



# Global analyses of precision LHC data: from PDFs to the SMEFT

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VU Amsterdam & Nikhef Theory group

**Particle Physics Seminar**

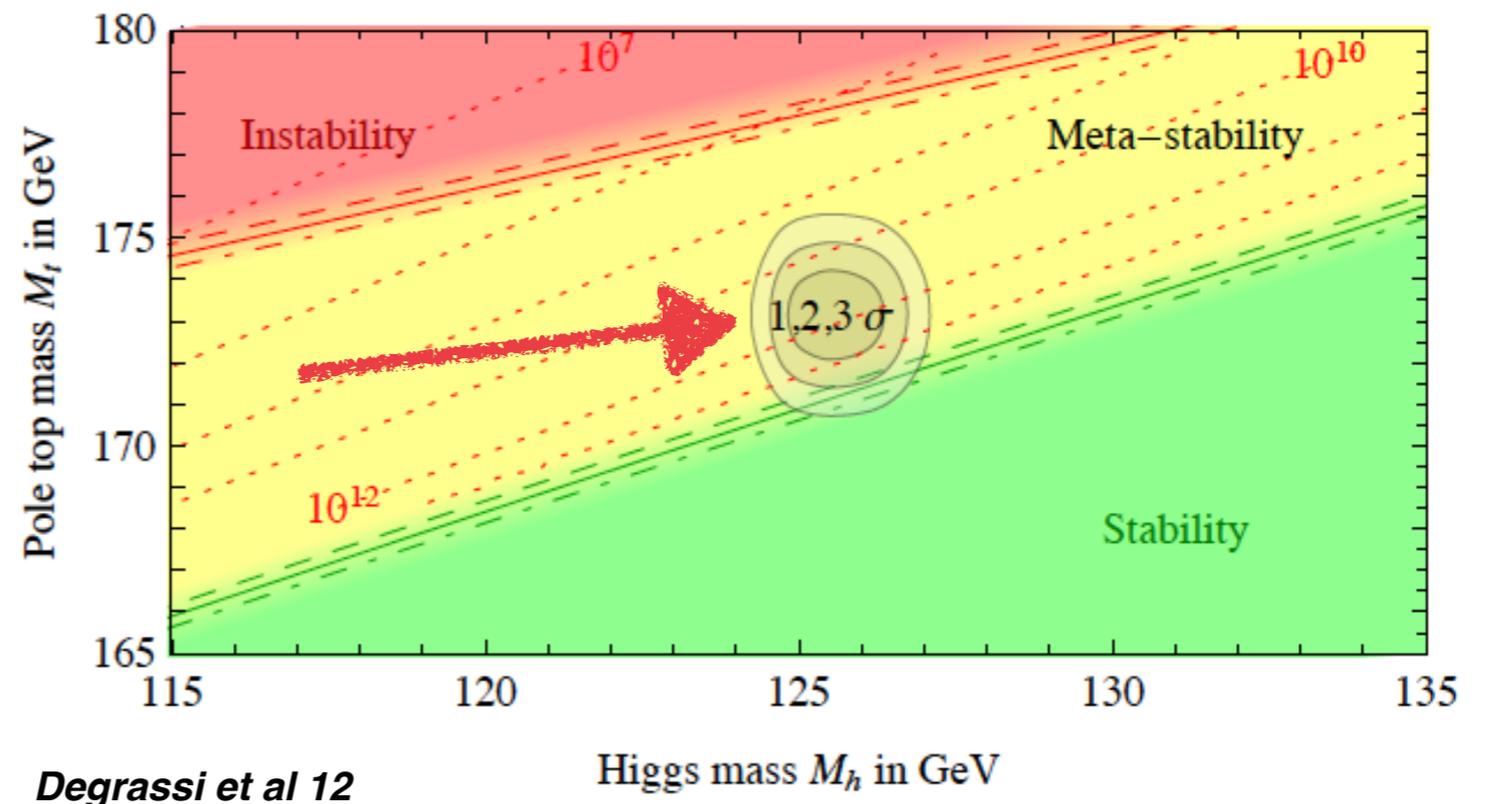
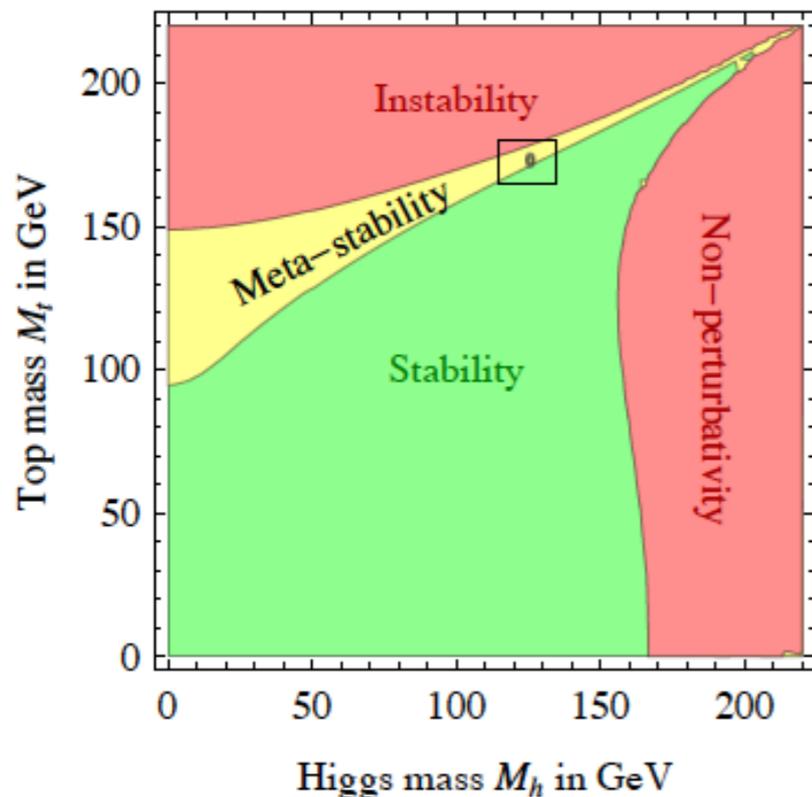
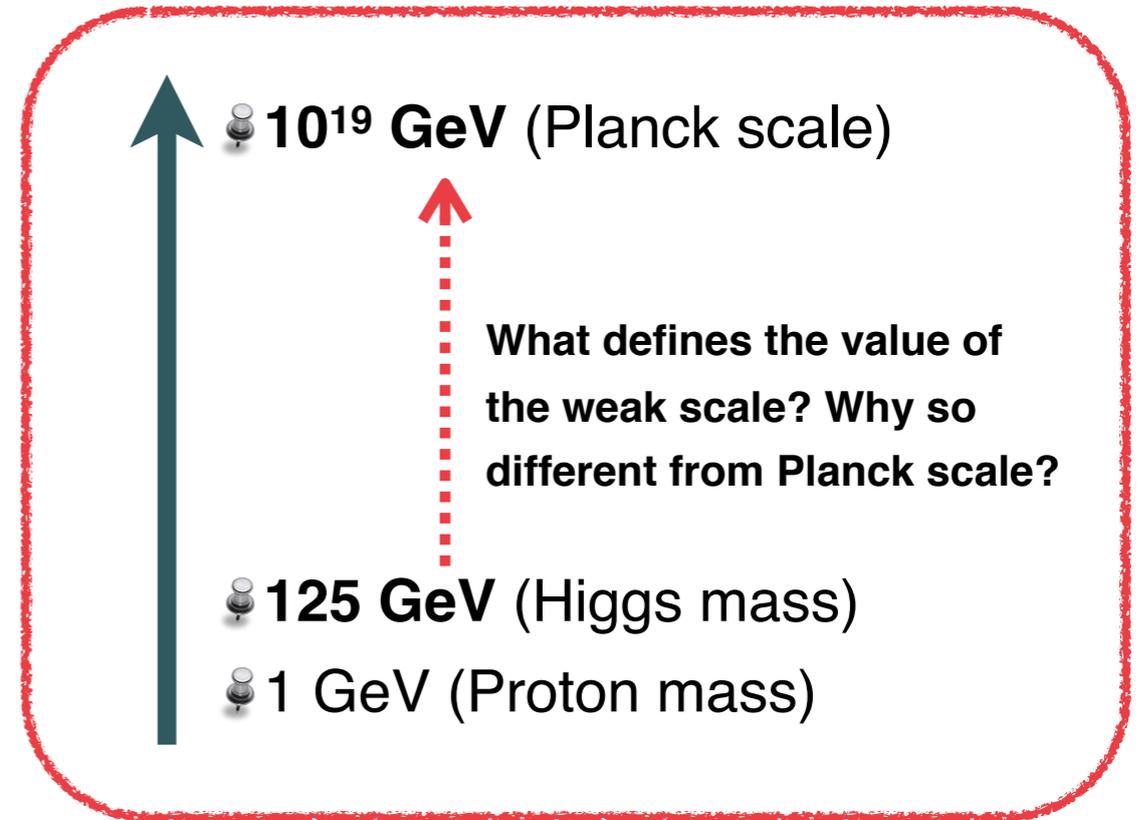
**Brookhaven National Laboratory (BNL), 29/11/2018**

# **Particle Physics in the Higgs boson era**

# Open questions in particle physics

## The Higgs boson

- Huge gap between **weak** and **Plank scales**?
- Compositeness**? Non-minimal Higgs sector?
- Coupling to **Dark Matter**? Role in cosmological phase transitions?
- Is the **vacuum state of the Universe** stable?



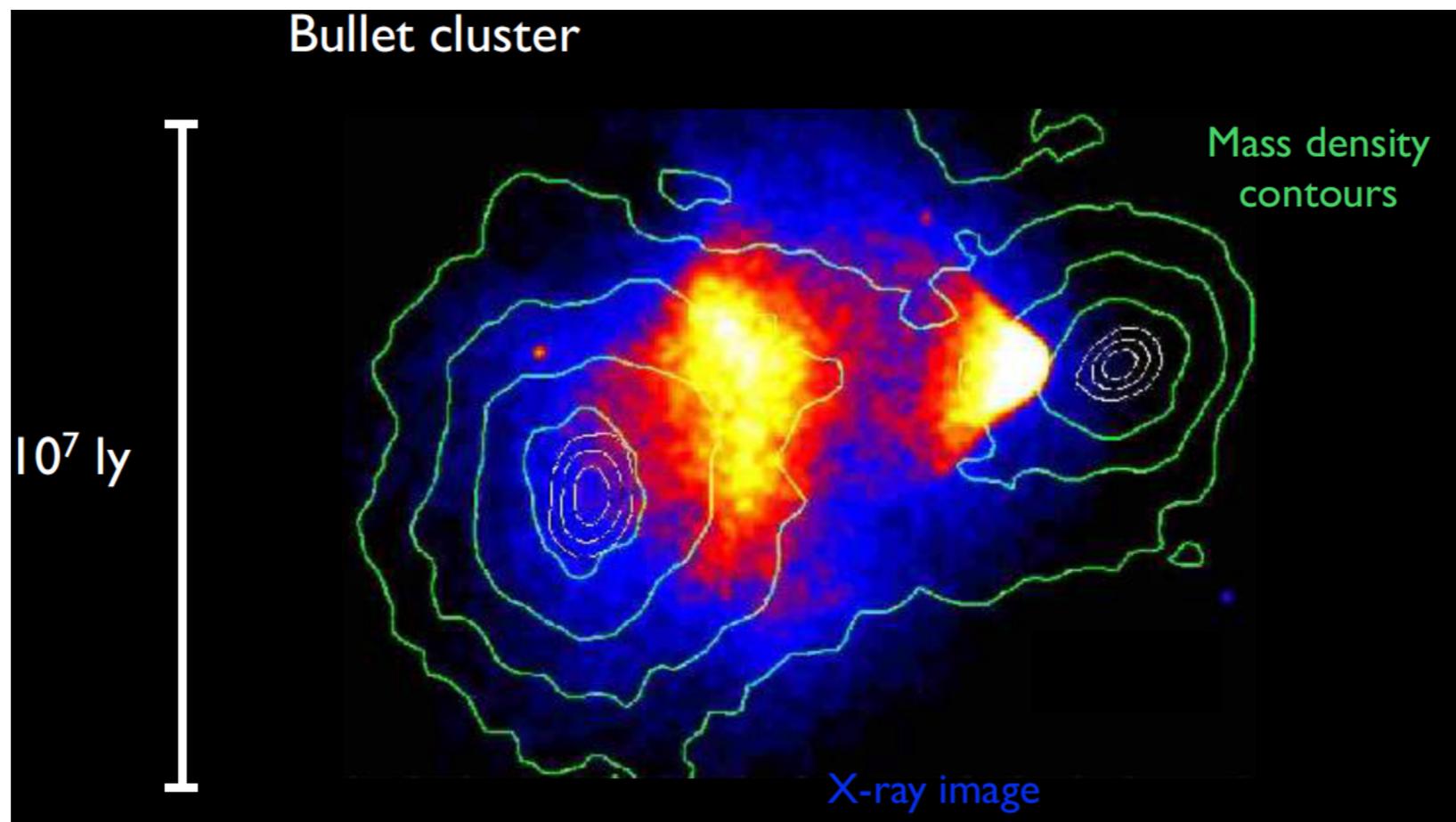
# Open questions in particle physics

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- 📍 **Compositeness**? Non-minimal Higgs sector?
- 📍 Coupling to **Dark Matter**? Role in cosmological phase transitions?
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## *Dark matter*

- 📍 **Weakly interacting massive particles**? Neutrinos? Ultralight particles (axions)?
- 📍 **Interactions** with SM particles? Self-interactions?
- 📍 **Structure** of the Dark Sector?



# Open questions in particle physics

## *The Higgs boson*

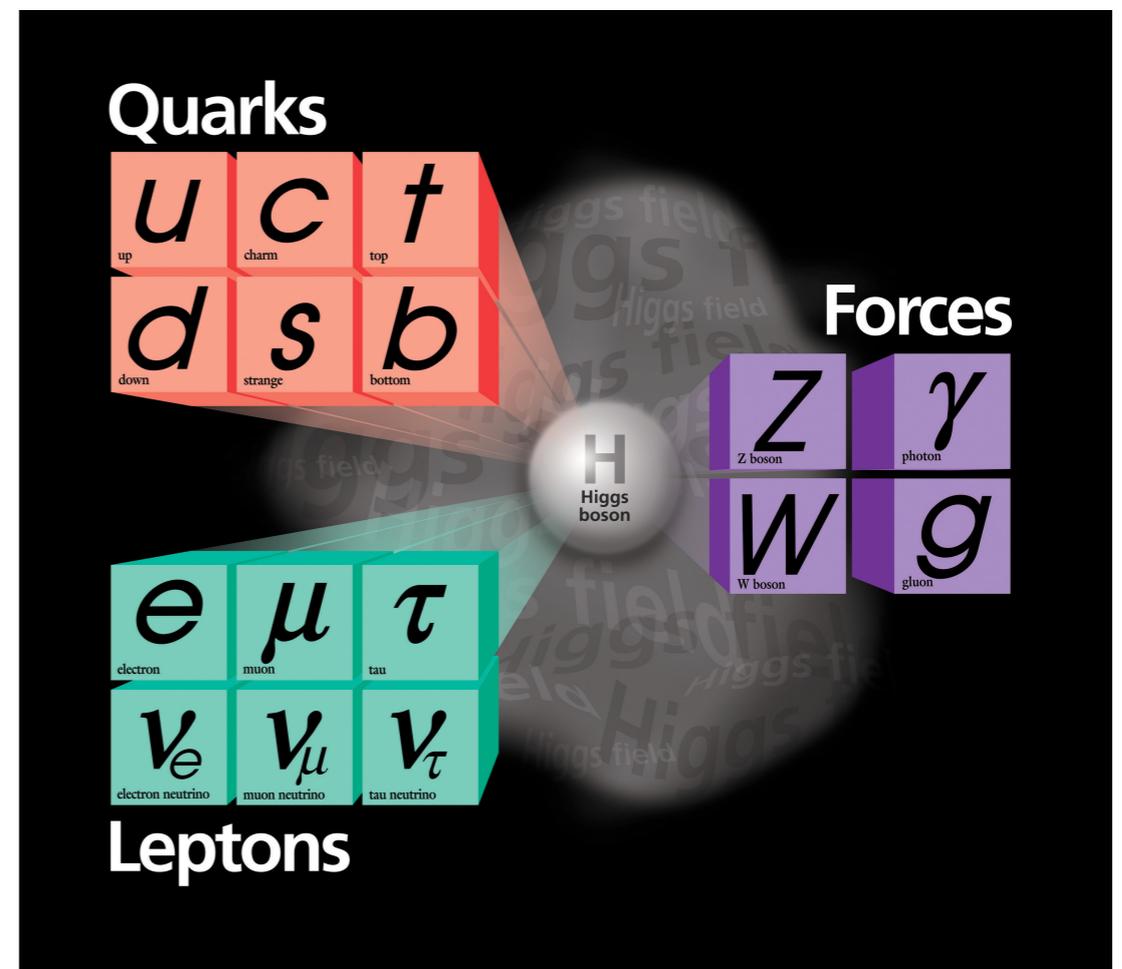
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- 📌 Is the **vacuum state of the Universe** stable?

## *Quarks and leptons*

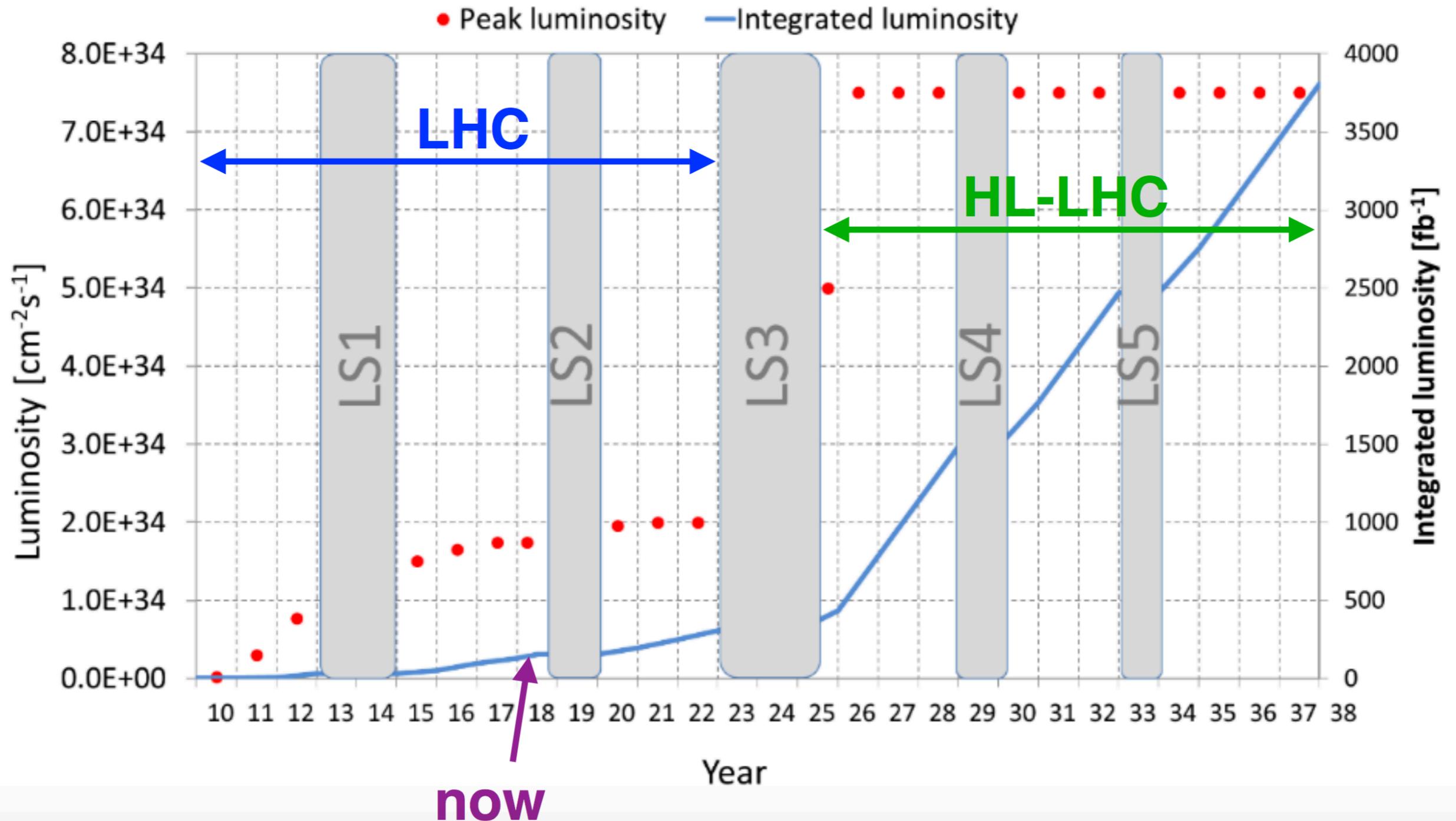
- 📌 Why **3 families**? Origin of **masses, mixings**?
- 📌 Origin of **Matter-Antimatter asymmetry**?
- 📌 Are **neutrinos Majorana or Dirac**? CP violation in the lepton sector?

## *Dark matter*

- 📌 **Weakly interacting massive particles**? Neutrinos? Ultralight particles (axions)?
- 📌 **Interactions** with SM particles? Self-interactions?
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# Open questions in particle physics



Crucial information on these fundamental questions will be provided by the LHC:  
the **exploration of the high-energy frontier** has just started!

# Global analyses of LHC data

Many important open issues in particle physics require **global analyses** combining a large number of LHC measurements

## *The quark and gluon substructure of protons*

- 📌 PDF constraints from LHC measurements
- 📌 Parton distributions with theoretical uncertainties
- 📌 Projections for future colliders: HL-LHC and LHeC

## *Model-independent bSM searches from precision measurements*

- 📌 Towards a global fit of the Standard Model Effective Field Theory
- 📌 The SMEFiT analysis of the top quark sector

# The inner life of protons

*See also “The structure of the proton in the LHC precision era”*

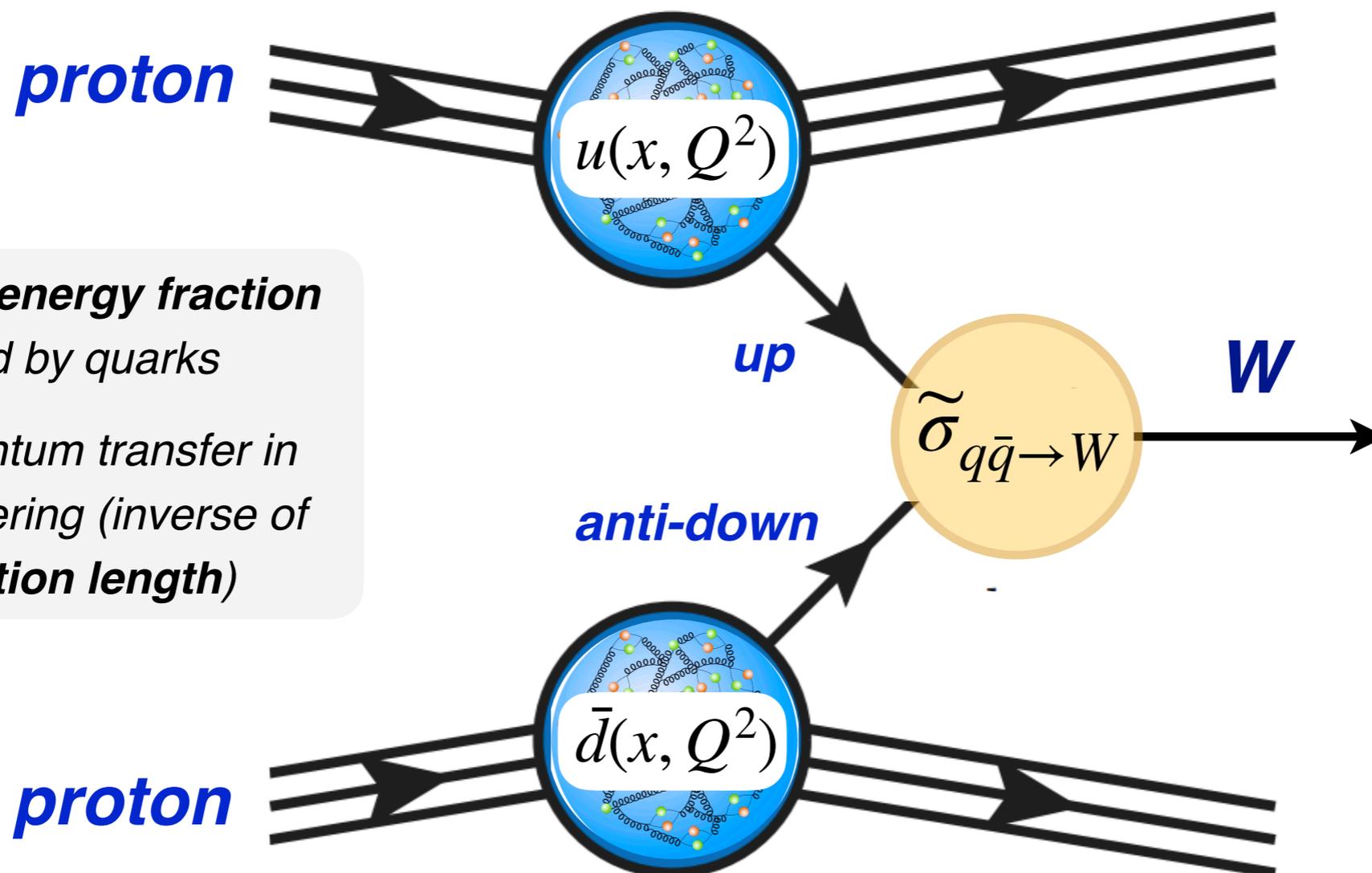
*J. Gao, L. Harland-Lang, JR (Physics Reports 17)*

# Parton distributions @ LHC

## QCD Factorisation theorem:

Event rates = **parton distributions** + hard-scattering partonic cross-sections

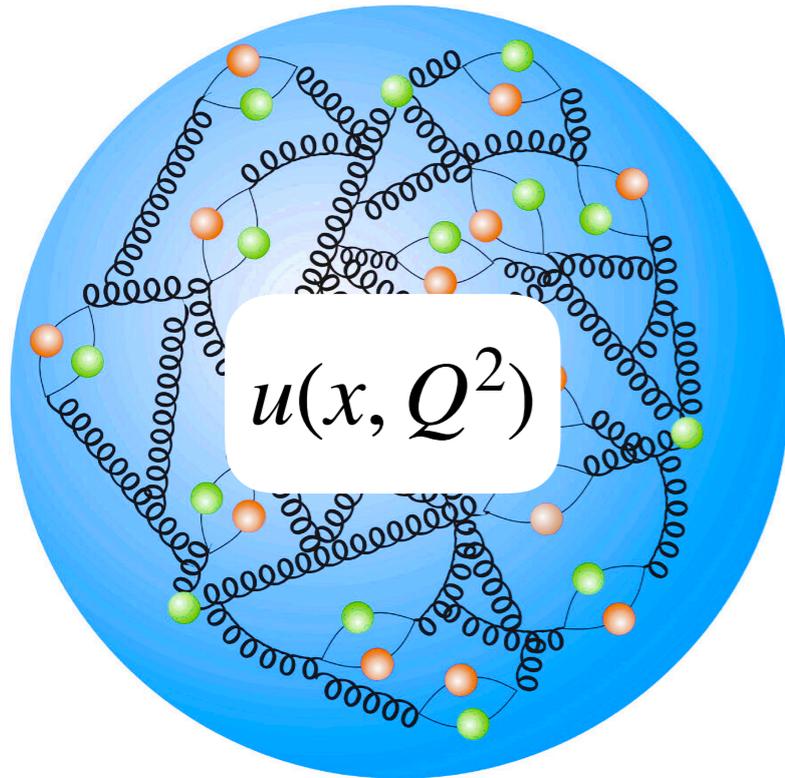
$$N_{\text{LHC}}(W) \sim q \otimes \bar{q} \otimes \tilde{\sigma}_{q\bar{q} \rightarrow W} + \mathcal{O}(\alpha_s)$$



$x$ : proton's **energy fraction**  
carried by quarks

$Q$ : momentum transfer in  
hard scattering (inverse of  
**resolution length**)

# Parton distributions @ LHC



Challenging to compute **from first principles**

Determine from data:  
***Global QCD analysis***

*Mass? Spin?  
Heavy quarks?*

*Event rates LHC,  
RHIC, IceCube?*

**DGLAP evolution**  
*(upwards in  $Q$ )*

$$\frac{\partial}{\partial \ln Q^2} q_i(x, Q^2) = \int_x^1 \frac{dz}{z} P_{ij} \left( \frac{x}{z}, \alpha_s(Q^2) \right) q_j(z, Q^2)$$

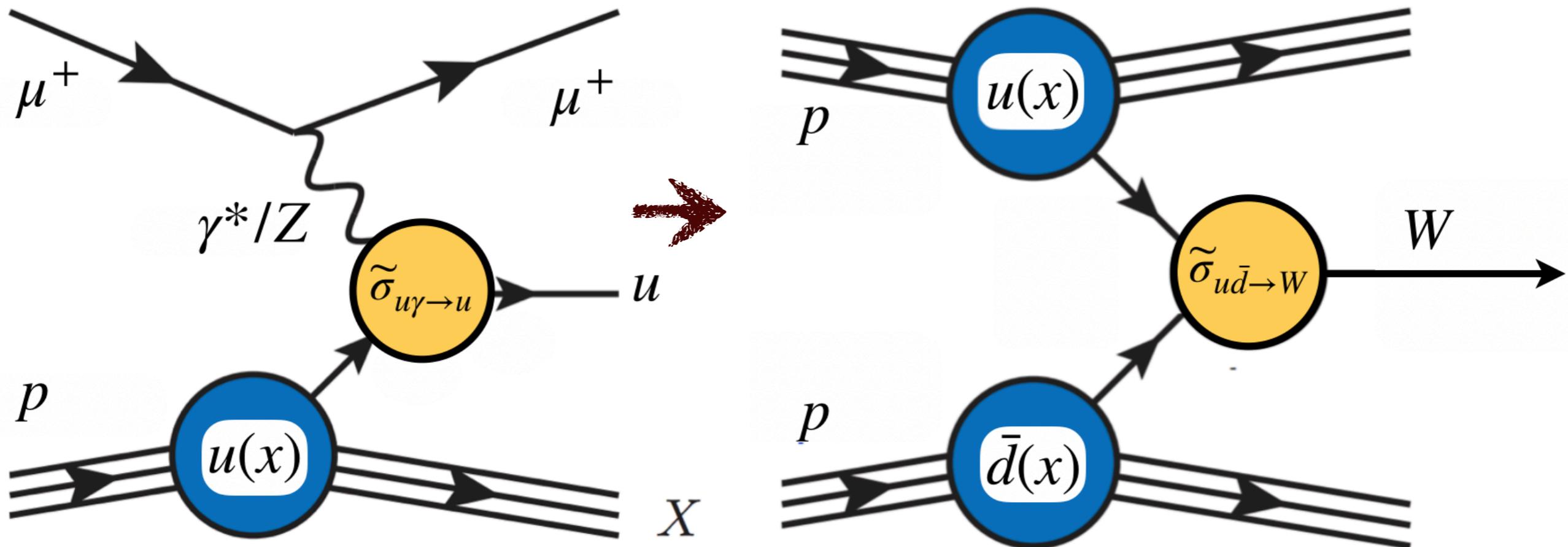
**Momentum sum rule**  
*(energy conservation)*

$$\int_0^1 dx x \left( \sum_{i=1}^{n_f} [q_i(x, Q^2) + \bar{q}_i(x, Q^2)] + g(x, Q^2) \right) = 1$$

# QCD factorisation

The **QCD factorization theorems** guarantee **PDF universality**

$$\sigma_{l p \rightarrow \mu X} = \tilde{\sigma}_{u\gamma \rightarrow u} \otimes u(x) \rightarrow \sigma_{p p \rightarrow W} = \tilde{\sigma}_{u\bar{d} \rightarrow W} \otimes u(x) \otimes \bar{d}(x)$$



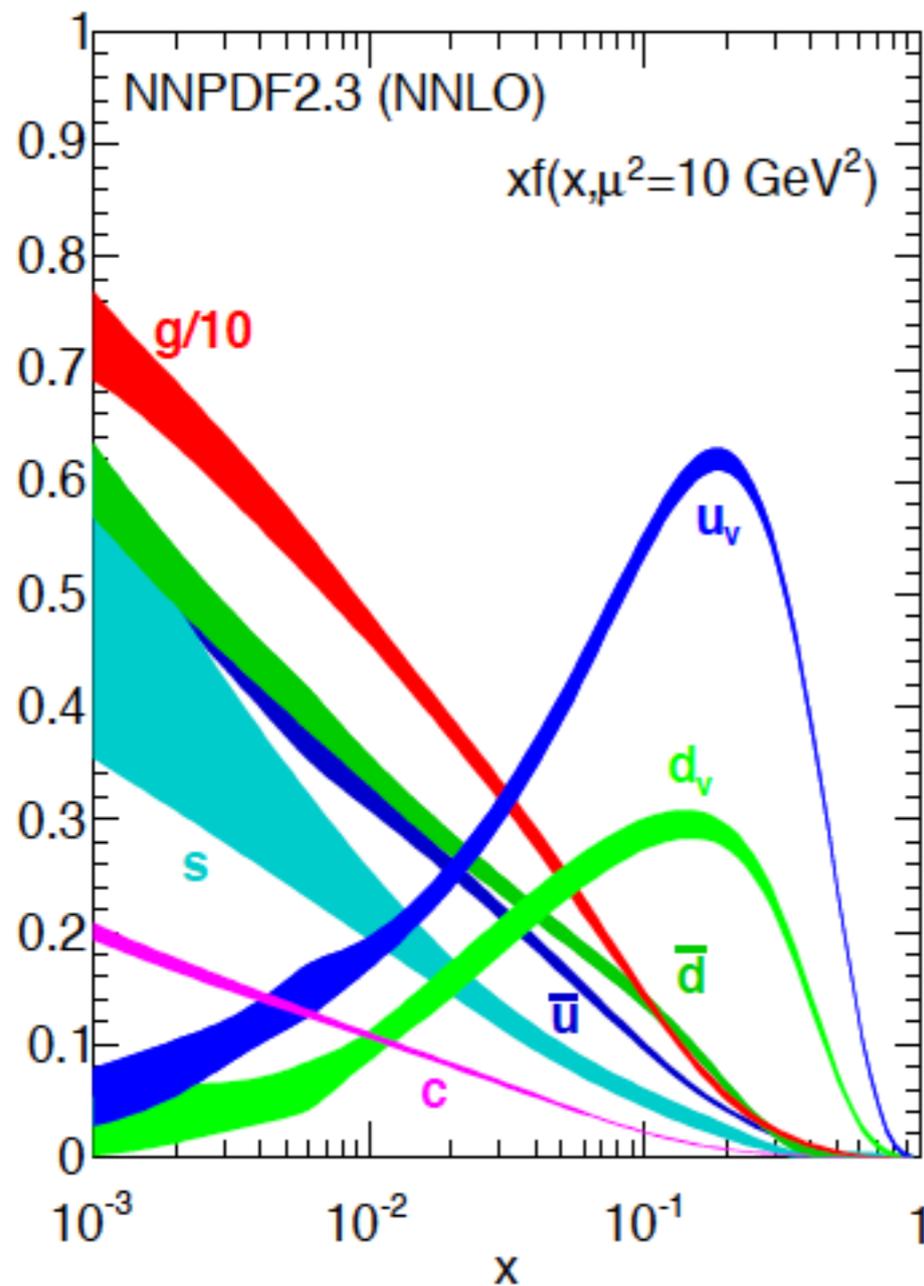
Determine PDFs in **lepton-proton collisions** (deep-inelastic scattering) ...

... and use them to compute predictions for **proton-proton collisions**

# From the proton mass to the LHC

- 📌 Extract PDFs at hadronic scales (few GeV), where **non-perturbative QCD** sets in
- 📌 Use **perturbative evolution** to compute PDFs at high scales as **input to LHC predictions**

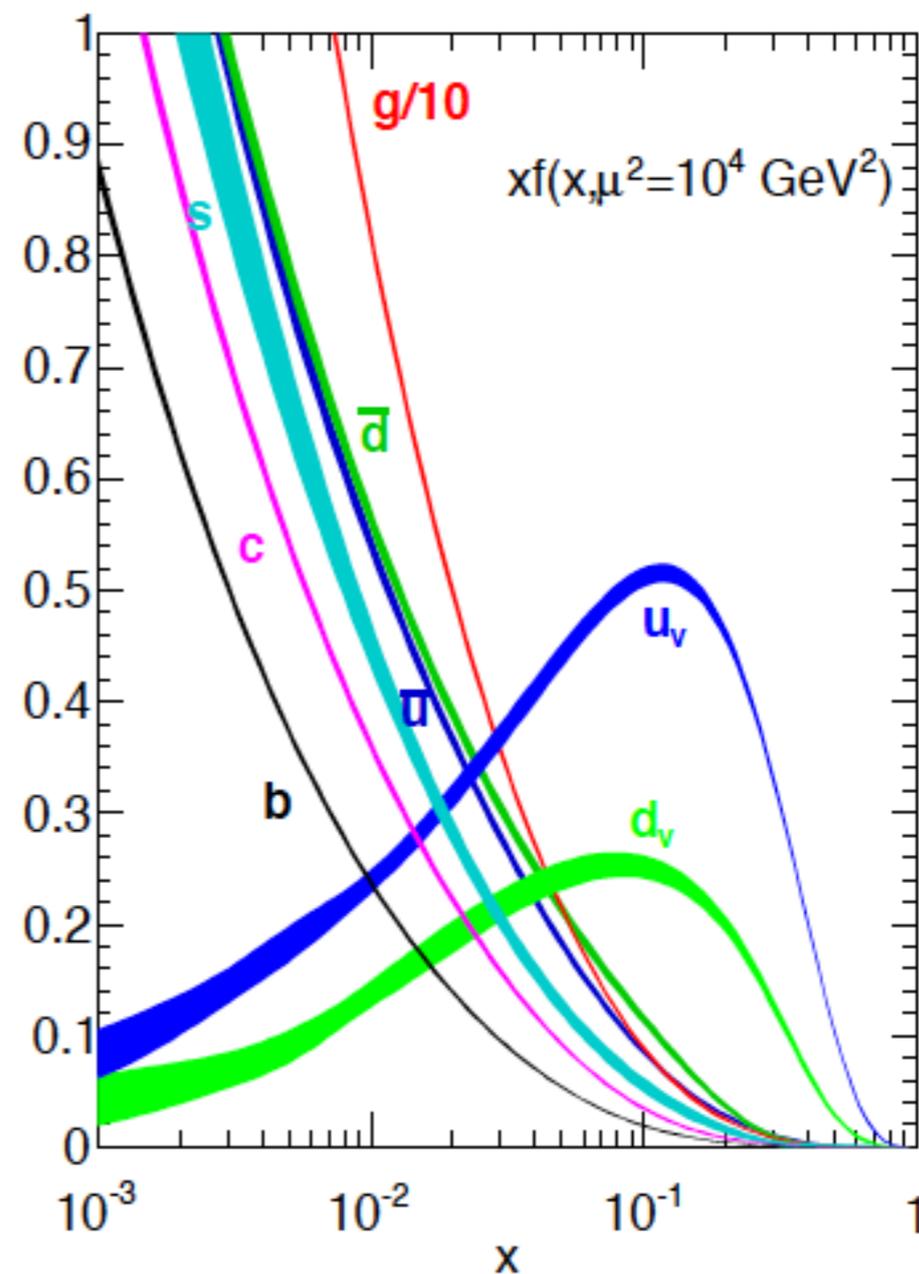
Hadronic scale:  
Global PDF fit results



DGLAP  
Evolution

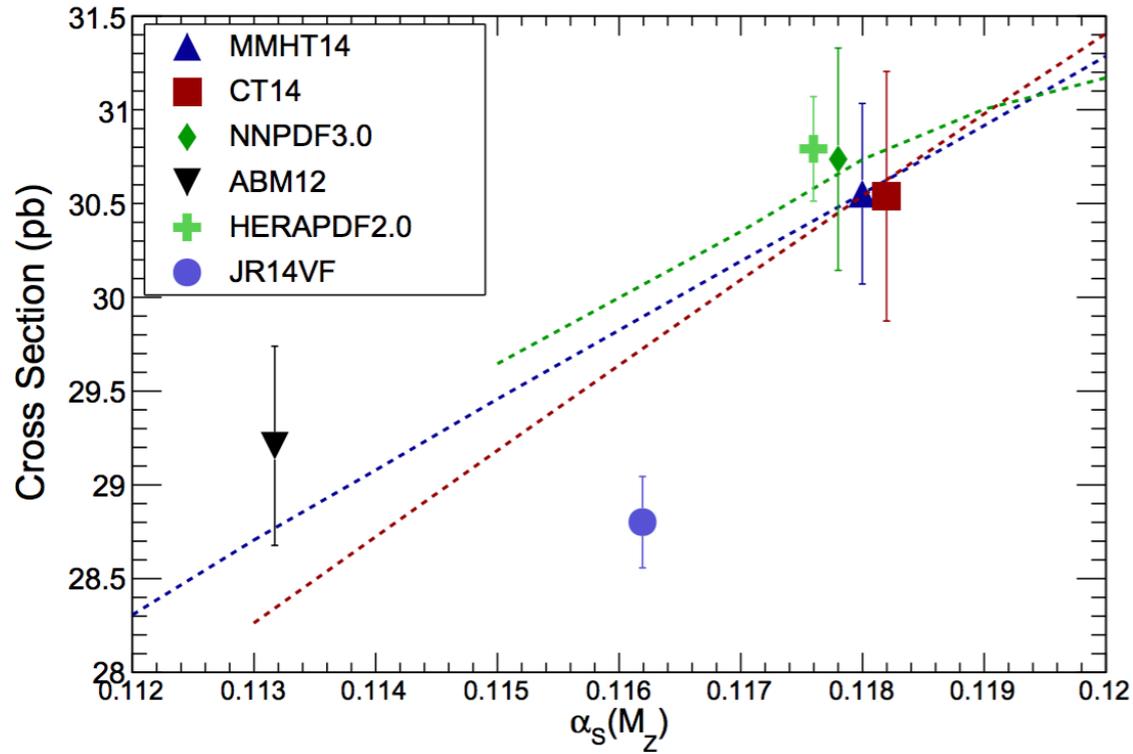


High scales:  
input to LHC

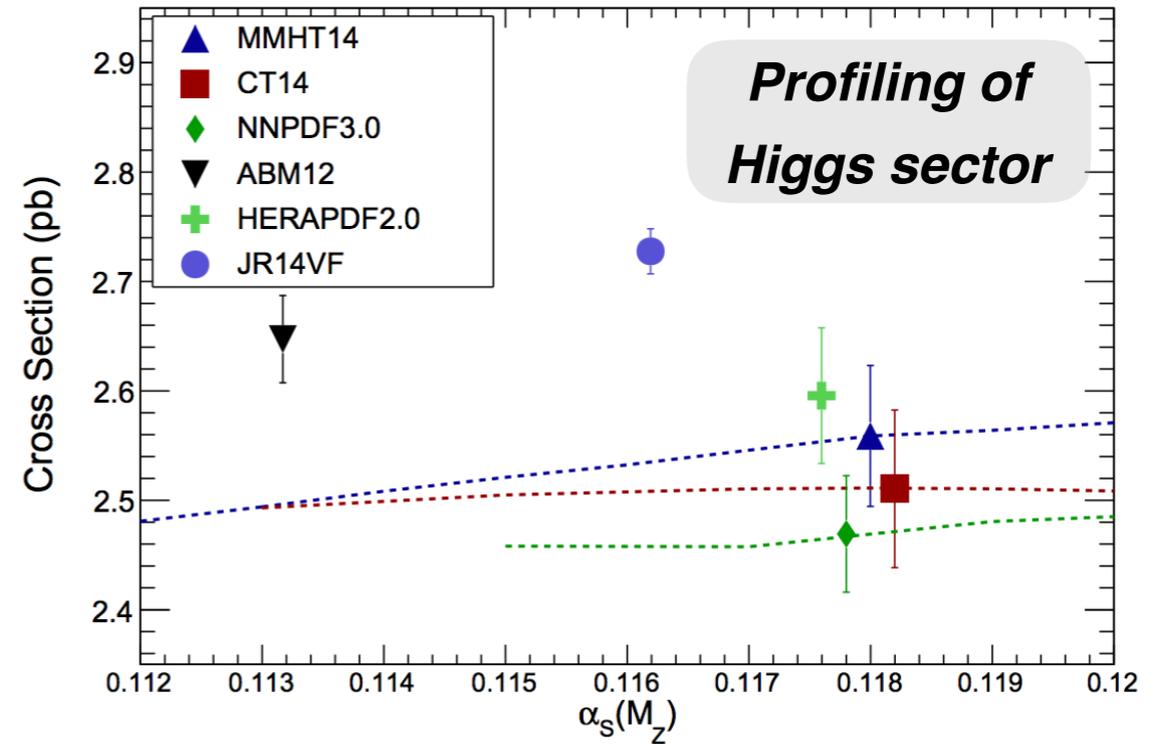


# Why better PDFs?

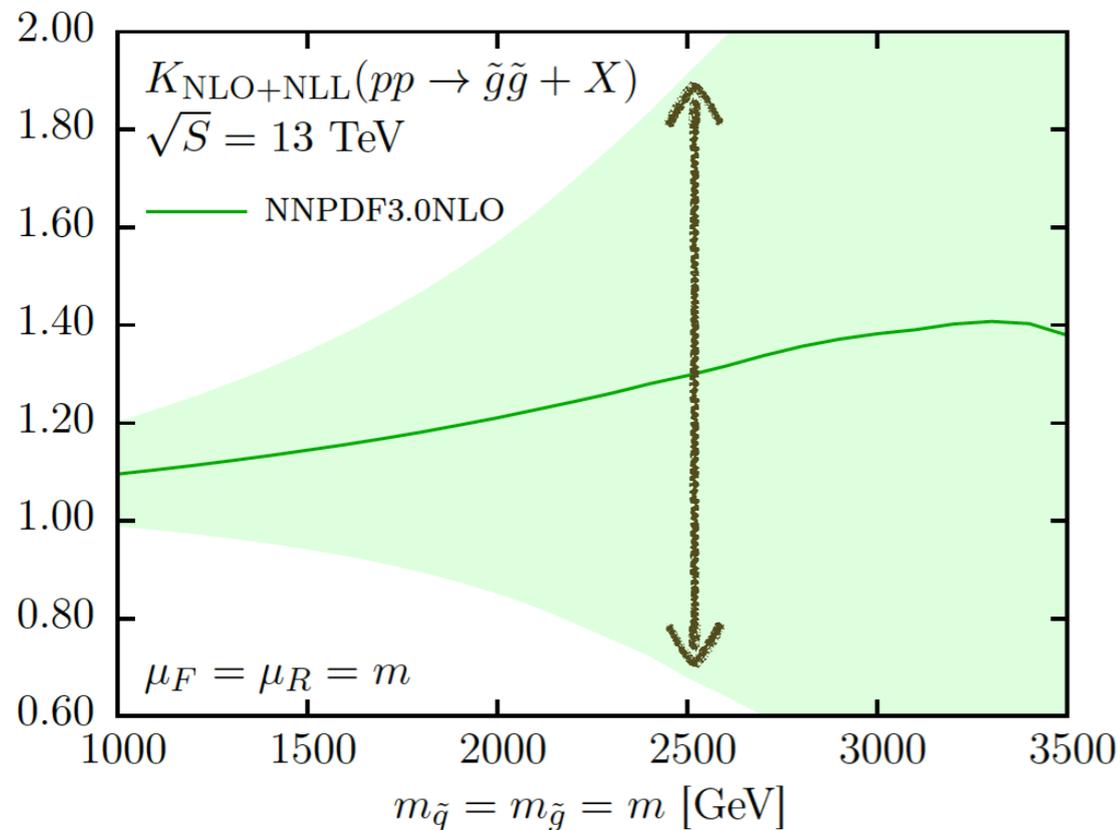
Gluon-Fusion Higgs production, LHC 13 TeV



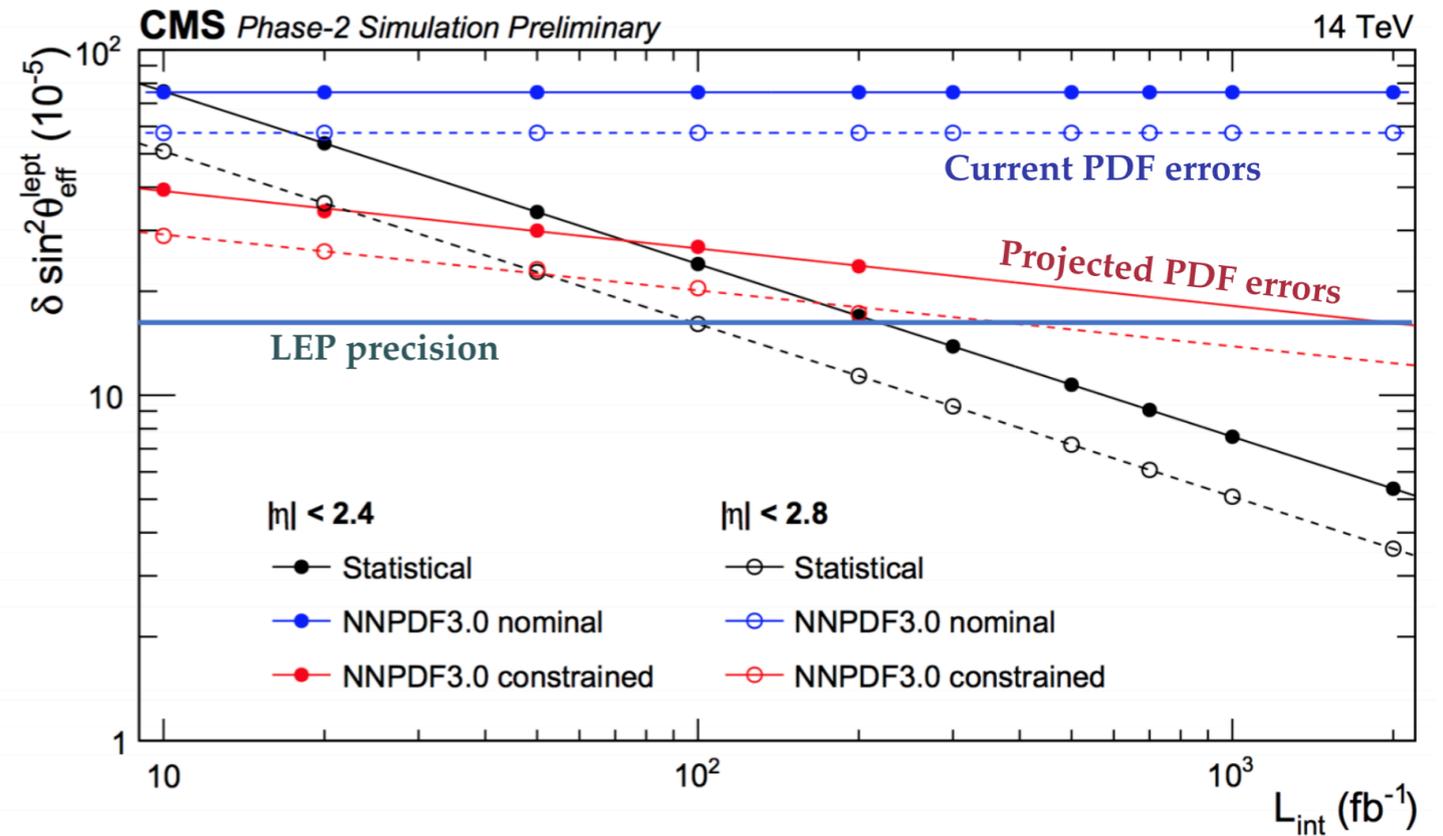
Vector-Boson Fusion Higgs production, LHC 13 TeV



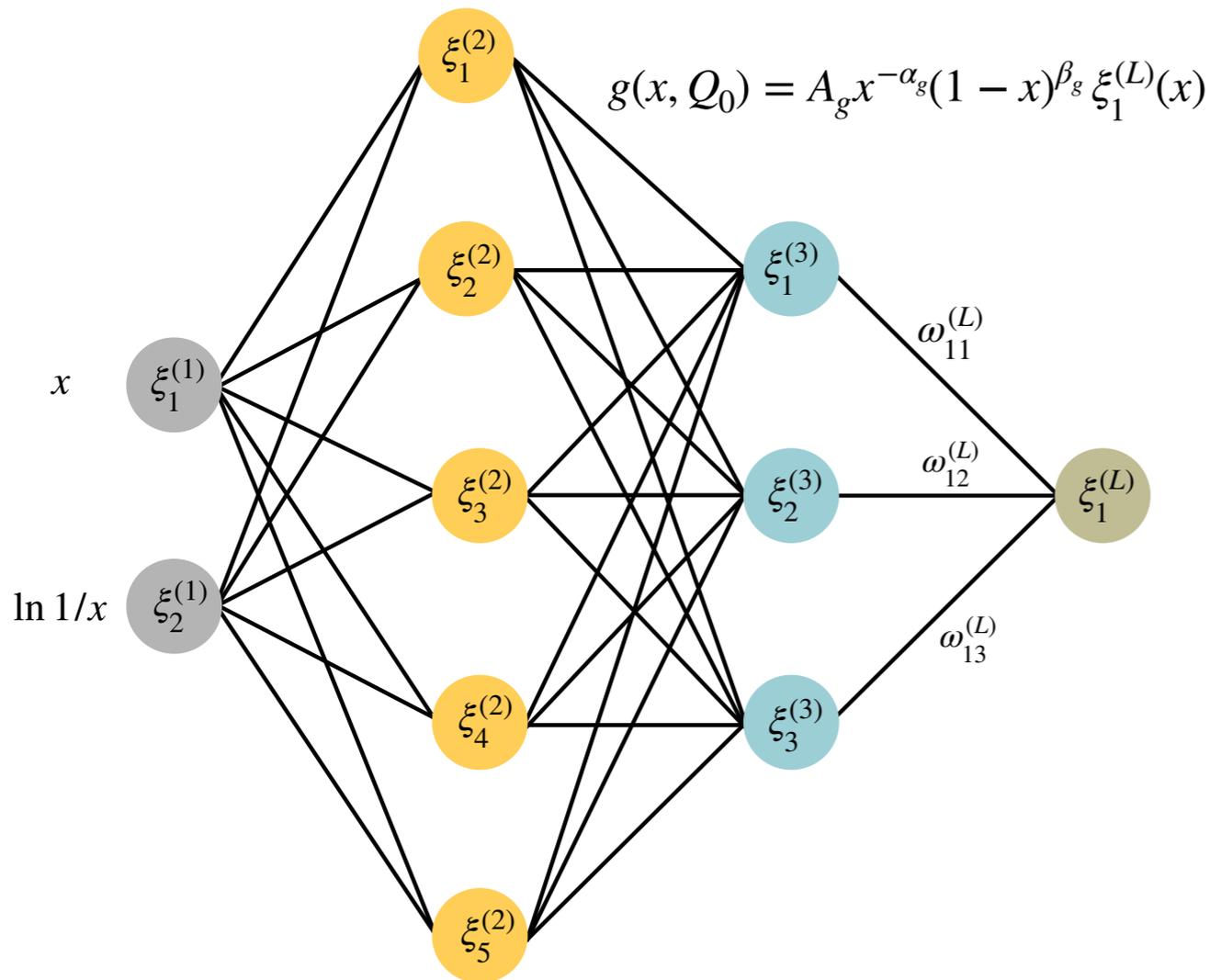
## High-mass bSM searches



## Precision electroweak measurements



# The NNPDF approach to PDF fits



- **Neural Networks** as universal unbiased interpolants to **parametrise PDFs**: eliminate model assumptions
- **Monte Carlo replicas** to propagate uncertainties w/o Gaussian assumptions
- **Genetic algorithms** and **Machine Learning** to explore parameter space

**Proton PDFs**

**Nuclear PDFs**

*Traditional*

$$g(x) \simeq x^{-b} (1-x)^c$$

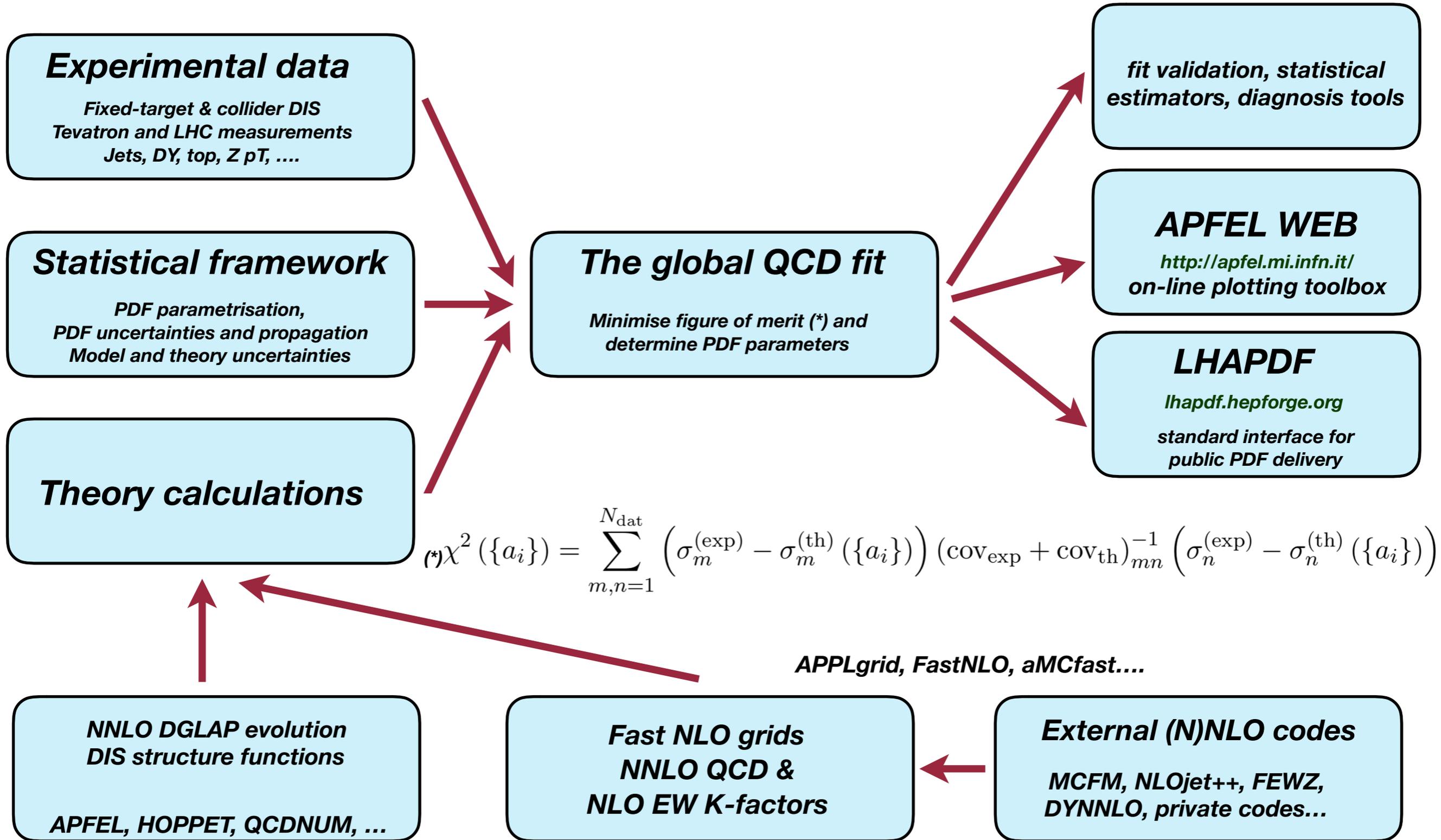
$$R_g(x, A) \simeq (1 + bx + cx^2) \times A^d$$

*Neural Nets*

$$g(x) \simeq \text{NN}(x)$$

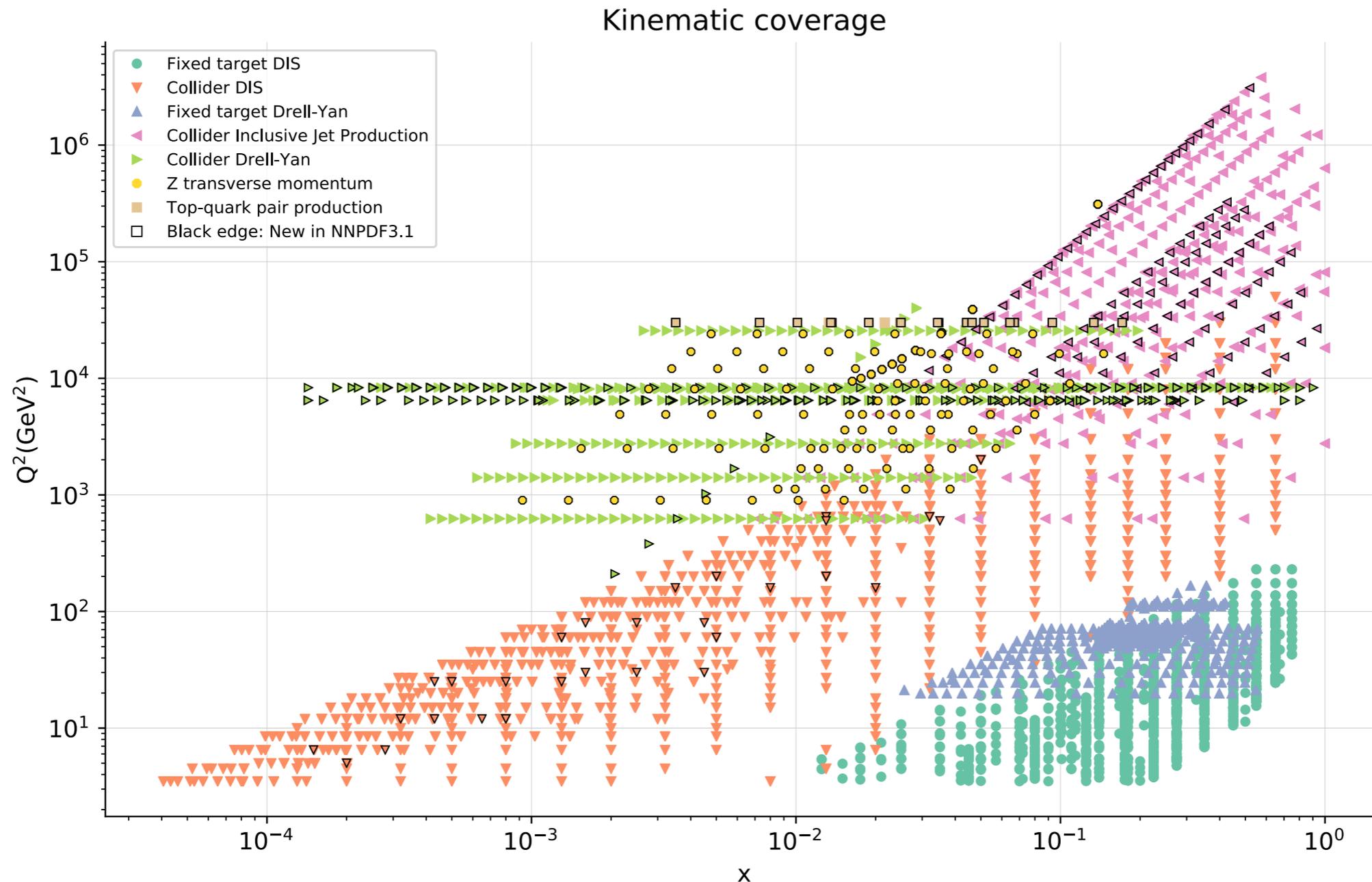
$$R_g(x, A) \simeq \text{NN}(x, A)$$

# The NNPDF approach to PDF fits



Combine **precision measurements** and **state-of-the-art theory** within robust statistical framework

# The NNPDF approach to PDF fits



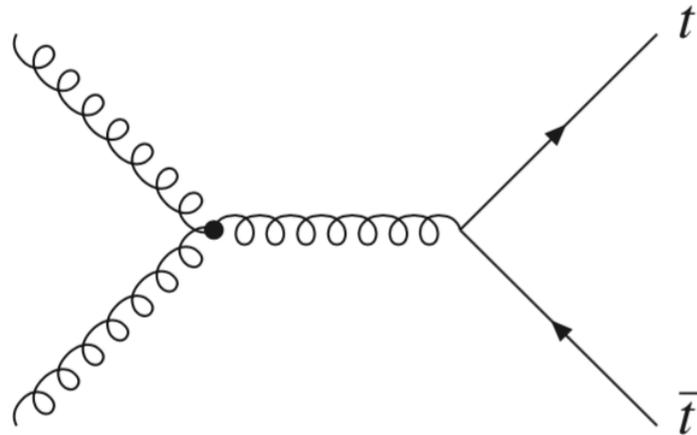
Highly non-trivial validation of the **QCD factorisation framework**:  
Including **O(5000)** data points, from **O(40)** experiments, some of them with  $\approx 1\%$  errors,  
yet the global PDF fit achieves  $\chi^2/N_{\text{dat}} \approx 1$  !

# **PDF constraints from LHC data**

# PDF information from p+p collisions

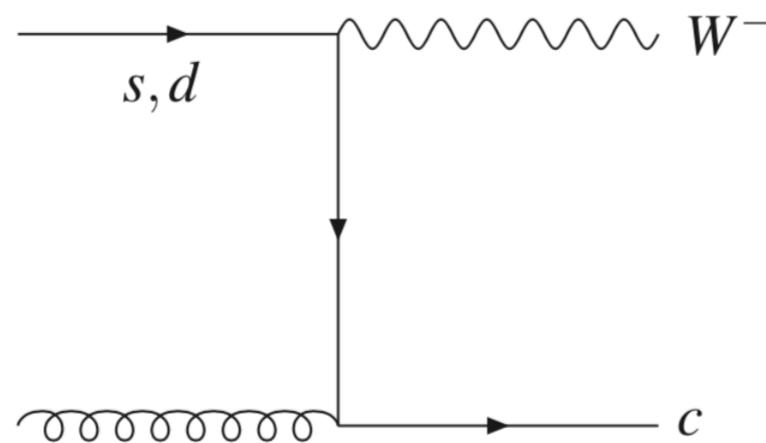
*large-x gluon*

Top quark pair production



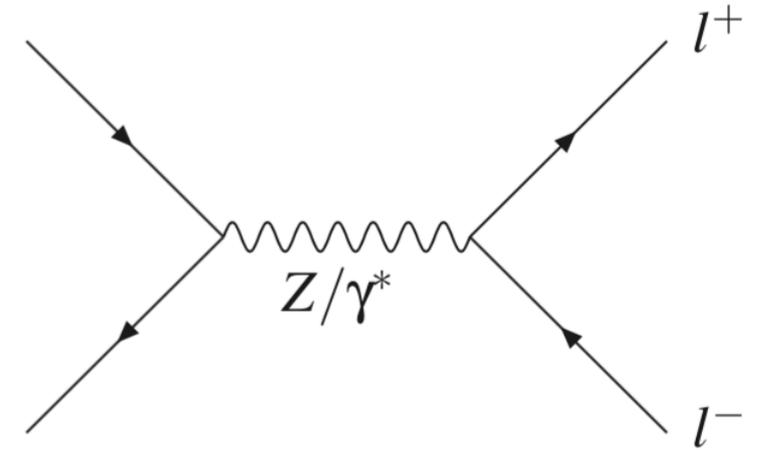
*strangeness*

$W + c$  production

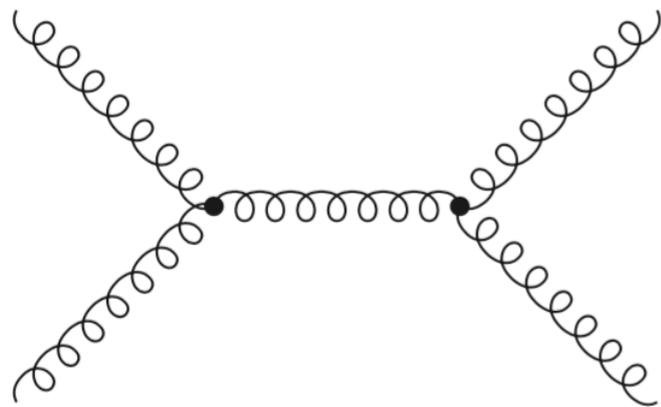


*antiquarks*

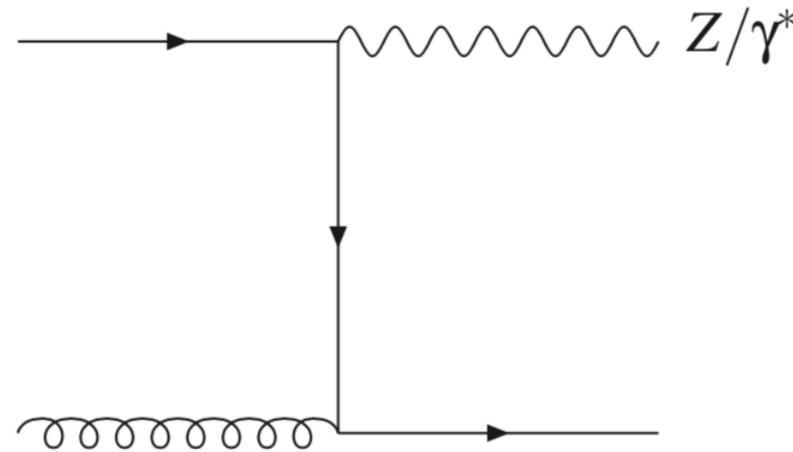
Drell-Yan production



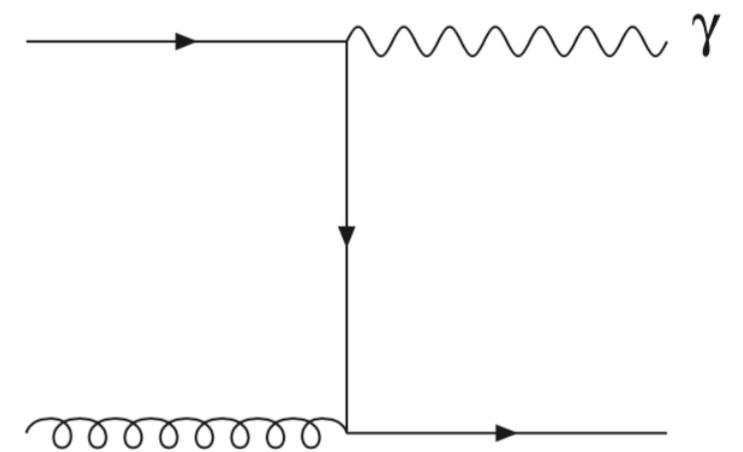
Jet production



$Z p_T$



Direct photon production



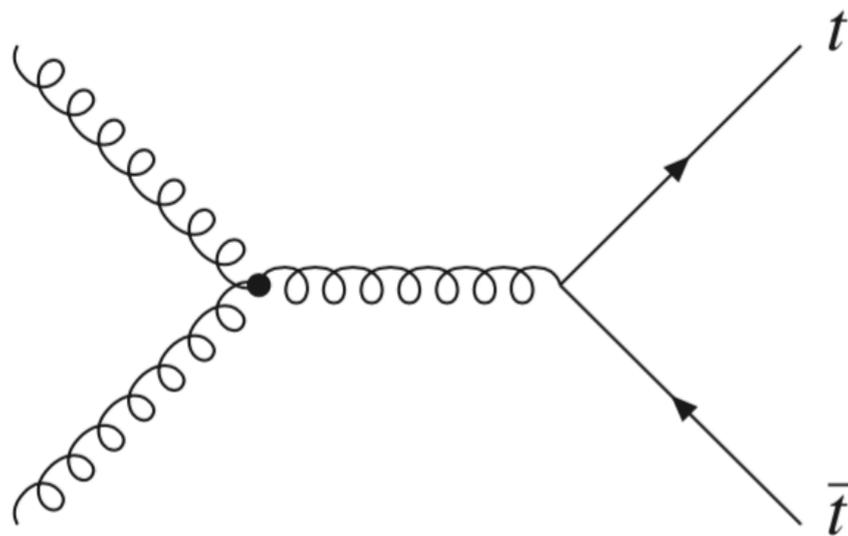
*large-x gluon*

*medium-x gluon*

*medium-x gluon*

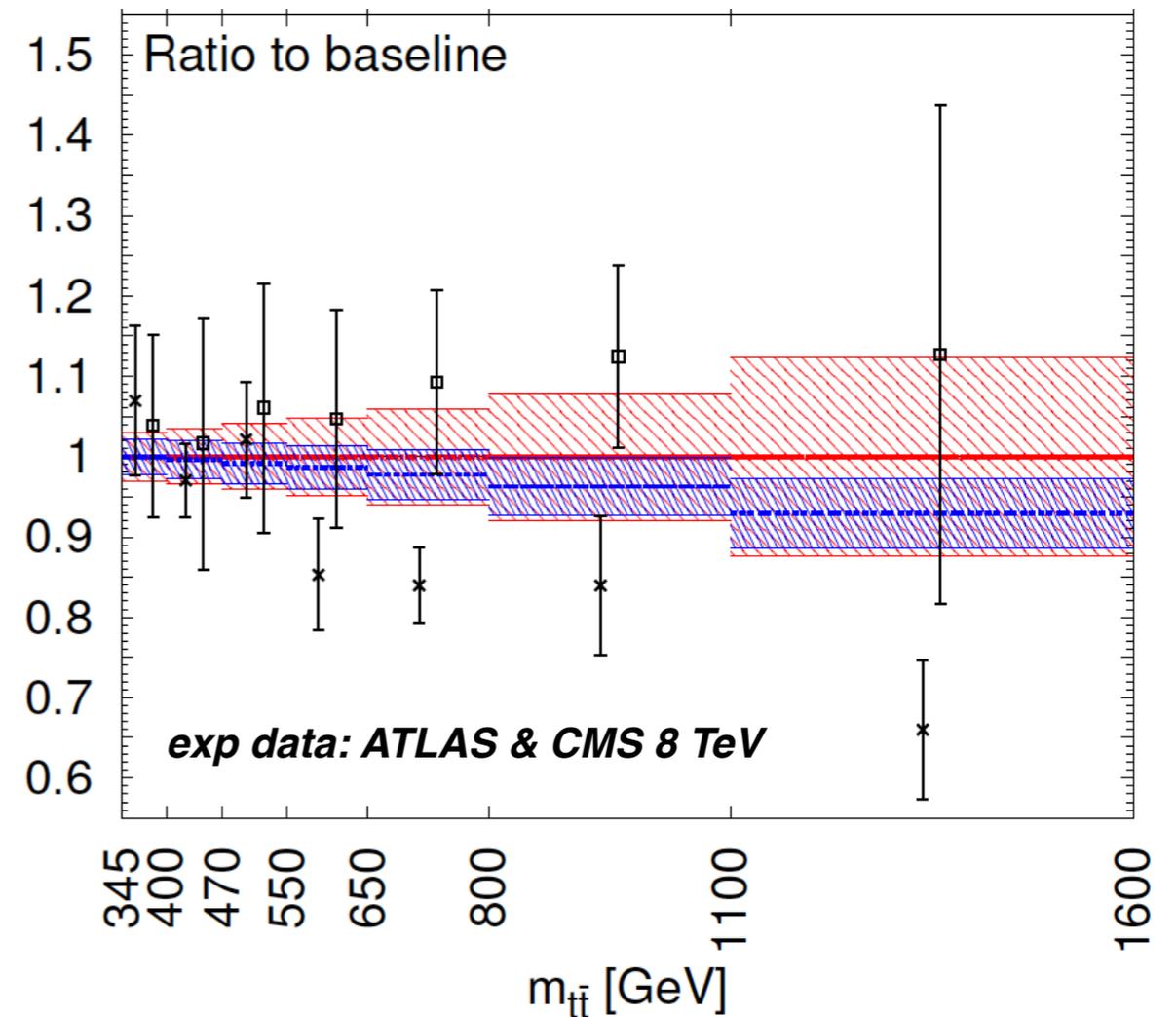
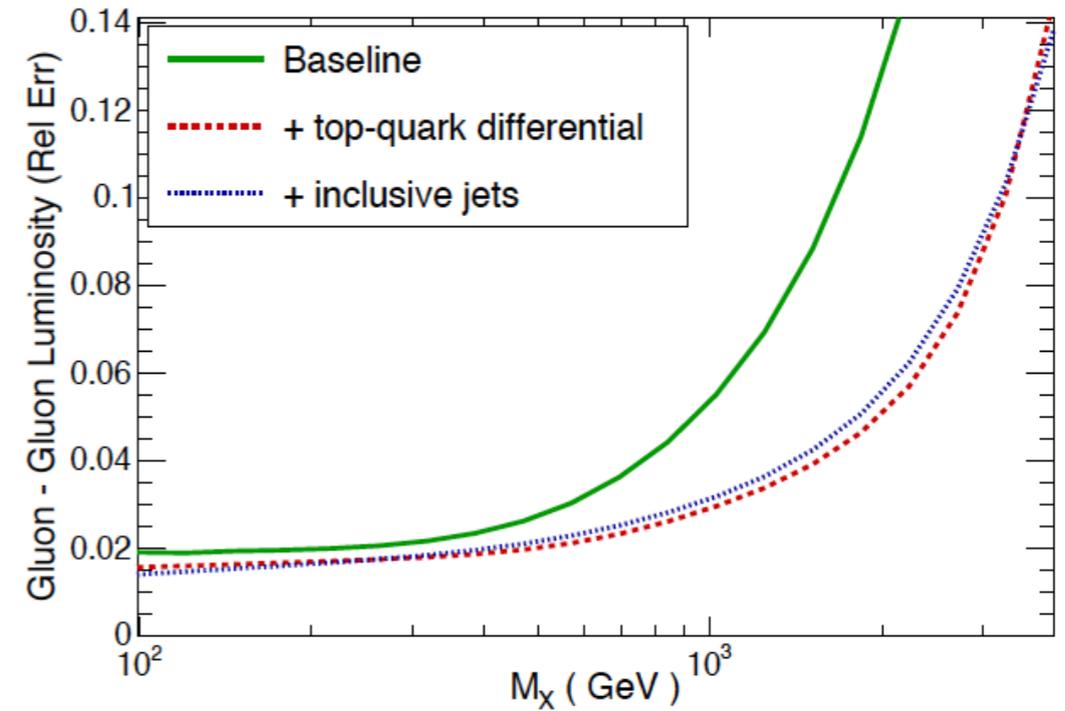
# Gluon PDF from top quarks

Top quark pair production



- Complementary probe of the **large-x gluon**
- Included **differential top distributions in NNPDF3.0 NNLO**: constraints on **large-x gluon** comparable to inclusive jet production
- Stability wrt choice of distribution (*i.e.*  $m_{t\bar{t}}$  vs  $y_{t\bar{t}}$ )
- Reduced theory uncertainties in regions crucial for **searches**, *i.e.*,  $m_{t\bar{t}} > 1$  TeV (fitting  $y_t$  and  $y_{t\bar{t}}$ )

NNLO, global fits, LHC 13 TeV



# Gluon PDF from direct photons

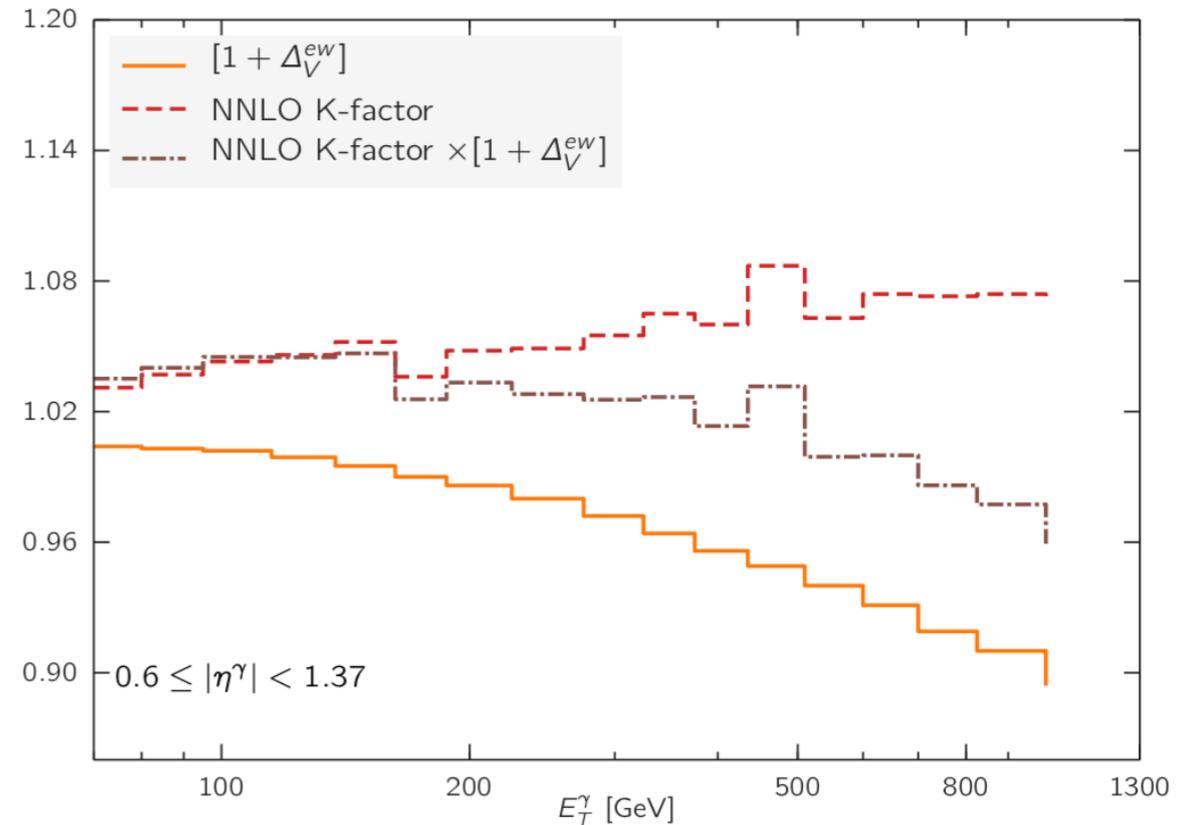
- Revisited the impact of LHC **direct photon data** into the global PDF fit

*Campbell, JR, Slade, Williams 18*

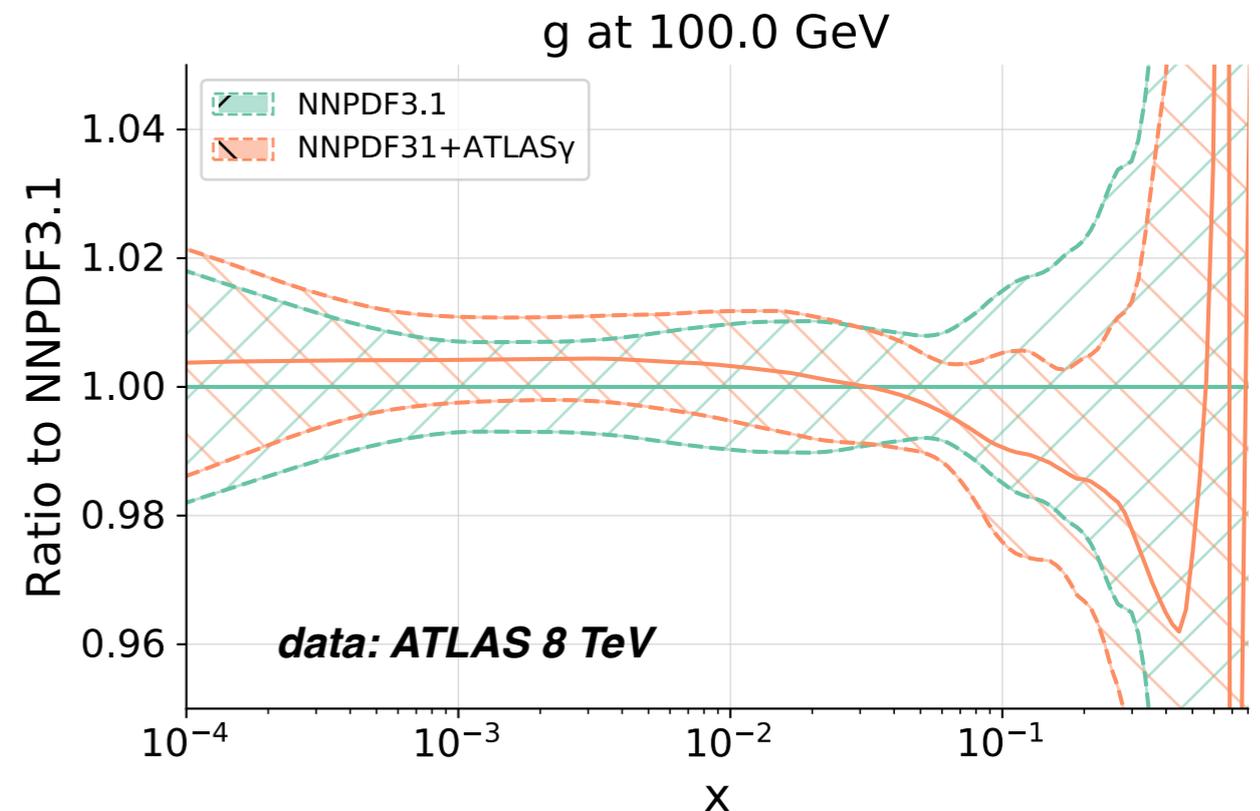
- Theory based on **NNLO QCD** and **LL electroweak** calculations

- Moderate impact on medium-x gluon

- Good **consistency** with other gluon-sensitive experiments in NNPDF3.1



|                           | NNPDF3.1     | NNPDF3.1+ATLAS $\gamma$ |
|---------------------------|--------------|-------------------------|
| Fixed-target lepton DIS   | 1.207        | 1.203                   |
| Fixed-target neutrino DIS | 1.081        | 1.087                   |
| HERA                      | 1.166        | 1.169                   |
| Fixed-target Drell-Yan    | 1.241        | 1.242                   |
| Collider Drell-Yan        | 1.356        | 1.346                   |
| Top-quark pair production | 1.065        | 1.049                   |
| Inclusive jets            | 0.939        | 0.915                   |
| $Z$ $p_T$                 | 0.997        | 0.980                   |
| <b>Total dataset</b>      | <b>1.148</b> | <b>1.146</b>            |

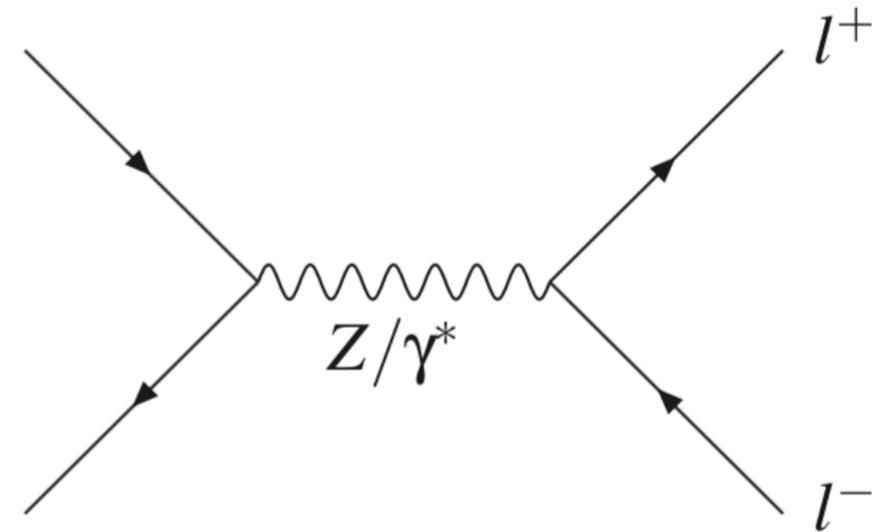


# Flavour separation from forward W,Z

📌 **Forward coverage** of LHCb: unique sensitivity to small- $x$  and large- $x$  regions beyond that of ATLAS/CMS

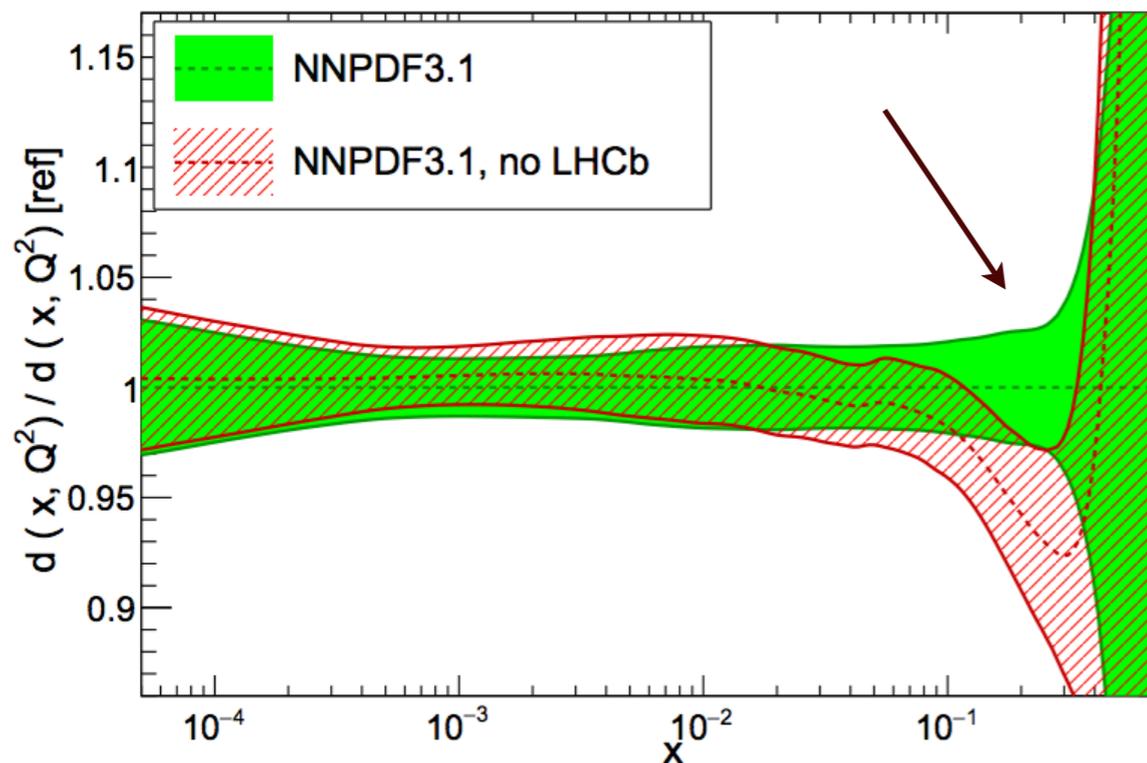
📌 Specially important to disentangle **quark flavour at large- $x$**

Drell–Yan production

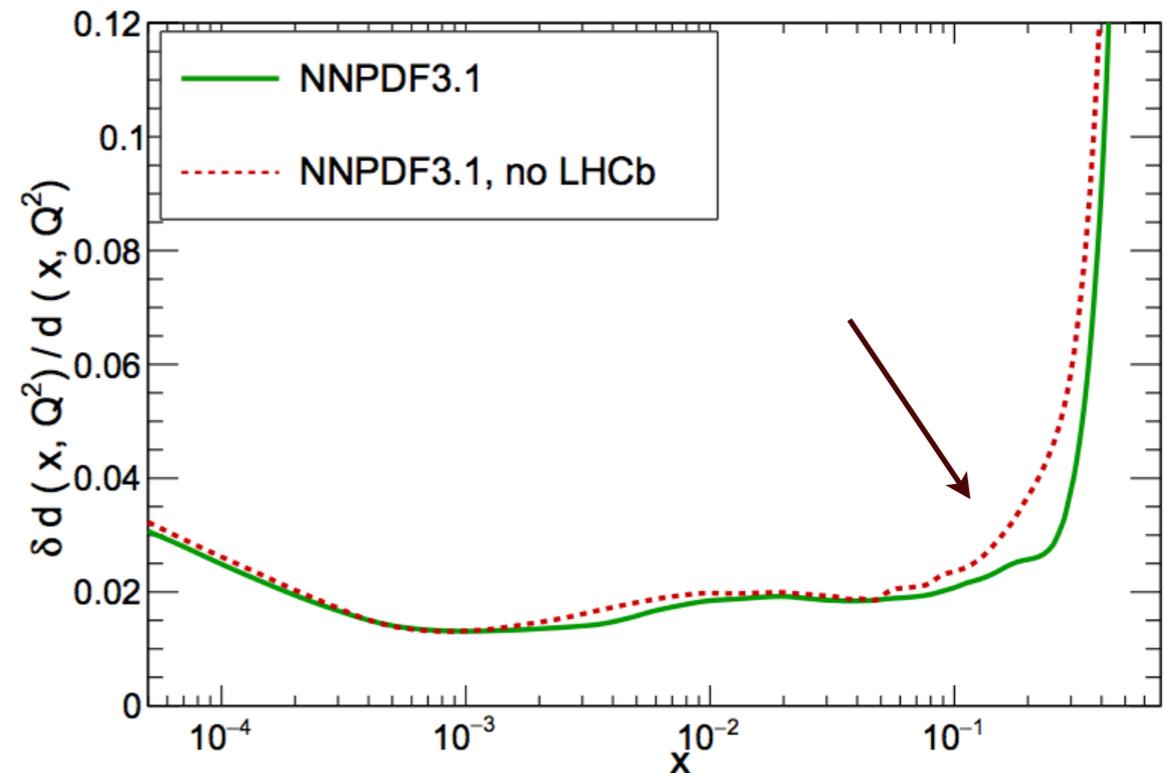


NNPDF 17

NNPDF3.1 NNLO,  $Q = 100$  GeV



NNPDF3.1 NNLO,  $Q = 100$  GeV

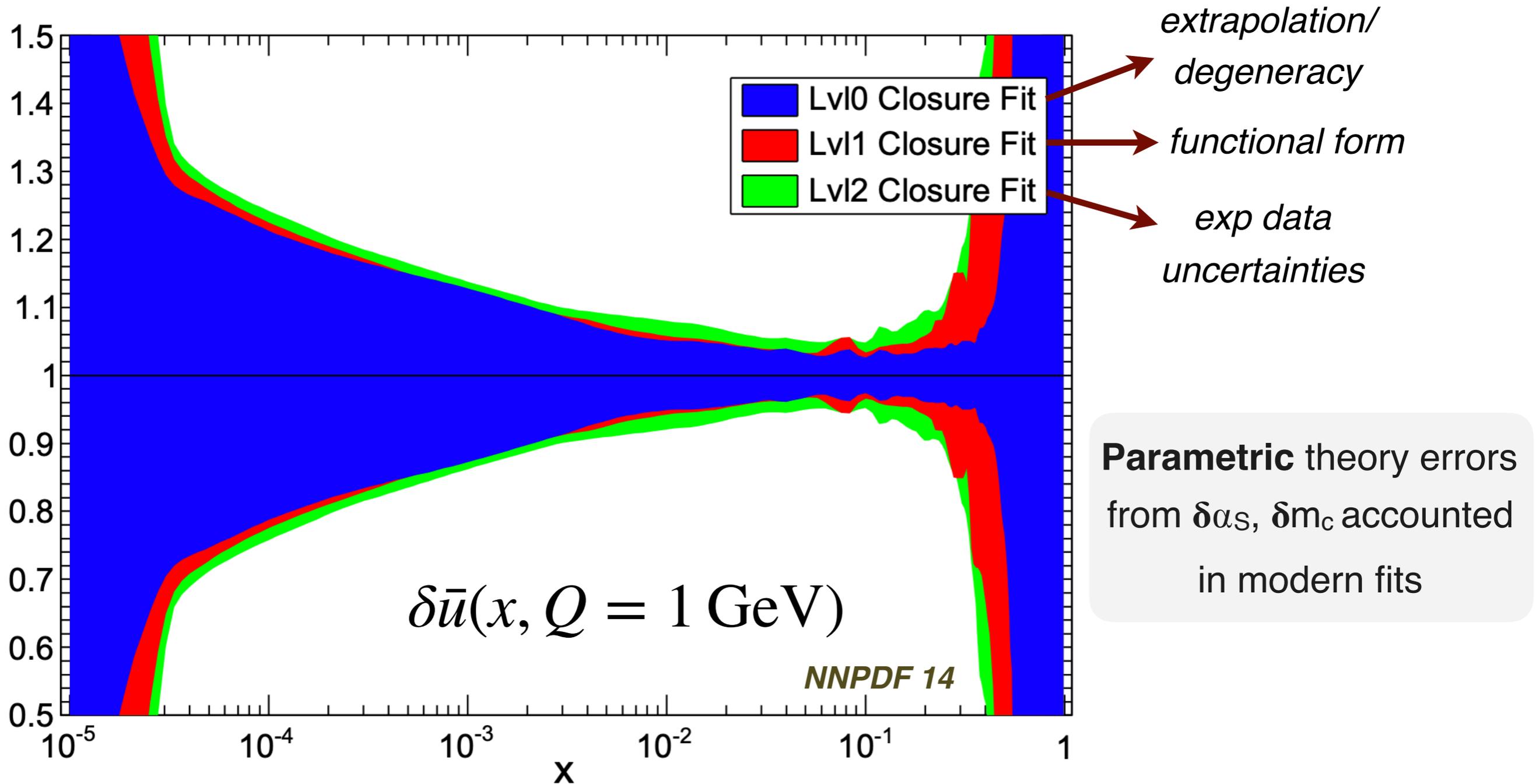


# **Parton Distributions with theoretical uncertainties**

*NNPDF Collaboration, in preparation*

# PDF uncertainties

PDF uncertainties receive contributions from **different sources**:



Theory uncertainties on PDFs from **Missing Higher Orders (MHOs)** never quantified!

# Theory uncertainties from MHOs

At any finite order, perturbative QCD calculations depend on the unphysical **renormalisation** and **factorisation scales**

$$\sigma(\mu_R, \mu_F) = \sum_{k=0}^n \sum_{i,j}^{n_f} \alpha_s^{p+k}(\mu_R) \tilde{\sigma}^{(k)}(\mu_R, \mu_F) \otimes q_i(\mu_F) \otimes q_j(\mu_F) + \mathcal{O}\left(\alpha_s^{p+n+1}\right)$$

In PDF fits, both scales are set to a fixed value, the typical **momentum transfer of the process  $Q$** , and the MHOUs are ignored

$$\sigma(\mu_R = Q, \mu_F = Q) = \sum_{k=0}^n \sum_{i,j}^{n_f} \alpha_s^{p+k}(Q) \tilde{\sigma}^{(k)}(Q) \otimes q_i(Q) \otimes q_j(Q)$$

At order  **$N^k\text{LO}$** , the dependence on the two scales is determined by the  **$N^{k-1}\text{LO}$**  splitting functions and partonic cross-sections by imposing:

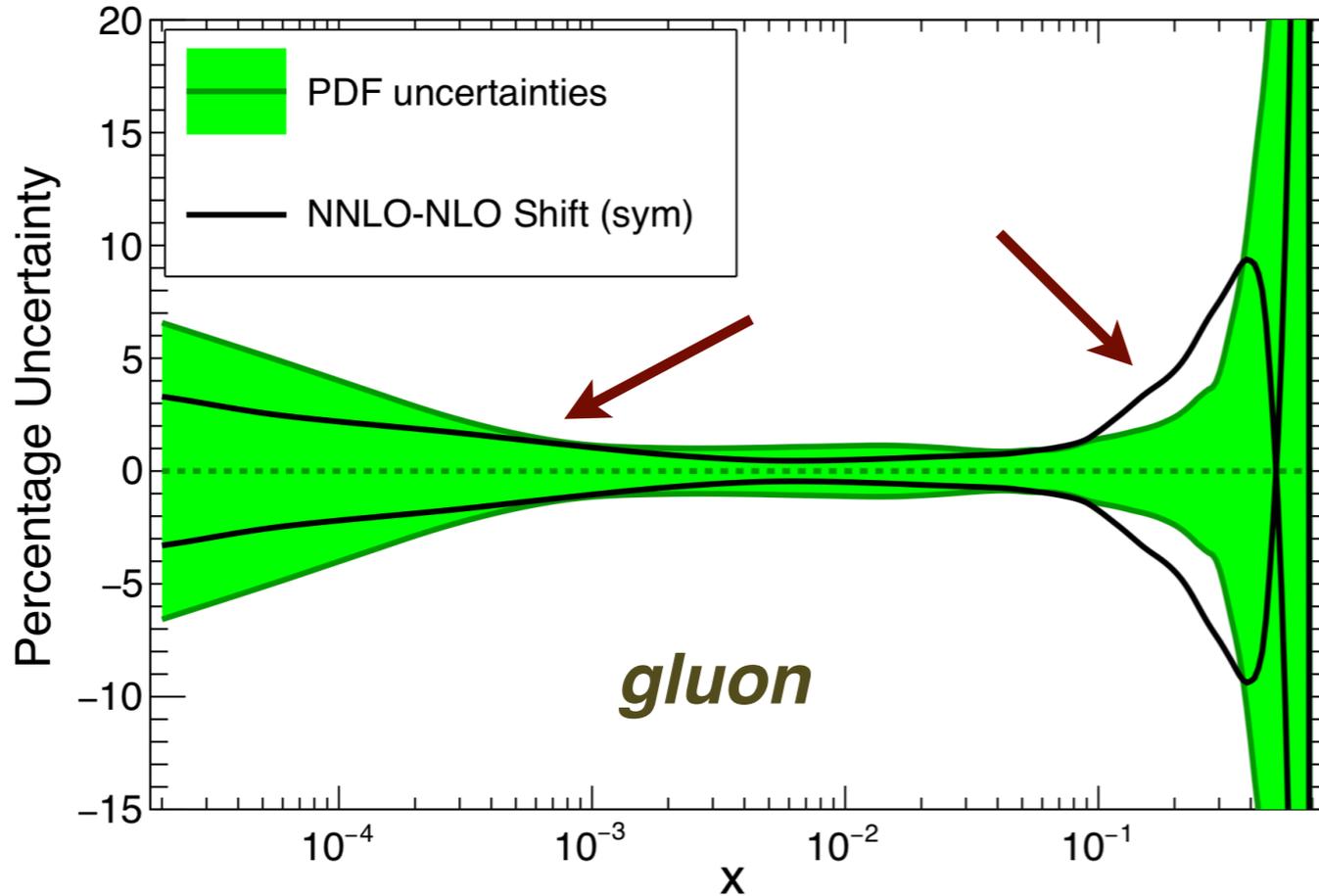
$$\sigma(\mu_R, \mu_F) = \sigma(Q, Q) + \mathcal{O}\left(\alpha_s^{p+n+1}\right)$$

*Scale variations provide an **estimate of the perturbative MHOs***

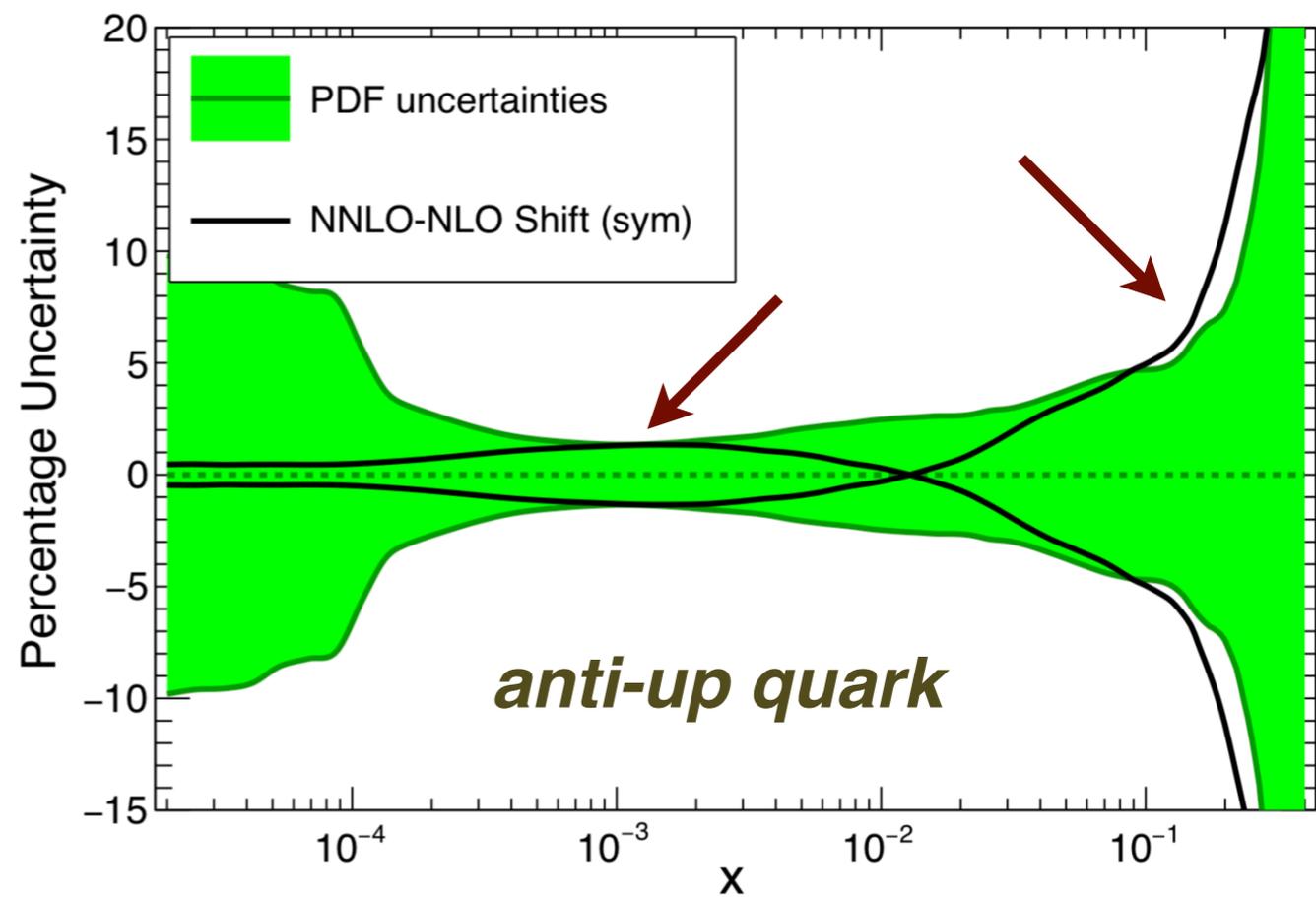
# Theory uncertainties from MHOs

How severe is **ignoring MHOUs** in modern global PDFs fits?

$g(x, Q^2=100 \text{ GeV}^2)$ , NNPDF3.1NLO



$\bar{u}(x, Q^2)$ , NNPDF3.1NLO



Shift between **NLO and NNLO PDFs** comparable or larger than PDF errors

Given the high precision of modern PDF determinations,  
**accounting for MHOUs** is most urgent

# Option #1: PDF fits with scale variations

Perform PDF fits where **theory calculations** are constructed by exploring a range of values for the **renormalisation** and **factorisation scales**

3-points

$$\sigma(\mu_R = Q, \mu_F = Q) \quad \text{central scales}$$

$$\sigma(\mu_R = 2Q, \mu_F = 2Q) \quad \sigma(\mu_R = Q/2, \mu_F = Q/2)$$

7-points

$$\sigma(\mu_R = 2Q, \mu_F = Q) \quad \sigma(\mu_R = Q, \mu_F = 2Q)$$

$$\sigma(\mu_R = Q/2, \mu_F = Q) \quad \sigma(\mu_R = Q, \mu_F = Q/2)$$

9-points

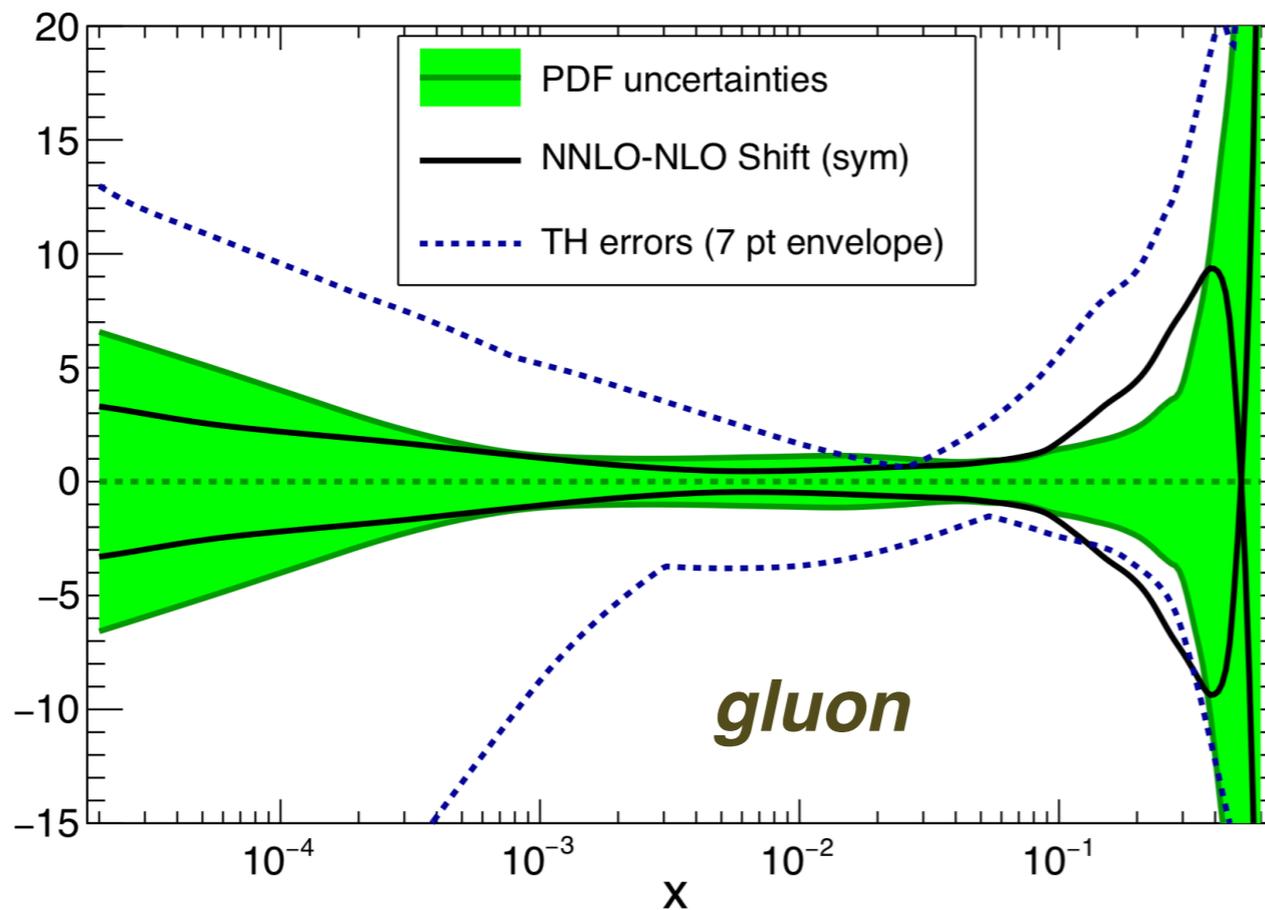
$$\sigma(\mu_R = 2Q, \mu_F = Q/2) \quad \sigma(\mu_R = Q/2, \mu_F = 2Q)$$

Define the theory error due to MHOU from **envelope of the scale-varied PDFs**

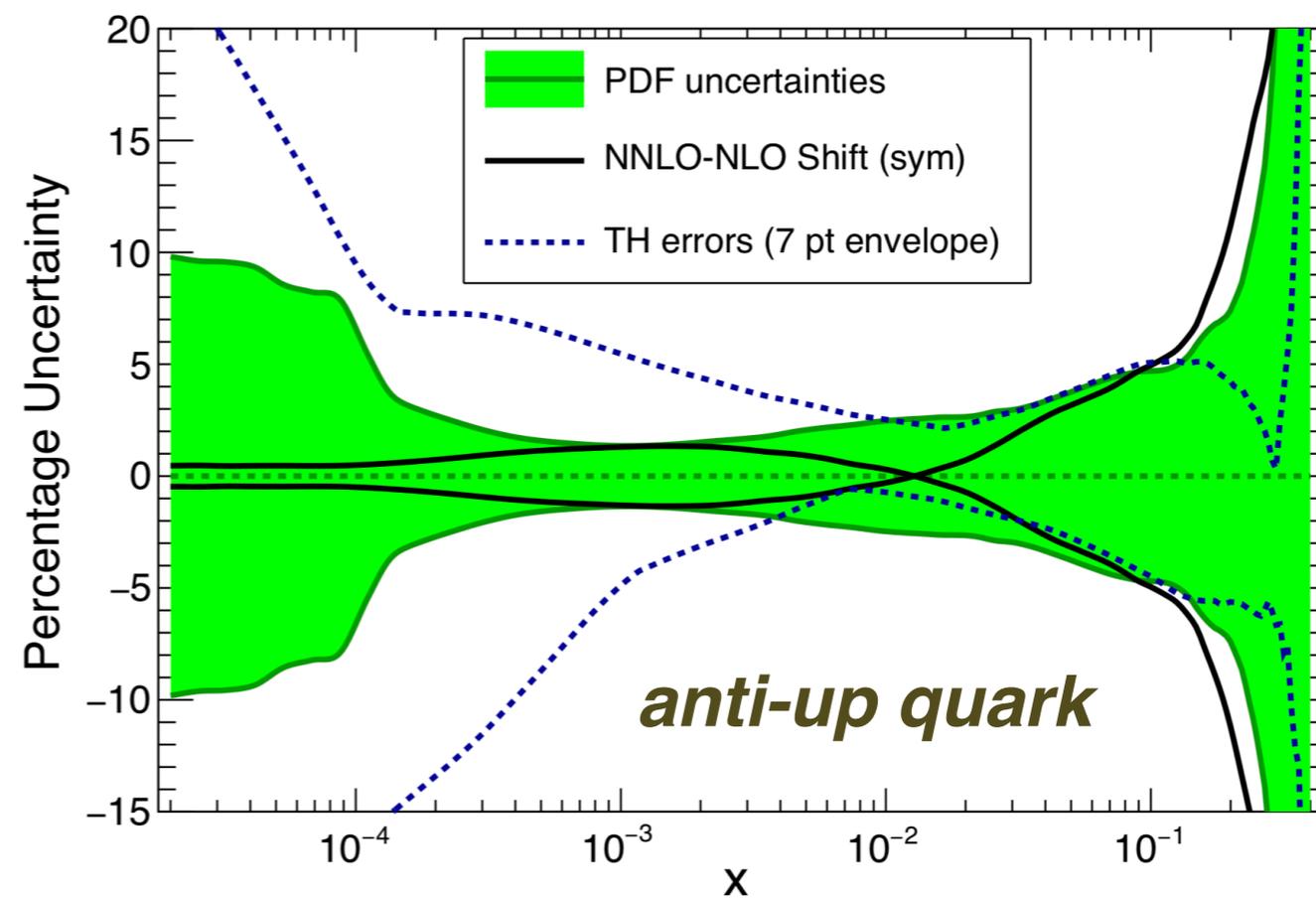
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$g(x, Q^2=100 \text{ GeV}^2)$ , NNPDF3.1NLO



$\bar{u}(x, Q^2)$ , NNPDF3.1NLO



- 🎯 The 7pt envelope seems to work fine in most cases (perhaps too conservative?)
- 🎯 Non-trivial **theory-induced correlations** between e.g. DIS and collider processes
- 🎯 **CPU-intensive**, and cumbersome for LHC applications - can we do better?

# Option #2: theory covariance matrix

Construct a theory covariance matrix from **scale-varied cross-sections** and combine it with the experimental covariance matrix

$$\chi^2 = \frac{1}{N_{\text{dat}}} \sum_{i,j=1}^{N_{\text{dat}}} (D_i - T_i) \left( \text{cov}^{(\text{exp})} + \text{cov}^{(\text{th})} \right)_{ij}^{-1} (D_j - T_j)$$

Different scale variation prescriptions possible, e.g, the **5-point prescription**

$$\text{cov}_{ij}^{(\text{th})} = \frac{1}{2} \left( \Delta_i(+,0)\Delta_j(+,0) + \Delta_i(-,0)\Delta_j(-,0)^2 + \Delta_i(0,+)\Delta_j(0,+)^2 + \Delta_i(0,+)\Delta_j(0,+)^2 \right)$$

$$\Delta_i(-,0) \equiv \sigma_i(\mu_R = Q/2, \mu_F = Q) - \sigma_i(\mu_R = Q, \mu_F = Q)$$

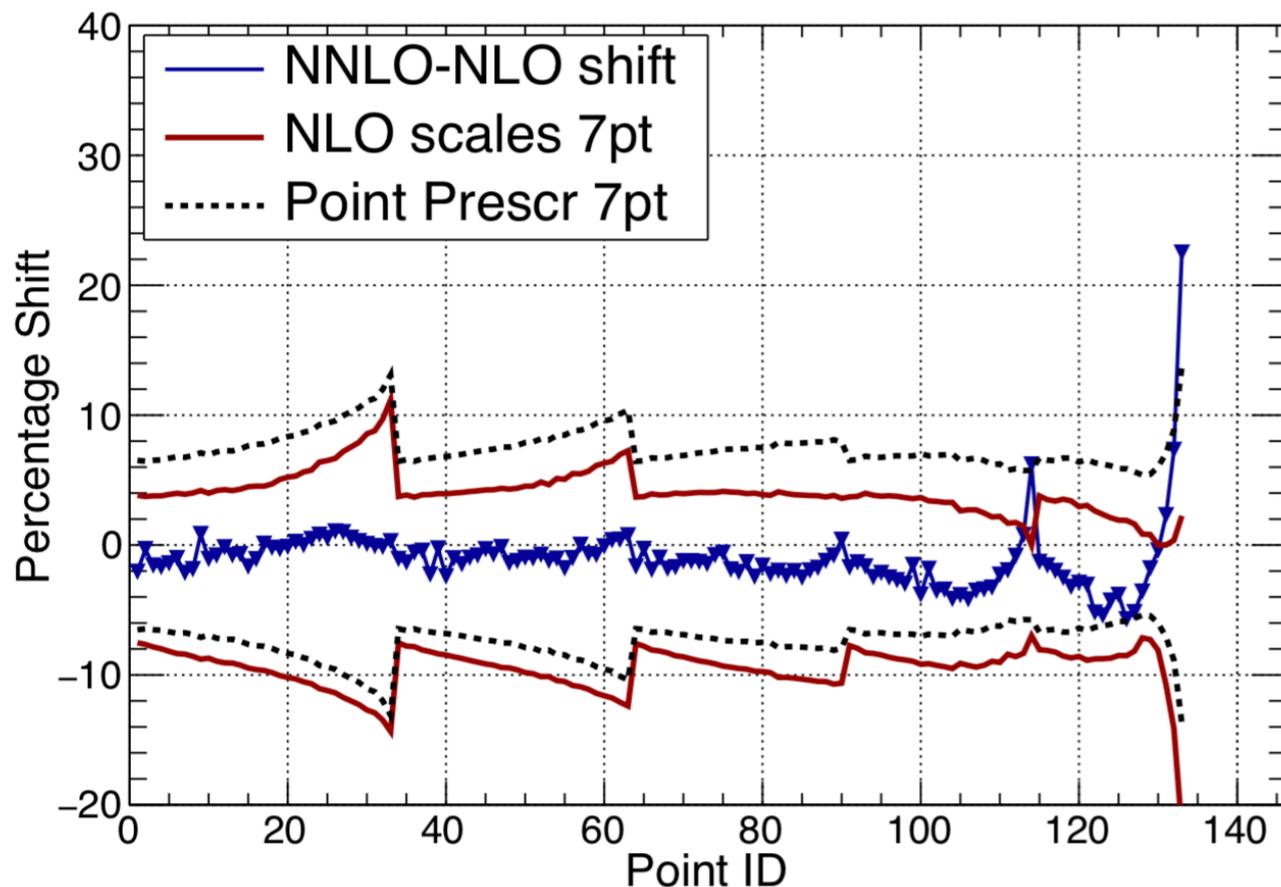
$$\Delta_i(+,+) \equiv \sigma_i(\mu_R = 2Q, \mu_F = 2Q) - \sigma_i(\mu_R = Q, \mu_F = Q)$$

Different **scale correlation patterns** expected in different processes e.g. DIS and jets

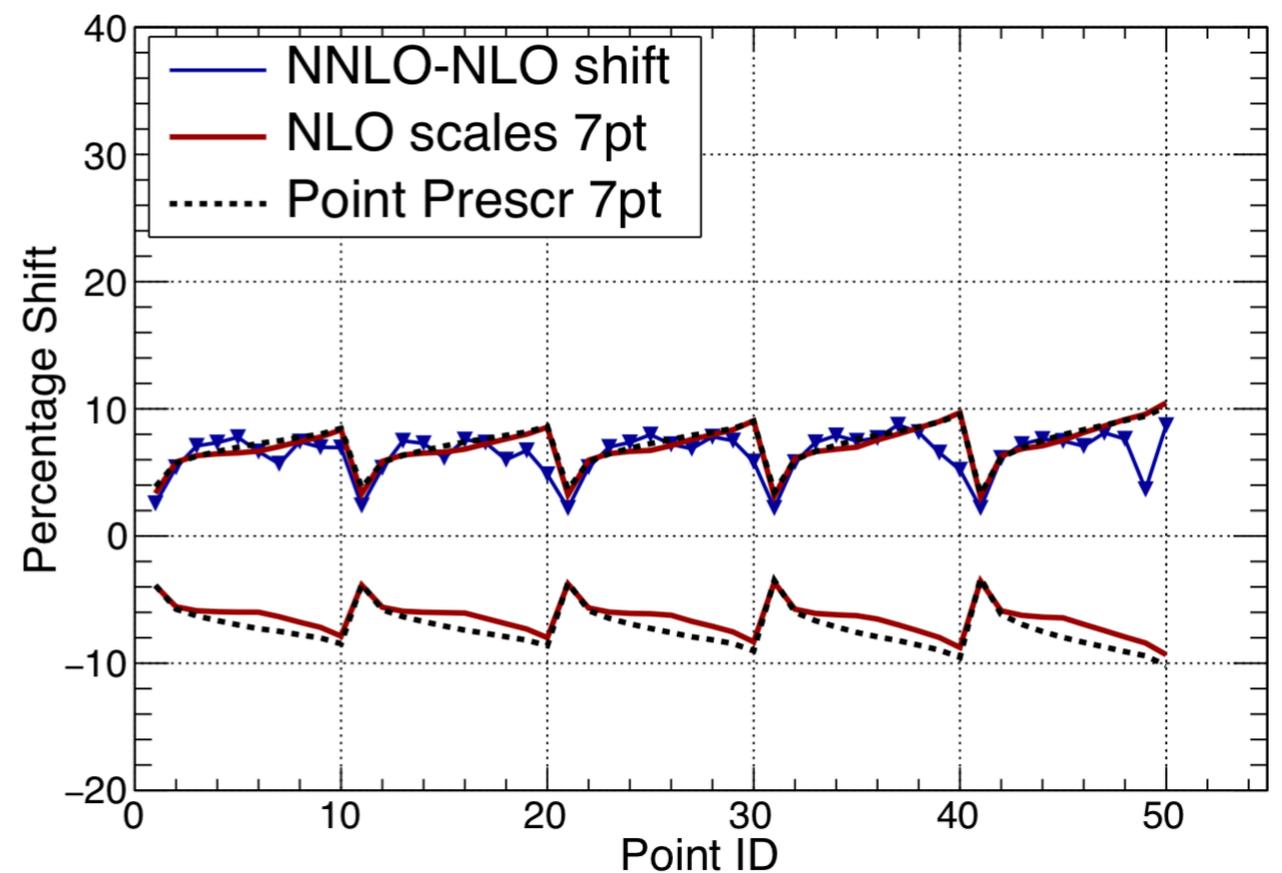
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*ATLAS jets 7 TeV 2011*



*CMS Z pT 8 TeV*



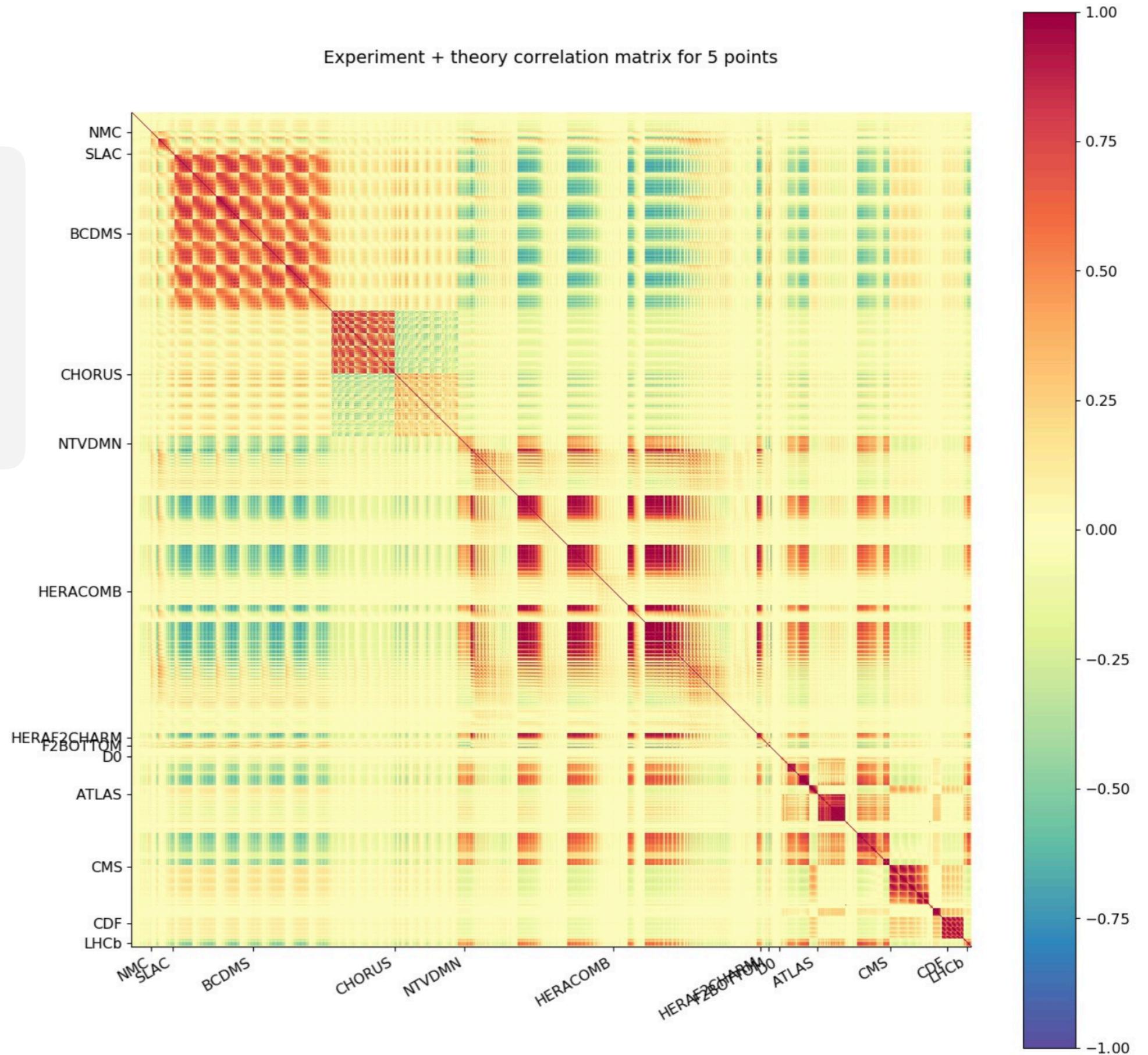
Systematic validation of the theory covariance matrix on the `exact` result, the **NNLO-NLO shift**, with the **O(5000) data points** of the global fit



# Option #2: theory covariance matrix

Experiment + theory correlation matrix for 5 points

**Theory-induced correlations**  
between different experiments  
e.g. DIS and LHC



*NNPDF fits with theory uncertainties in preparation*

# **Towards Ultimate PDFs at the High Lumi LHC**

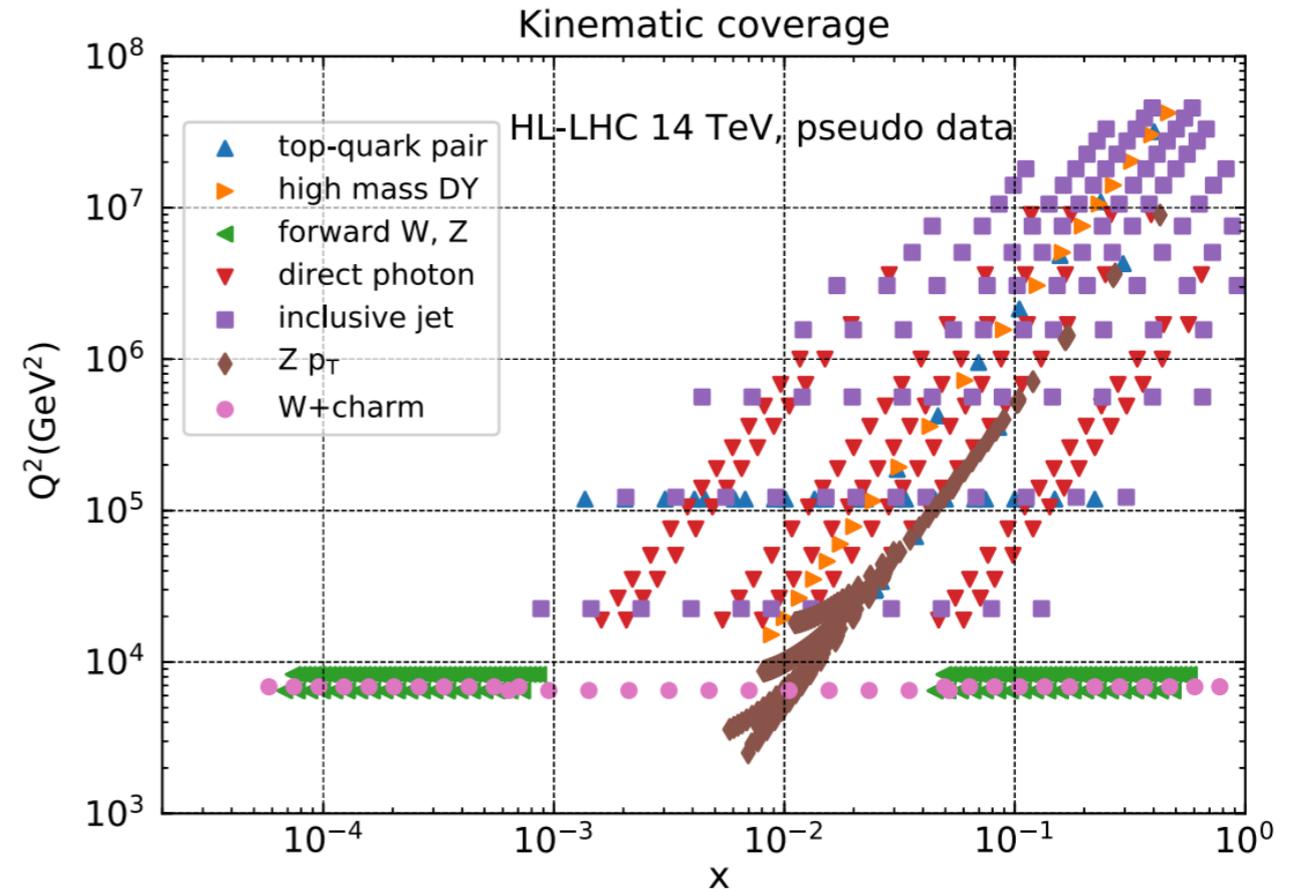
*Abdul-Khalek, Bailey, Gao, Harland-Lang, JR 18  
+ HL/HE-LHC Yellow Report, to appear*

# A luminous future

In the framework of the update of the **European Strategy for Particle Physics**, a CERN Yellow Report will evaluate the physics potential of the **HL-LHC** (to appear in Dec 2018)

We have studied the **impact of HL-LHC data on PDFs**, including projections with (future) LHCb measurements.

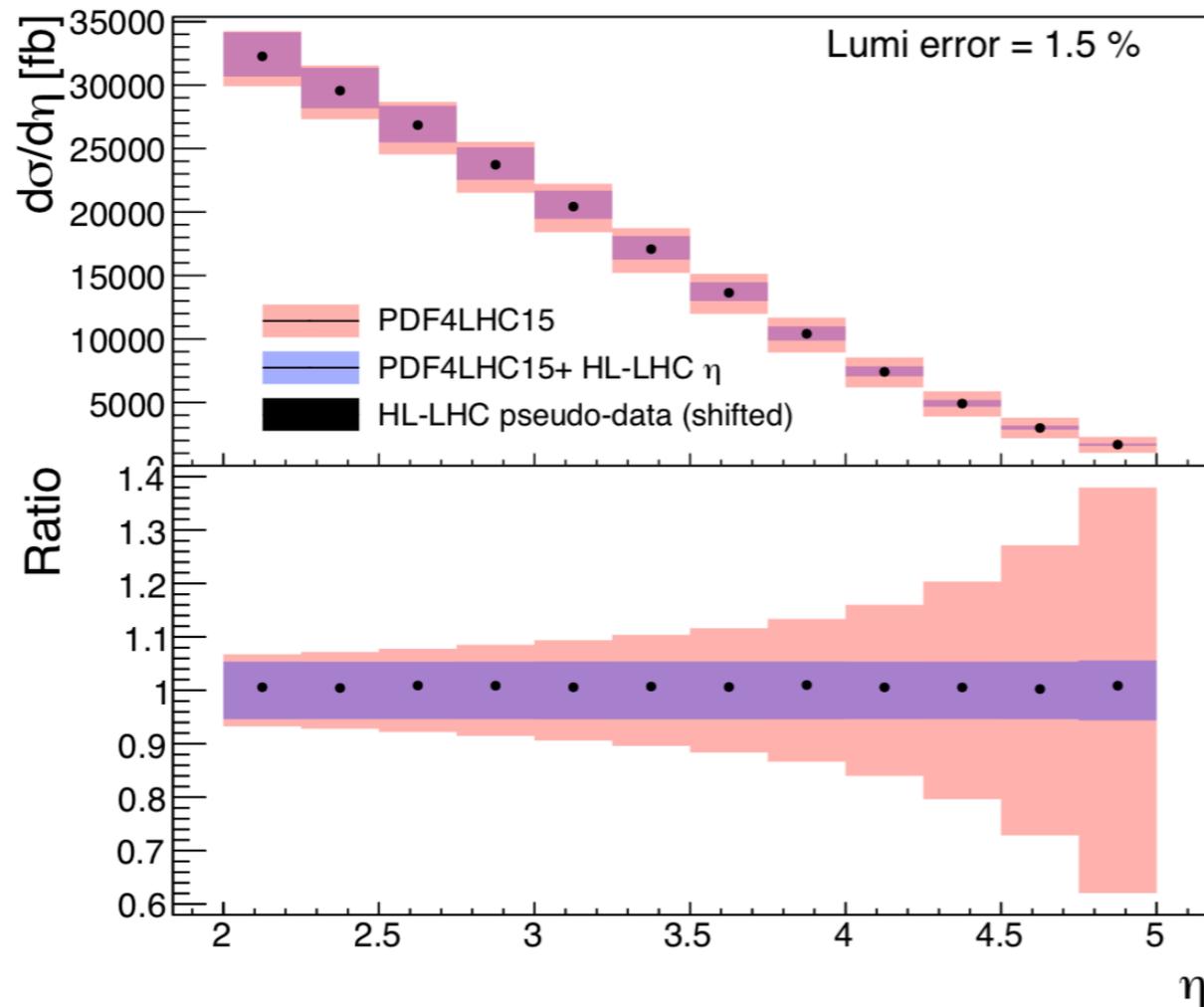
*What is the **ultimate precision** that can be expected for PDFs from **hadron collider data**?*



| Process             | Kinematics  | $N_{\text{dat}}$ | $f_{\text{corr}}$ | $f_{\text{red}}$ | Baseline        |
|---------------------|---|------------------|-------------------|------------------|-----------------|
| Z $p_T$             | $20 \text{ GeV} \leq p_T^l \leq 3.5 \text{ TeV}$<br>$12 \text{ GeV} \leq m_{ll} \leq 150 \text{ GeV}$<br>$ y_{ll}  \leq 2.4$                          | 338              | 0.5               | (0.4, 1)         | [52] (8 TeV)    |
| High-mass Drell-Yan | $p_T^{l(2)} \geq 40(30) \text{ GeV}$<br>$ \eta^l  \leq 2.5, m_{ll} \geq 116 \text{ GeV}$  | 32               | 0.5               | (0.4, 1)         | [47] (8 TeV)    |
| Top quark pair      | $m_{t\bar{t}} \simeq 5 \text{ TeV},  y_t  \leq 2.5$   | 110              | 0.5               | (0.4, 1)         | [50] (8 TeV)    |
| W+charm (central)   | $p_T^\mu \geq 26 \text{ GeV}, p_T^c \geq 5 \text{ GeV}$<br>$ \eta^\mu  \leq 2.4$  | 12               | 0.5               | (0.2, 0.5)       | [24] (13 TeV)   |
| W+charm (forward)   | $p_T^\mu \geq 20 \text{ GeV}, p_T^c \geq 20 \text{ GeV}$<br>$p_T^{\mu+c} \geq 20 \text{ GeV}$<br>$2 \leq \eta^\mu \leq 4.5, 2.2 \leq \eta^c \leq 4.2$ | 10               | 0.5               | (0.4, 1)         | LHCb projection |
| Direct photon       | $E_T^\gamma \lesssim 3 \text{ TeV},  \eta_\gamma  \leq 2.5$   | 118              | 0.5               | (0.2, 0.5)       | [55] (13 TeV)   |
| Forward W, Z        | $p_T^l \geq 20 \text{ GeV}, 2.0 \leq \eta^l \leq 4.5$<br>$60 \text{ GeV} \leq m_{ll} \leq 120 \text{ GeV}$  | 90               | 0.5               | (0.4, 1)         | [49] (8 TeV)    |
| Inclusive jets      | $ y  \leq 3, R = 0.4$   | 58               | 0.5               | (0.2, 0.5)       | [61] (13 TeV)   |
| Total               |   | 768              |                   |                  |                 |

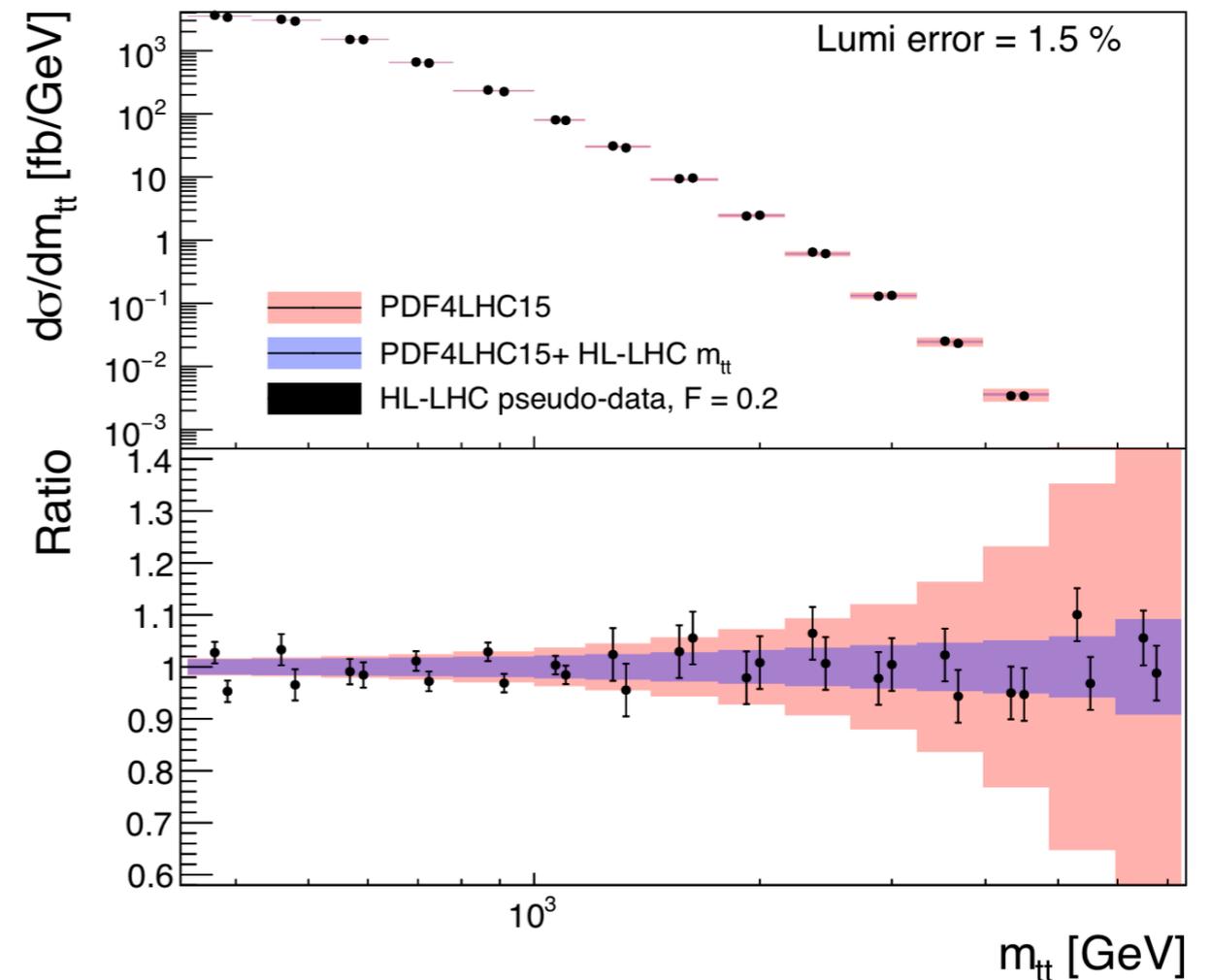
# HL-LHC constraints from LHCb

Projected forward  $W$ +charm data



*Forward  $W$ +charm*

Projected invariant  $t\bar{t}$  mass data



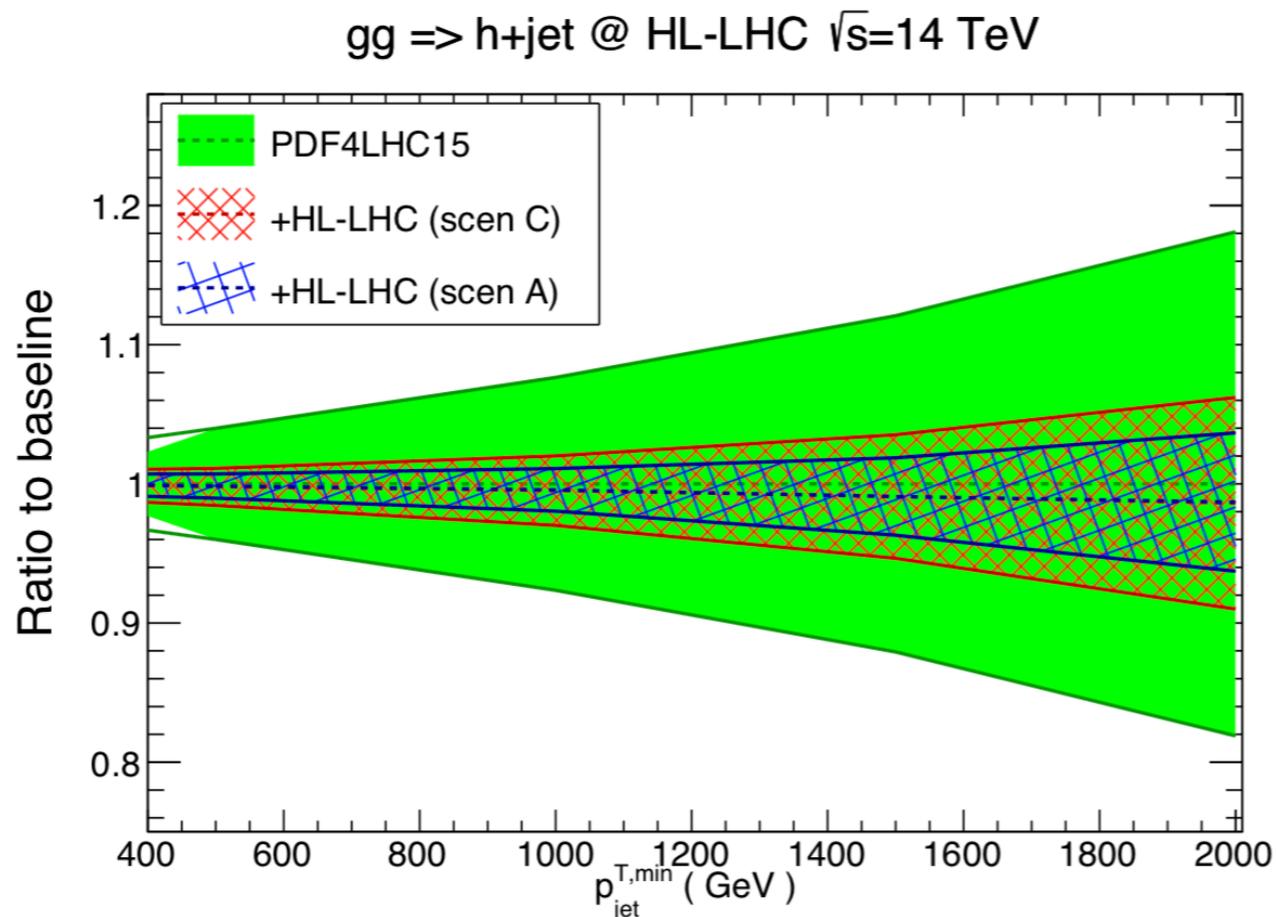
*Top quark pair production*

HL-LHC measurements will be specially useful to constrain the **gluon** and **quark flavour separation** in the large- $x$  region, including strangeness

# HL-LHC constraints on PDFs

*Reduction factor for PDF uncertainties in luminosities as compared to PDF4LHC15*

| Ratio to baseline   | $10 \text{ GeV} \leq M_X \leq 40 \text{ GeV}$ | $40 \text{ GeV} \leq M_X \leq 1 \text{ TeV}$ | $1 \text{ TeV} \leq M_X \leq 6 \text{ TeV}$ |
|---------------------|---|--|---|
| gluon–gluon         | 0.50 (0.60)                                   | 0.28 (0.40)                                  | 0.22 (0.34)                                 |
| gluon–quark         | 0.66 (0.72)                                   | 0.42 (0.45)                                  | 0.28 (0.37)                                 |
| quark–quark         | 0.74 (0.79)                                   | 0.37 (0.46)                                  | 0.43 (0.59)                                 |
| quark–antiquark     | 0.71 (0.76)                                   | 0.31 (0.40)                                  | 0.50 (0.60)                                 |
| strange–antistrange | 0.34 (0.44)                                   | 0.19 (0.30)                                  | 0.23 (0.27)                                 |
| strange–antiup      | 0.67 (0.73)                                   | 0.27 (0.38)                                  | 0.38 (0.43)                                 |

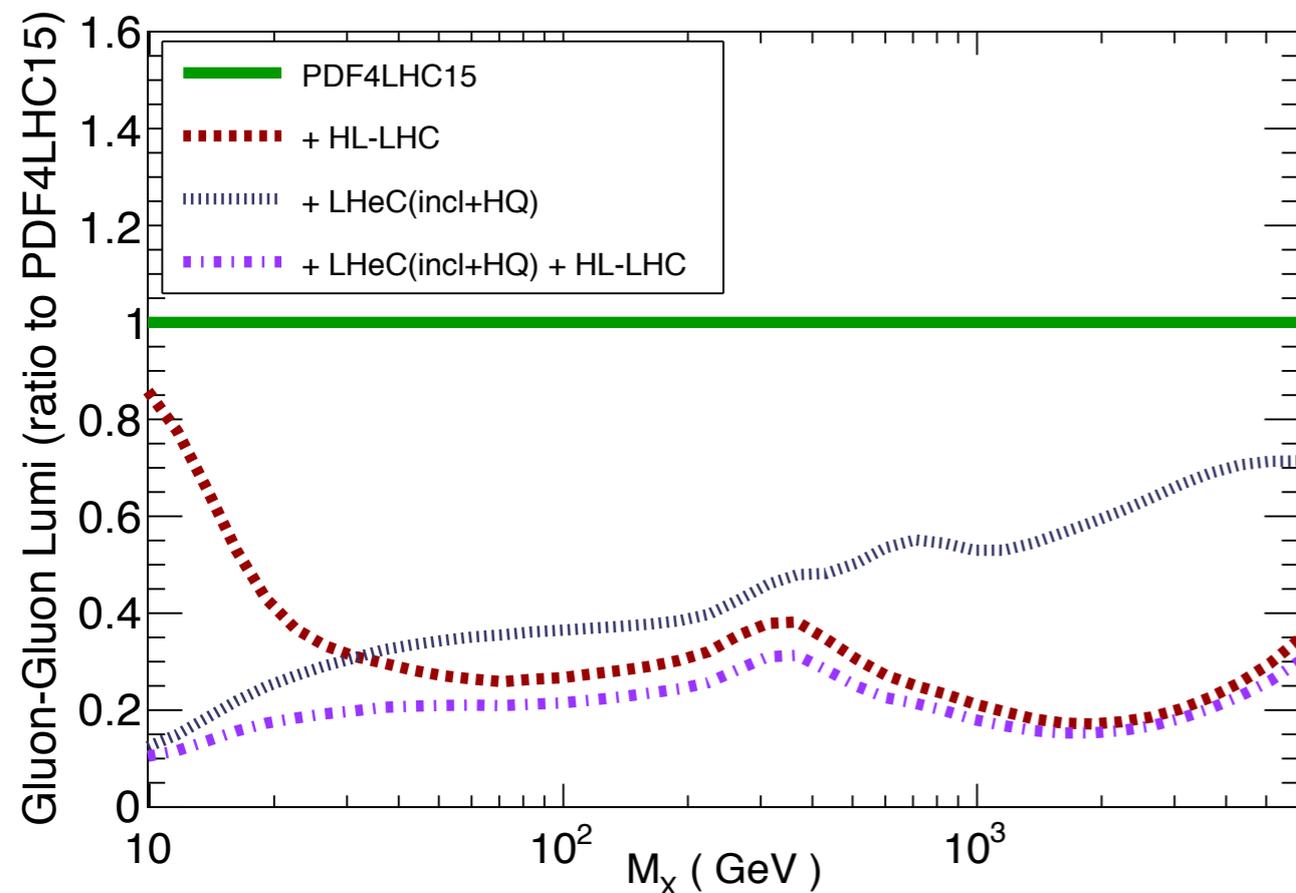


HL-LHC data will lead to **stringent constraints on PDFs**, reducing uncertainties by up to a **factor 5**, and making possible precise predictions of central processes such as **Higgs  $p_T$**

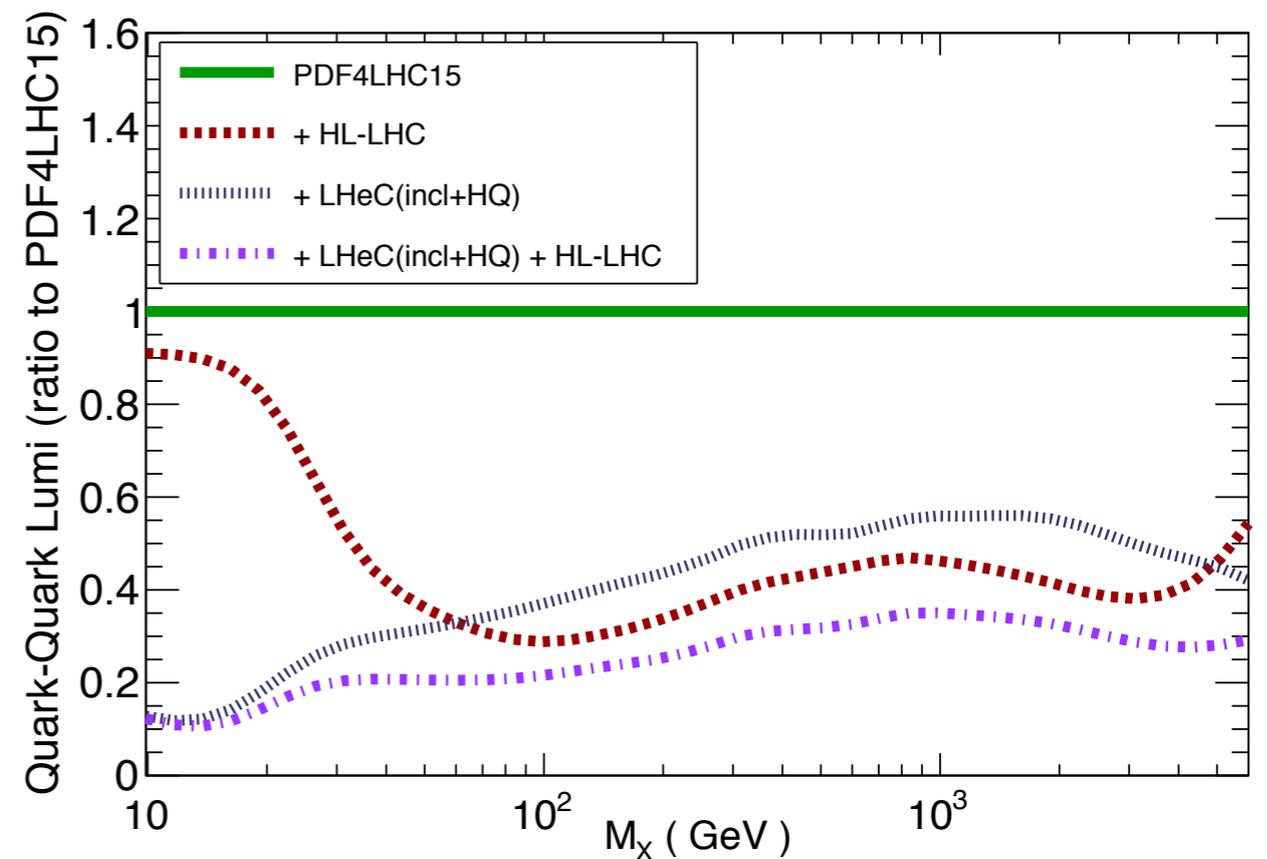
# HL-LHC and the LHeC

Compare with projections for the **Large Hadron electron Collider (LHeC)**,  
a lepton-proton collider proposed to operate in sync with the HL-LHC

Uncertainties in PDF luminosities @  $\sqrt{s}=14$  TeV [ T=3 ]



Uncertainties in PDF luminosities @  $\sqrt{s}=14$  TeV [ T=3 ]



The LHeC would provide **fully complementary information** on PDFs with  
different exp/th systematics and reduced risk of **BSM contamination**

# A SMEFT global analysis of the top quark sector

*Based on work in progress with:*

*Nathan P. Hartland, Fabio Maltoni, Emanuele R. Nocera,  
Emma Slade, Eleni Vryodinou, Cen Zhang*

# The Standard Model EFT

- Heavy bSM physics beyond the direct reach of the LHC can be **parametrised in a model-independent** in terms of complete basis of **higher-dimensional operators**

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i^{N_{d6}} \frac{c_i}{\Lambda^2} \mathcal{O}_i^{(6)} + \sum_j^{N_{d8}} \frac{b_j}{\Lambda^4} \mathcal{O}_j^{(8)} + \dots$$

- Some operators induce **growth with the partonic centre-of-mass energy**:  
increased sensitivity in LHC cross-sections in the TeV region

$$\sigma(E) = \sigma_{\text{SM}}(E) \left( 1 + \sum_i^{N_{d6}} \omega_i \frac{c_i m_{\text{SM}}^2}{\Lambda^2} + \sum_i^{N_{d6}} \tilde{\omega}_i \frac{c_i E^2}{\Lambda^2} + \mathcal{O}(\Lambda^{-4}) \right)$$

- The number of SMEFT operators is large: **59 non-redundant operators at dimension 6** with Minimal Flavour Violation, **> 2000 operators** without any flavour assumption
- A global SMEFT analysis needs to explore a **huge complicated parameter space**

# From PDF fits to SMEFT analyses

- In global PDF fits, LHC cross-sections (incl. top) are used to **constrain the input PDFs**

$$\sigma^{(\text{th})}(Q, \{a_k\}) = \sum_{ij} \Gamma_{ij}(\alpha_s, Q, Q_0) \otimes q_i(x, Q_0, \{a_k\}) \otimes q_j(x, Q_0, \{a_k\})$$

- The PDF parameters  $\{a_k\}$  are determined from the **minimisation** of a figure of merit

$$\chi^2(\{a_k\}) = \sum_{m,n}^{n_{\text{dat}}} \left( \sigma_n^{(\text{exp})} - \sigma_n^{(\text{th})}\{a_k\} \right) (\text{cov})_{mn}^{-1} \left( \sigma_m^{(\text{exp})} - \sigma_m^{(\text{th})}\{a_k\} \right)$$

- If one now fixes the input PDFs (determined from a different set of data) and includes SMEFT effects, one can **exploit the same PDF fitting approach** to carry out a global SMEFT fit

$$\sigma^{(\text{th})}(Q, \{c_k\}) = \left( 1 + \sum_k^{N_{d6}} \frac{c_k \mathbf{K}_k}{\Lambda^2} + \sum_{k,l}^{N_{d6}} \frac{c_k c_l \tilde{\mathbf{K}}_{kl}}{\Lambda^4} \right) \sum_{ij} \Gamma_{ij}(\alpha_s, Q, Q_0) \otimes q_i(x, Q_0) \otimes q_j(x, Q_0)$$

$$\chi^2(\{c_k\}) = \sum_{m,n}^{n_{\text{dat}}} \left( \sigma_n^{(\text{exp})} - \sigma_n^{(\text{th})}\{c_k\} \right) (\text{cov})_{mn}^{-1} \left( \sigma_m^{(\text{exp})} - \sigma_m^{(\text{th})}\{c_k\} \right)$$

# Recipe for a global PDF fit

## *Theory*

(N)NLO QCD + NLO EW for xsecs  
NNLO for DGLAP evolution  
Heavy quark mass effects

## *Data*

Deep-inelastic scattering  
Drell-Yan, jet, top quark production  
Fixed target and collider measurements

## *Global PDF analysis*

Publicly available in **LHAPDF**  
Automated plotting and stat analysis  
**New data** incorporated without redoing fit

## *Delivery*

Faithful **uncertainty estimate**  
**Flexible parametrizations**  
Validated on **pseudo-data** (closure test)

## *Methodology*

# Recipe for a global SMEFT fit

## *Theory*

(N)NLO QCD + NLO EW for SM xsecs  
NLO QCD for SMEFT contributions  
State-of-the-art PDFs without top data

## *Data*

Top quark production  
*Higgs, VV, electroweak*  
Low-energy, flavour

## *Global SMEFT analysis*

Derived bounds can be compared with  
**specific UV completions**  
New data incorporated without redoing fit

## *Delivery*

Faithful **uncertainty estimate**  
Avoiding under- and over-fitting  
Validated on **pseudo-data** (closure test)

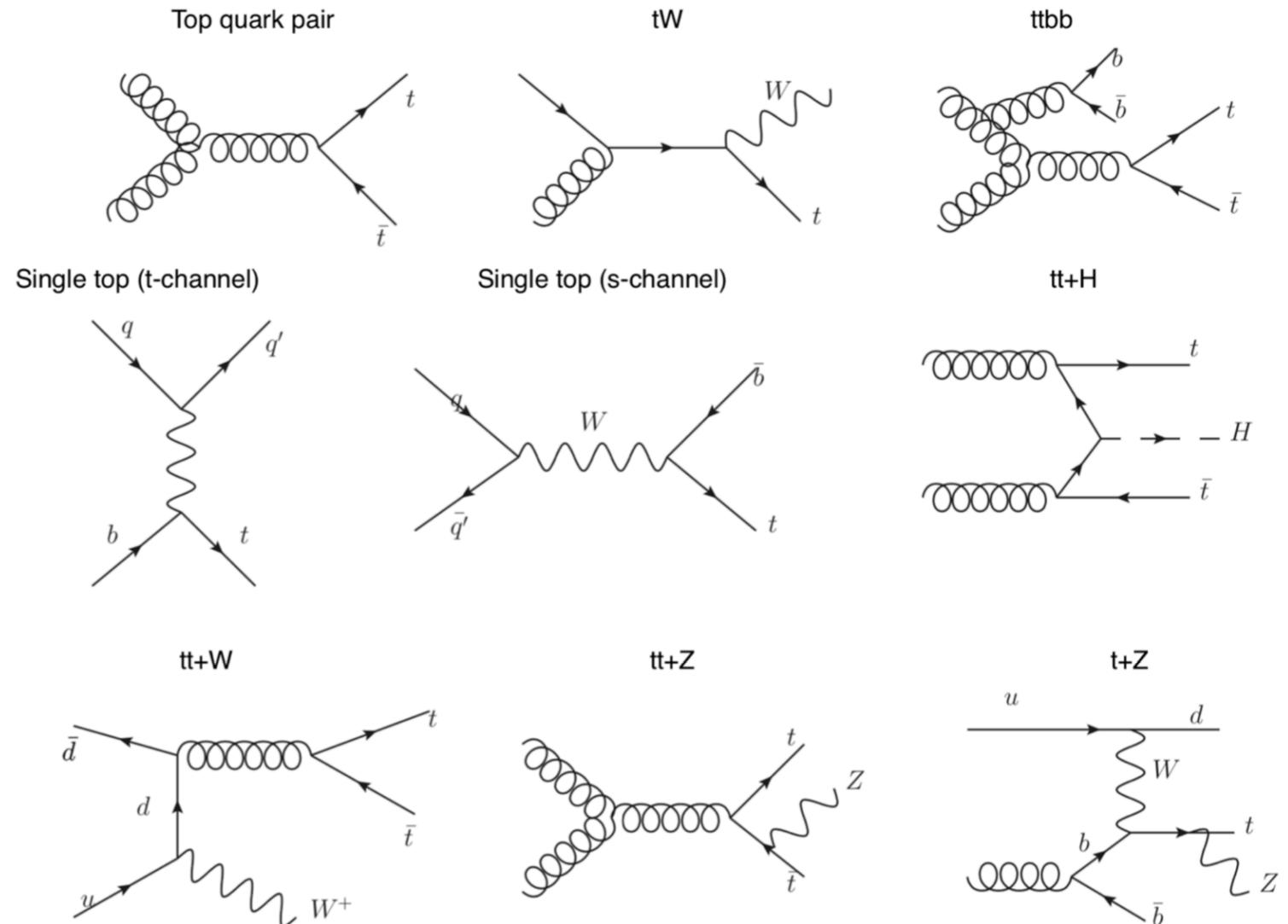
## *Methodology*

# The top quark sector of the SMEFT

| Notation | Sensitivity at $\mathcal{O}(\Lambda^{-2})$ ( $\mathcal{O}(\Lambda^{-4})$ ) |            |      |      |             |             |             |             |                    |
|----------|--|------------|------|------|-------------|-------------|-------------|-------------|--------------------|
|          | $t\bar{t}$   | single-top | $tW$ | $tZ$ | $t\bar{t}W$ | $t\bar{t}Z$ | $t\bar{t}H$ | $t\bar{t}t$ | $t\bar{t}b\bar{b}$ |
| 0QQ1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0QQ8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qt1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qt8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qb1     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0Qb8     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0tt1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0tb1     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0tb8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0QtQb1   |  |            |      |      |             |             |             |             |                    |
| 0QtQb8   |  |            |      |      |             |             |             |             |                    |
| 081qq    | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 011qq    | ✓  |            |      |      | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 083qq    | ✓  | ✓          |      | (✓)  | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 013qq    | ✓  | ✓          |      | ✓    | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 08qt     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qt     | ✓  |            |      |      | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 08ut     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01ut     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 08qu     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qu     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 08dt     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01dt     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 08qd     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qd     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 0tG      | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 0tW      |  | ✓          | ✓    | ✓    |             |             |             |             |                    |
| 0bW      |  | (✓)        | (✓)  |      |             |             |             |             |                    |
| 0tZ      |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0ff      |  | (✓)        | (✓)  | (✓)  |             |             |             |             |                    |
| 0fq3     |  | ✓          | ✓    | ✓    |             | ✓           |             |             |                    |
| 0pQM     |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0pt      |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0tp      |  |            |      |      |             | ✓           |             |             |                    |

A large number of different dimension-6 SMEFT operators modify **top production at LHC**

$$\sigma(E) = \sigma_{\text{SM}}(E) \left( 1 + \sum_i \kappa_i \frac{C_i}{\Lambda^2} + \sum_{i,j} \tilde{\kappa}_{ij} \frac{C_i C_j}{\Lambda^4} \right)$$

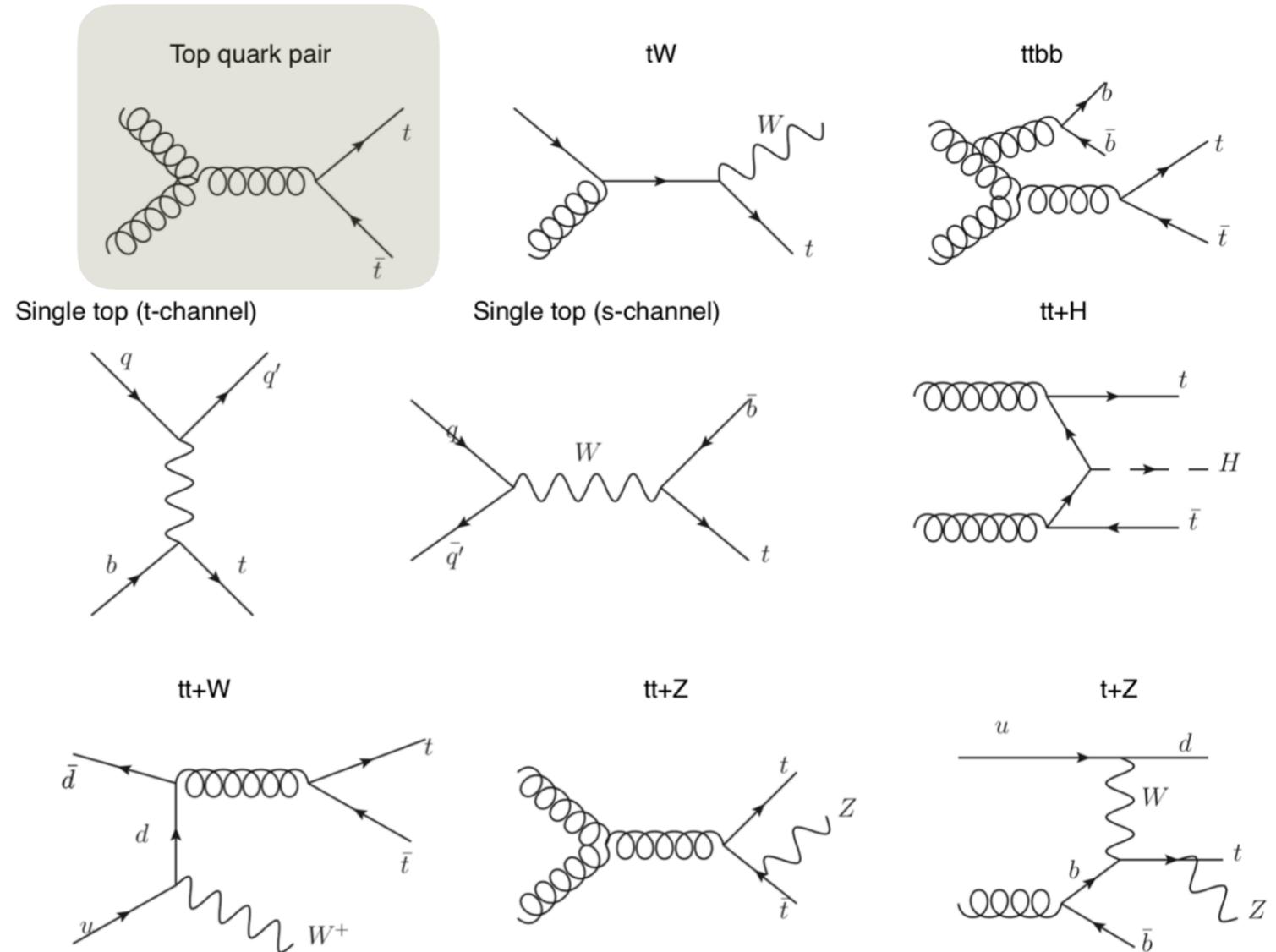


# The top quark sector of the SMEFT

| Notation | Sensitivity at $\mathcal{O}(\Lambda^{-2})$ ( $\mathcal{O}(\Lambda^{-4})$ ) |            |      |      |             |             |             |             |                    |
|----------|--|------------|------|------|-------------|-------------|-------------|-------------|--------------------|
|          | $t\bar{t}$   | single-top | $tW$ | $tZ$ | $t\bar{t}W$ | $t\bar{t}Z$ | $t\bar{t}H$ | $t\bar{t}t$ | $t\bar{t}b\bar{b}$ |
| 0QQ1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0QQ8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qt1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qt8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qb1     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0Qb8     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0tt1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0tb1     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0tb8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0QtQb1   |  |            |      |      |             |             |             |             |                    |
| 0QtQb8   |  |            |      |      |             |             |             |             |                    |
| 081qq    | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 011qq    | ✓  |            |      |      | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 083qq    | ✓  | ✓          |      | (✓)  | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 013qq    | ✓  | ✓          |      | ✓    | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 08qt     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qt     | ✓  |            |      |      | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 08ut     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01ut     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 08qu     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qu     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 08dt     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01dt     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 08qd     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qd     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 0tG      | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 0tW      |  | ✓          | ✓    | ✓    |             |             |             |             |                    |
| 0bW      |  | (✓)        | (✓)  |      |             |             |             |             |                    |
| 0tZ      |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0ff      |  | (✓)        | (✓)  | (✓)  |             |             |             |             |                    |
| 0fq3     |  | ✓          | ✓    | ✓    |             | ✓           |             |             |                    |
| 0pQM     |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0pt      |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0tp      |  |            |      |      |             | ✓           |             |             |                    |

A large number of different dimension-6 SMEFT operators modify **top production at LHC**

$$\sigma(E) = \sigma_{\text{SM}}(E) \left( 1 + \sum_i \kappa_i \frac{C_i}{\Lambda^2} + \sum_{i,j} \tilde{\kappa}_{ij} \frac{C_i C_j}{\Lambda^4} \right)$$

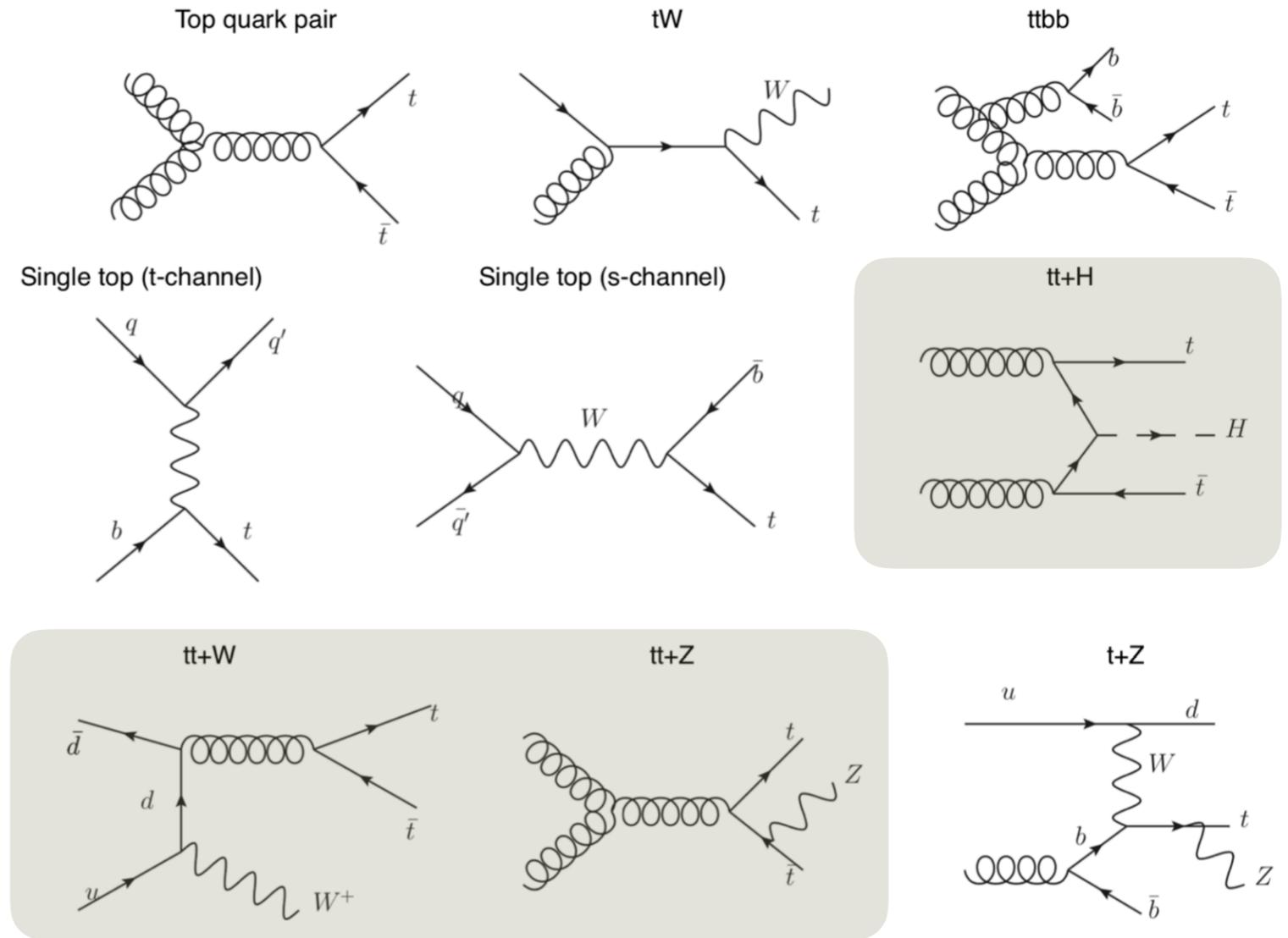


# The top quark sector of the SMEFT

| Notation | Sensitivity at $\mathcal{O}(\Lambda^{-2})$ ( $\mathcal{O}(\Lambda^{-4})$ ) |            |      |      |             |             |             |             |                    |
|----------|--|------------|------|------|-------------|-------------|-------------|-------------|--------------------|
|          | $t\bar{t}$   | single-top | $tW$ | $tZ$ | $t\bar{t}W$ | $t\bar{t}Z$ | $t\bar{t}H$ | $t\bar{t}t$ | $t\bar{t}b\bar{b}$ |
| 0QQ1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0QQ8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qt1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qt8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qb1     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0Qb8     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0tt1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0tb1     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0tb8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0QtQb1   |  |            |      |      |             |             |             |             |                    |
| 0QtQb8   |  |            |      |      |             |             |             |             |                    |
| 081qq    | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 011qq    | ✓  |            |      |      | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 083qq    | ✓  | ✓          |      | (✓)  | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 013qq    | ✓  | ✓          |      | ✓    | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 08qt     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qt     | ✓  |            |      |      | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 08ut     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01ut     | ✓  |            |      |      |             | (✓)         | (✓)         | ✓           | ✓                  |
| 08qu     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qu     | ✓  |            |      |      |             | (✓)         | (✓)         | ✓           | ✓                  |
| 08dt     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01dt     | ✓  |            |      |      |             | (✓)         | (✓)         | ✓           | ✓                  |
| 08qd     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qd     | ✓  |            |      |      |             | (✓)         | (✓)         | ✓           | ✓                  |
| 0tG      | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 0tW      |  | ✓          | ✓    | ✓    |             |             |             |             |                    |
| 0bW      |  | (✓)        | (✓)  |      |             |             |             |             |                    |
| 0tZ      |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0ff      |  | (✓)        | (✓)  | (✓)  |             |             |             |             |                    |
| 0fq3     |  | ✓          | ✓    | ✓    |             | ✓           |             |             |                    |
| 0pQM     |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0pt      |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0tp      |  |            |      |      |             | ✓           |             |             |                    |

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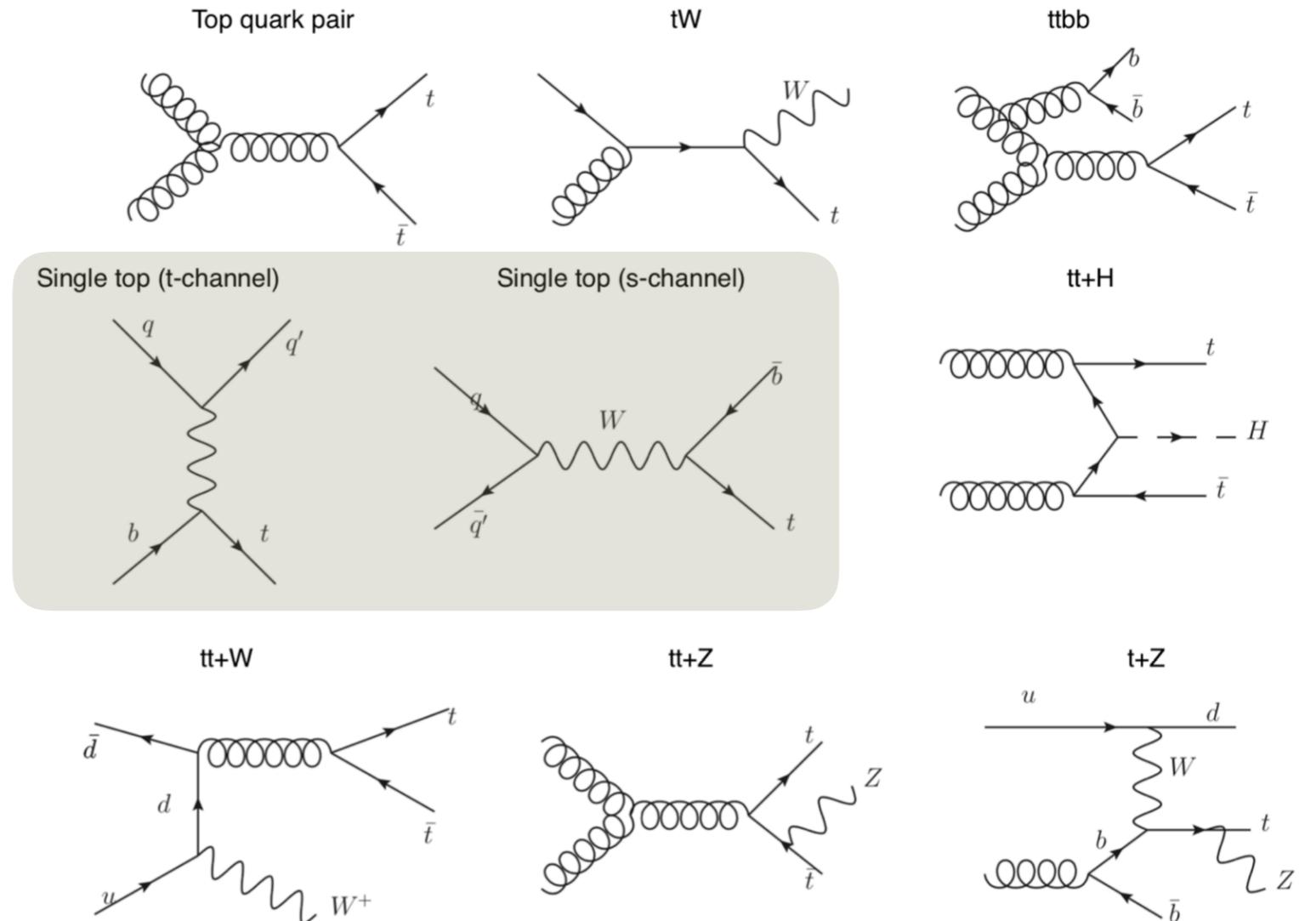


# The top quark sector of the SMEFT

| Notation | Sensitivity at $\mathcal{O}(\Lambda^{-2})$ ( $\mathcal{O}(\Lambda^{-4})$ ) |            |      |      |             |             |             |             |                    |
|----------|--|------------|------|------|-------------|-------------|-------------|-------------|--------------------|
|          | $t\bar{t}$   | single-top | $tW$ | $tZ$ | $t\bar{t}W$ | $t\bar{t}Z$ | $t\bar{t}H$ | $t\bar{t}t$ | $t\bar{t}b\bar{b}$ |
| 0QQ1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0QQ8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qt1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qt8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0Qb1     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0Qb8     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0tt1     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0tb1     |  |            |      |      |             |             |             | (✓)         | ✓                  |
| 0tb8     |  |            |      |      |             |             |             | ✓           | ✓                  |
| 0QtQb1   |  |            |      |      |             |             |             |             |                    |
| 0QtQb8   |  |            |      |      |             |             |             |             |                    |
| 081qq    | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 011qq    | ✓  |            |      |      | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 083qq    | ✓  | ✓          |      | (✓)  | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 013qq    | ✓  | ✓          |      | ✓    | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 08qt     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qt     | ✓  |            |      |      | (✓)         | (✓)         | (✓)         | ✓           | ✓                  |
| 08ut     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01ut     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 08qu     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qu     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 08dt     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01dt     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 08qd     | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 01qd     | ✓  |            |      |      | (✓)         | (✓)         | ✓           | ✓           | ✓                  |
| 0tG      | ✓  |            |      |      | ✓           | ✓           | ✓           | ✓           | ✓                  |
| 0tW      |  | ✓          | ✓    | ✓    |             |             |             |             |                    |
| 0bW      |  | (✓)        | (✓)  |      |             |             |             |             |                    |
| 0tZ      |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0ff      |  | (✓)        | (✓)  | (✓)  |             |             |             |             |                    |
| 0fq3     |  | ✓          | ✓    | ✓    |             | ✓           |             |             |                    |
| 0pQM     |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0pt      |  |            |      | ✓    |             | ✓           |             |             |                    |
| 0tp      |  |            |      |      |             | ✓           |             |             |                    |

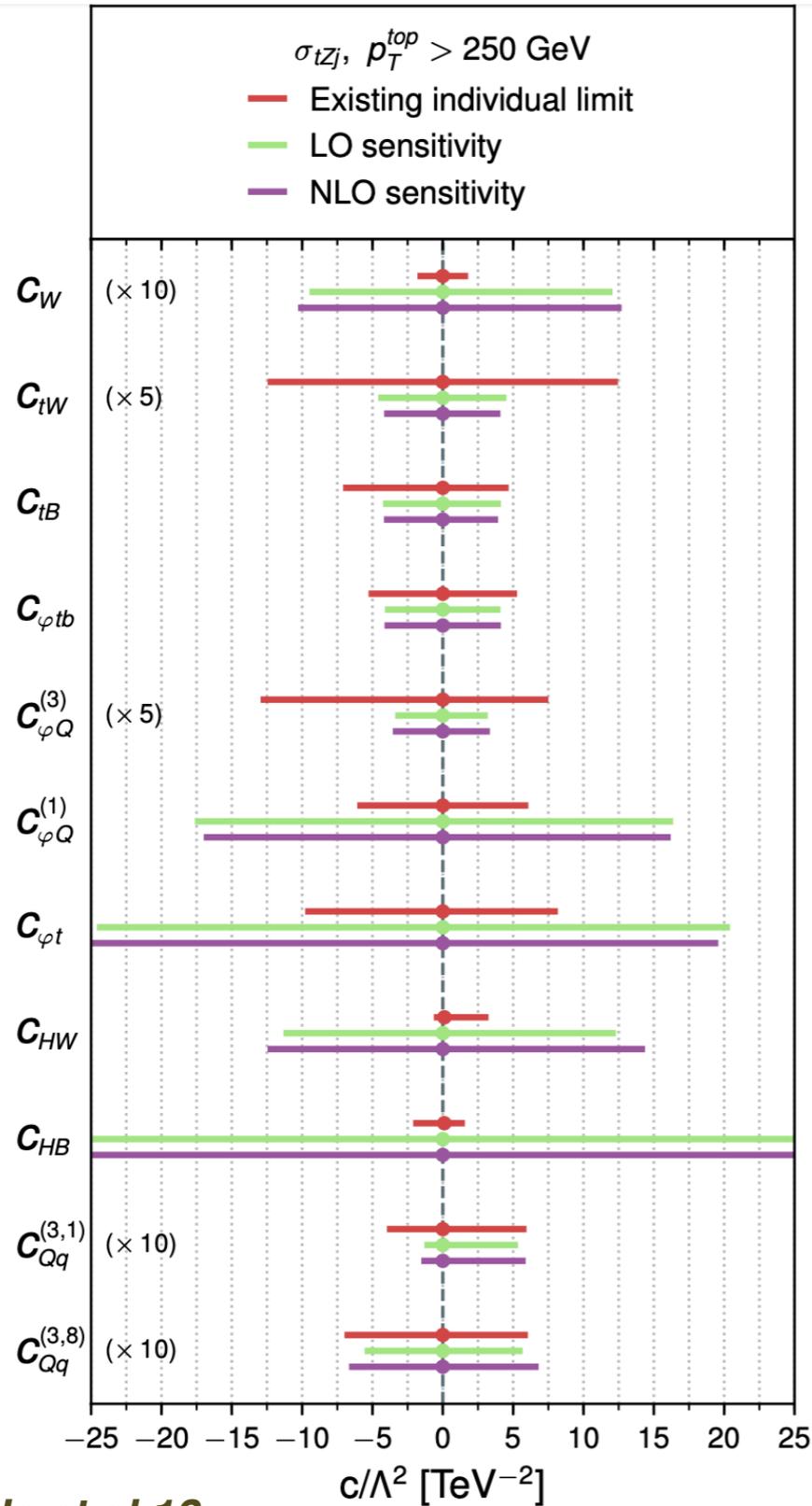
A large number of different dimension-6 SMEFT operators modify **top production at LHC**

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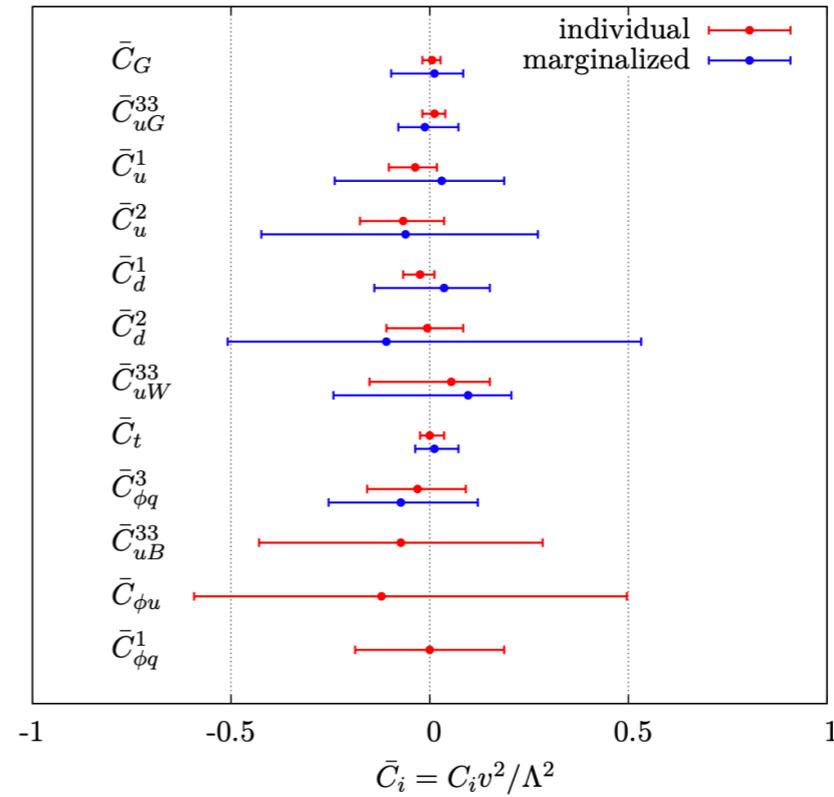
# SMEFT constraints from top data

Exploit rarer processes at LHC eg  $t+Z+j$



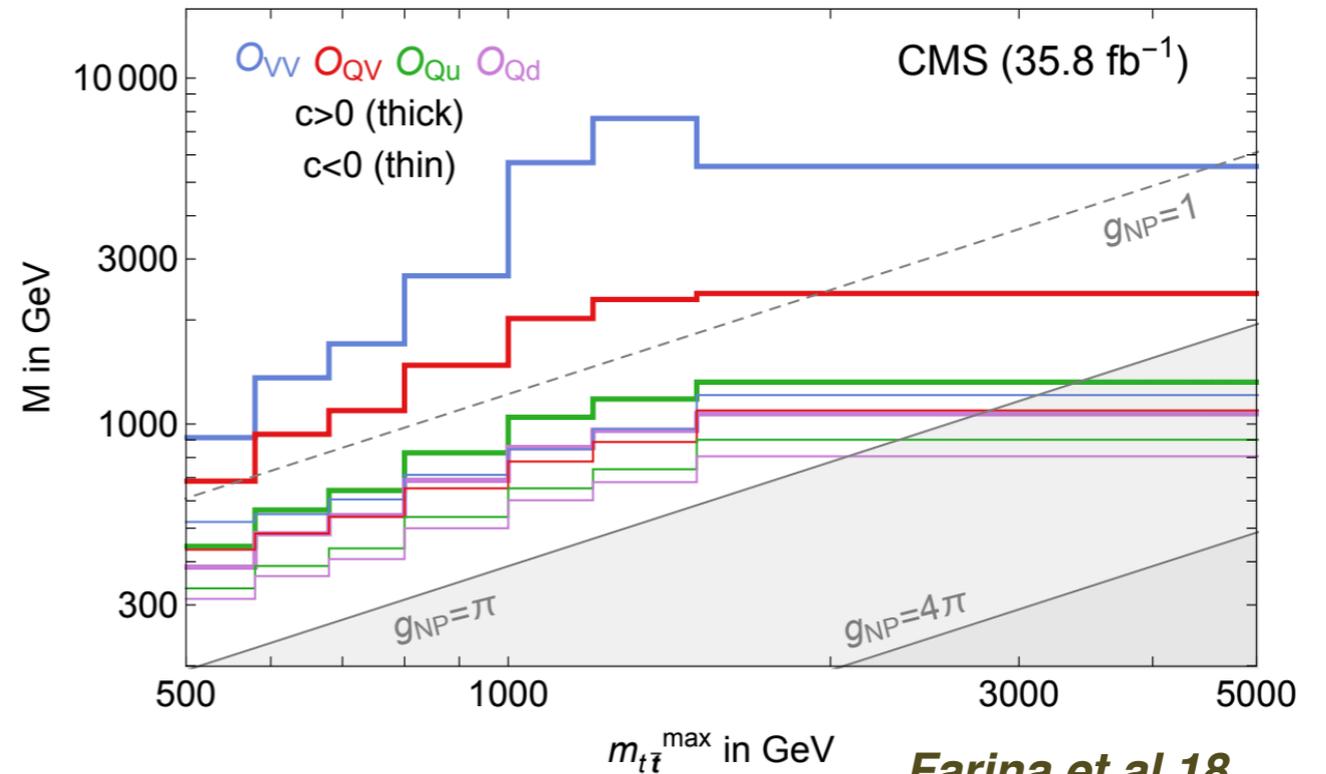
*Degrade et al 18*

Combine **different processes** in global fit



*TopFitter 15*

Enhance sensitivity from **high-energy  $m_{t\bar{t}}$  tail**



*Farina et al 18*

# SMEFiT structure

Stand-alone **Python code**, which exploits functionalities of the **NNPDF framework**

## ***NNPDF code***

- 📌 **Experimental data** and covariance matrices
- 📌 **NLO APPLgrids + NNLO C-factors** (for processes used in PDF fit)

## ***aMC@NLO***

- 📌 **NLO QCD** (benchmark)
- 📌 **LO, NLO SMEFT**
- 📌 Both  **$O(\Lambda^{-2})$**  and  **$O(\Lambda^{-4})$**  from  **$d=6$  operators**

## ***MCFM***

- 📌 **NLO QCD** (consistent choice of PDFs)
- 📌 Cross-checks of **aMC@NLO**

## ***Python analysis code***

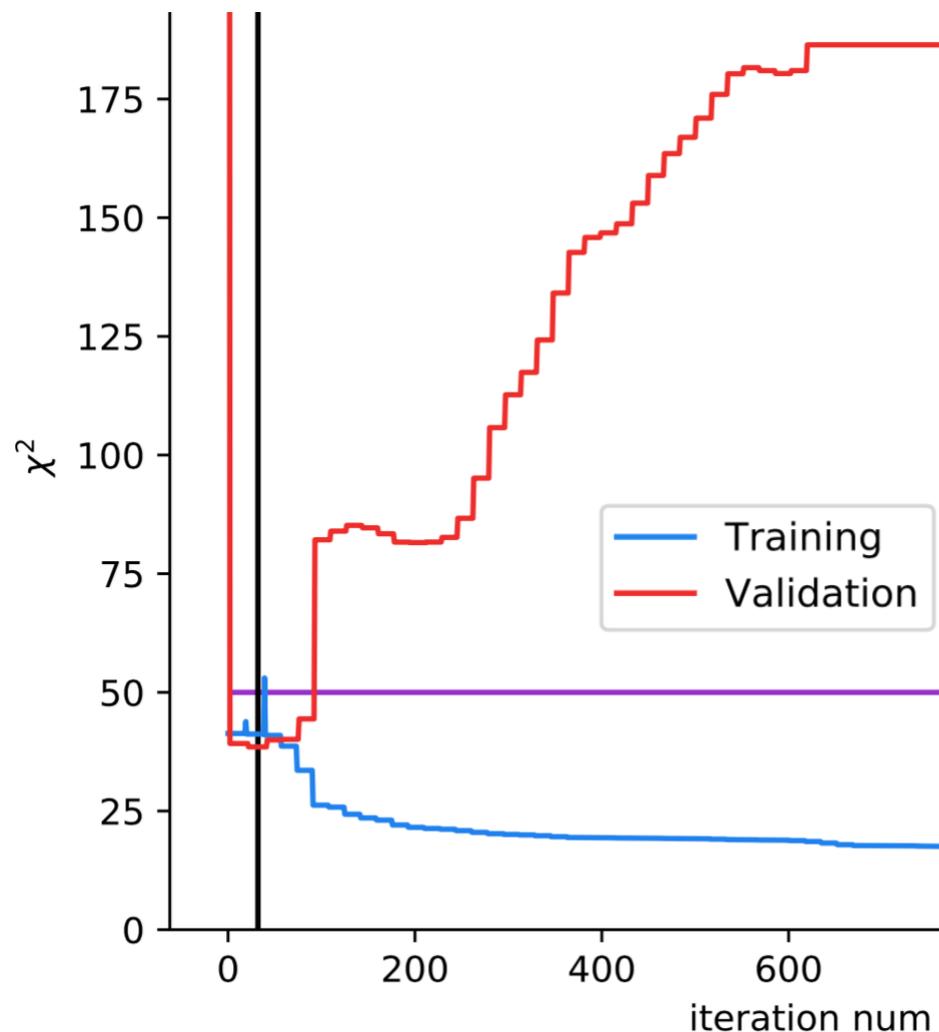
- 📌 Assemble **theory predictions** for generic SMEFT Wilson coefficients
- 📌 **Optimisation** with Sequential Quadratic Programming (**SciPy**)
- 📌 Look-back **cross-validation stopping**
- 📌 **Monte Carlo replicas** for uncertainty propagation

# Fitting methodology

- Generate large sample of **Monte Carlo replicas** to construct the **probability distribution** in the space of experimental top quark measurements

$$\mathcal{O}_i^{(\text{art})}(k) = S_{i,N}^{(k)} \mathcal{O}_i^{(\text{exp})} \left( 1 + \sum_{\alpha=1}^{N_{\text{sys}}} r_{i,\alpha}^{(k)} \sigma_{i,c}^{(\text{sys})} + r_i^{(k)} \sigma_i^{(\text{stat})} \right), \quad k = 1, \dots, N_{\text{rep}}$$

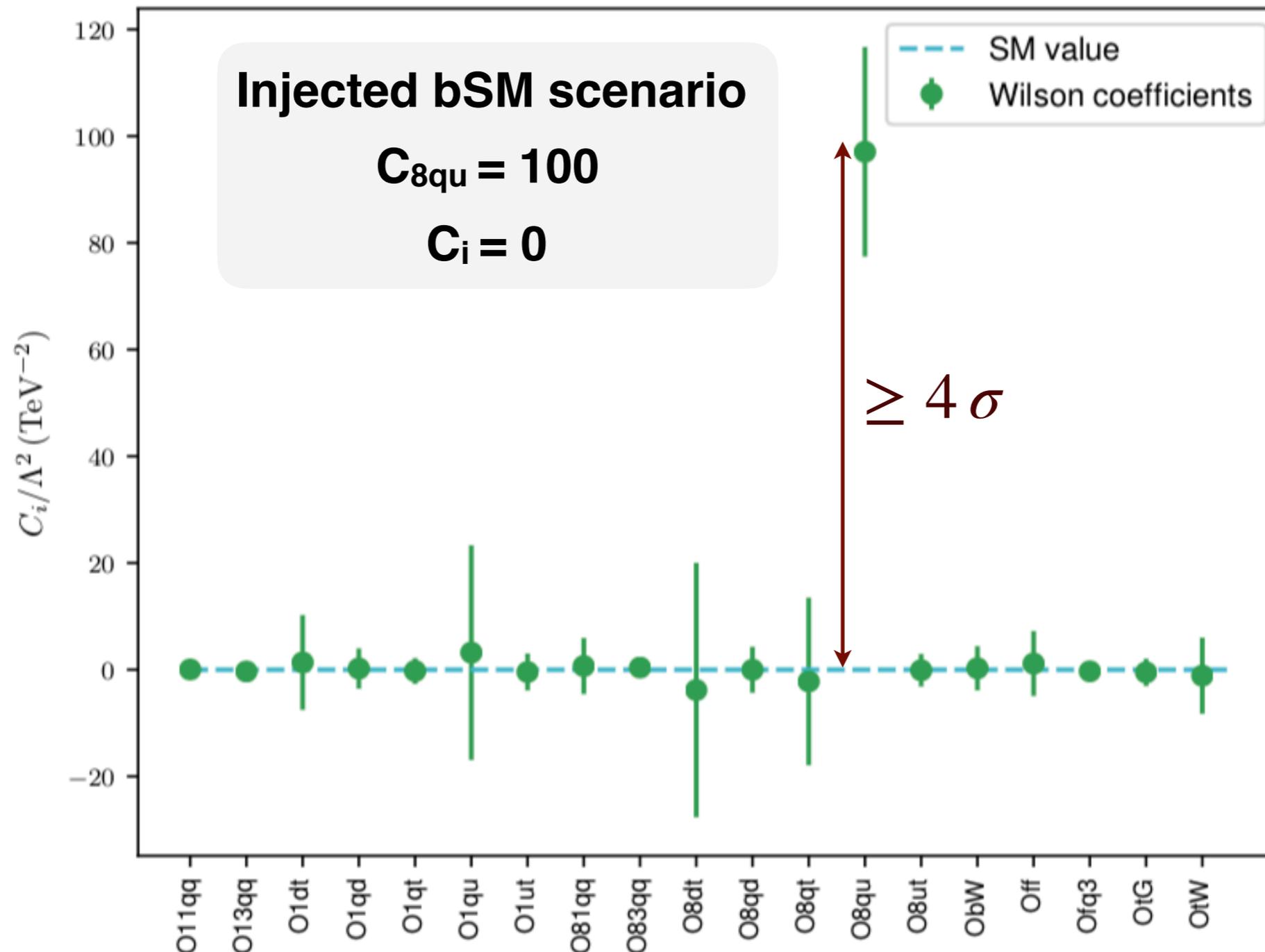
- Cross-validation stopping** to avoid both under- and over-fitting



- Methodology validated with pseudo-data based on **closure tests**: decouple from possible data incompatibilities, theory limitations, or **genuine bSM effects**
- PDF uncertainties** included in the  $\chi^2$  definition and MC sampling

# Closure Tests

- Generate **pseudo-data based** on a given scenario (SM or BSM) and check that the correct (known) results are reproduced after the fit
- Allows quantifying the **expected statistical significance** for BSM deviations



# Fit quality

(preliminary)

📌 **Good agreement** between theory (SM and SMEFT) and data for most datasets

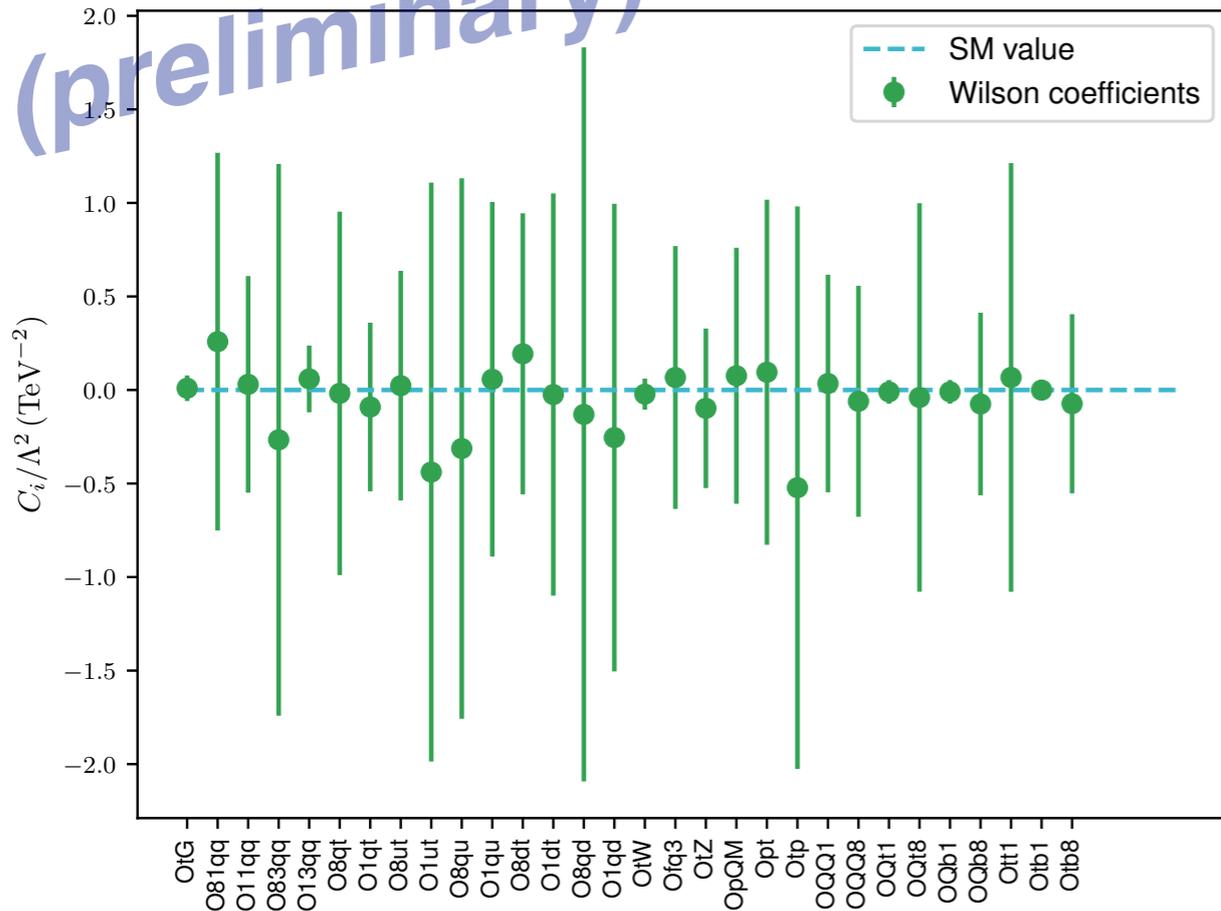
📌 For the **102 fitted cross-sections**, we find  $\chi^2/n_{dat}$  of **0.81 (0.76)** before (after) fit

📌 Including SMEFT effects tend to improve agreement with data: need to quantify how **significant** this improvement is

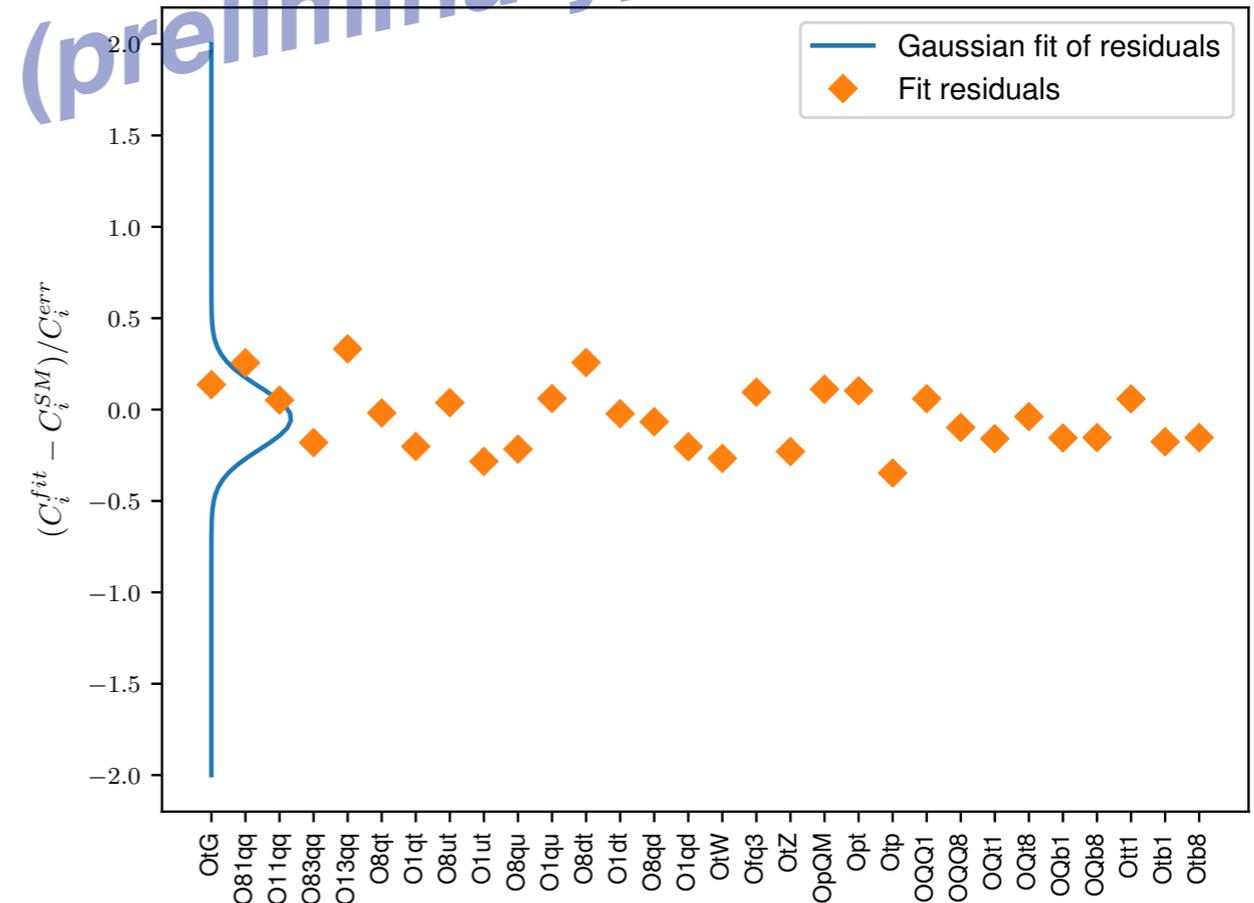
| Dataset   | $\chi^2/n_{dat}$ (prior) | $\chi^2/n_{dat}$ (fit) | $n_{dat}$  |
|---|--------------------------|------------------------|------------|
| ATLAS_tt_8TeV_ljets [ $m_{t\bar{t}}$ ]          | 1.51                     | 1.44                   | 7          |
| CMS_tt_8TeV_ljets [ $y_t$ ]                     | 1.17                     | 1.21                   | 10         |
| CMS_tt2D_8TeV_dilep [ ( $m_{t\bar{t}}, y_t$ ) ] | 1.38                     | 1.38                   | 16         |
| CMS_tt_13TeV_ljets2 [ $y_{t\bar{t}}$ ]          | 0.25                     | 0.23                   | 8          |
| CMS_tt_13TeV_dilep [ $y_{t\bar{t}}$ ]           | 0.26                     | 0.26                   | 6          |
| CMS_tt_13TeV_ljets_2016 [ $y_t$ ]               | 0.07                     | 0.08                   | 11         |
| ATLAS_WhelF_8TeV                                | 1.98                     | 1.13                   | 3          |
| CMS_WhelF_8TeV                                  | 0.31                     | 0.42                   | 3          |
| <hr/>   |                          |                        |            |
| CMS_ttbb_13TeV                                  | 5.00                     | 3.99                   | 1          |
| CMS_tttt_13TeV                                  | 0.05                     | 0.08                   | 1          |
| ATLAS_tth_13TeV                                 | 1.61                     | 1.11                   | 1          |
| CMS_tth_13TeV                                   | 0.34                     | 0.09                   | 1          |
| ATLAS_ttZ_8TeV                                  | 1.32                     | 1.18                   | 1          |
| ATLAS_ttZ_13TeV                                 | 0.01                     | 0.01                   | 1          |
| CMS_ttZ_8TeV                                    | 0.04                     | 0.06                   | 1          |
| CMS_ttZ_13TeV                                   | 0.90                     | 0.94                   | 1          |
| ATLAS_ttW_8TeV                                  | 1.34                     | 1.24                   | 1          |
| ATLAS_ttW_13TeV                                 | 0.82                     | 0.81                   | 1          |
| CMS_ttW_8TeV                                    | 1.54                     | 1.46                   | 1          |
| CMS_ttW_13TeV                                   | 0.03                     | 0.02                   | 1          |
| <hr/>   |                          |                        |            |
| CMS_t_tch_8TeV_dif                              | 0.11                     | 0.21                   | 6          |
| ATLAS_t_tch_8TeV [ $y_t$ ]                      | 0.91                     | 0.61                   | 4          |
| ATLAS_t_tch_8TeV [ $y_{\bar{t}}$ ]              | 0.40                     | 0.33                   | 4          |
| ATLAS_t_sch_8TeV                                | 0.08                     | 0.23                   | 1          |
| CMS_t_tch_13TeV_dif [ $y_t$ ]                   | 0.46                     | 0.48                   | 4          |
| CMS_t_sch_8TeV                                  | 1.26                     | 1.16                   | 1          |
| ATLAS_tW_inc_8TeV                               | 0.02                     | 0.00                   | 1          |
| CMS_tW_inc_8TeV                                 | 0.00                     | 0.01                   | 1          |
| ATLAS_tW_inc_13TeV                              | 0.52                     | 0.62                   | 1          |
| CMS_tW_inc_13TeV                                | 4.29                     | 3.26                   | 1          |
| ATLAS_tZ_inc_13TeV                              | 0.00                     | 0.02                   | 1          |
| CMS_tZ_inc_13TeV                                | 0.66                     | 0.64                   | 1          |
| <hr/>   |                          |                        |            |
| Total   | <b>0.81</b>              | <b>0.76</b>            | <b>102</b> |

# Fit results

(preliminary)



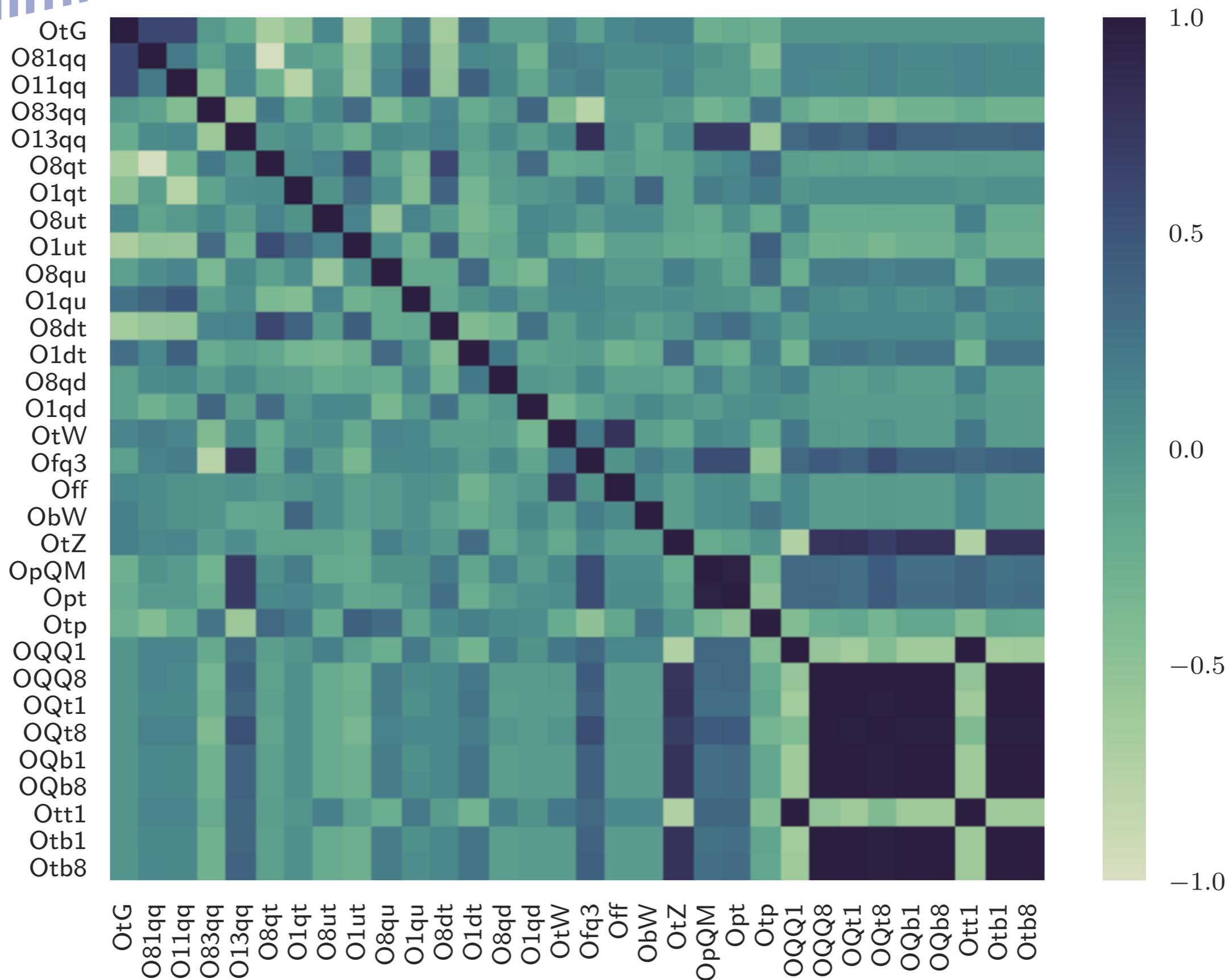
(preliminary)



- 🎯 Agreement with the SM expectation **within uncertainties**
- 🎯 Bounds on individual operators are in general largely **correlated among them**
- 🎯 Large differences between the bounds obtained from each operator

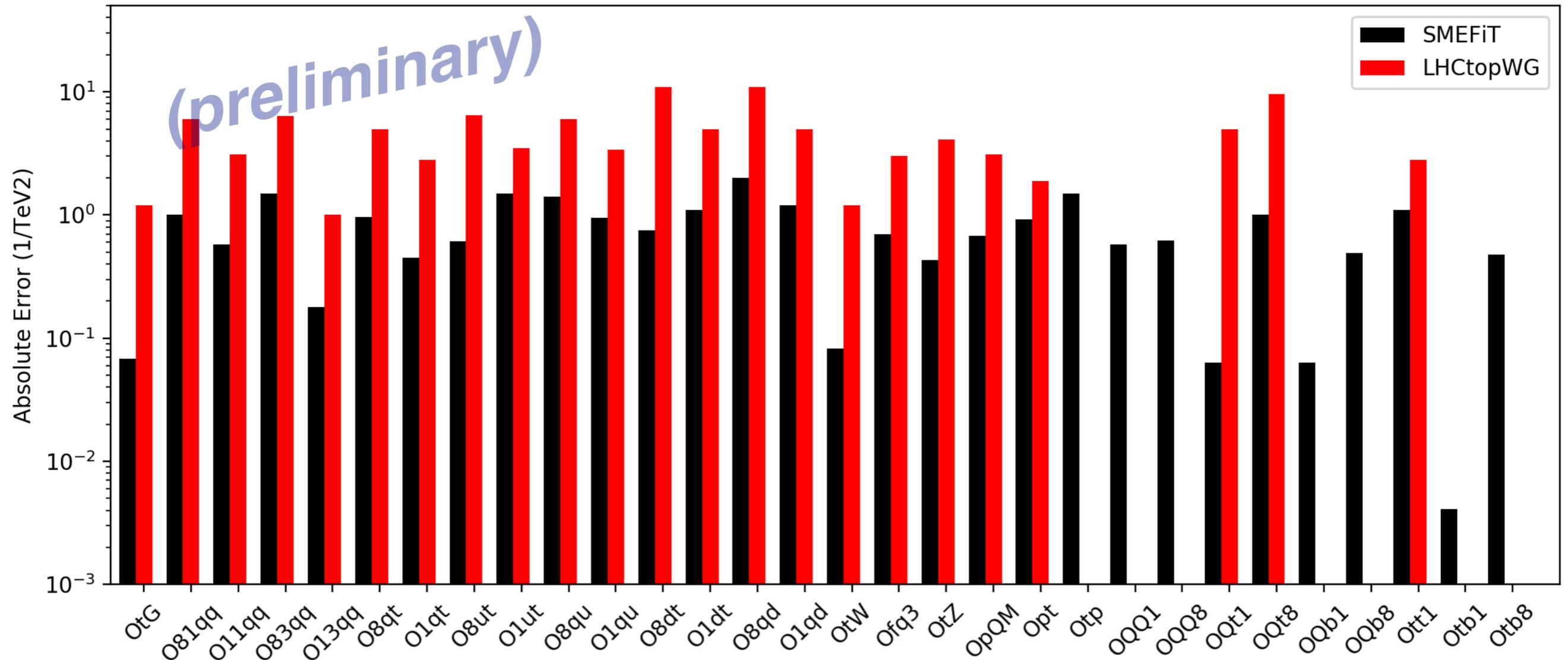
# Correlations

(preliminary)



# Comparison with previous bounds

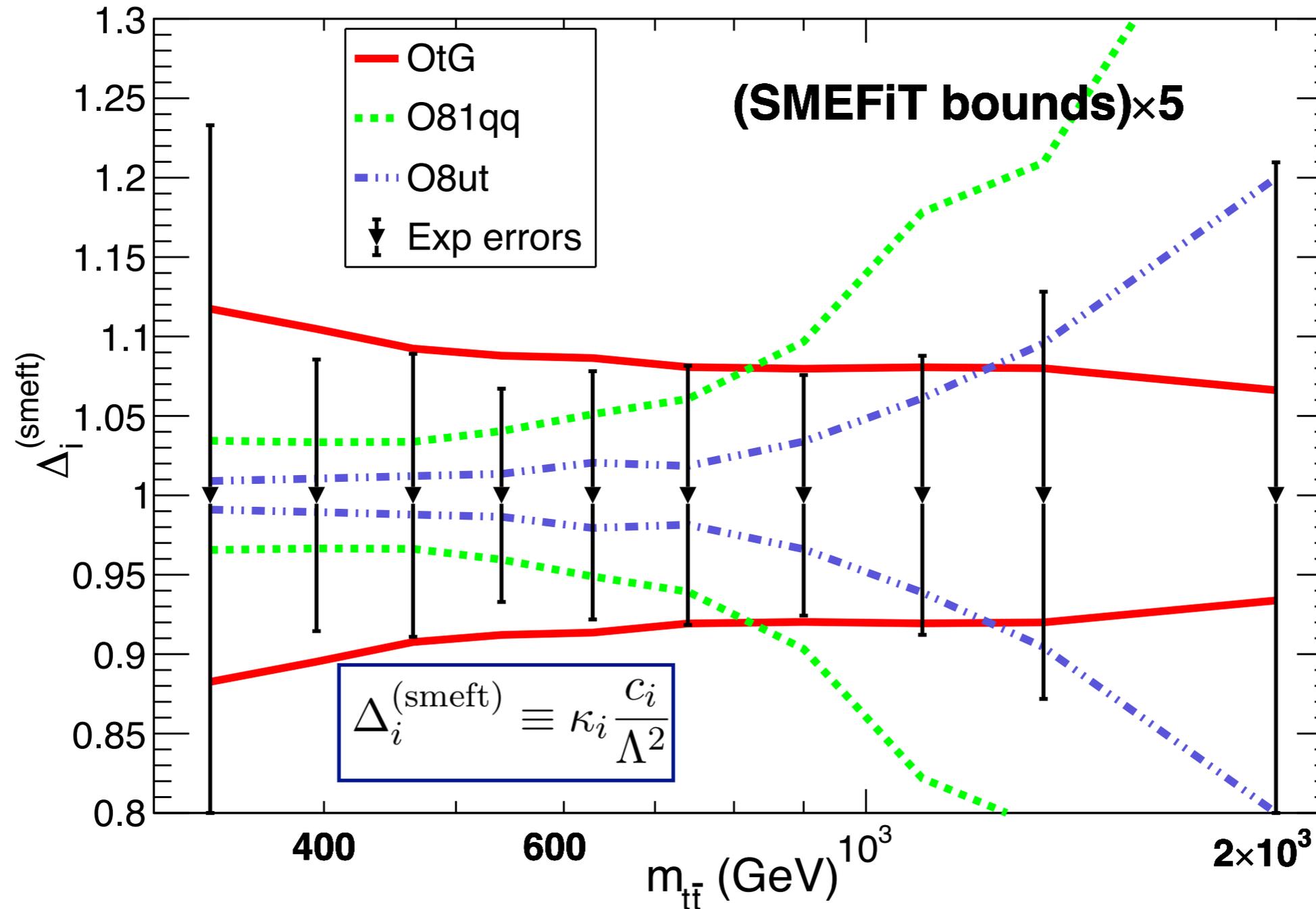
SMEFit analysis of top quark sector



- 🔍 Compare to bounds reported in the LHC Top WG EFT note (same flavour assumptions)
- 🔍 **Improvement** found (more stringent bounds) in all fitted degrees of freedom
- 🔍 For some specific operators **our bounds are the first ones** to be reported

# High-energy behaviour

top quark pair production @ 13 TeV



**Energy-growing effects** enhance sensitivity to SMEFT effects with **TeV-scale cross-sections**  
 but need to be careful to ensure **validity of EFT description**

# Summary and outlook (part II)

- The accurate determination of the **quark and gluon structure of the proton** is an essential ingredient for LHC phenomenology
- LHC data provides **stringent constraints on PDFs**
- PDFs with **theoretical uncertainties**: the next milestone for global QCD analyses
- At the HL-LHC, theory calculations with **1% PDF uncertainties** are within reach

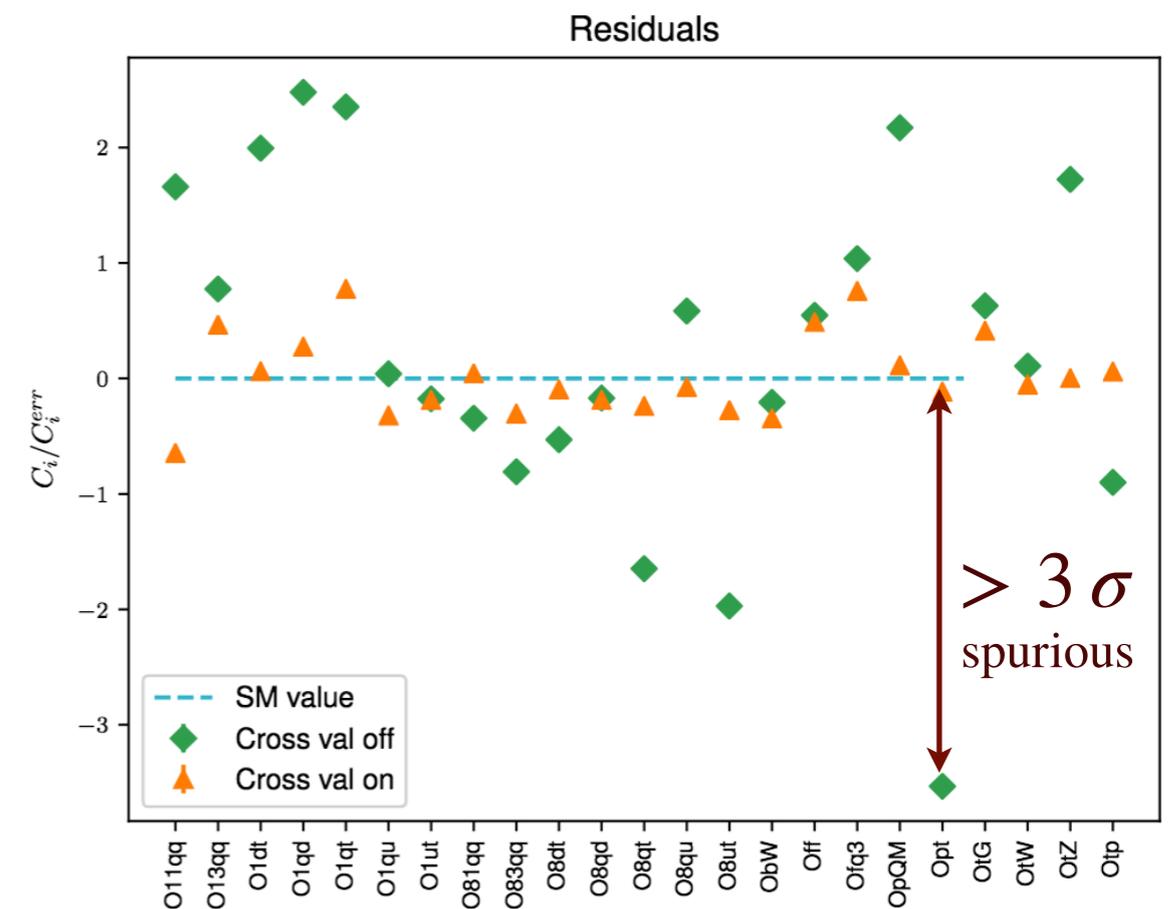
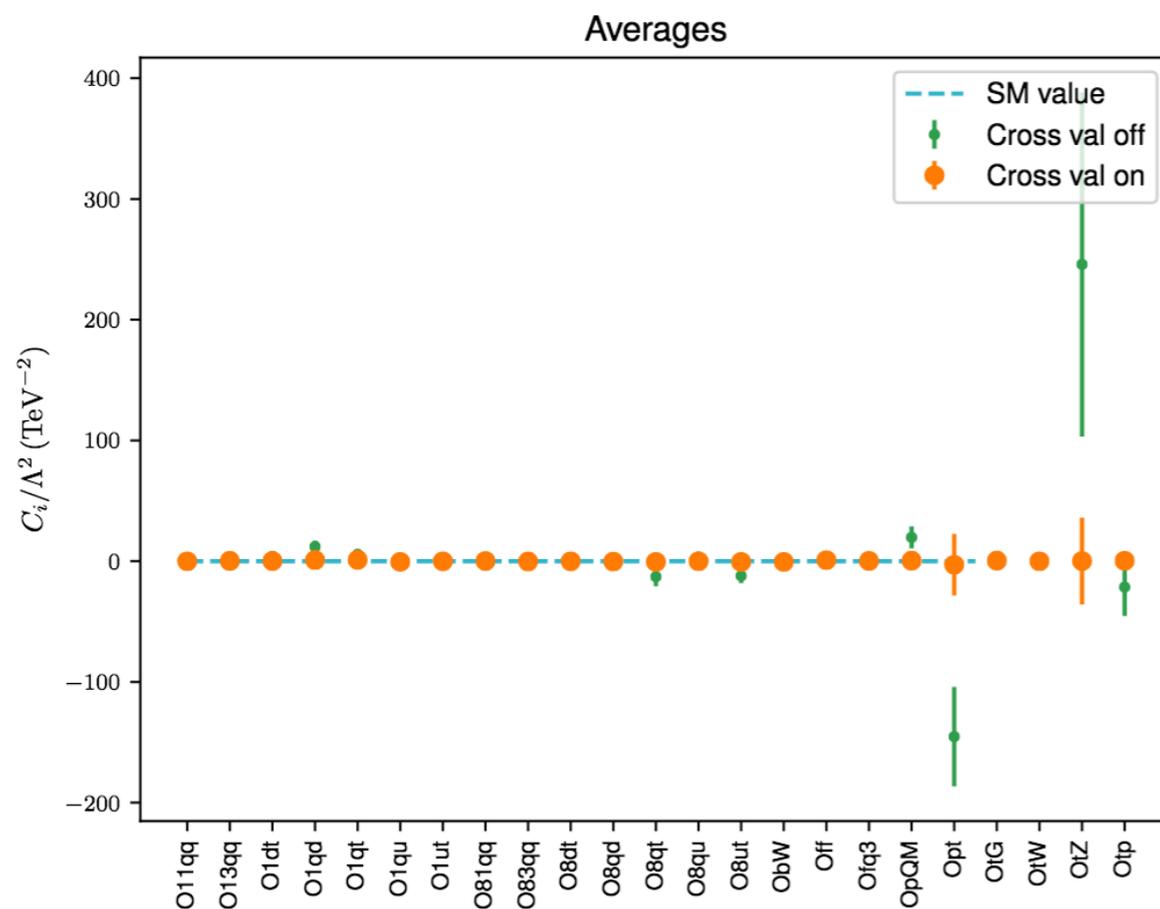
- The **SMEFIT** framework is a novel approach for global analyses of the SMEFT, which exploits expertise from the NNPDF fits
- Proof-of-concept: SMEFT analysis of the **top quark sector**
- Next steps: enlarge the operator basis and include **additional LHC cross-sections** (Higgs, electroweak, jets) as well as flavour and low-energy observables

*Ultimately the **simultaneous determination of PDFs and SMEFT degrees of freedom** might be required to fully exploit the LHC potential*

# Extra material

# Cross-validation

- Since  $N_{par}$  is not too different from  $N_{dat}$ , overfitting will take place for an efficient optimiser
- Artificial tensions with the SM** are likely to be generated by overfitting!
- Test the role of cross-validation in a closure test with pseudo-data generated with the SM
- Fit residuals **consistent with true result (SM)** only with cross-validation



# Input dataset (I)

| Process    | Dataset             | $\sqrt{s}$ | Info             | Observables   | $N_{\text{dat}}$        | Ref  |
|------------|---------------------|------------|------------------|---|-------------------------|------|
| $t\bar{t}$ | ATLAS_tt_8TeV_1jets | 8 TeV      | lepton+jets      | $d\sigma/d y_t $ , $d\sigma/dp_t^T$ ,<br>$d\sigma/dm_{t\bar{t}}$ , $d\sigma/d y_{t\bar{t}} $  | 5, 8,<br>7, 5           | [77] |
| $t\bar{t}$ | CMS_tt_8TeV_1jets   | 8 TeV      | lepton+jets      | $d\sigma/dy_t$ , $d\sigma/dp_t^T$ ,<br>$d\sigma/dm_{t\bar{t}}$ , $d\sigma/dy_{t\bar{t}}$  | 10, 8,<br>7, 10         | [78] |
| $t\bar{t}$ | CMS_tt2D_8TeV_dilep | 8 TeV      | dileptons        | $d^2\sigma/dy_t dp_t^T$ ,<br>$d^2\sigma/dy_t dm_{t\bar{t}}$ ,<br>$d^2\sigma/dp_{t\bar{t}}^T dm_{t\bar{t}}$ ,<br>$d^2\sigma/dy_{t\bar{t}} dm_{t\bar{t}}$ | 16,<br>16,<br>16,<br>16 | [79] |
| $t\bar{t}$ | CMS_tt_13TeV_1jets  | 13 TeV     | lepton+jets      | $d\sigma/d y_t $ , $d\sigma/dp_t^T$ ,<br>$d\sigma/dm_{t\bar{t}}$ , $d\sigma/d y_{t\bar{t}} $  | 7, 9,<br>8, 6           | [83] |
| $t\bar{t}$ | CMS_tt_13TeV_1jets2 | 13 TeV     | lepton+jets      | $d\sigma/d y_t $ , $d\sigma/dp_t^T$ ,<br>$d\sigma/dm_{t\bar{t}}$ , $d\sigma/d y_{t\bar{t}} $  | 11, 12,<br>10, 10       | [85] |
| $t\bar{t}$ | CMS_tt_13TeV_dilep  | 13 TeV     | dileptons        | $d\sigma/dy_t$ , $d\sigma/dp_t^T$ ,<br>$d\sigma/dm_{t\bar{t}}$ , $d\sigma/dy_{t\bar{t}}$  | 8, 6,<br>6, 8           | [86] |
| $t\bar{t}$ | ATLASCMS_AcMtt_8TeV | 8 TeV      | Asymm comb       | $A_C(m_{t\bar{t}})$ , Eq. (3.1)   | 6                       | [80] |
| $t\bar{t}$ | ATLAS_WhelF_8TeV    | 8 TeV      | W helicity fract | $F_0, F_L, F_R$   | 3                       | [81] |
| $t\bar{t}$ | CMS_WhelF_8TeV      | 8 TeV      | W helicity fract | $F_0, F_L, F_R$   | 3                       | [82] |

# Input dataset (II)

| Process    | Dataset             | $\sqrt{s}$    | Info         | Observables  | $N_{\text{dat}}$ | Ref   |
|------------|---------------------|---------------|--------------|--|------------------|-------|
| Single $t$ | CMS_t_tch_8TeV_inc  | <b>8 TeV</b>  | $t$ -channel | $\sigma_{\text{tot}}(t), \sigma_{\text{tot}}(\bar{t}) (R_t)$   | 2 (1)            | [95]  |
| Single $t$ | CMS_t_sch_8TeV      | <b>8 TeV</b>  | $s$ -channel | $\sigma_{\text{tot}}(t + \bar{t})$   | 1                | [96]  |
| Single $t$ | ATLAS_t_sch_8TeV    | <b>8 TeV</b>  | $s$ -channel | $\sigma_{\text{tot}}(t + \bar{t})$   | 1                | [97]  |
| Single $t$ | ATLAS_t_tch_8TeV    | <b>8 TeV</b>  | $t$ -channel | $d\sigma(tq)/dp_T^t, d\sigma(\bar{t}q)/dp_T^{\bar{t}}$<br>$d\sigma(tq)/dy_t, d\sigma(\bar{t}q)/dy_t$ | 5, 4<br>4, 4     | [98]  |
| Single $t$ | ATLAS_t_tch_13TeV   | <b>13 TeV</b> | $t$ -channel | $\sigma_{\text{tot}}(t), \sigma_{\text{tot}}(\bar{t}) (R_t)$   | 2 (1)            | [99]  |
| Single $t$ | CMS_t_tch_13TeV_inc | <b>13 TeV</b> | $t$ -channel | $\sigma_{\text{tot}}(t + \bar{t}) (R_t)$   | 1 (1)            | [100] |
| Single $t$ | CMS_t_tch_8TeV_dif  | <b>8 TeV</b>  | $t$ -channel | $d\sigma/dp_T^{(t+\bar{t})},$<br>$d\sigma/d y^{(t+\bar{t})} $  | 6<br>6           | [101] |
| Single $t$ | CMS_t_tch_13TeV_dif | <b>13 TeV</b> | $t$ -channel | $d\sigma/dp_T^{(t+\bar{t})},$<br>$d\sigma/d y^{(t+\bar{t})} $  | 4<br>4           | [102] |
| $tW$       | ATLAS_tW_inc_8TeV   | <b>8 TeV</b>  | inclusive    | $\sigma_{\text{tot}}(tW)$  | 1                | [103] |
| $tW$       | CMS_tW_inc_8TeV     | <b>8 TeV</b>  | inclusive    | $\sigma_{\text{tot}}(tW)$  | 1                | [104] |
| $tW$       | ATLAS_tW_inc_13TeV  | <b>13 TeV</b> | inclusive    | $\sigma_{\text{tot}}(tW)$  | 1                | [105] |
| $tW$       | CMS_tW_inc_13TeV    | <b>13 TeV</b> | inclusive    | $\sigma_{\text{tot}}(tW)$  | 1                | [106] |
| $tZ$       | CMS_tZ_inc_13TeV    | <b>13 TeV</b> | inclusive    | $\sigma_{\text{fid}}(Wbl^+l^-q)$   | 1                | [107] |
| $tZ$       | ATLAS_tZ_inc_13TeV  | <b>13 TeV</b> | inclusive    | $\sigma_{\text{tot}}(tZq)$   | 1                | [108] |

# Input dataset (III)

| Process            | Dataset           | $\sqrt{s}$      | Info            | Observables                             | $N_{\text{dat}}$ | Ref      |
|--------------------|-------------------|-----------------|-----------------|---|------------------|----------|
| $t\bar{t}b\bar{b}$ | CMS_ttbb_13TeV    | <b>13 TeV</b>   | total xsec      | $\sigma_{\text{tot}}(t\bar{t}b\bar{b})$ | 1                | [87]     |
| $t\bar{t}t\bar{t}$ | CMS_tttt_13TeV    | <b>13 TeV</b>   | total xsec      | $\sigma_{\text{tot}}(t\bar{t}t\bar{t})$ | 1                | [88]     |
| $t\bar{t}Z$        | CMS_ttZ_8_13TeV   | <b>8+13 TeV</b> | total xsec      | $\sigma_{\text{tot}}(t\bar{t}Z)$        | 2                | [89, 90] |
| $t\bar{t}Z$        | ATLAS_ttZ_8_13TeV | <b>8+13 TeV</b> | total xsec      | $\sigma_{\text{tot}}(t\bar{t}Z)$        | 2                | [91, 92] |
| $t\bar{t}W$        | CMS_ttW_8_13TeV   | <b>8+13 TeV</b> | total xsec      | $\sigma_{\text{tot}}(t\bar{t}W)$        | 2                | [89, 90] |
| $t\bar{t}W$        | ATLAS_ttW_8_13TeV | <b>8+13 TeV</b> | total xsec      | $\sigma_{\text{tot}}(t\bar{t}W)$        | 2                | [91, 92] |
| $t\bar{t}H$        | CMS_tth_13TeV     | <b>13 TeV</b>   | signal strength | $\mu_{t\bar{t}H}$                       | 1                | [93]     |
| $t\bar{t}H$        | ATLAS_tth_13TeV   | <b>13 TeV</b>   | total xsec      | $\sigma_{\text{tot}}(t\bar{t}H)$        | 1                | [94]     |

The fit includes more than **100 cross-section measurements** at 8 and 13 TeV from **10 different top-quark production processes**

# Theory calculations

| Process                | SM       | Code                                   | SMEFT                           | Code    |
|------------------------|----------|--|---------------------------------|---------|
| $t\bar{t}$             | NNLO QCD | MCFM/SHERPA NLO<br>+ NNLO $K$ -factors | NLO QCD                         | MG5_aMC |
| single- $t$ ( $t$ -ch) | NNLO QCD | MCFM NLO<br>+ NNLO $K$ -factors        | NLO QCD                         | MG5_aMC |
| single- $t$ ( $s$ -ch) | NLO QCD  | MCFM                                   | NLO QCD                         | MG5_aMC |
| $tW$                   | NLO QCD  | MG5_aMC                                | NLO QCD                         | MG5_aMC |
| $tZ$                   | NLO QCD  | MG5_aMC                                | LO QCD<br>+ NLO SM $K$ -factors | MG5_aMC |
| $t\bar{t}W(Z)$         | NLO QCD  | MG5_aMC                                | LO QCD<br>+ NLO SM $K$ -factors | MG5_aMC |
| $t\bar{t}h$            | NLO QCD  | MG5_aMC                                | LO QCD<br>+ NLO SM $K$ -factors | MG5_aMC |
| $t\bar{t}t\bar{t}$     | NLO QCD  | MG5_aMC                                | LO QCD<br>+ NLO SM $K$ -factors | MG5_aMC |
| $t\bar{t}b\bar{b}$     | NLO QCD  | MG5_aMC                                | LO QCD<br>+ NLO SM $K$ -factors | MG5_aMC |

PDF set: **NNPDF3.1 NNLO no-top**

# Operator basis

• We follow the same flavour assumptions as in the **LHC Top WG note**

• Minimal Flavour Violation (MFV), diagonal CKM, zero Yukawas for first two quark gens

• CP conservation assumed

• Include those SMEFT dimension-6 operators of Warsaw basis with **at least one top quark**

• The fit includes a total of **34 independent degrees of freedom**

• Include both **interference** and **quadratic contributions** from these operators

| Class                  | Notation        | Degree of Freedom                  | Operator Definition  |
|------------------------|-----------------|------------------------------------|--|
| <b>4-heavy</b>         | QQQ1            | $c_{QQ}^1$                         | $2C_{qq}^{1(3333)} - \frac{2}{3}C_{qq}^{3(3333)}$                              |
|                        | QQQ8            | $c_{QQ}^8$                         | $8C_{qq}^{3(3333)}$  |
|                        | QQt1            | $c_{Qt}^1$                         | $C_{qu}^{1(3333)}$   |
|                        | QQt8            | $c_{Qt}^8$                         | $C_{qu}^{8(3333)}$   |
|                        | QQb1            | $c_{Qb}^1$                         | $C_{qd}^{1(3333)}$   |
|                        | QQb8            | $c_{Qb}^8$                         | $C_{qd}^{8(3333)}$   |
|                        | Ott1            | $c_{tt}^1$                         | $C_{uu}^{(3333)}$  |
|                        | Otb1            | $c_{tb}^1$                         | $C_{ud}^{1(3333)}$   |
|                        | Otb8            | $c_{tb}^8$                         | $C_{ud}^{8(3333)}$   |
|                        | QQtQb1          | $c_{QtQb}^1$                       | $C_{quqd}^{1(3333)}$   |
| QQtQb8                 | $c_{QtQb}^8$    | $C_{quqd}^{8(3333)}$               |  |
| <b>2-heavy-2-light</b> | O81qq           | $c_{qq}^{1,8}$                     | $C_{qq}^{1(i33i)} + 3C_{qq}^{3(i33i)}$   |
|                        | O11qq           | $c_{qq}^{1,1}$                     | $C_{qq}^{1(ii33)} + \frac{1}{6}C_{qq}^{1(i33i)} + \frac{1}{2}C_{qq}^{3(i33i)}$ |
|                        | O83qq           | $c_{qq}^{3,8}$                     | $C_{qq}^{1(i33i)} - C_{qq}^{3(i33i)}$  |
|                        | O13qq           | $c_{qq}^{3,1}$                     | $C_{qq}^{3(ii33)} + \frac{1}{6}(C_{qq}^{1(i33i)} - C_{qq}^{3(i33i)})$          |
|                        | O8qt            | $c_{tq}^8$                         | $C_{qu}^{8(ii33)}$   |
|                        | O1qt            | $c_{tq}^1$                         | $C_{qu}^{1(ii33)}$   |
|                        | O8ut            | $c_{tu}^8$                         | $2C_{uu}^{(i33i)}$   |
|                        | O1ut            | $c_{tu}^1$                         | $C_{uu}^{(ii33)} + \frac{1}{3}C_{uu}^{(i33i)}$                                 |
|                        | O8qu            | $c_{Qu}^8$                         | $C_{qu}^{8(33ii)}$   |
|                        | O1qu            | $c_{Qu}^1$                         | $C_{qu}^{1(33ii)}$   |
|                        | O8dt            | $c_{td}^8$                         | $C_{ud}^{8(33ii)}$   |
|                        | O1dt            | $c_{td}^1$                         | $C_{ud}^{1(33ii)}$   |
|                        | O8qd            | $c_{Qd}^8$                         | $C_{qd}^{8(33ii)}$   |
|                        | O1qd            | $c_{Qd}^1$                         | $C_{qd}^{1(33ii)}$   |
| <b>2-heavy + V/h</b>   | OtG             | $c_{tG}$                           | $\text{Re}\{C_{uG}^{(33)}\}$   |
|                        | OtW             | $c_{tW}$                           | $\text{Re}\{C_{uW}^{(33)}\}$   |
|                        | ObW             | $c_{bW}$                           | $\text{Re}\{C_{dW}^{(33)}\}$   |
|                        | OtZ             | $c_{tZ}$                           | $\text{Re}\{-s_W C_{uB}^{(33)} + c_W C_{uW}^{(33)}\}$                          |
|                        | O $\varphi$ ff  | $c_{\varphi tb}$                   | $\text{Re}\{C_{\varphi ud}^{(33)}\}$   |
|                        | O $\varphi$ q3  | $c_{\varphi Q}^3$                  | $C_{\varphi q}^{3(33)}$  |
|                        | O $\varphi$ pQM | $c_{\varphi Q}^-$                  | $C_{\varphi q}^{1(33)} - C_{\varphi q}^{3(33)}$                                |
|                        | O $\varphi$ pt  | $c_{\varphi t}$                    | $C_{\varphi u}^{(33)}$   |
| O $\varphi$ tp         | $c_{t\varphi}$  | $\text{Re}\{C_{u\varphi}^{(33)}\}$ |  |

# Operator basis

## 4-quark operators

$$\begin{aligned}
 O_{qq}^{1(ijkl)} &= (\bar{q}_i \gamma^\mu q_j) (\bar{q}_k \gamma_\mu q_l), \\
 O_{qq}^{3(ijkl)} &= (\bar{q}_i \gamma^\mu \tau^I q_j) (\bar{q}_k \gamma_\mu \tau^I q_l), \\
 O_{qu}^{1(ijkl)} &= (\bar{q}_i \gamma^\mu q_j) (\bar{u}_k \gamma_\mu u_l), \\
 O_{qu}^{8(ijkl)} &= (\bar{q}_i \gamma^\mu T^A q_j) (\bar{u}_k \gamma_\mu T^A u_l), \\
 O_{qd}^{1(ijkl)} &= (\bar{q}_i \gamma^\mu q_j) (\bar{d}_k \gamma_\mu d_l), \\
 O_{qd}^{8(ijkl)} &= (\bar{q}_i \gamma^\mu T^A q_j) (\bar{d}_k \gamma_\mu T^A d_l), \\
 O_{uu}^{(ijkl)} &= (\bar{u}_i \gamma^\mu u_j) (\bar{u}_k \gamma_\mu u_l), \\
 O_{ud}^{1(ijkl)} &= (\bar{u}_i \gamma^\mu u_j) (\bar{d}_k \gamma_\mu d_l), \\
 O_{ud}^{8(ijkl)} &= (\bar{u}_i \gamma^\mu T^A u_j) (\bar{d}_k \gamma_\mu T^A d_l), \\
 \ddagger O_{quqd}^{1(ijkl)} &= (\bar{q}_i u_j) \varepsilon (\bar{q}_k d_l), \\
 \ddagger O_{quqd}^{8(ijkl)} &= (\bar{q}_i T^A u_j) \varepsilon (\bar{q}_k T^A d_l).
 \end{aligned}$$

## 2-quark + V/g/h operators

$$\begin{aligned}
 \ddagger O_{u\varphi}^{(ij)} &= \bar{q}_i u_j \tilde{\varphi} (\varphi^\dagger \varphi), \\
 O_{\varphi q}^{1(ij)} &= (\varphi^\dagger \overleftrightarrow{iD}_\mu \varphi) (\bar{q}_i \gamma^\mu q_j), \\
 O_{\varphi q}^{3(ij)} &= (\varphi^\dagger \overleftrightarrow{iD}_\mu^I \varphi) (\bar{q}_i \gamma^\mu \tau^I q_j), \\
 O_{\varphi u}^{(ij)} &= (\varphi^\dagger \overleftrightarrow{iD}_\mu \varphi) (\bar{u}_i \gamma^\mu u_j), \\
 \ddagger O_{\varphi ud}^{(ij)} &= (\tilde{\varphi}^\dagger iD_\mu \varphi) (\bar{u}_i \gamma^\mu d_j), \\
 \ddagger O_{uW}^{(ij)} &= (\bar{q}_i \sigma^{\mu\nu} \tau^I u_j) \tilde{\varphi} W_{\mu\nu}^I, \\
 \ddagger O_{dW}^{(ij)} &= (\bar{q}_i \sigma^{\mu\nu} \tau^I d_j) \varphi W_{\mu\nu}^I, \\
 \ddagger O_{uB}^{(ij)} &= (\bar{q}_i \sigma^{\mu\nu} u_j) \tilde{\varphi} B_{\mu\nu}, \\
 \ddagger O_{uG}^{(ij)} &= (\bar{q}_i \sigma^{\mu\nu} T^A u_j) \tilde{\varphi} G_{\mu\nu}^A,
 \end{aligned}$$