

NNPDF4.0: Towards a high-precision Determination of the Proton Structure

Roy Stegeman

University of Milan and INFN Milan

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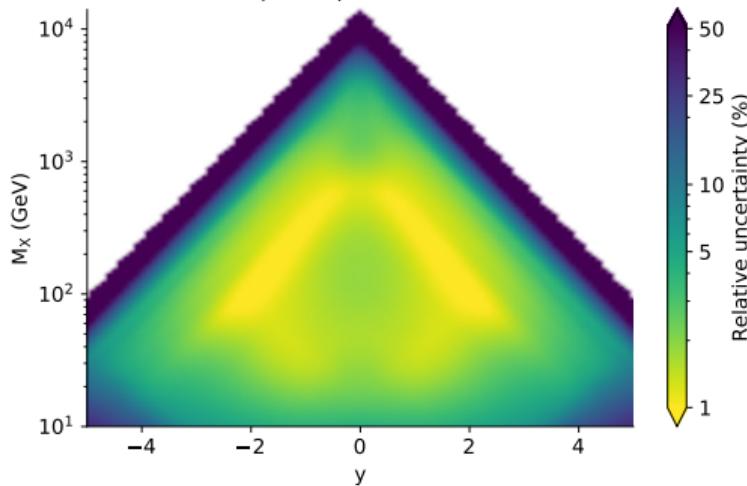


This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 740006.

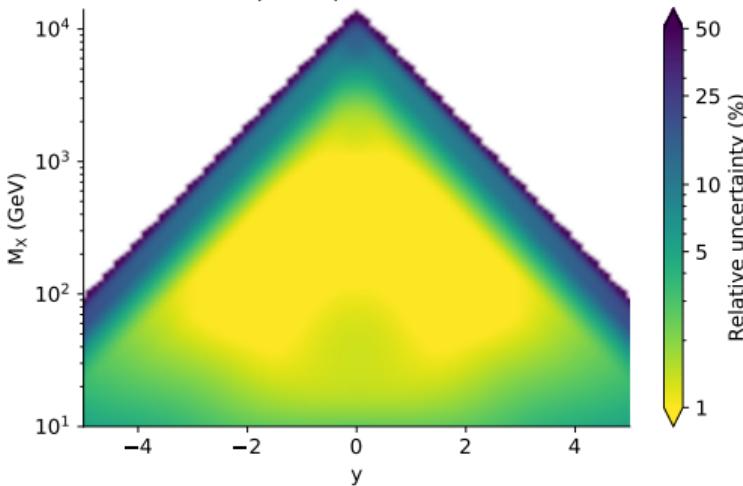
High-precision: gluon

$$\mathcal{L}_{ij} (M_X, y, \sqrt{s}) = \frac{1}{s} \sum_{i,j} f_i \left(\frac{M_X e^y}{\sqrt{s}}, M_X \right) f_j \left(\frac{M_X e^{-y}}{\sqrt{s}}, M_X \right)$$

Relative uncertainty for gg-luminosity
NNPDF3.1 (NNLO) - $\sqrt{s} = 14000.0$ GeV



Relative uncertainty for gg-luminosity
NNPDF4.0 (NNLO) - $\sqrt{s} = 14000.0$ GeV

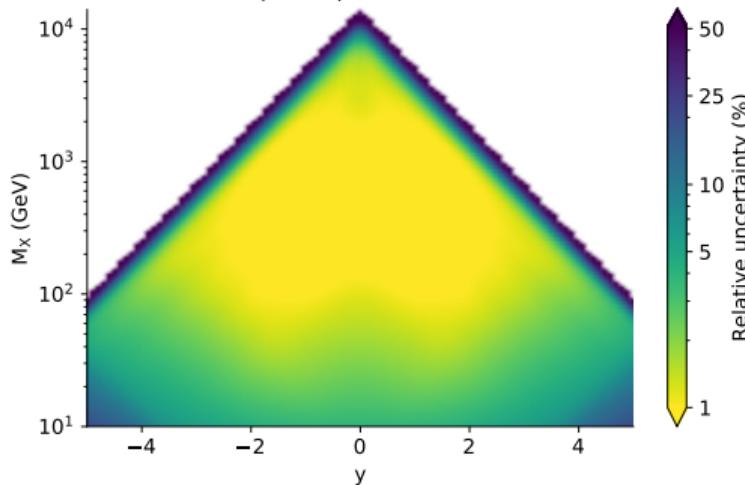


How did we get here?

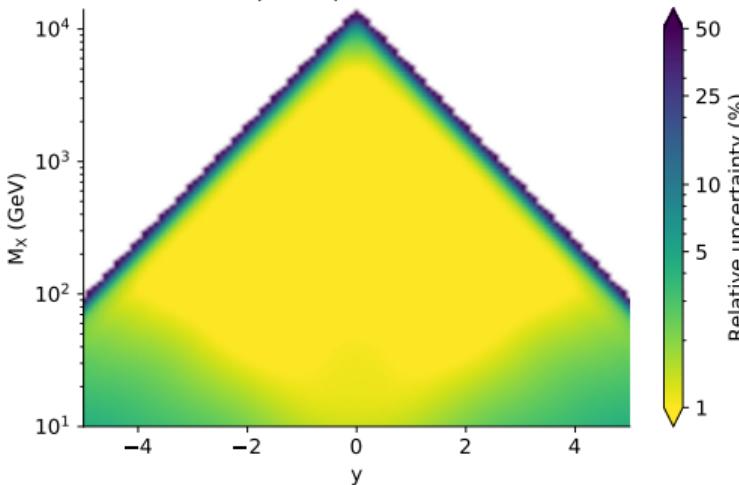
High-precision: singlet

$$\mathcal{L}_{ij} (M_X, y, \sqrt{s}) = \frac{1}{s} \sum_{i,j} f_i \left(\frac{M_X e^y}{\sqrt{s}}, M_X \right) f_j \left(\frac{M_X e^{-y}}{\sqrt{s}}, M_X \right)$$

Relative uncertainty for qq-luminosity
NNPDF3.1 (NNLO) - $\sqrt{s} = 14000.0$ GeV



Relative uncertainty for qq-luminosity
NNPDF4.0 (NNLO) - $\sqrt{s} = 14000.0$ GeV



How did we get here?

The path to NNPDF4.0

Progress towards extending **data**, **theory** and **methodology**

06/2017	NNPDF3.1	[EPJ C77 (2017) 663]
10/2017	NNPDF3.1sx : PDFs with small- x resummation	[EPJ C78 (2018) 321]
12/2017	NNPDF3.1luxQED : consistent photon PDF à la luxQED	[SciPost Phys. 5 (2018) 008]
02/2018	NNPDF3.1+ATLASphoton : inclusion of direct photon data	[EPJ C78 (2018) 470]
12/2018	NNPDF3.1alphas : α_s from a correlated-replica method	[EPJ C78 (2018) 408]
12/2018	NNPDF3.1nuc : heavy ion nuclear uncertainties in a fit	[EPJ C79 (2019) 282]
05/2019	NNPDF3.1th : missing higher-order uncertainties in a fit	[EPJ C79 (2019) 838; ibid. 931]
07/2019	Gradient descent and hyperoptimisation in PDF fits	[EPJ C79 (2019) 676]
12/2019	NNPDF3.1singletop : inclusion of single top t -channel data	[JHEP 05 (2020) 067]
05/2020	NNPDF3.1dijets : comparative study of single- and di-jets	[EPJ C80 (2020) 797]
06/2020	Positivity of $\overline{\text{MS}}$ PDFs	[JHEP 11 (2020) 129]
08/2020	PineAPPL : fast evaluation of EW×QCD corrections	[JHEP 12 (2020) 108]
08/2020	NNPDF3.1strangeness : assessment of strange-sensitive data	[EPJ C80 (2020) 1168]
11/2020	NNPDF3.1deu : deuteron uncertainties in a fit	[EPJ C81 (2021) 37]
03/2021	Future tests	[arXiv:2103.08606]
2021	NNPDF4.0	[to appear]

Experimental data in NNPDF4.0

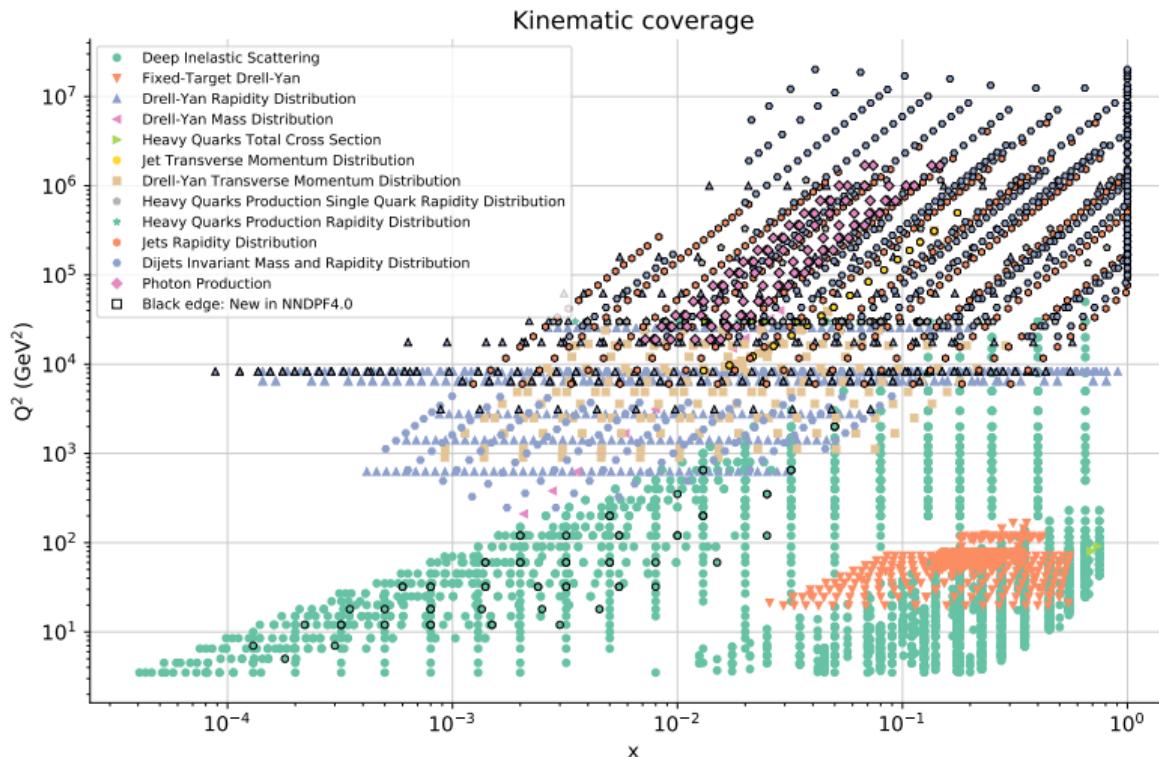
- $\mathcal{O}(35)$ datasets investigated
- $\mathcal{O}(400)$ more data points in NNPDF4.0 than in NNPDF3.1
- New data is mostly from the LHC RUN II

Data set	Ref.	NNPDF3.1	NNPDF4.0	ABMP16	CT18	MSHT20
ATLAS W, Z 7 TeV ($\mathcal{L} = 35 \text{ pb}^{-1}$)	[50]	✓	✓	✓	✓	✓
ATLAS W, Z 7 TeV ($\mathcal{L} = 4.6 \text{ fb}^{-1}$)	[51]	✓	✓	✗	✗	✓
ATLAS low-mass DY 7 TeV	[52]	✓	✓	✗	✗	✗
ATLAS high-mass DY 7 TeV	[53]	✓	✓	✗	✗	✓
ATLAS W 8 TeV	[84]	✗	✓	✗	✗	✓
ATLAS DY 2D 8 TeV	[83]	✗	✓	✗	✗	✓
ATLAS high-mass DY 2D 8 TeV	[82]	✗	✓	✗	✗	✓
ATLAS $\sigma_{W,Z}$ 13 TeV	[86]	✗	✓	✓	✗	✗
ATLAS $W^+ + \text{jet}$ 8 TeV	[98]	✗	✓	✗	✗	✓
ATLAS Z p_T 8 TeV	[62]	✓	✓	✗	✓	✓
ATLAS $\sigma_{t\bar{t}}^{\text{tot}}$ 7, 8 TeV	[64]	✓	✓	✓	✗	✗
ATLAS $\sigma_{t\bar{t}}^{\text{tot}}$ 7, 8 TeV	[213–218]	✗	✗	✓	✗	✗
ATLAS $\sigma_{t\bar{t}}^{\text{tot}}$ 13 TeV ($\mathcal{L} = 3.2 \text{ fb}^{-1}$)	[65]	✓	✗	✓	✗	✗
ATLAS $\sigma_{t\bar{t}}^{\text{tot}}$ 13 TeV ($\mathcal{L} = 139 \text{ fb}^{-1}$)	[70]	✗	✓	✗	✗	✗
ATLAS $t\bar{t}$ lepton+jets 8 TeV	[66]	✓	✓	✗	✓	✓
ATLAS $t\bar{t}$ dilepton 8 TeV	[94]	✗	✓	✗	✗	✓
ATLAS single-inclusive jets 7 TeV, R=0.6	[73]	✓	✗	✗	✓	✓
ATLAS single-inclusive jets 8 TeV, R=0.6	[91]	✗	✓	✗	✗	✗
ATLAS dijets 7 TeV, R=0.6	[140]	✗	✓	✗	✗	✗
ATLAS direct photon production 13 TeV	[106]	✗	✓	✗	✗	✗
ATLAS single top R_E 7, 8, 13 TeV	[99, 101, 103]	✗	✓	✓	✗	✗
ATLAS single top diff. 7 TeV	[99]	✗	✓	✗	✗	✗
ATLAS single top diff. 8 TeV	[103]	✗	✓	✗	✗	✗

Data set	Ref.	NNPDF3.1	NNPDF4.0	ABMP16	CT18	MSHT20
CMS W asym. 7 TeV ($\mathcal{L} = 36 \text{ pb}^{-1}$)	[219]	✗	✗	✗	✗	✓
CMS Z 7 TeV ($\mathcal{L} = 36 \text{ pb}^{-1}$)	[220]	✗	✗	✗	✗	✓
CMS W electron asymmetry 7 TeV	[54]	✓	✓	✓	✓	✓
CMS W muon asymmetry 7 TeV	[55]	✓	✓	✓	✓	✗
CMS Drell-Yan 2D 7 TeV	[56]	✓	✓	✓	✓	✓
CMS W rapidity 8 TeV	[57]	✓	✓	✓	✓	✓
CMS Z p_T 8 TeV	[63]	✓	✓	✓	✗	✗
CMS $W + c$ 7 TeV	[80]	✓	✓	✓	✗	✓
CMS $W + c$ 13 TeV	[143]	✗	✓	✓	✗	✗
CMS single-inclusive jets 2.76 TeV	[75]	✓	✗	✓	✓	✓
CMS single-inclusive jets 7 TeV	[145]	✓	✗	✓	✓	✓
CMS dijets 7 TeV	[74]	✗	✗	✓	✗	✗
CMS single-inclusive jets 8 TeV	[92]	✗	✓	✓	✓	✓
CMS 3D dijets 8 TeV	[147]	✗	✓	✓	✓	✗
CMS $\sigma_{t\bar{t}}^{\text{tot}}$ 5 TeV	[93]	✗	✓	✓	✗	✗
CMS $\sigma_{t\bar{t}}^{\text{tot}}$ 7, 8 TeV	[144]	✓	✓	✓	✓	✗
CMS $\sigma_{t\bar{t}}^{\text{tot}}$ 8 TeV	[221]	✗	✗	✓	✓	✓
CMS $\sigma_{t\bar{t}}^{\text{tot}}$ 7, 8, 13 TeV	[67, 222–230]	✗	✗	✓	✓	✗
CMS $\sigma_{t\bar{t}}^{\text{tot}}$ 13 TeV	[68]	✓	✓	✓	✓	✗
CMS $t\bar{t}$ lepton+jets 8 TeV	[69]	✓	✓	✓	✗	✓
CMS 2D dilepton 8 TeV	[95]	✗	✓	✓	✓	✓
CMS $t\bar{t}$ lepton+jet 13 TeV	[96]	✗	✓	✓	✓	✗
CMS $t\bar{t}$ dilepton 13 TeV	[97]	✗	✓	✓	✓	✗
CMS single top $\sigma_t + \sigma_b$ 7 TeV	[100]	✗	✓	✓	✓	✗
CMS single top R_E 8, 13 TeV	[102, 104]	✗	✓	✓	✓	✗

Data set	Ref.	NNPDF3.1	NNPDF4.0	ABMP16	CT18	MSHT20
LHCb Z 7 TeV ($\mathcal{L} = 940 \text{ pb}^{-1}$)	[58]	✓	✓	✗	✗	✓
LHCb $Z \rightarrow ee$ 8 TeV ($\mathcal{L} = 2 \text{ fb}^{-1}$)	[60]	✓	✓	✓	✓	✓
LHCb W 7 TeV ($\mathcal{L} = 37 \text{ pb}^{-1}$)	[231]	✗	✗	✗	✗	✓
LHCb $W \rightarrow \mu \tau$ 7 TeV	[81]	✓	✓	✓	✓	✓
LHCb $W, Z \rightarrow \mu \tau$ 8 TeV	[61]	✓	✓	✓	✓	✓
LHCb $Z \rightarrow \mu \nu, ee$ 13 TeV	[87]	✗	✓	✓	✗	✗

Experimental data in NNPDF4.0



New processes:

- direct photon
- single top
- dijets
- W+jet
- DIS jet

Theoretical improvement

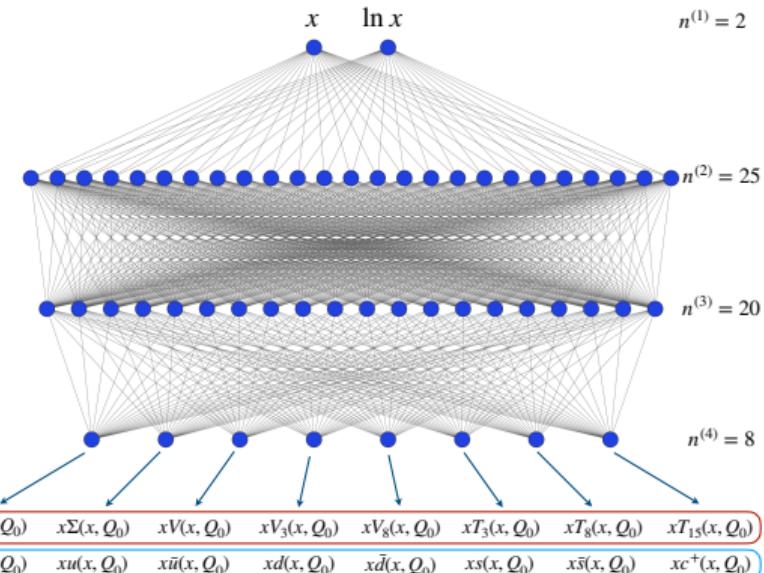
Nuclear uncertainties are included

Improved fitting methodology

- **Stochastic Gradient Descent** for NN training using TensorFlow
- Automated optimization of **model hyperparameters**
- Methodology is validated using **closure tests** (data region), **future tests** (extrapolation region), and **parametrization basis independence**

Physical constraints:

- PDF positivity [[JHEP 11 \(2020\) 129](#)]
- Integrability of nonsinglet distributions (Gottfried sum rules)



$$f_i(x, Q_0) = x^{-\alpha_i} (1-x)^{\beta_i} \text{NN}_i(x)$$

Different strategies to parametrize the quark PDF flavour combinations lead to **identical results**

Automated model selection

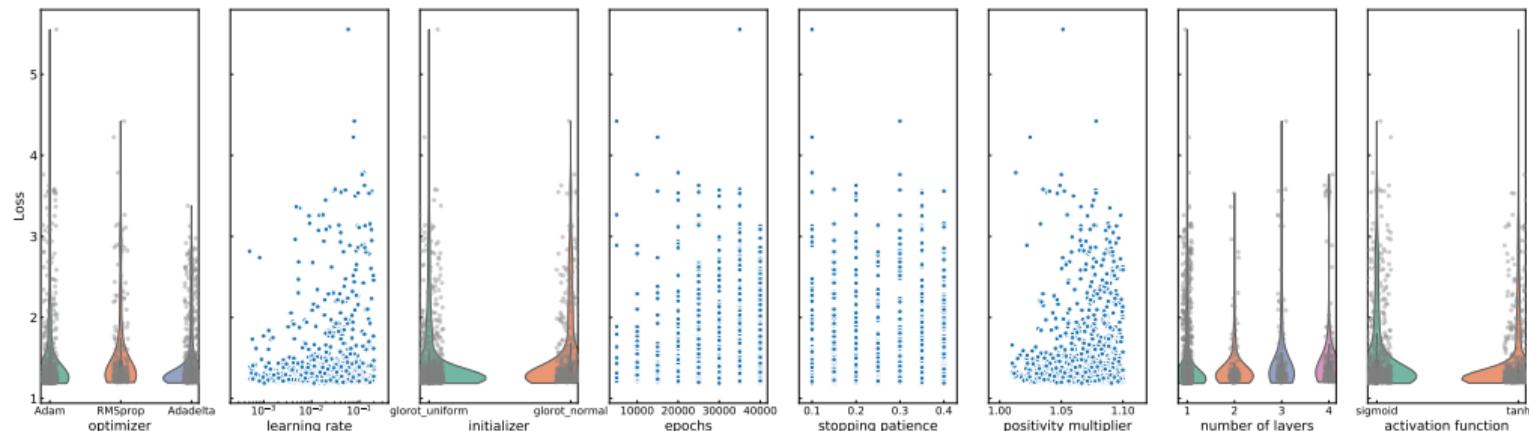
NNPDF aims to minimize sources of bias in the PDF:

- Functional form → Neural Network
- Model parameters → ?

Automated model selection

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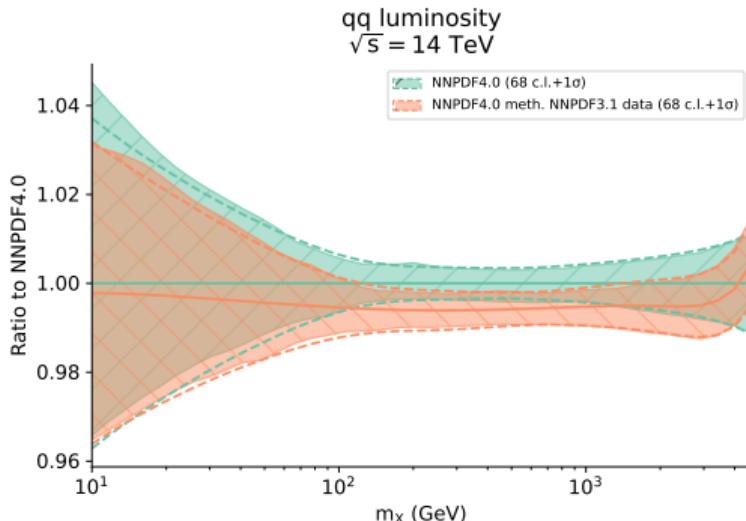
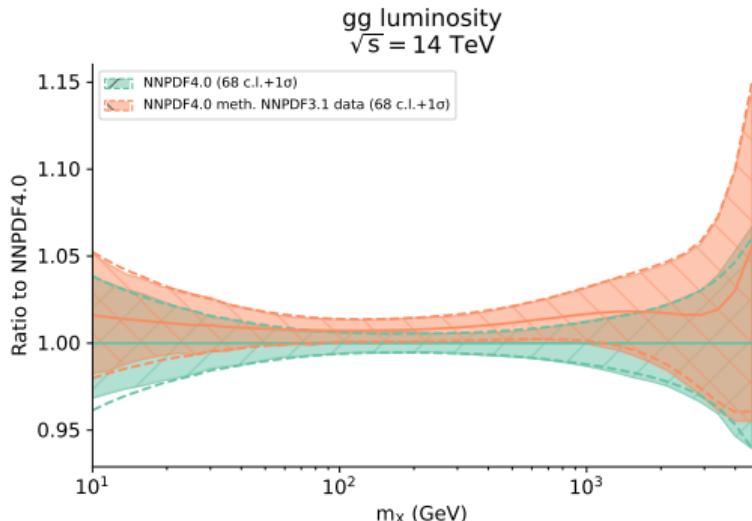
- Functional form → Neural Network
- Model parameters → **Hyperoptimization**



Scan over thousands of hyperparameter combinations and select the best one

k-fold cross-validation: used to define the reward function based on a **test dataset**

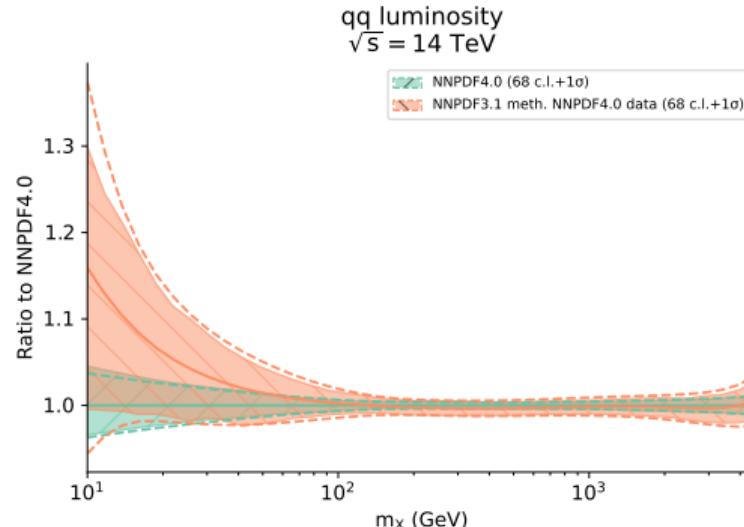
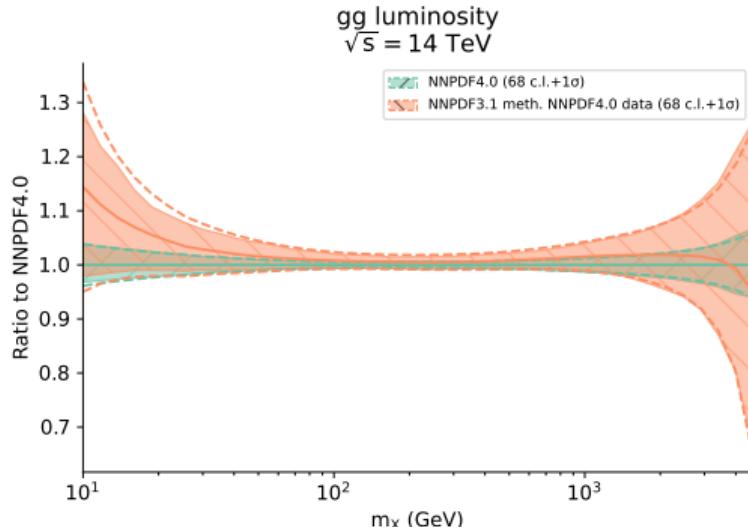
Impact of the new data



Individual datasets have a limited impact, but collectively they result in:

- Moderate reduction of PDF uncertainties
- Shifts in central value at the one-sigma level

Impact of the new fitting methodology

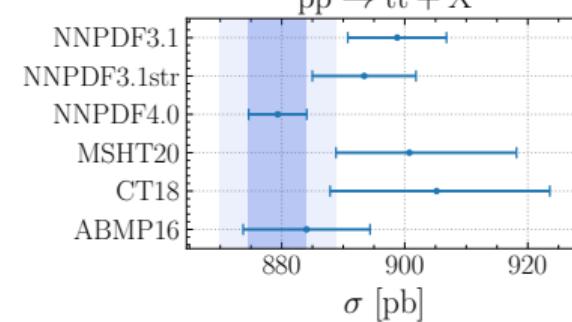
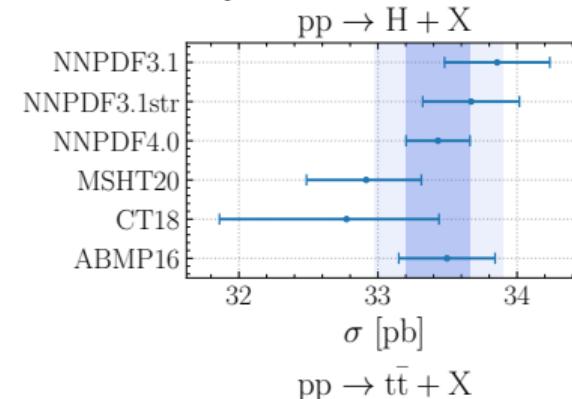
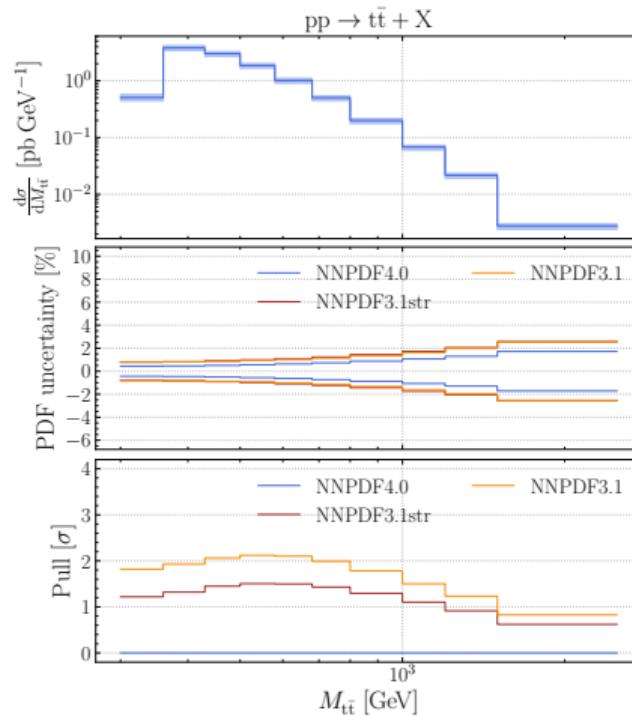


- Significant reduction of PDF uncertainties
- Good agreement between the central values

PDF uncertainties are validated using closure tests and future tests
Validation tests successful for both NNPDF4.0 and NNPDF3.1

Implications for phenomenology

Reduced luminosity uncertainties → Reduced uncertainty at the level of observables



Summary

- Added $\mathcal{O}(400)$ new data points from many new processes
 - Improved methodology with Stochastic Gradient Descent and hyperoptimization
 - Validation of PDF uncertainties using closure test, future test and parametrization basis independence
- ⇒ NNPDF4.0 achieves a high precision over a broad kinematic range

The **NNPDF code** will be made **publicly available** along with user-friendly documentation

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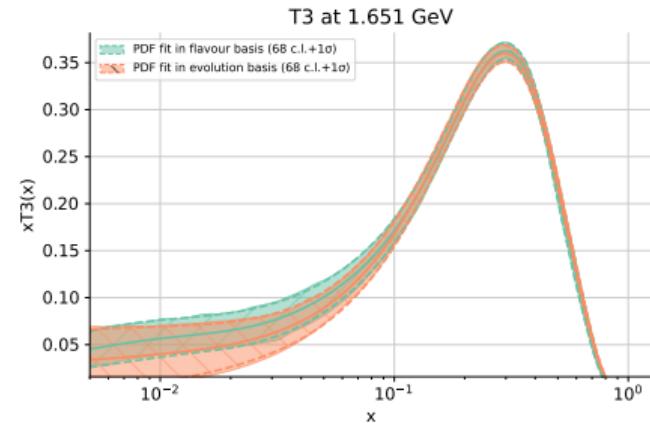
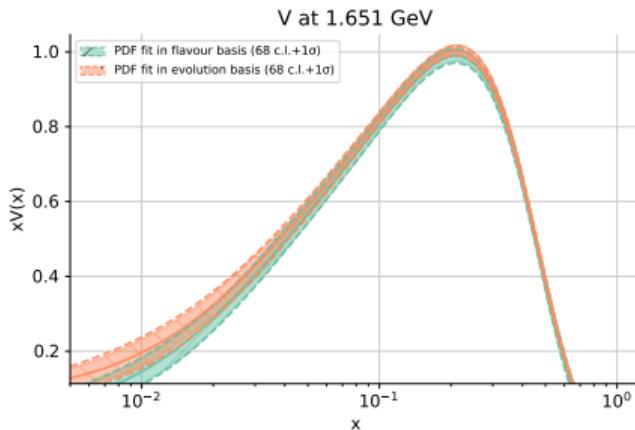
Thank you!

Backup

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Backup

Parametrization basis independence



Evolution Basis:

$$xV(x, Q_0) \propto \text{NN}_V(x)$$

$$xT_3(x, Q_0) \propto \text{NN}_{T_3}(x)$$

Different strategies to parametrize the quark PDF flavour combinations lead to **identical results**

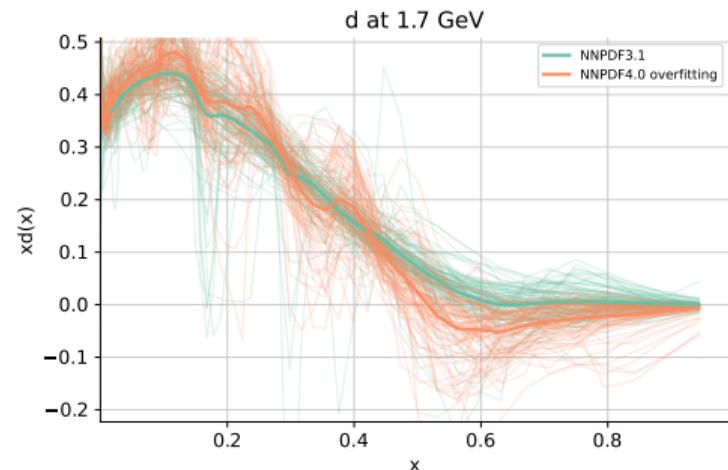
Flavour Basis:

$$xV(x, Q_0) \propto (\text{NN}_u(x) - \text{NN}_{\bar{u}}(x) + \text{NN}_d(x) - \text{NN}_{\bar{d}}(x) + \text{NN}_s(x) - \text{NN}_{\bar{s}}(x))$$

$$xT_3(x, Q_0) \propto (\text{NN}_u(x) + \text{NN}_{\bar{u}}(x) - \text{NN}_d(x) - \text{NN}_{\bar{d}}(x))$$

Hyperoptimization: the reward function

Choosing as the hyperoptimization target the χ^2 of fitted data results in overfitting.



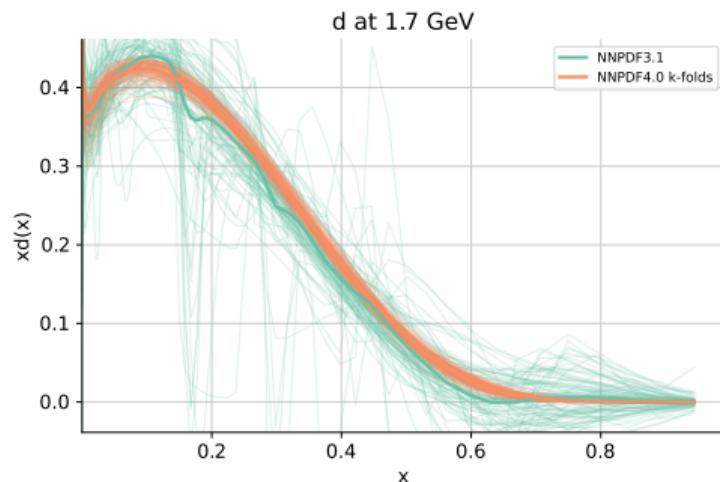
Hyperoptimization: the reward function

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We solve this using **k-fold cross-validation**:

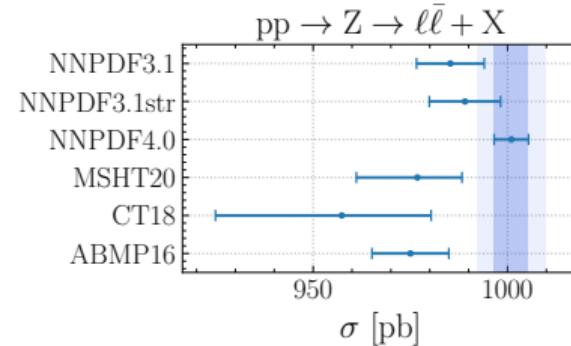
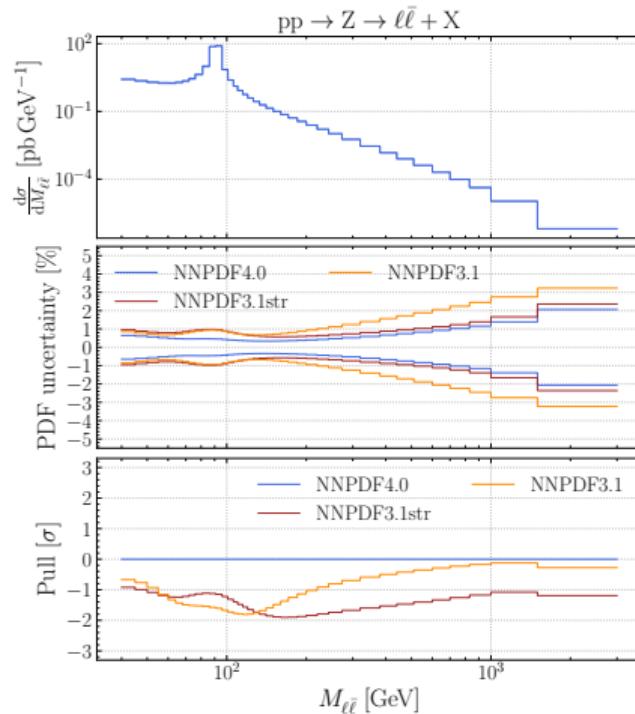
- ① Divide the data into k representative subsets
- ② Fit $k - 1$ sets and use k -th as test set
 $\Rightarrow k$ values of χ^2_{test}
- ③ Optimize the average χ^2_{test} of the k test sets

\Rightarrow The hyperoptimization target is not based on data that entered the fit.

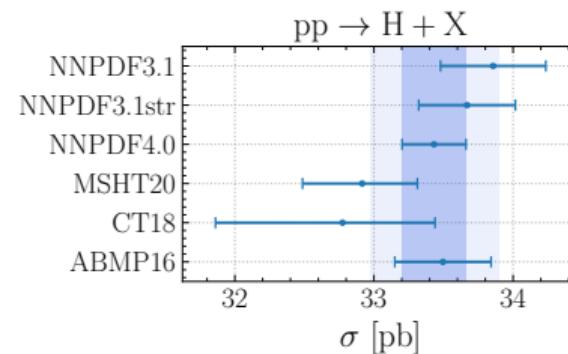
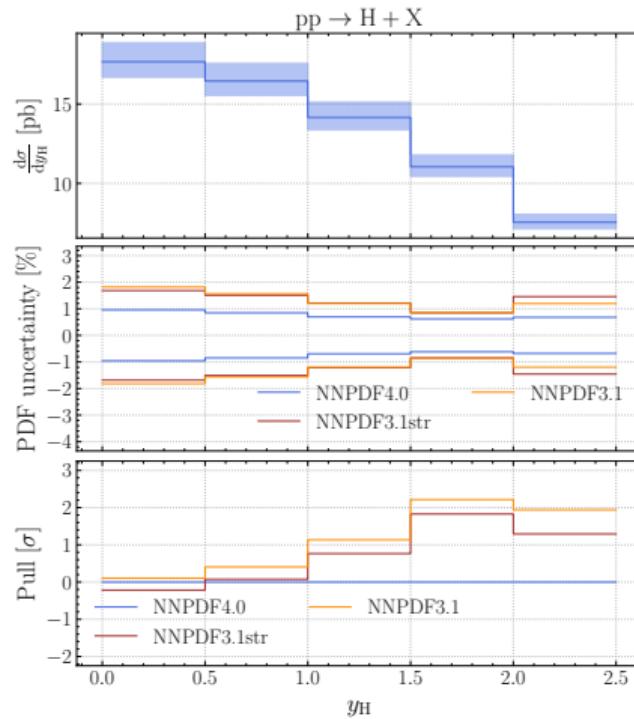


- No overfitting
- Compared to NNPDF3.1:
 - Increased stability
 - Reduced uncertainties

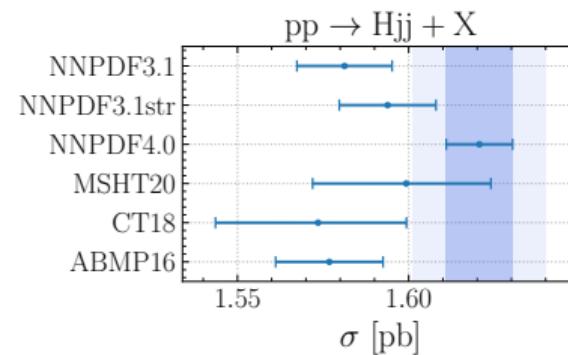
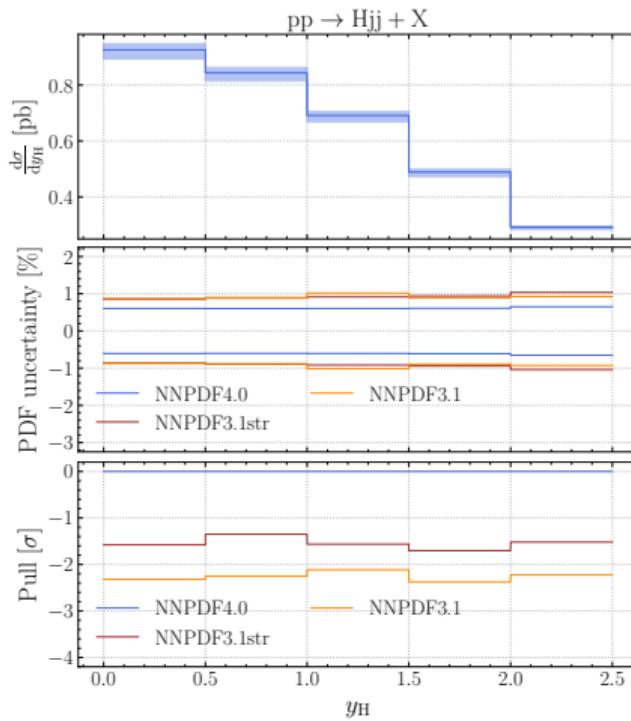
More implications for phenomenology



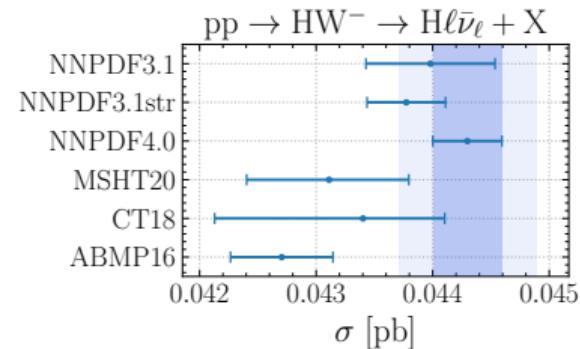
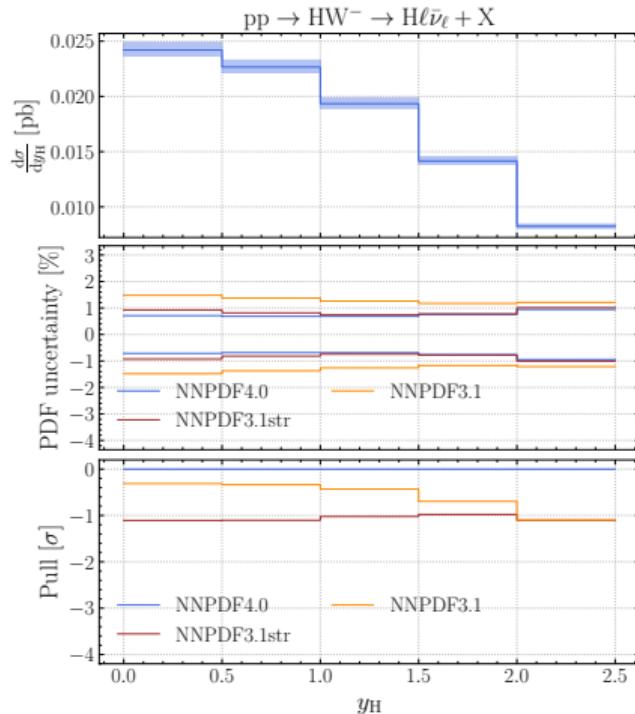
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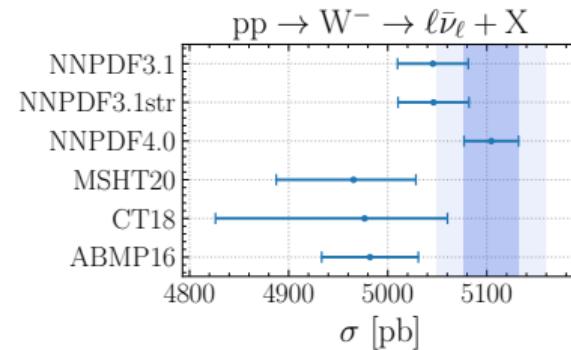
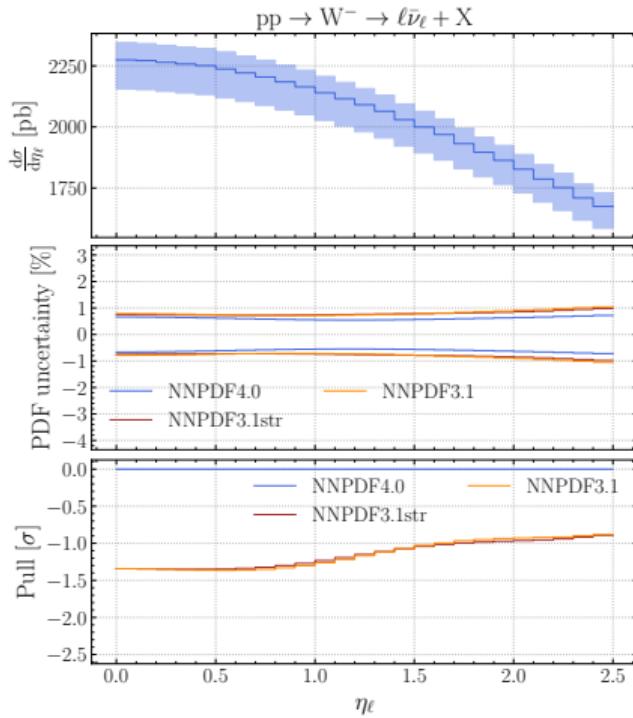
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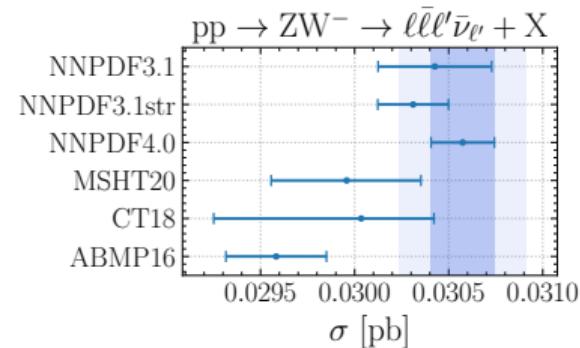
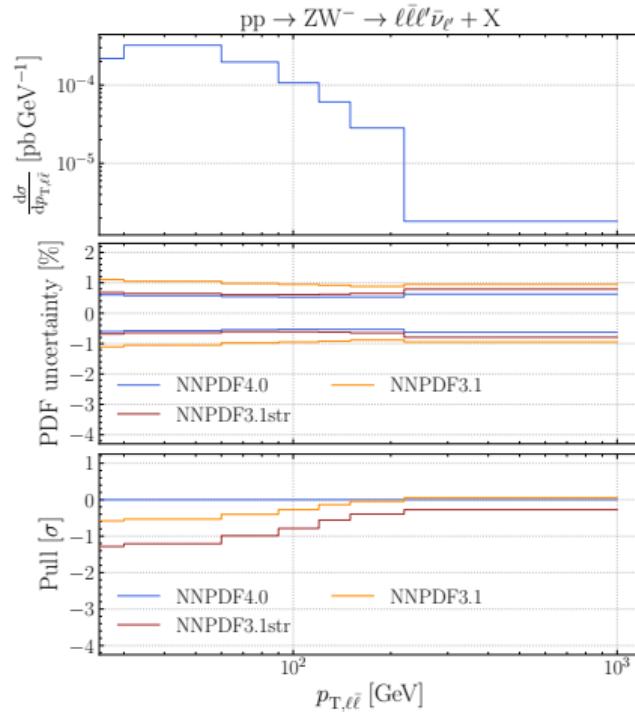
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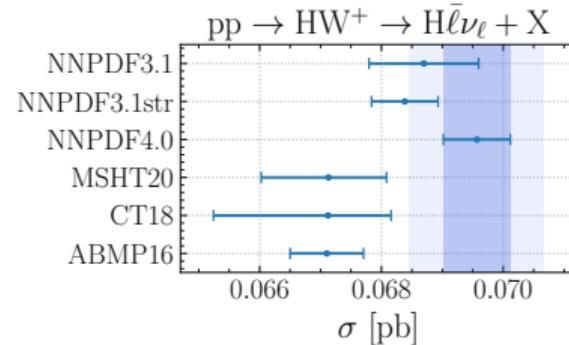
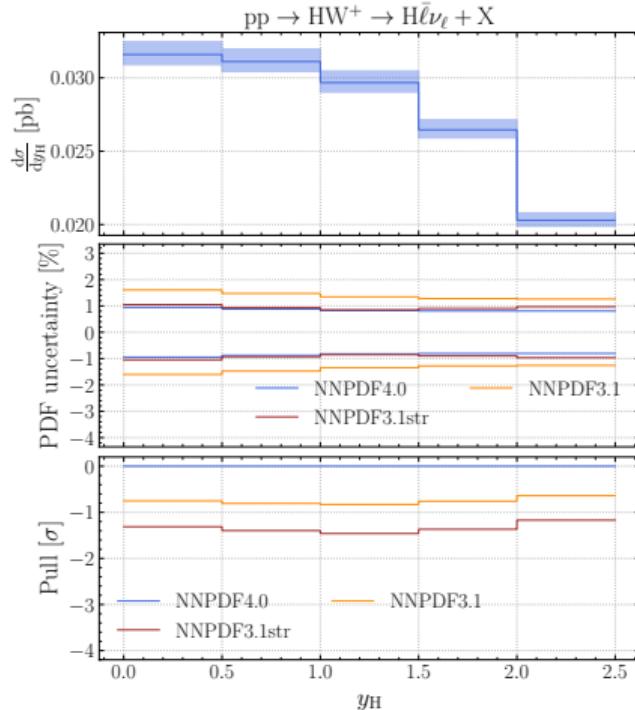
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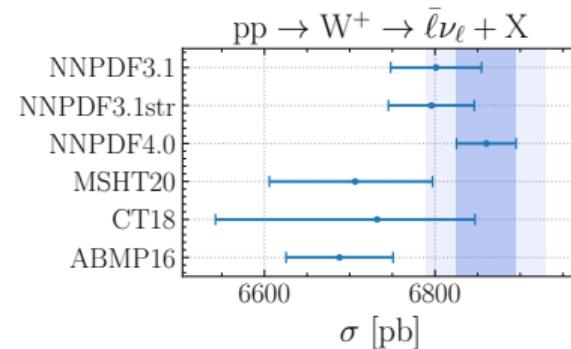
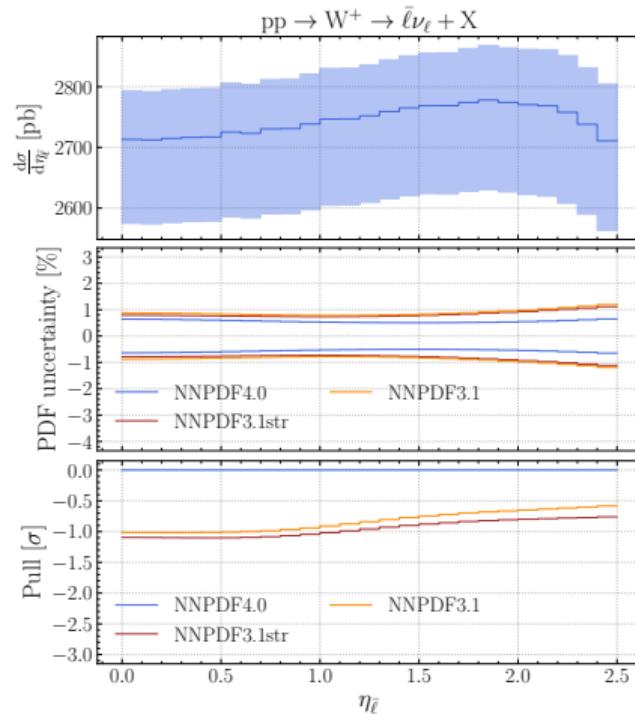
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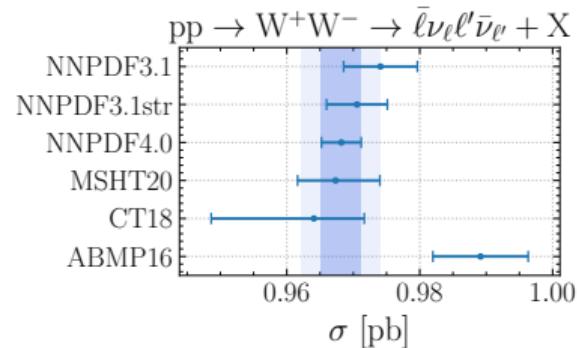
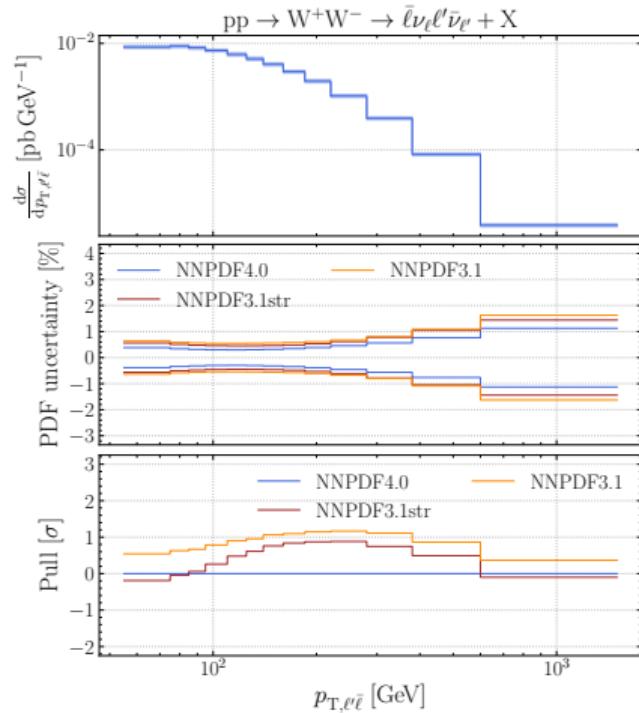
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