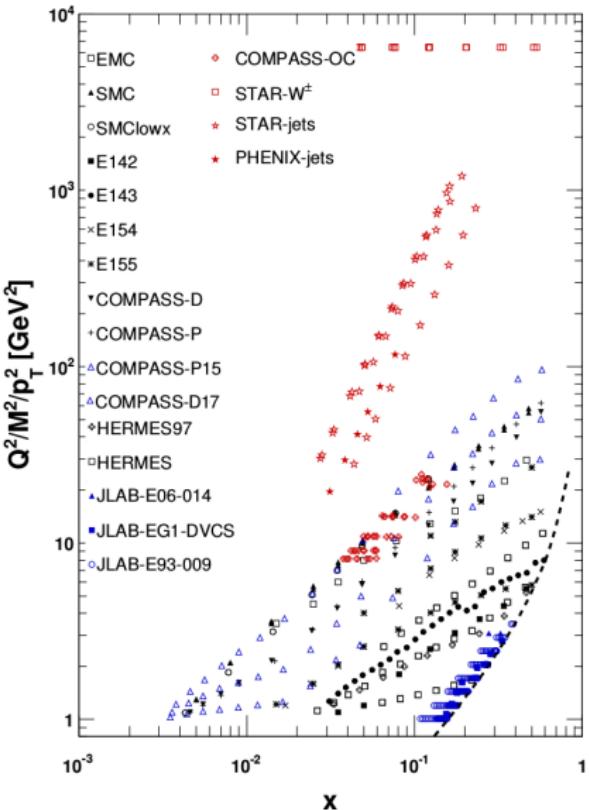
Key players over recent years (all use ZM-VFN scheme and  $\overline{\text{MS}}$ )

|                       | DSSV   | NNPDF   | JAM   |
|-----------------------|--|---|---|
| DIS                   | <input checked="" type="checkbox"/>                          | <input checked="" type="checkbox"/>                             | <input checked="" type="checkbox"/>                       |
| SIDIS                 | <input checked="" type="checkbox"/>                          | <input checked="" type="checkbox"/>                             | <input checked="" type="checkbox"/>                       |
| $pp$                  | <input checked="" type="checkbox"/> ( $\text{jets}, \pi^0$ ) | <input checked="" type="checkbox"/> ( $\text{jets}, W^\pm$ )    | <input checked="" type="checkbox"/>                       |
| statistical treatment | Lagr. mult.<br>$\Delta\chi^2/\chi^2 = 2\%$                   | Monte Carlo   | Monte Carlo   |
| parametrization       | polynomial<br>(23 pars)                                      | neural network<br>(259 pars)                                    | polynomial<br>(10 pars)                                   |
| features              | global fit   | minimally biased fit  | large- $x$ effects  |
| latest updates        | DSSV08 PRD 80 (2009) 034030<br>DSSV14 PRL 113 (2014) 012001  | NNPDFpol1.0 NPB 874 (2013) 36<br>NNPDFpol1.1 NPB 887 (2014) 276 | JAM15 PRD 93 (2016) 074005<br>JAM17 PRL 119 (2017) 132001 |

Complementary insights from *less global* studies

Leader, Stamenov, Sidorov [PRD 82 (2010) 114018], Blümlein, Böttcher [NPB 841 (2010) 205],  
Hirai, Kumano [NPB 813 (2009) 106], Bourrely, Buccella, Soffer [NPA 941 (2015) 307],  
Khanpour et al. (DIS only, NNLO) [PR D93 (2016) 114024], ...

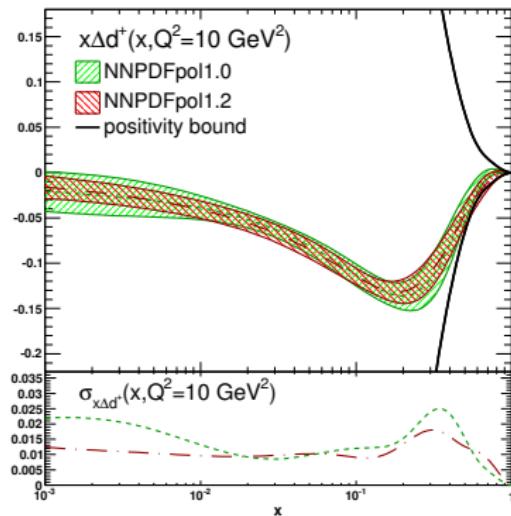
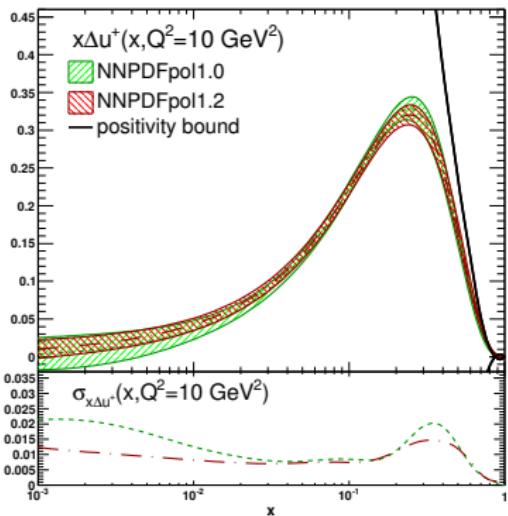
# Kinematic coverage and fit quality (from NNPDF)



\* data set not included in the corresponding fit

| EXPERIMENT                           | $N_{\text{dat}}$ | $\chi^2/N_{\text{dat}}$ |       |      |
|--------------------------------------|------------------|-------------------------|-------|------|
|                                      |                  | 1.0                     | 1.1   | 1.2  |
| EMC                                  | 10               | 0.44                    | 0.43  | 0.43 |
| SMC                                  | 24               | 0.93                    | 0.90  | 0.92 |
| SMClowx                              | 16               | 0.97                    | 0.97  | 0.94 |
| E142                                 | 8                | 0.67                    | 0.66  | 0.55 |
| E143                                 | 50               | 0.64                    | 0.67  | 0.63 |
| E154                                 | 11               | 0.40                    | 0.45  | 0.34 |
| E155                                 | 40               | 0.89                    | 0.85  | 0.98 |
| COMPASS-D                            | 15               | 0.65                    | 0.70  | 0.57 |
| COMPASS-P                            | 15               | 1.31                    | 1.38  | 0.93 |
| HERMES97                             | 8                | 0.34                    | 0.34  | 0.23 |
| HERMES                               | 56               | 0.79                    | 0.82  | 0.69 |
| <b>new</b> COMPASS-P-15              | 51               | 0.98*                   | 0.99* | 0.65 |
| <b>new</b> COMPASS-D-17              | 15               | 1.32*                   | 1.32* | 0.80 |
| <b>new</b> JLAB-E93-009              | 148              | 1.26*                   | 1.23* | 0.94 |
| <b>new</b> JLAB-EG1-DVCS             | 18               | 0.45*                   | 0.59* | 0.29 |
| <b>new</b> JLAB-E06-014              | 2                | 2.81*                   | 3.20* | 1.33 |
| COMPASS (OC)                         | 45               | 1.22*                   | 1.22  | 1.22 |
| STAR (jets)                          | 41               | —                       | 1.05  | 1.06 |
| PHENIX (jets)                        | 6                | —                       | 0.24  | 0.24 |
| STAR- $A_L^{W\pm}$ (2012)            | 24               | —                       | 1.05  | 1.05 |
| STAR- $A_{LL}^{W\pm}$                | 12               | —                       | 0.95  | 0.94 |
| <b>new</b> STAR- $A_L^{W\pm}$ (2013) | 8                | —                       | 2.76* | 1.34 |
| <b>new</b> STAR (dijets)             | 14               | —                       | 1.34* | 1.00 |
| <b>TOTAL</b>                         |                  | 0.77                    | 1.05  | 1.01 |

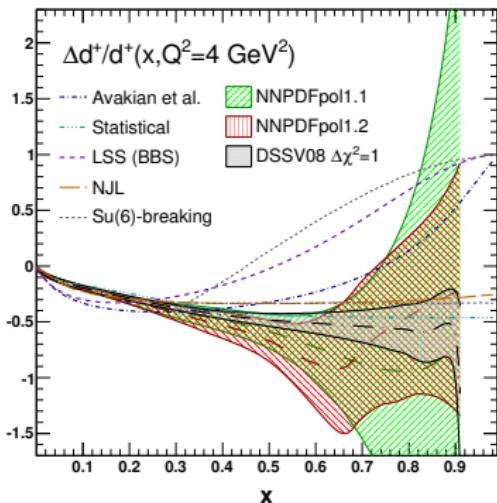
# Global fits: total up and down (from NNPDF)



- Improved accuracy at small  $x$ : new COMPASS data (+ improved unpolarized  $F_L$  and  $F_2$  from NNPDF3.1)
- Improved accuracy at large  $x$ : new JLAB data (also note that the positivity bound is slightly different)
- A lower cut on  $W^2$  will allow for exploiting the full potential of JLAB data (if we replace  $W^2 \geq 6.25 \text{ GeV}^2$  with  $W^2 \geq 4.00 \text{ GeV}^2$  the  $\chi^2$  deteriorates significantly) (need to include and fit dynamic higher twists, in progress)

# Global fits: total up and down at large $x$

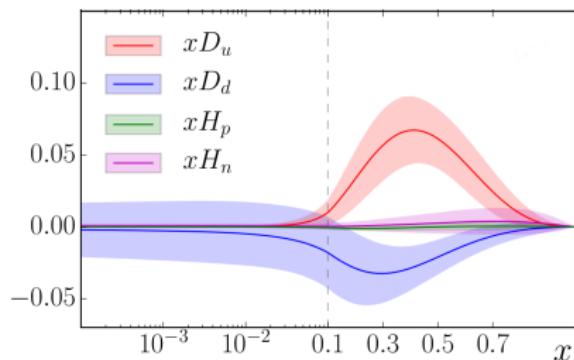
Playground for models



| Model                     | $\Delta d^+ / d^+$ | Model                    | $\Delta d^+ / d^+$ |
|---------------------------|--------------------|--------------------------|--------------------|
| SU(6)                     | -1/3               | NJL                      | -0.25              |
| RCQM                      | -1/3               | DSE ( <i>realistic</i> ) | -0.26              |
| QHD ( $\sigma_{1/2}$ )    | 1                  | DSE ( <i>contact</i> )   | -0.33              |
| QHD ( $\psi_\rho$ )       | -1/3               | pQCD                     | 1                  |
| NNPDFpol1.1 ( $x = 0.9$ ) |                    | $-0.74 \pm 3.57$         |                    |
| NNPDFpol1.2 ( $x = 0.9$ ) |                    | $-0.23 \pm 1.06$         |                    |

Beyond leading-twist factorisation

Fit of higher twist terms (up to  $\tau = 4$ )  
in JAM15 [PRD 93 (2016) 074005]



$$g_1^{\tau=3} \propto D \text{ and } g_1^{\tau=4} = H/Q^2$$

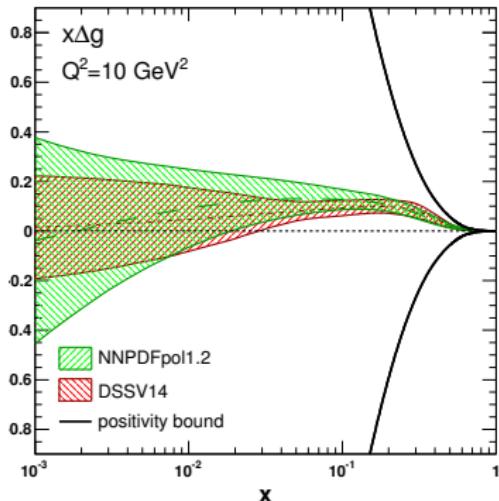
nonzero twist-3  
quark distributions

twist-4 quark distributions  
compatible with zero

# Global fits: gluon polarisation

## High- $p_T$ jet production

first evidence of a sizeable, positive gluon polarization in the proton



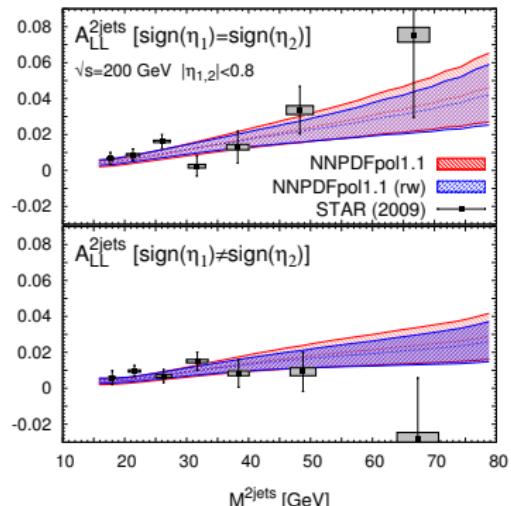
$$\langle x_{1,2} \rangle \simeq \frac{2p_T}{\sqrt{s}} e^{-\eta/2} \approx [0.05, 0.2]$$

NNPDF and DSSV results well compatible

$$\int_{0.01}^{0.2} dx \Delta g(x, Q^2 = 10 \text{ GeV}^2) = +0.23 \pm 0.15$$

## High- $p_T$ di-jets [PRD 95 (2017) 071103]

confirm a positive gluon polarization in the proton



$$\langle x_{1,2} \rangle \simeq \frac{p_T}{\sqrt{s}} (e^{\pm \eta_1 \pm \eta_2}) \approx [0.01, 0.2]$$

$x$  sensitivity extended down to  $x \sim 0.01$

$$\int_{0.01}^{0.2} dx \Delta g(x, Q^2 = 10 \text{ GeV}^2) = +0.32 \pm 0.13$$

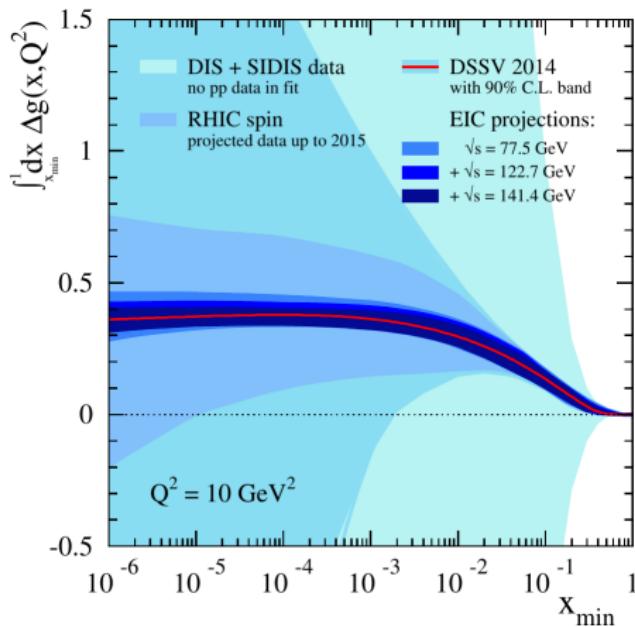
# Global fits: gluon polarisation

More data available: PHENIX  $\pi^0$  run 12-13 at 510 GeV [[PRD 93 \(2016\) 011501](#)]

STAR dijets run 12 at 510 GeV [[PoS\(DIS2016\)231](#)]

More data to come: STAR dijets run 12-13 at 510 GeV, STAR jets run 12-13 at 510 GeV

Deep insight: a high-energy polarised Electron-Ion Collider [[PRD 92 \(2015\) 094030](#)]



including jet and  $\pi^0$   
RHIC data  $\leq 2015$

510 GeV forward  
rapidity data  
will have sensitivity  
down to few  $x$   
 $10^{-3}$

best fit prefers  
 $\Delta G$  of about 0.36  
70-75% of 1/2

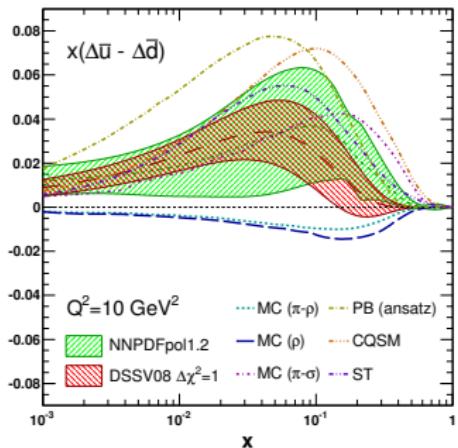
but large  
uncertainties

Small- $x$  behaviour can be modified by small- $x$  evolution [[JHEP 1601 \(2016\) 072](#), [JHEP 1710 \(2017\) 198](#), ...]

# Global fits: sea quark polarisation $\Delta_s = \Delta\bar{u} - \Delta\bar{d}$ [arXiv:1702.05077]

$W^\pm$  boson production

first evidence of broken flavor symmetry  
for polarized light sea quarks



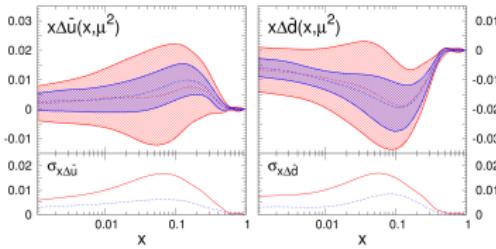
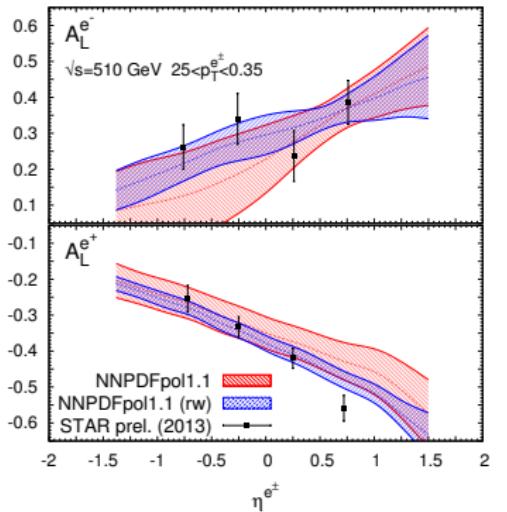
$$\langle x_{1,2} \rangle \simeq \frac{M_W}{\sqrt{s}} e^{-\eta_l/2} \approx [0.04, 0.4]$$

$$\Delta\bar{u} > 0 > \Delta\bar{d}, |\Delta\bar{d}| > |\Delta\bar{u}|$$

$$\int_{0.04}^{0.4} dx \Delta_s(x, Q^2 = 10 \text{ GeV}^2) = +0.06 \pm 0.03$$

$\rightarrow +0.07 \pm 0.01$

Preliminary 2013 data [arXiv:1702.02927]

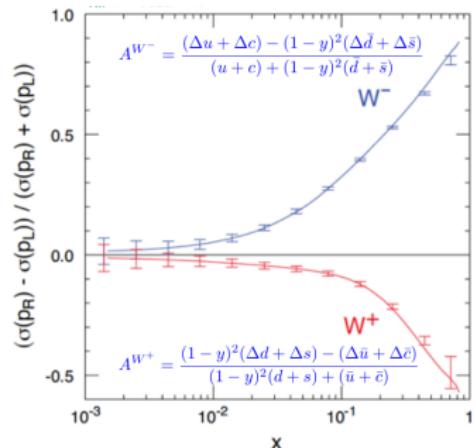
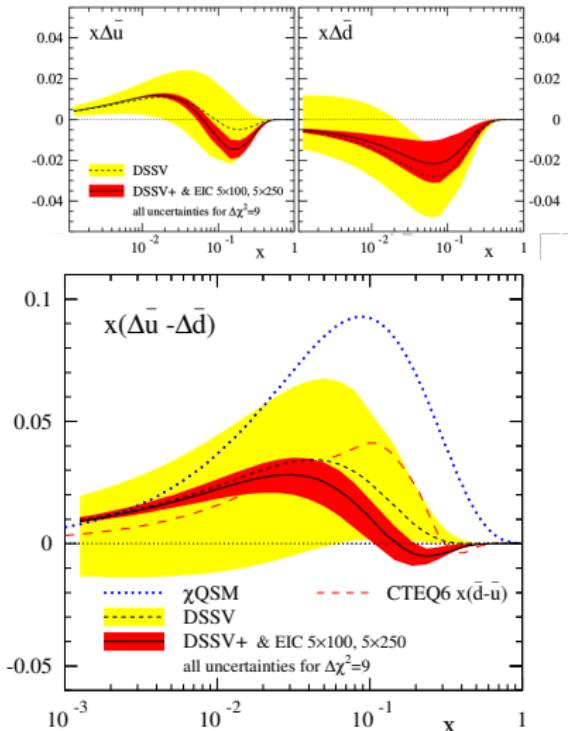


# Global fits: sea quark polarisation $\Delta_s = \Delta\bar{u} - \Delta\bar{d}$

More data available: PHENIX  $W$  run 11-13 at 510 GeV [PRD 93 (2016) 051103]

Deep insight: a high-energy polarised Electron-Ion Collider [PRD 88 (2013) 114025]

accurate determination of  $\Delta\bar{u}$  and  $\Delta\bar{d}$  through CC DIS and SIDIS



$$A_L^{W^+, p} \xrightarrow[y \rightarrow 0]{\text{LO}} \frac{\Delta u - \Delta \bar{d}}{u + d}$$

$$A_L^{W^+, p} \xrightarrow[y = 1/2]{\text{LO}} \frac{4\Delta u - \Delta \bar{d}}{4u + d}$$

$$A_L^{W^+, p} \xrightarrow[y \rightarrow 1]{\text{LO}} \frac{\Delta u}{u}$$

$\longleftrightarrow$  for  $A_L^{W^-, n}$

# Global fits: SIDIS and Fragmentation Functions

[see also A. Vossen talk]

|                       | DHESS                          | JAM         | NNFF           |
|-----------------------|--------------------------------|-------------|----------------|
| SIA                   | ✓                              | ✓           | ✓              |
| SIDIS                 | ✓                              | ✗           | ✗              |
| PP                    | ✓                              | ✗           | ✗              |
| statistical treatment | Iterative Hessian<br>68% - 90% | Monte Carlo | Monte Carlo    |
| parametrisation       | standard                       | standard    | neural network |
| pert. order           | (N)NLO                         | NLO         | up to NNLO     |
| HF scheme             | ZM(GM)-VFN                     | ZM-VFN      | ZM-VFN         |

DEHSS  $\pi^\pm$  [PRD 91 (2015) 014035]  $K^\pm$  [PRD 95 (2017) 094019]

JAM  $\pi^\pm, K^\pm$  [PRD 94 (2016) 114004]

NNFF  $\pi^\pm, K^\pm, p/\bar{p}$  [EPJ C77 (2017) 516]

Focus on new data:

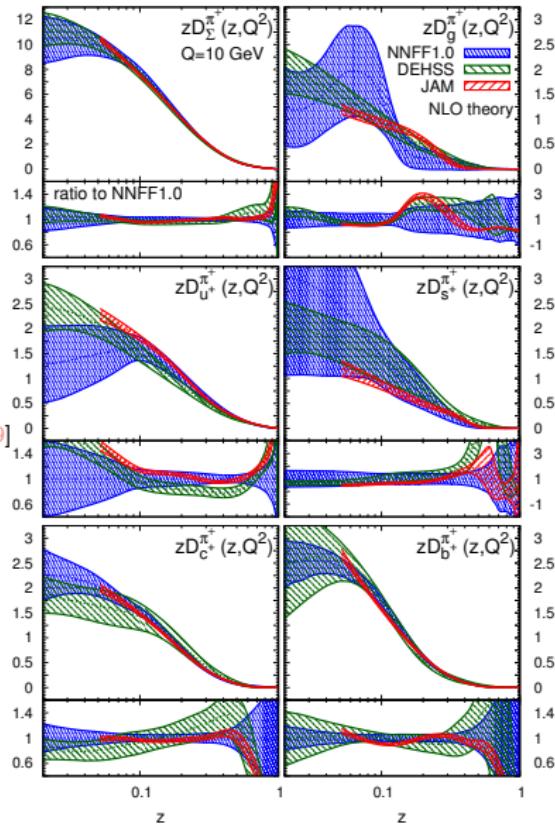
BELLE and BABAR SIA cross sections

COMPASS SIDIS multiplicities

Overall fair agreement among the three sets  
(except flavour separation for  $K^\pm$ )

NNFF uncertainties usually larger  
(especially for the gluon)

Note various shapes for the  $\pi^\pm$  gluon



# Global fits: SIDIS and Fragmentation Functions

[see also A. Vossen talk]

|                       | DHESS                          | JAM         | NNFF           |
|-----------------------|--------------------------------|-------------|----------------|
| SIA                   | ✓                              | ✓           | ✓              |
| SIDIS                 | ✓                              | ✗           | ✗              |
| PP                    | ✓                              | ✗           | ✗              |
| statistical treatment | Iterative Hessian<br>68% - 90% | Monte Carlo | Monte Carlo    |
| parametrisation       | standard                       | standard    | neural network |
| pert. order           | (N)NLO                         | NLO         | up to NNLO     |
| HF scheme             | ZM(GM)-VFN                     | ZM-VFN      | ZM-VFN         |

DEHSS  $\pi^\pm$  [PRD 91 (2015) 014035]  $K^\pm$  [PRD 95 (2017) 094019]

JAM  $\pi^\pm, K^\pm$  [PRD 94 (2016) 114004]

NNFF  $\pi^\pm, K^\pm, p/\bar{p}$  [EPJ C77 (2017) 516]

Focus on new data:

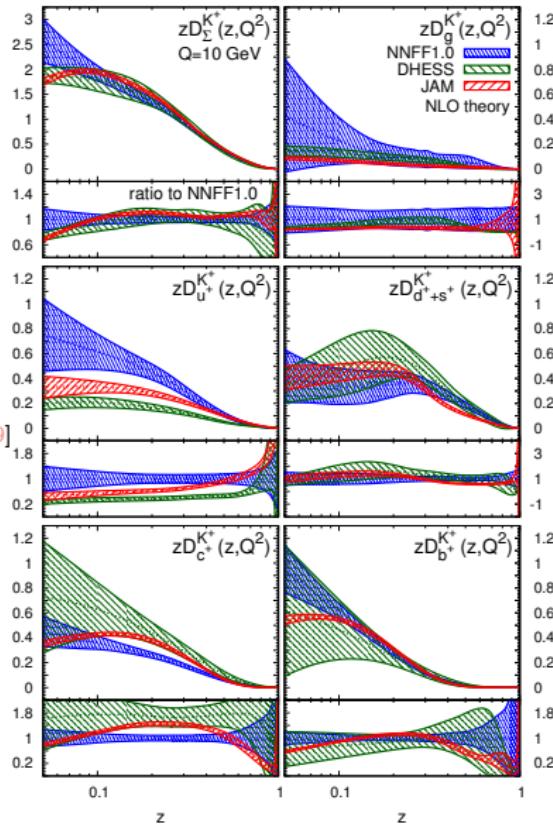
BELLE and BABAR SIA cross sections

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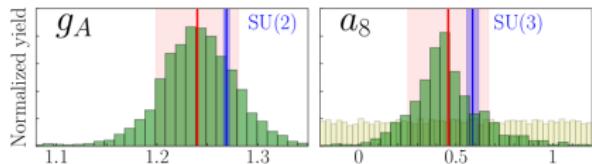
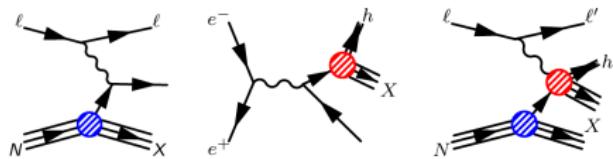
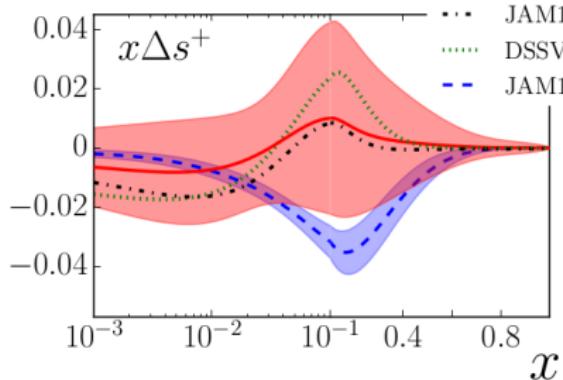
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# Simultaneous fits: $\Delta s$ from JAM17 [PRL 119 (2017) 132001, more in J. Ethier talk]



| process                  | target                | $N_{\text{dat}}$ | $\chi^2$ |
|--------------------------|-----------------------|------------------|----------|
| DIS                      | $p, d, {}^3\text{He}$ | 854              | 854.8    |
| SIA ( $\pi^\pm, K^\pm$ ) |                       | 850              | 997.1    |
| SIDIS ( $\pi^\pm$ )      |                       |                  |          |
| HERMES                   | $d$                   | 18               | 28.1     |
| HERMES                   | $p$                   | 18               | 14.2     |
| COMPASS                  | $d$                   | 20               | 8.0      |
| COMPASS                  | $p$                   | 24               | 18.2     |
| SIDIS ( $K^\pm$ )        |                       |                  |          |
| HERMES                   | $d$                   | 27               | 18.3     |
| COMPASS                  | $d$                   | 20               | 18.7     |
| COMPASS                  | $p$                   | 24               | 12.3     |
| Total:                   |                       | 1855             | 1969.7   |

$$g_A = 1.24 \pm 0.04 \quad a_8 = 0.46 \pm 0.21$$

confirmation of SU(2) symmetry to  $\sim 2\%$

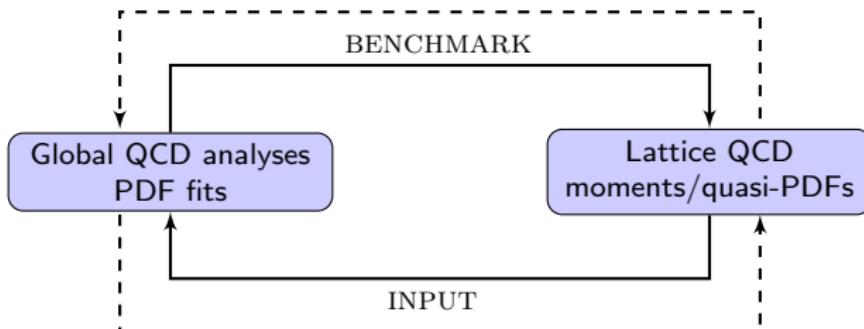
$\sim 20\%$  SU(3) breaking  $\pm 20\%$

$$\Delta s^+ = -0.03 \pm 0.09$$

$$\Delta\Sigma = 0.36 \pm 0.09 \quad \Delta u - \Delta d = 0.05 \pm 0.08$$

See [PR D96 (2017) 094020] for a simultaneous fit of FFs and the unpolarised strange via reweighting

# Lattice QCD and (helicity) PDFs [arXiv:1709.01511; arXiv:1711.07916]



Define a mutually agreed conventional notation  
for relevant PDF-related quantities, such as PDF moments.

Assess the sources of systematic uncertainties in lattice-QCD calculations.

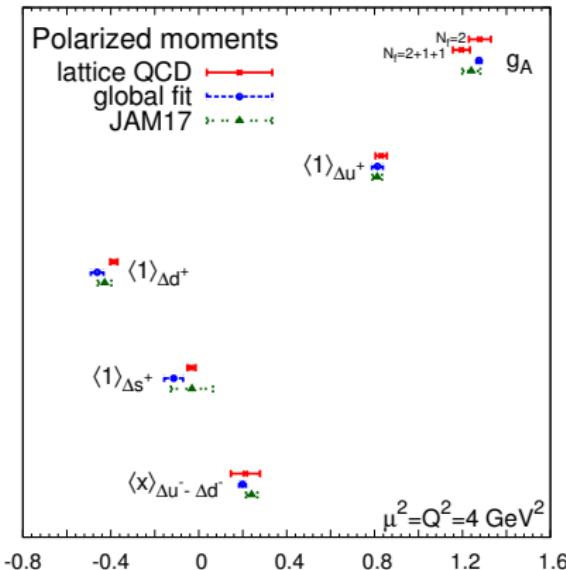
Identify a best-set of quantities  
to benchmark lattice-QCD calculations against global-fit determinations.

Set precision targets for lattice-QCD calculations  
with respect to global-fit determinations.

Assess the impact of lattice-QCD calculations  
on global-fit determinations within their current/projected precision.

**The PDFLattice2017 workshop, Balliol College, Oxford, 22-24 March 2017**  
<http://www.physics.ox.ac.uk/confs/PDFlattice2017/index.asp>

# Comparing lattice QCD and global fit PDF moments



| Moment  | Lattice QCD               | Global Fit | JAM17      |
|---|---------------------------|------------|------------|
| $g_A$   | 1.195(39)*<br>1.279(50)** | 1.275(12)  | 1.240(41)  |
| $\langle 1 \rangle_{\Delta u^+}$              | 0.830(26) <sup>†</sup>    | 0.813(25)  | 0.812(22)  |
| $\langle 1 \rangle_{\Delta d^+}$              | -0.386(17) <sup>†</sup>   | -0.462(29) | -0.428(31) |
| $\langle 1 \rangle_{\Delta s^+}$              | -0.052 – 0.014            | -0.114(43) | -0.038(96) |
| $\langle x \rangle_{\Delta u^- - \Delta d^-}$ | 0.146 – 0.279             | 0.199(16)  | 0.241(26)  |

\*  $N_f = 2$ .

\*\*  $N_f = 2 + 1 + 1$ .

<sup>†</sup> Single lattice result available [PRL 119 (2017) 142002].

$\Delta q^\pm + \Delta \bar{q} \pm \Delta \bar{q}$ ,  $q = u, d, s$ ;  $Q = 2 \text{ GeV}$ .

For details, see [[arXiv:1711.07916](#)]

$$g_A = \langle 1 \rangle_{\Delta u^+ - \Delta d^+} = \int_0^1 dx [\Delta u^+(x, Q^2) - \Delta d^+(x, Q^2)]$$

$$\langle 1 \rangle_{\Delta q^+} = \int_0^1 dx \Delta q^+(x, Q^2)$$

$$\langle x \rangle_{\Delta u^- - \Delta d^-} = \int_0^1 x dx [\Delta u^-(x, Q^2) - \Delta d^-(x, Q^2)]$$

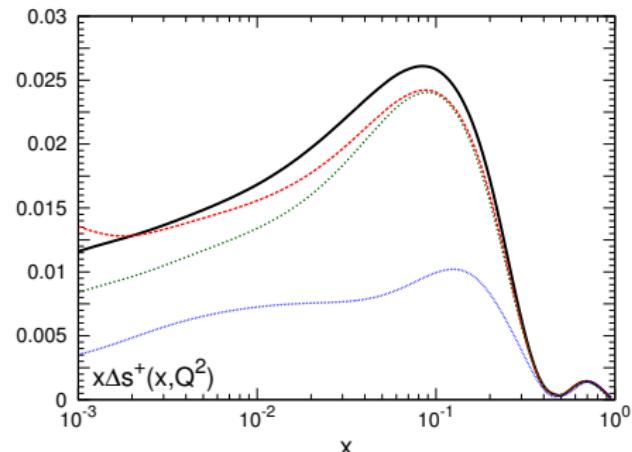
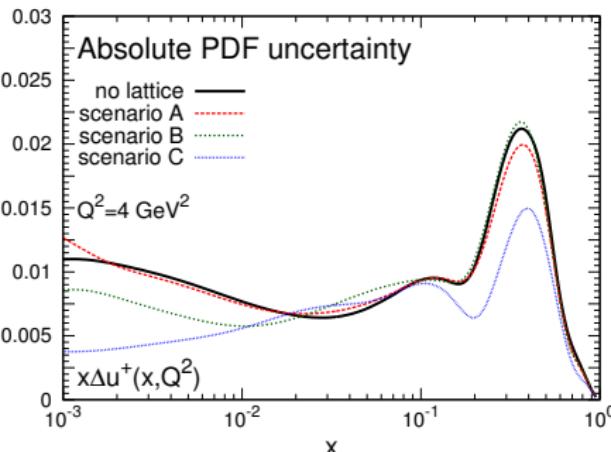
# Which precision shall we require to lattice QCD?

Generate lattice QCD pseudodata assuming NNPDFpol1.1 central values for  
 $g_A \equiv \langle 1 \rangle_{\Delta u^+ - \Delta d^+}, \langle 1 \rangle_{\Delta u^+}, \langle 1 \rangle_{\Delta d^+}, \langle 1 \rangle_{\Delta s^+}, \langle x \rangle_{\Delta u^- - \Delta d^-}$

Assume percentage uncertainties according to three scenarios

| scenario | $g_A$ | $\langle 1 \rangle_{\Delta u^+}$ | $\langle 1 \rangle_{\Delta d^+}$ | $\langle 1 \rangle_{\Delta s^+}$ | $\langle x \rangle_{\Delta u^- - \Delta d^-}$ |
|----------|-------|----------------------------------|----------------------------------|----------------------------------|---|
| A        | 5%    | 5%                               | 10%                              | 100%                             | 70%   |
| B        | 3%    | 3%                               | 5%                               | 50%                              | 30%   |
| C        | 1%    | 1%                               | 2%                               | 20%                              | 15%   |
| current  | 3%    | 3%                               | 5%                               | 70%                              | 65%   |

Reweight NNPDFpol1.1 with lattice pseudodata and look at the impact



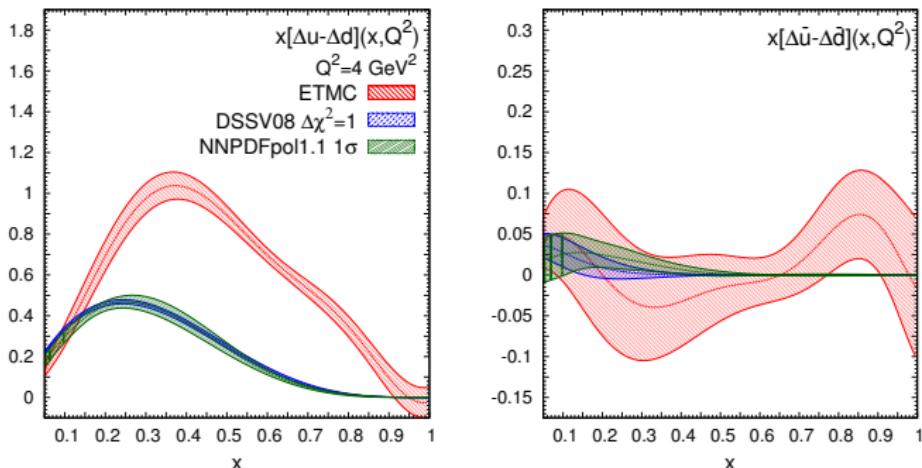
# Comparing lattice QCD and global fit PDFs

Quasi-PDFs defined as momentum-dependent nonlocal static matrix elements for nucleon states at finite momentum, with an ultraviolet cut-off scale  $\Lambda \sim 1/a$

$$\tilde{q}(x, \Lambda, p_z) = \int \frac{dz}{4\pi} e^{-ixzp_z} \frac{1}{2} \sum_{s=1}^2 \langle p, s | \bar{\psi}(z) \gamma_\alpha e^{ig \int_0^z A_z(z') dz'} \psi(0) | p, s \rangle$$

Must be related to the corresponding light-front PDF, usually within LaMET

$$\tilde{q}(x, \Lambda, p_z) = \int_{-1}^1 \frac{dy}{|y|} Z\left(\frac{x}{y}, \frac{\mu}{p_z}, \frac{\Lambda}{p_z}\right)_{\mu^2 = Q^2} q(y, Q^2) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}^2}{p_z^2}, \frac{m^2}{p_z^2}\right)$$



[More in M. Constantinou and K. Orginos talks on Wednesday afternoon]

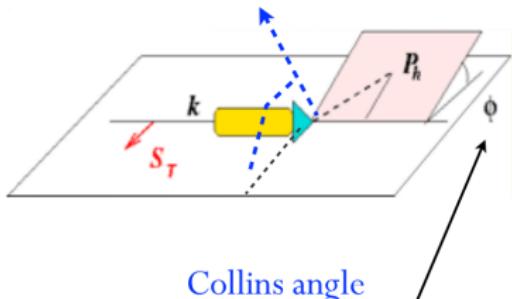
## 2. Collinear transversity

# Experimental probes

The transversity is a chiral-odd function, two helicity flips are needed

Single hadron production

[NP B395 (1993) 161]



Collins angle

$$\mathbf{k} \times \mathbf{P}_h \cdot \mathbf{S}_T \propto \sin(\Phi + \Phi_S)$$

$$\mathbf{P}_h^T \neq 0$$

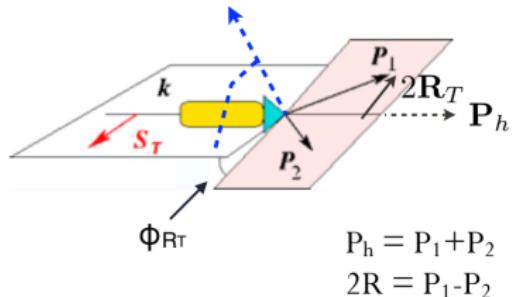
Transverse momentum of  $h$  required

Framework of TMD factorisation

$$A_{UT}^{\sin(\Phi+\Phi_S)} \propto \frac{\sum_q e_q^2 h_1^q \otimes H_1^{\perp q}}{\sum_q e_q^2 f_1^q \otimes D_1^q}$$

Di-hadron fragmentation

[NP B420 (1994) 565]



$$\begin{aligned} \mathbf{P}_h &= \mathbf{P}_1 + \mathbf{P}_2 \\ 2\mathbf{R} &= \mathbf{P}_1 - \mathbf{P}_2 \end{aligned}$$

$$\mathbf{P}_h \times \mathbf{R}_T \cdot \mathbf{S}_T \propto \sin(\Phi_{RT} + \Phi_S)$$

$$\mathbf{R}_T \neq 0 \quad \mathbf{P}_h^T = 0$$

The hadron pair is collinear

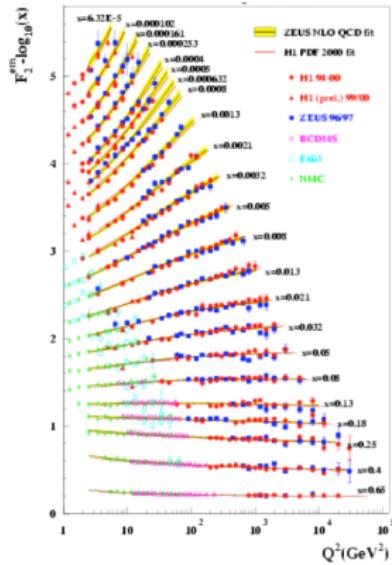
Framework of collinear factorisation

$$A_{UT}^{\sin(\Phi_{RT} + \Phi_S)} \propto -\frac{|\mathbf{R}|}{M_h} \frac{\sum_q e_q^2 h_1^q H_1^\triangleleft}{\sum_q e_q^2 f_1^q D_1^q}$$

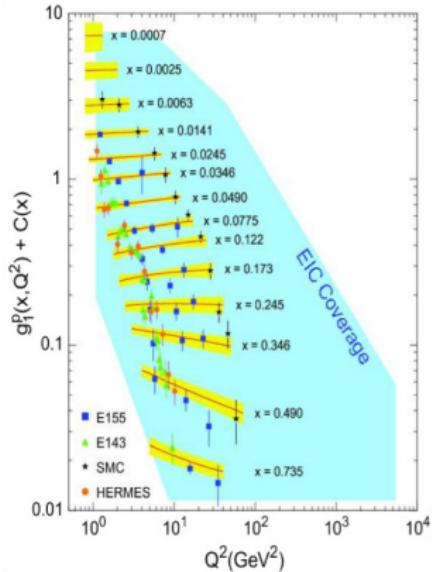
# Kinematic coverage

On the experimental side, the history of transverse polarisation distributions is readily summarised: (almost) no measurements have been performed as yet. [Phys.Rept. 359 (2002) 1]

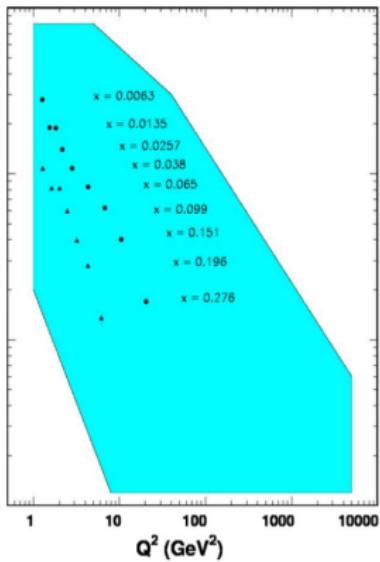
World data for  $F_2^P$



World data for  $g_1^P$



World data for  $h_1$



$f_1$  from fits of thousands data

[H. Montgomery, QCDevolution 2016]

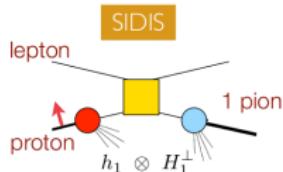
$g_1$  from fits of hundreds data

$h_1$  from fits of tens data

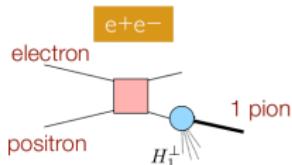
# Experimental data

[See talks by A. Bressan, C. Van Hulse, Z.-E. Meziani, E. Aschenauer, A. Vossen, G. Schnell, B. Surrow, ...]

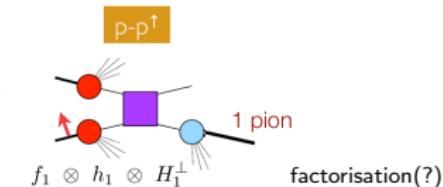
## Collins fragmentation



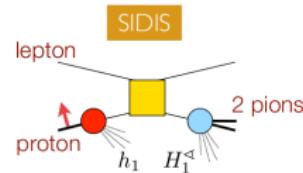
HERMES [PRL 94 (2005) 012002; PL B693 (2010) 11]  
COMPASS [PL B673 (2009) 127; PL B744 (2015) 250]  
JLab [PRL 107 (2011) 072003]



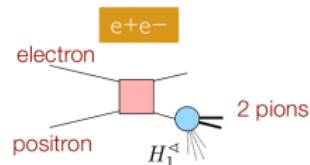
BELLE [PRL 96 (2006) 232002; PRD D78 (2008) 032011]  
BABAR [PRD 90 (2014) 052003]



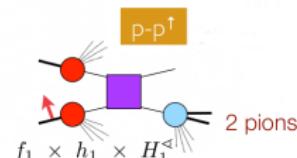
## Di-hadron fragmentation



HERMES [JHEP 0806 (2008) 017]  
COMPASS [PL B713 (2012) 10; EPJWC 85 (2015) 02018]

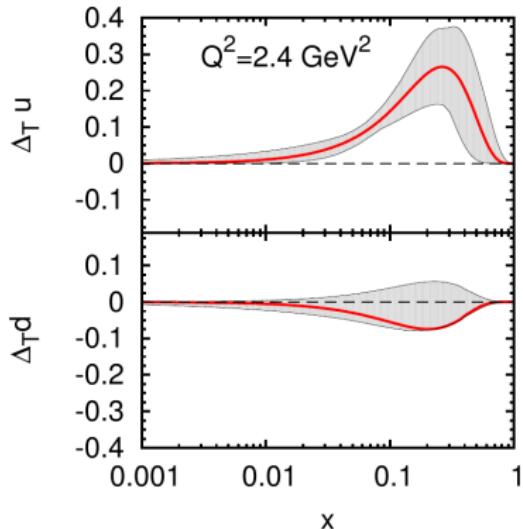


BELLE [PRL 107 (2011) 072004]



STAR [PRL 115 (2015) 242501]

# Transversity from Collins effect [Anselmino et al., specifically PRD 92 (2015) 114023]



| Experiment                  | $\chi^2$ | n. points | $\chi^2/\text{points}$          |
|-----------------------------|----------|-----------|---------------------------------|
| Belle- $z_1 z_2$ $A_0^{UL}$ | 14.0     | 16        | 0.88                            |
| Belle- $z_1 z_2$ $A_0^{UC}$ | 13.6     | 16        | 0.85                            |
| BaBar- $z_1 z_2$ $A_0^{UL}$ | 37.3     | 36        | 1.04                            |
| BaBar- $z_1 z_2$ $A_0^{UC}$ | 13.0     | 36        | 0.36                            |
| BaBar- $P_{1T}$ $A_0^{UL}$  | 5.6      | 9         | 0.63                            |
| BaBar- $P_{1T}$ $A_0^{UC}$  | 3.1      | 9         | 0.35                            |
| Total $A_0$                 | 86.7     | 122       | 0.71                            |
| HERMES p                    | 31.6     | 42        | 0.75                            |
| COMPASS p                   | 40.2     | 52        | 0.77                            |
| COMPASS d                   | 58.5     | 52        | 1.12                            |
| Total SIDIS                 | 130.3    | 146       | 0.89                            |
| Total                       | 217.0    | 268       | $\chi^2_{\text{d.o.f.}} = 0.84$ |

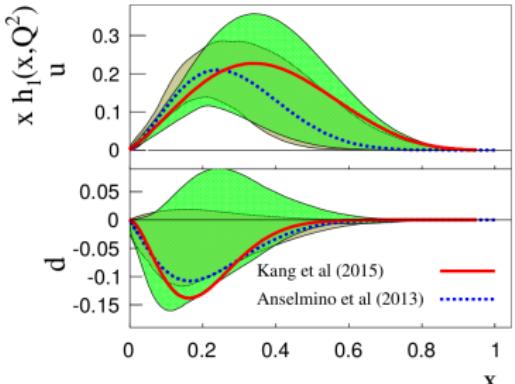
$$h_1^q(x, k_\perp, Q^2) = h_1^q(x, Q^2) \frac{e^{-k_\perp^2 / \langle k_\perp^2 \rangle_T}}{\pi \langle k_\perp^2 \rangle_T} \quad h_1^q(x, Q_0^2) = \mathcal{N}_q^T(x, Q_0^2) \frac{1}{2} [q(x, Q_0^2) + \Delta q(x, Q_0^2)]$$

$$\mathcal{N}_q^T(x) = N_q^T x^\alpha (1-x)^\beta \frac{(\alpha + \beta)^{\alpha + \beta}}{\alpha^\alpha \beta^\beta} \quad (q = u_v, d_v)$$

Simple, LO, phenomenological model with DGLAP evolution

Mild dependence of  $h_1$  on TMD evolution, almost canceled out in asymmetry ratios

# Transversity from Collins effect [PR D93 (2016) 014009]



| Experiment | Observable | dependence   | # ndata | $\chi^2$ | $\chi^2/\text{ndata}$ |
|------------|------------|--------------|---------|----------|-----------------------|
| BELLE [12] | $A_0^{UL}$ | $z$          | 16      | 13.02    | 0.81                  |
| BELLE [12] | $A_0^{UC}$ | $z$          | 16      | 11.54    | 0.72                  |
| BABAR[98]  | $A_0^{UL}$ | $z$          | 36      | 34.61    | 0.96                  |
| BABAR[98]  | $A_0^{UC}$ | $z$          | 36      | 15.17    | 0.42                  |
| BABAR[98]  | $A_0^{UL}$ | $P_{h\perp}$ | 9       | 9.09     | 1.01                  |
| BABAR[98]  | $A_0^{UC}$ | $P_{h\perp}$ | 9       | 4.33     | 0.48                  |
|            |            |              | 122     | 87.76    | 0.72                  |

| Experiment   | hadron  | Target          | dependence   | # ndata | $\chi^2$ | $\chi^2/\text{ndata}$ |
|--------------|---------|-----------------|--------------|---------|----------|-----------------------|
| COMPASS [97] | $\pi^+$ | LiD             | $x$          | 9       | 11.16    | 1.24                  |
| COMPASS [97] | $\pi^-$ | LiD             | $x$          | 9       | 9.08     | 1.01                  |
| COMPASS [97] | $\pi^+$ | LiD             | $z$          | 8       | 3.26     | 0.41                  |
| COMPASS [97] | $\pi^-$ | LiD             | $z$          | 8       | 7.29     | 0.91                  |
| COMPASS [97] | $\pi^+$ | LiD             | $P_{h\perp}$ | 6       | 4.19     | 0.70                  |
| COMPASS [97] | $\pi^-$ | LiD             | $P_{h\perp}$ | 6       | 4.50     | 0.75                  |
| COMPASS [96] | $\pi^+$ | NH <sub>3</sub> | $x$          | 9       | 21.46    | 2.38                  |
| COMPASS [96] | $\pi^-$ | NH <sub>3</sub> | $x$          | 9       | 6.23     | 0.69                  |
| COMPASS [96] | $\pi^+$ | NH <sub>3</sub> | $z$          | 8       | 7.80     | 0.98                  |
| COMPASS [96] | $\pi^-$ | NH <sub>3</sub> | $z$          | 8       | 10.29    | 1.29                  |
| COMPASS [96] | $\pi^+$ | NH <sub>3</sub> | $P_{h\perp}$ | 6       | 3.82     | 0.64                  |
| COMPASS [96] | $\pi^-$ | NH <sub>3</sub> | $P_{h\perp}$ | 6       | 3.85     | 0.64                  |
| HERMES [95]  | $\pi^+$ | H               | $x$          | 7       | 5.37     | 0.77                  |
| HERMES [95]  | $\pi^-$ | H               | $x$          | 7       | 12.61    | 1.80                  |
| HERMES [95]  | $\pi^+$ | H               | $z$          | 7       | 3.04     | 0.43                  |
| HERMES [95]  | $\pi^-$ | H               | $z$          | 7       | 3.23     | 0.46                  |
| HERMES [95]  | $\pi^+$ | H               | $P_{h\perp}$ | 6       | 1.60     | 0.27                  |
| HERMES [95]  | $\pi^-$ | H               | $P_{h\perp}$ | 6       | 4.82     | 0.80                  |
| JLAB [9]     | $\pi^+$ | <sup>3</sup> He | $x$          | 4       | 3.90     | 0.98                  |
| JLAB [9]     | $\pi^-$ | <sup>3</sup> He | $x$          | 4       | 3.11     | 0.78                  |
|              |         |                 |              | 140     | 130.65   | 0.93                  |

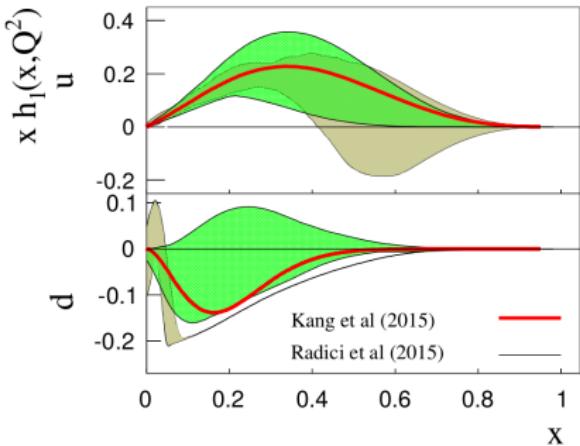
at NLL with TMD evolution  
same parametrisation as  
in [PRD 92 (2015) 114023]

$$h_1(x, Q_0^2) = N_q^T x^\alpha (1-x)^\beta \frac{(\alpha + \beta)^{\alpha + \beta}}{\alpha^\alpha \beta^\beta} \frac{1}{2} [q(x, Q_0^2) + \Delta q(x, Q_0^2)] \quad (q = u_v, d_v)$$

Good consistency with Anselmino et al.

Mild dependence of  $h_1$  on TMD evolution within the current precision of the data

# Transversity from di-hadron fragmentation [JHEP 1505 (2015) 123]



| $\chi^2/\text{d.o.f.}$ | $\alpha_s(M_Z^2) = 0.125$ | $\alpha_s(M_Z^2) = 0.139$ |
|------------------------|---------------------------|---------------------------|
| rigid                  | 1.42                      | 1.46                      |
| flexible               | 1.65                      | 1.71                      |
| extraflexible          | 1.97                      | 2.07                      |

Determine the DiFF from  $e^+e^-$  data

Use such a DiFF to extract  $h_1^q$  in SIDIS

Make use of Monte Carlo techniques to estimate the PDF uncertainty

Study the stability of the fit upon three parametrisations and two values of  $\alpha_s$

Mild dependence on these choices

Agreement of  $h_1^{u_V}$  with Kang et al. (and Anselmino et al.)

Saturation of the Soffer bound in  $h_1^{d_V}$  driven by COMPASS deuteron bins 7-8 (more flexibility in the parametrisation)

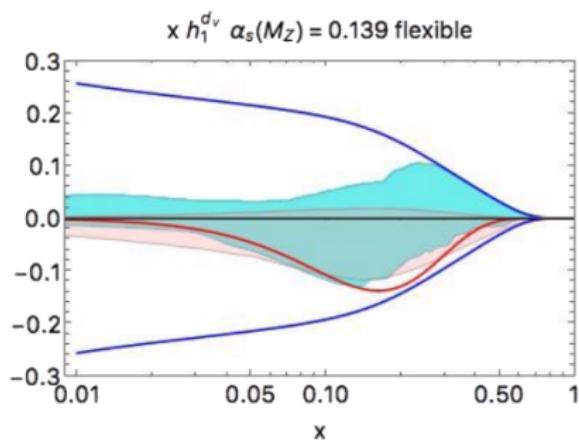
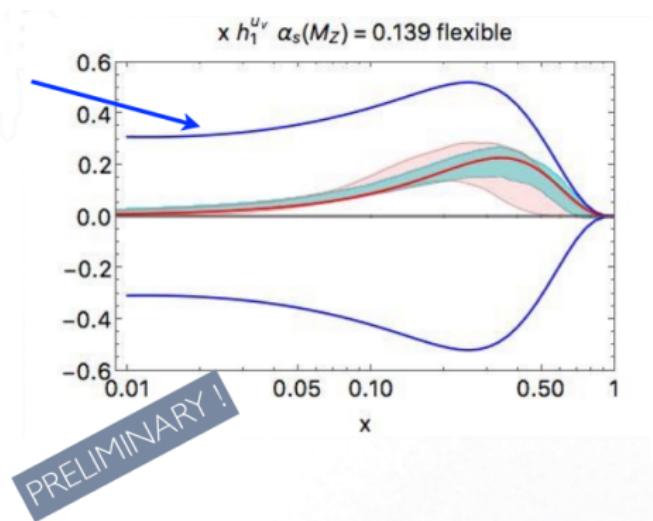
$$x h_1^q(x, Q_0^2) = \tanh \left[ x^{1/2} (A_q + B_q x + C_q x^2 + D_q x^3) \right] x [\text{SB}^q(x, Q_0^2) + \text{SB}^{\bar{q}}(x, Q_0^2)]$$

rigid  $C_q = D_q = 0$

flexible  $C_q = 0$   $D_q \neq 0$

extraflexible  $C_q \neq D_q \neq 0$

# Transversity from di-hadron fragmentation [See talk by M. Radici]



blue line: Soffer bound  
red line: Kang et al.      pink band: Anselmino et al.      cyan band: Bacchetta et al. (prel.)

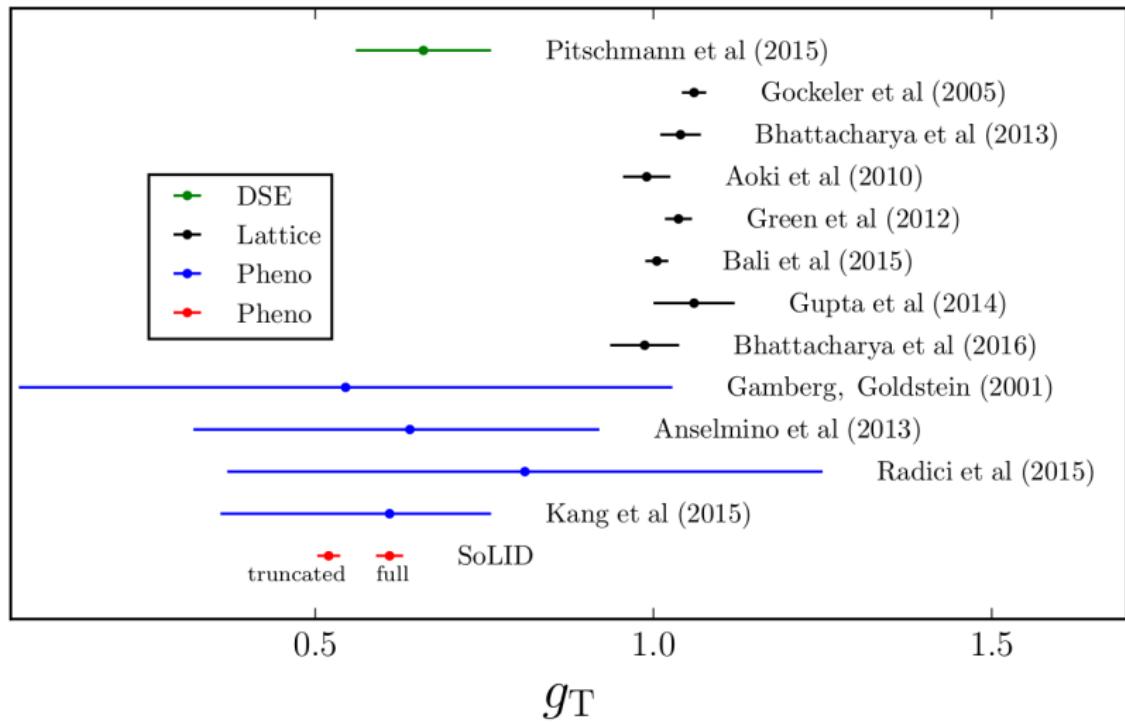
Extend the data set to DiFFs in transversely polarised collisions at RHIC

Include STAR 2006 run data [[PRL 115 \(2015\) 242501](#)]

Effect of the new data: higher precision and better compatibility

# The isovector charge $g_T$ and lattice [See also lattice talks on Wednesday afternoon]

$$g_T \equiv \delta u - \delta d \quad \delta q \equiv \int_0^1 dx [h_1^q(x) - h_1^{\bar{q}}]$$



[PLB767 (2017) 91; see A. Prokudin talk for the projected uncertainties including SoLID pseudodata]

# Is lattice in tension with phenomenology?

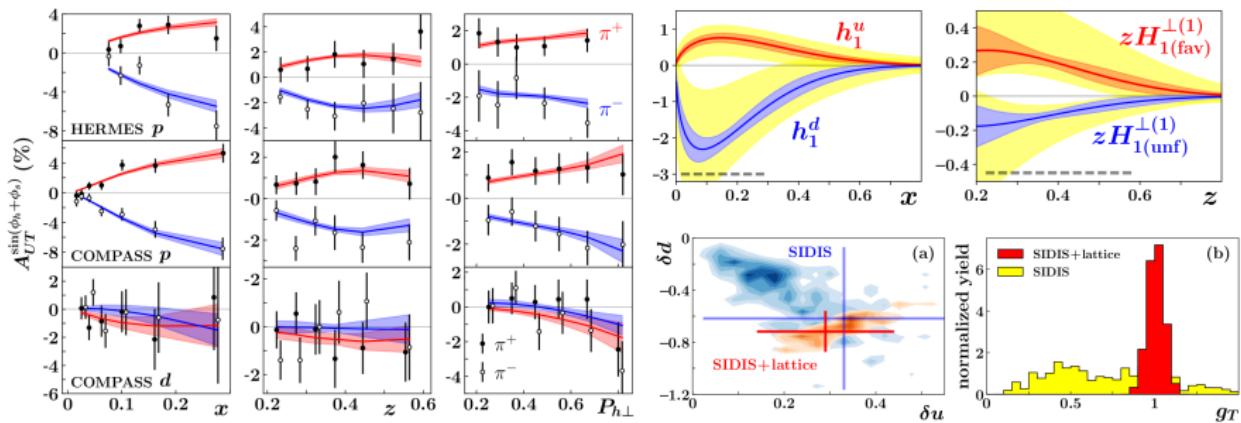
Simultaneous fit to the Collins asymmetry data from HERMES and COMPASS of

$$f_1^q(x, k_\perp^2) \quad h_1^q(x, k_\perp^2) \quad D_1^{h/q}(z, p_\perp^2) \quad H_1^{\perp h/q}(z, p_\perp)$$

and to three lattice *data sets* with reliable estimate of systematic uncertainties

PDNME [Bhattacharya et al. (2016)] RQCD [Bali et al. (2015)] LHPC [Green et al. (2012)]

using Monte Carlo techniques for the representation of uncertainties



[arXiv:1710.09858, see also J. Ethier]

Excellent description of the data with and without lattice results ( $\chi^2/N_{\text{dat}} = 0.65$ )

Lattice results are compatible with measured asymmetries

Lattice results are able to reduce the uncertainty on  $h_1$  and  $H_1^\perp$  significantly

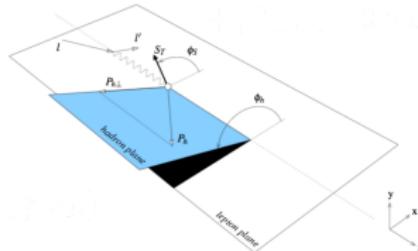
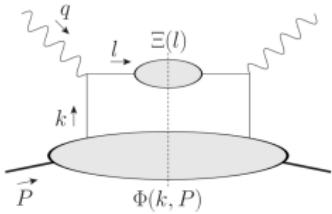
# Transversity in inclusive DIS [PL B773(2017) 632, see also A. Accardi talk]

In DIS, on-shell quarks cannot be present in the final state, but they decay into hadrons  
 A nonperturbative spin-flip term associated with  $M_q$  couples to  $h_1$

$$\Xi(l^-, \mathbf{l}_T) \equiv \int \frac{dl^2}{2l^-} \Xi(l) = \frac{\Lambda}{2l^-} \xi_1 \mathbf{1} + \xi_2 \frac{\not{l}^-}{2} + \text{h.t. terms}$$

$$\xi_1 = \int d\mu^2 \frac{\mu}{\Lambda} J_1(\mu^2) \equiv \frac{M_q}{\Lambda} \quad \xi_2 = \int d\mu^2 J_2(\mu^2) = 1 \quad (\text{quark spectral functions})$$

from positivity  $0 < M_q < \int d\mu^2 \mu J_2(\mu^2) \implies M_q = \mathcal{O}(10 - 100 \text{ GeV})$  much larger than  $m_q$



$$\frac{d\sigma}{dx_B dy d\Phi_S} \propto \left\{ F_T + \epsilon F_L + S_{\parallel} \lambda_e \sqrt{1-\epsilon^2} F_{LL} + |\mathbf{S}_T| \lambda_e \sqrt{2\epsilon(1-\epsilon)} \cos \Phi_S F_{LT}^{\cos \Phi_S} \right\}$$

$$F_T = x_B \sum_q e_q^2 f_1^q(x_B) \quad F_L = 0 \quad F_{LL} = x_B \sum_q e_q^2 g_1^q(x_B)$$

$$F_{LT}^{\cos \Phi_S} = -x_B \sum_q e_q^2 \frac{2M}{Q} \left( x_B g_T^q(x_B) + \frac{M_q - m_q}{M} h_1^q(x_B) \right)$$

### 3. Conclusions

# Summary

- ➊ Continuous effort in improving the existing determinations of collinear PDFs
  - ▶ Data: *global fits*
    - inclusion of a variety of observables, consistency of the QCD framework
    - increasing experimental precision, extended kinematic range
  - ▶ Methodology: *simultaneous fits*
    - non-trivial interplay between PDFs and FFs
    - accompanied by an increased sophistication of the fitting techniques
  - ▶ Theory: *improved fits*
    - refinement of the QCD details in the PDF analyses
- ➋ Possible fruitful interplay between QCD fits and lattice QCD calculations
  - ▶ An extensive benchmark for helicity PDFs is now available
    - competitive lattice QCD moments
    - promising methods to determine the PDF  $x$  dependence
  - ▶ Studies of the impact of lattice QCD on transversity are promising
    - lattice QCD results on  $g_T$  pin down the uncertainty on  $h_1$  significantly
- ➌ Combination of all the above will perfectly fit into the EIC program

# Summary

## ① Continuous effort in improving the existing determinations of collinear PDFs

- ▶ Data: *global fits*
  - inclusion of a variety of observables, consistency of the QCD framework
  - increasing experimental precision, extended kinematic range
- ▶ Methodology: *simultaneous fits*
  - non-trivial interplay between PDFs and FFs
  - accompanied by an increased sophistication of the fitting techniques
- ▶ Theory: *improved fits*
  - refinement of the QCD details in the PDF analyses

## ② Possible fruitful interplay between QCD fits and lattice QCD calculations

- ▶ An extensive benchmark for helicity PDFs is now available
  - competitive lattice QCD moments
  - promising methods to determine the PDF  $x$  dependence
- ▶ Studies of the impact of lattice QCD on transversity are promising
  - lattice QCD results on  $g_T$  pin down the uncertainty on  $h_1$  significantly

## ③ Combination of all the above will perfectly fit into the EIC program

**Thank you**

## 4. Additional material

# From NNPDFpol1.0: SU(2) and SU(3)

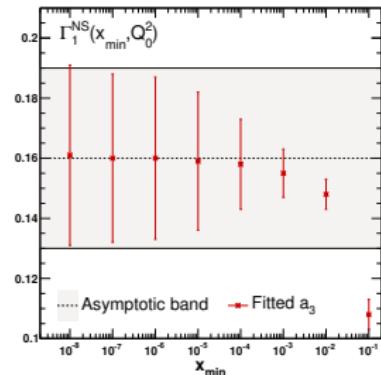
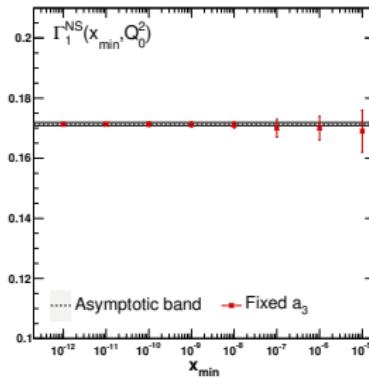
fixed

$$a_3 = 1.2701 \pm 0.0025$$

fitted

$$a_3 = 1.19 \pm 0.22$$

$$\begin{aligned} & \Gamma_1^{\text{NS}}(x_{\min}, Q^2) \\ & \int_{x_{\min}}^1 dx [g_1^p(x, Q^2) - g_1^n(x, Q^2)] \\ & \xrightarrow{x_{\min}=0} \\ & \frac{1}{6} a_3(Q^2) \Delta C_{\text{NS}}[\alpha_s(Q^2)] \end{aligned}$$

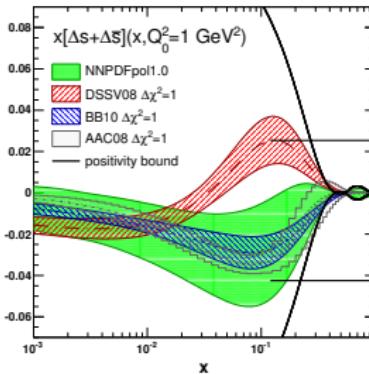


NNPDFpol1.0 [NPB 874 (2013) 36]  
 $\int_0^1 dx [\Delta s + \Delta \bar{s}] = -0.13 \pm 0.09$

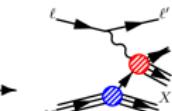
JAM17 [arXiv:1705.05889]  
 $\int_0^1 dx [\Delta s + \Delta \bar{s}] = -0.03 \pm 0.10$

First moment constrained by  
 $a_3 = \int_0^1 dx [\Delta u^+ - \Delta d^+] = 1.2701 \pm 0.0025$

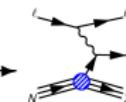
$$\begin{aligned} a_8 &= \int_0^1 dx [\Delta u^+ + \Delta d^+ - 2\Delta s^+] \\ &= 0.585 \pm 0.176 \end{aligned}$$



directly from SIDIS Kaon data



indirectly from DIS + SU(3)



JAM17, first moments fitted:  $a_3 = 1.24 \pm 0.04$   $a_8 = 0.46 \pm 0.21$

# Appraising lattice QCD calculations

| Mom.  | Collab.          | Ref.                   | $N_f$ | discretisation<br>quark mass<br>finite volume<br>renormalisation<br>excited states |      | Value                          |
|---|------------------|------------------------|-------|--|------|--------------------------------|
| $g_A$   | CaiLat 17        | [arXiv:1704.01114]     | 2+1+1 | ■ ★ ■ ★ ★  | ◊    | 1.278(21)(26)                  |
|   | PNDME 16         | [PRD 94 (2016) 054508] | 2+1+1 | ○ ★ ○ ★ ★  |      | 1.195(33)(20)                  |
|   | LHPC 14          | [PLB 734 (2014) 290]   | 2+1   | ■ ★ ★ ★ ★  |      | 0.97(8)                        |
|   | Mainz 17         | [arXiv:1705.06186]     | 2     | ★ ○ ★ ★ ★  |      | 1.278(68)( <sup>+0.087</sup> ) |
|   | ETMC 17          | [arXiv:1705.03399]     | 2     | ■ ★ ■ ★ ★  | *    | 1.212(33)(22)                  |
|   | RQCD 15          | [PRD 91 (2015) 054501] | 2     | ○ ○ ○ ★ ○  | ‡    | 1.280(44)(46)                  |
|   | QCDSF 14         | [PLB 732 (2014) 41]    | 2     | ○ ○ ○ ★ ■  | ‡    | 1.29(5)(3)                     |
| $\langle 1 \rangle_{\Delta u +}$              | ETMC 17          | [arXiv:1706.02973]     | 2     | ■ ★ ■ ★ ★  | *    | 0.830(26)(4)                   |
| $\langle 1 \rangle_{\Delta d +}$              | ETMC 17          | [arXiv:1706.02973]     | 2     | ■ ★ ■ ★ ★  | *    | -0.386(16)(6)                  |
| $\langle 1 \rangle_{\Delta s +}$              | $\chi$ QCD 17    | [PRD 95 (2017) 114509] | 2+1   | ■ ○ ○ ★ ★  | †, ▲ | -0.0403(44)(78)                |
|   | Engelhardt 12    | [PRD 86 (2012) 114510] | 2+1   | ■ ■ ○ ★ ★  | ◀    | -0.031(17)                     |
|   | ETMC 17          | [arXiv:1706.02973]     | 2     | ■ ★ ■ ★ ★  | *    | -0.042(10)(2)                  |
| $\langle x \rangle_{\Delta u - - \Delta d -}$ | RBC/<br>UKQCD 10 | [PRD 82 (2010) 014501] | 2+1   | ■ ■ ★ ★ ■  |      | 0.256(23)/<br>0.205(59)        |
|   | LHPC 10          | [PRD 82 (2010) 094502] | 2+1   | ■ ■ ○ ○ ■  |      | 0.1972(55)                     |
|   | ETMC 15          | [PRD 92 (2015) 114513] | 2     | ■ ★ ■ ★ ★  | *    | 0.229(33)                      |

\* Study employing a single physical pion mass ensemble.

†  $g_A$  is determined via the ratio  $g_A/f_\pi$  employing the physical value for  $f_\pi$ .

◊ Approach inspired by the Feynman-Hellmann method is employed.

† Partially quenched simulation with  $m_\pi = 330$  MeV.

◀ Some parts of the renormalisation are estimated.