



Evidence and Implications of Intrinsic Charm in the Proton

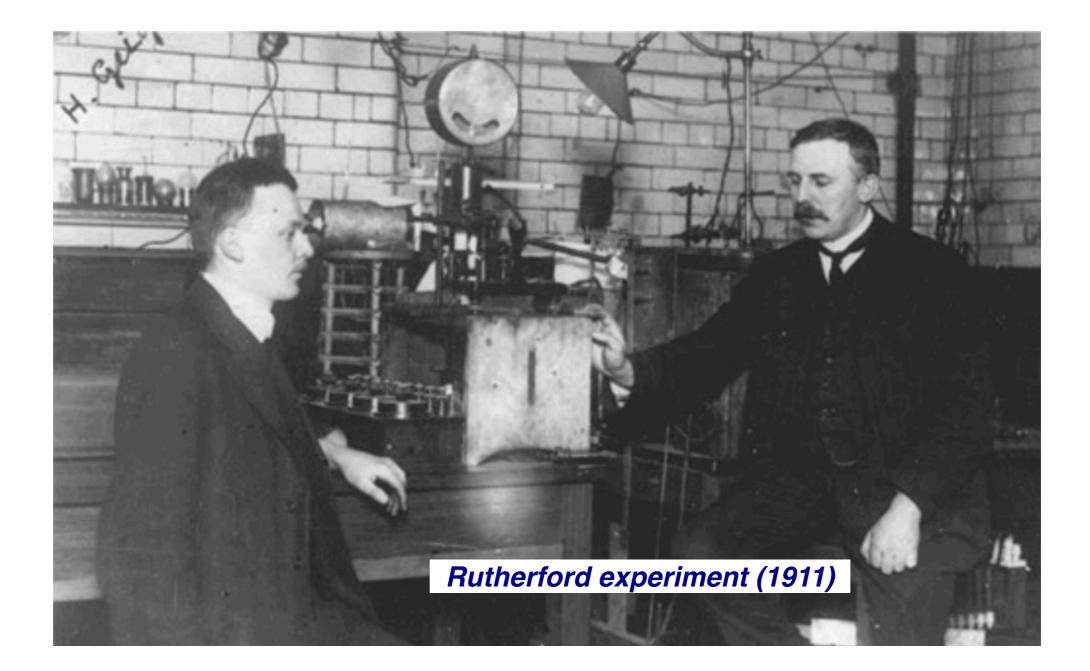
Juan Rojo, VU Amsterdam & Nikhef



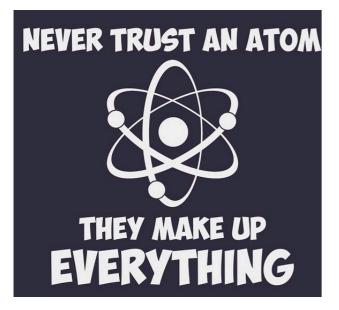


24.11.2022, Oxford Particle Theory Seminar

One may claim that the **nucleon is a rather** ``**boring**" **particle**, surely after **one century of studying it**, we know everything about the proton?



nothing farther from reality: the proton is a beautiful example of the richness of quantum mechanics: what a **proton is** depends on the **resolution with which we examine it**



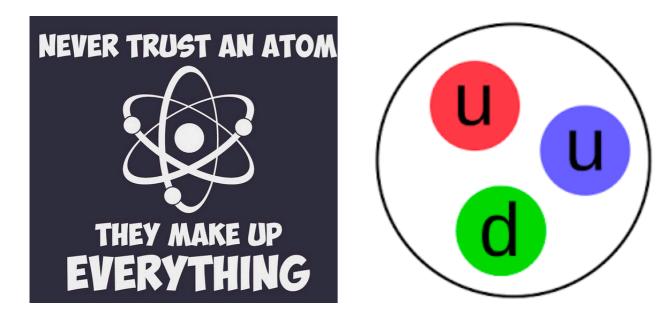
long distances / low energies

short distances / high energies

a point particle

 $E \ll 1 \text{ GeV}$

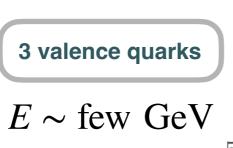
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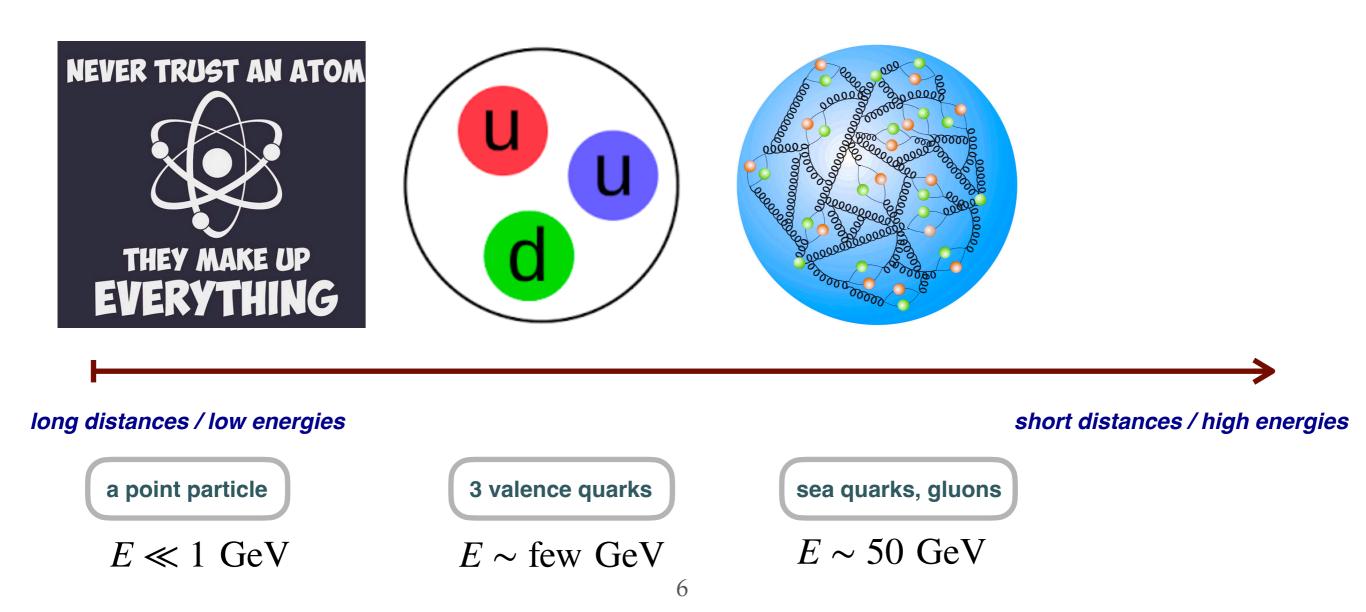
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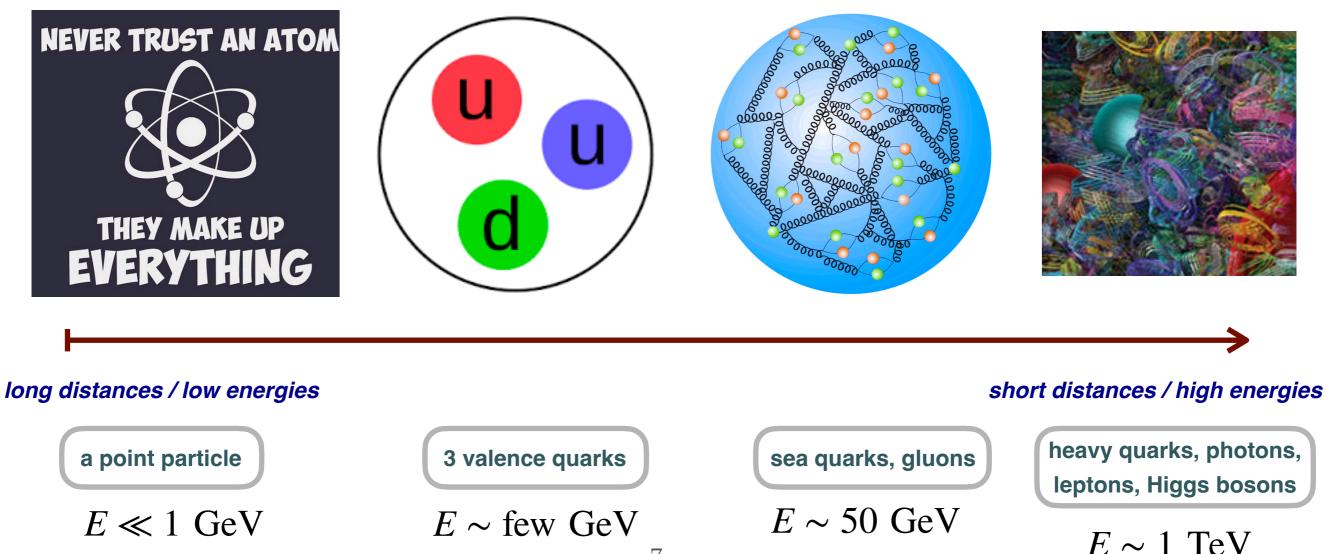


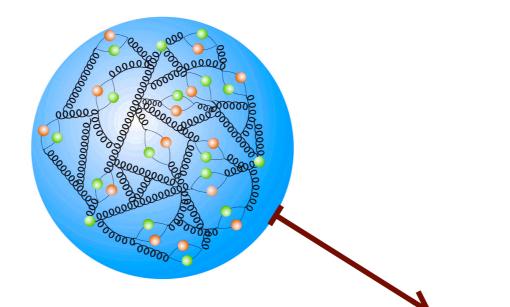
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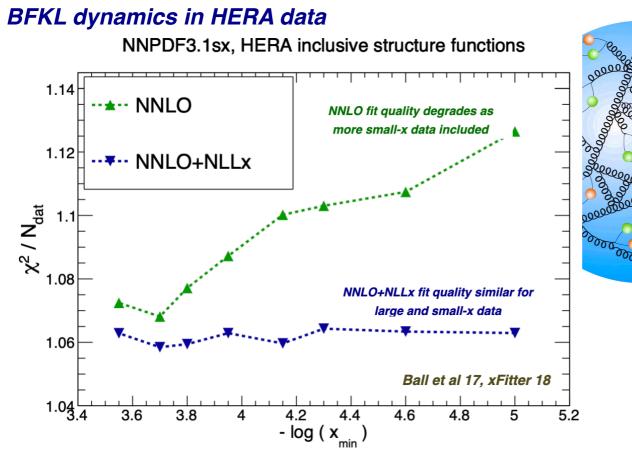
nothing farther from reality: the proton is a beautiful example of the richness of quantum mechanics: what a **proton is** depends on the **resolution with which we examine it**



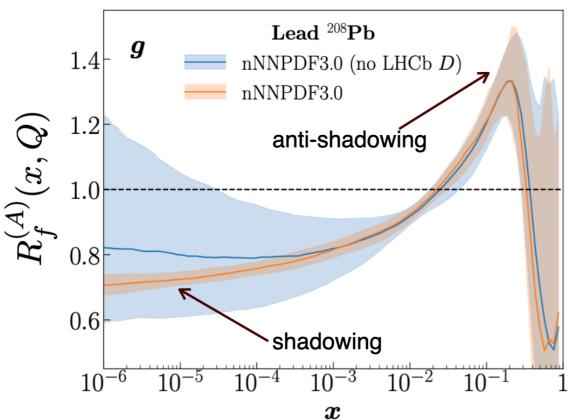


Address fundamental questions about Quantum Chromodynamics

- ♣ origin of mass & spin?
- heavy quark & antimatter content?
- 3D imaging?
- gluon-dominated matter?
- nuclear modifications?
- Interplay with BSM searches?

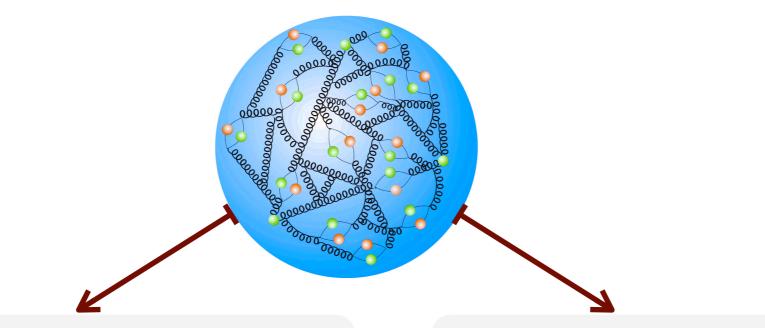


Strong nuclear shadowing in lead gluons



Address fundamental questions about Quantum Chromodynamics

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Key component of predictions for particle, nuclear, and astro-particle experiments

Address fundamental questions about Quantum Chromodynamics

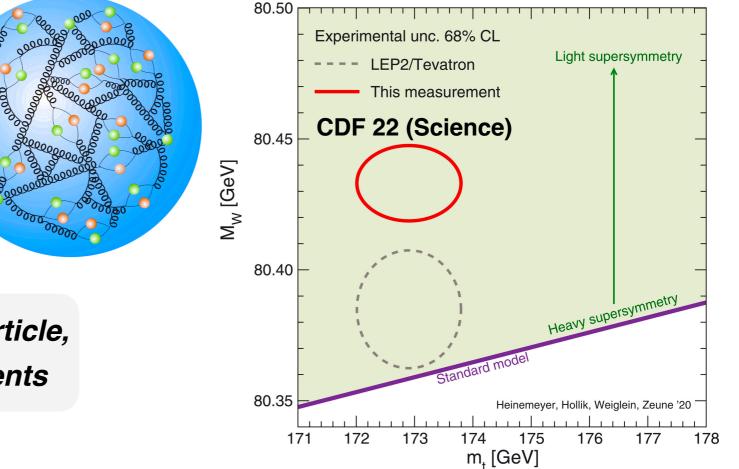
- ep: fixed target DIS, HERA
- neutrinos: IceCube, KM3NET,

Forward Physics Facility @ LHC

- heavy ions: LHC Pb, LHC O, RHIC
- ep (future): Electron-Ion Collider, LHeC, FCC-eh

- origin of mass & spin?
- heavy quark & antimatter content?
- ≩ 3D imaging?
- gluon-dominated matter?
- nuclear modifications?
- Interplay with BSM searches?

PDFs leading theory systematic (also for LHC)



Key component of predictions for particle, nuclear, and astro-particle experiments

pp: ATLAS, CMS, LHCb, ALICE

ep: fixed target DIS, HERA

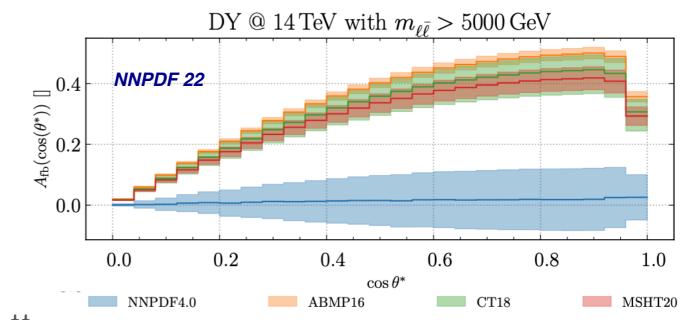
neutrinos: IceCube, KM3NET,

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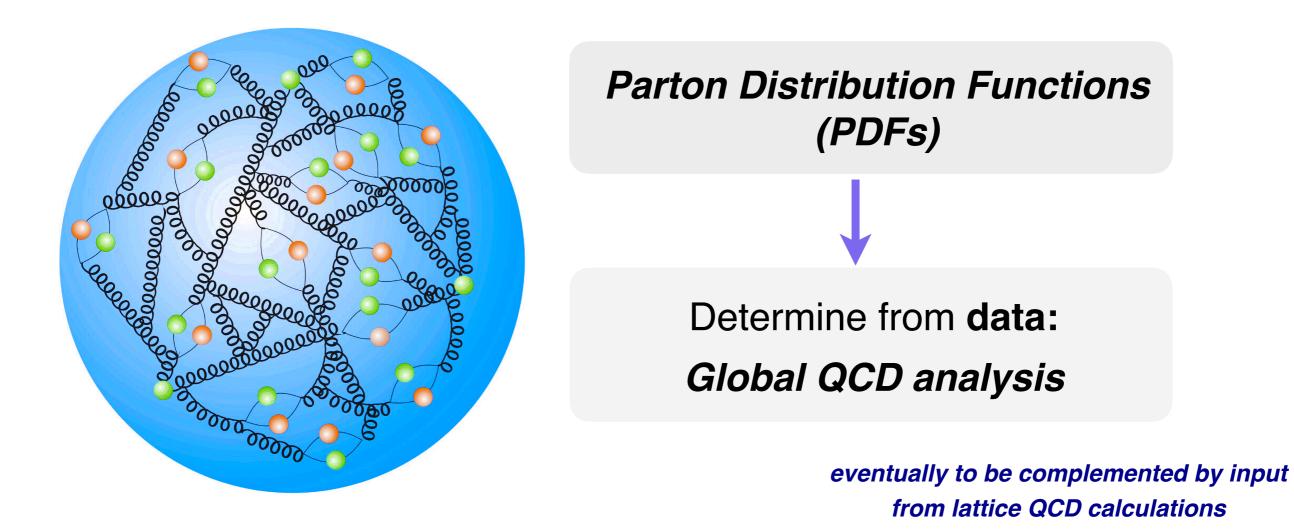
heavy ions: LHC Pb, LHC O, RHIC

ep (future): Electron-Ion Collider, LHeC, FCC-eh

high-mass Drell-Yan forward-backward asymmetry at LHC



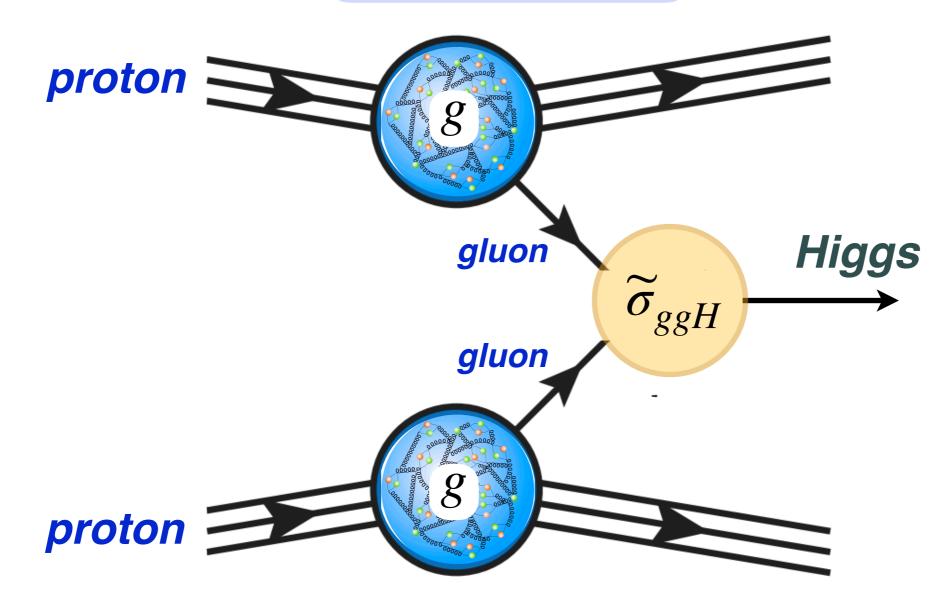
Proton energy divided among constituents: quarks and gluons



What do we need to extract PDFs from data?

 $N_{\text{LHC}}(H) \sim g \otimes g \otimes \widetilde{\sigma}_{ggH}$

Parton Distributions



All-order structure: QCD factorisation theorems

 $g(x, \zeta$

Energy of hard-scattering reaction: inverse of resolution length

Probability of **finding a gluon inside a proton**, carrying a fraction *x* of the proton momentum, when probed with energy *Q*

x: fraction of proton momentum carried by gluon

Dependence on *x* fixed by **non-perturbative QCD dynamics**: extract from experimental data

$$g(x, Q_0, \{a_g\}) = f_g(x, a_g^{(1)}, a_g^{(2)}, \dots)$$

constrain from data

Mathematically this is an ill-posed problem: reconstruct a function (infinite-dim quantity) from finite dataset. Requires assumptions to make the process tractable

g(x,Q)

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constrain from data

Quark number conservation

Energy conservation: momentum sum rule

$$\int_{0}^{1} dx \, \left(u(x, Q^{2}) - \bar{u}(x, Q^{2}) \right) = 2 \qquad \qquad \int_{0}^{1} dx \, x \left(\sum_{i=1}^{n_{f}} \left[q_{i}((x, Q^{2}) + \bar{q}_{i}(x, Q^{2})] + g(x, Q^{2}) \right] \right) = 1$$

g(x,Q)

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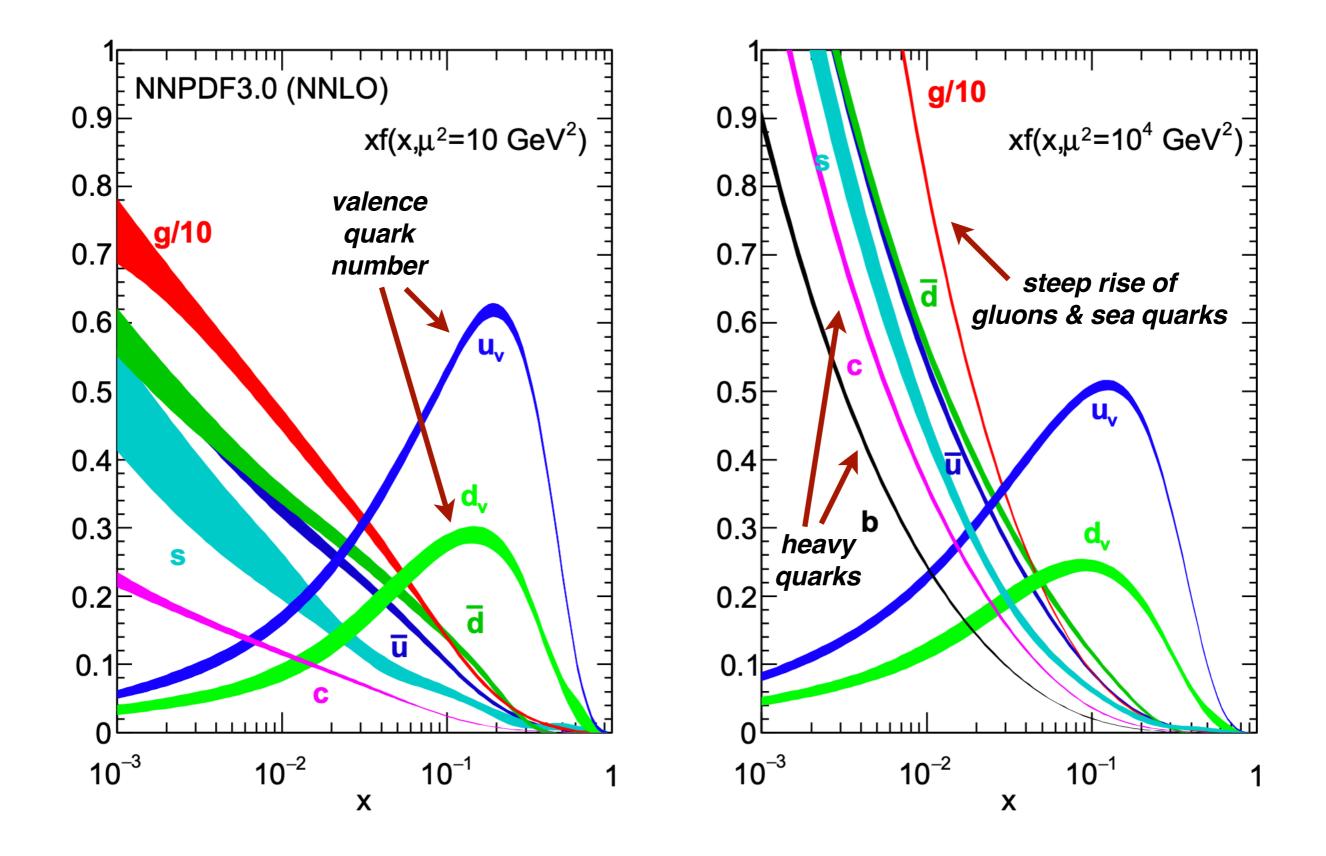
x: fraction of proton momentum carried by gluon

Dependence on **Q** fixed by perturbative QCD dynamics: computed up to $\mathcal{O}(\alpha_s^4)$

$$\frac{\partial}{\partial \ln Q^2} q_i(x, Q^2) = \int_x^1 \frac{dz}{z} P_{ij}\left(\frac{x}{z}, \alpha_s(Q^2)\right) q_j(z, Q^2)$$

DGLAP parton evolution equations

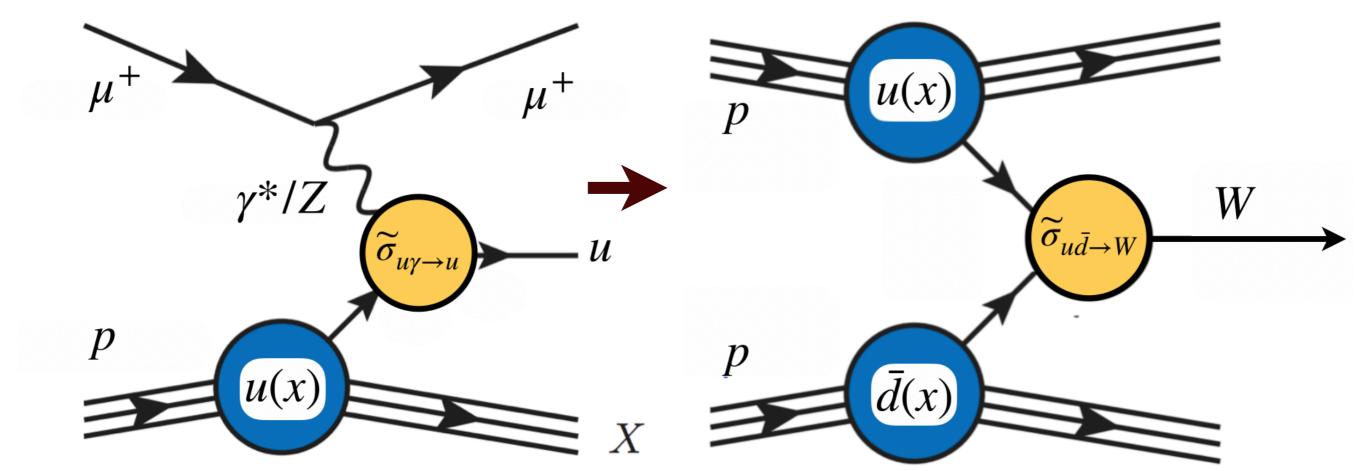
A proton structure snapshop



The global QCD analysis paradigm

QCD factorisation theorems: PDF universality

$$\sigma_{lp \to \mu X} = \widetilde{\sigma}_{u\gamma \to u} \otimes u(x) \implies \sigma_{pp \to W} = \widetilde{\sigma}_{u\bar{d} \to W} \otimes u(x) \otimes \bar{d}(x)$$



Determine PDFs from deepinelastic scattering...

... and use them to compute predictions for **proton-proton collisions**

The global QCD analysis paradigm

Marametrise the PDFs at the boundary (*Q* **= 1 GeV**) between perturbative and non-perturbative QCD

$$xg(x, Q_0 = 1 \text{ GeV}, \{a\}) = f_g(x, a_g^{(1)}, a_g^{(2)}, ...)$$

Evaluate predictions for LHC cross-sections using QCD factorisation theorem

$$\sigma_{\text{th}}(M, s, \{a\}) \propto \sum_{ij} \int_{M^2}^{s} d\hat{s} \, \mathcal{L}_{ij}(\hat{s}, s, \{a_i^{(k)}\}, \{a_j^{(k)}\}) \, \widetilde{\sigma}_{ij}(\hat{s}, \alpha_s(M))$$

Extract PDF parameters from data via some optimisation process, *e.g.* log-likelihood maximisation

$$\chi^2\left(\{\boldsymbol{a^{(k)}}\}\right) = \frac{1}{n_{\text{dat}}} \sum_{i,j=1}^{n_{\text{dat}}} \left(\sigma_{i,\text{th}}(\{\boldsymbol{a^{(k)}}\}) - \sigma_{i,\text{exp}}\right) \left(\text{cov}^{-1}\right)_{ij} \left(\sigma_{j,\text{th}}(\{\boldsymbol{a^{(k)}}\}) - \sigma_{j,\text{exp}}\right)$$

Setimate the associated uncertainties in a way that can be propagated to pheno observables

The resulting PDFs are then ready for applications in processes involving proton/nuclear targets and/or projectiles

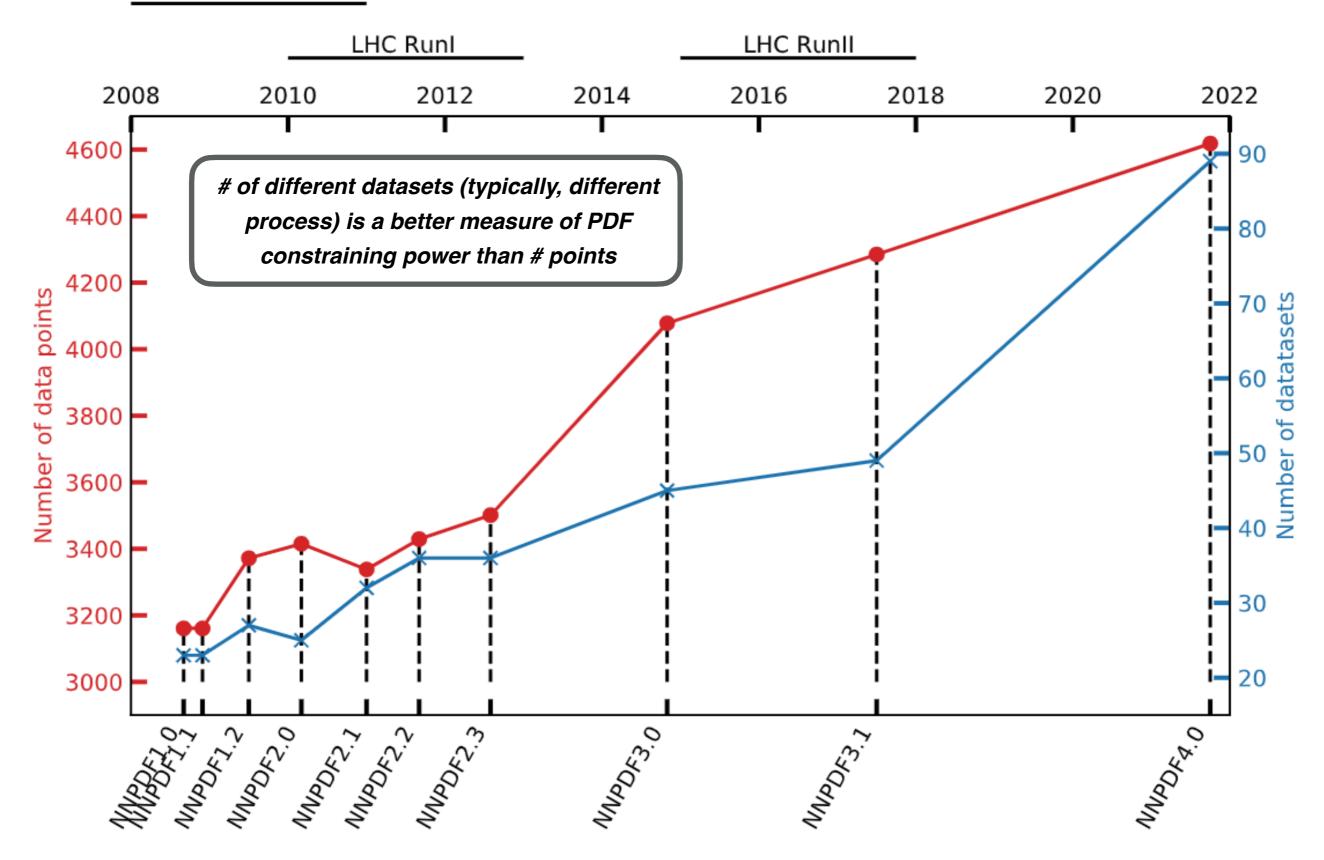
The NNPDF4.0 Global PDF Determination

NNPDF Collaboration, arXiv:2109.02653

(see also arXiv:2211.12921 for a rebuttal of critical arguments)

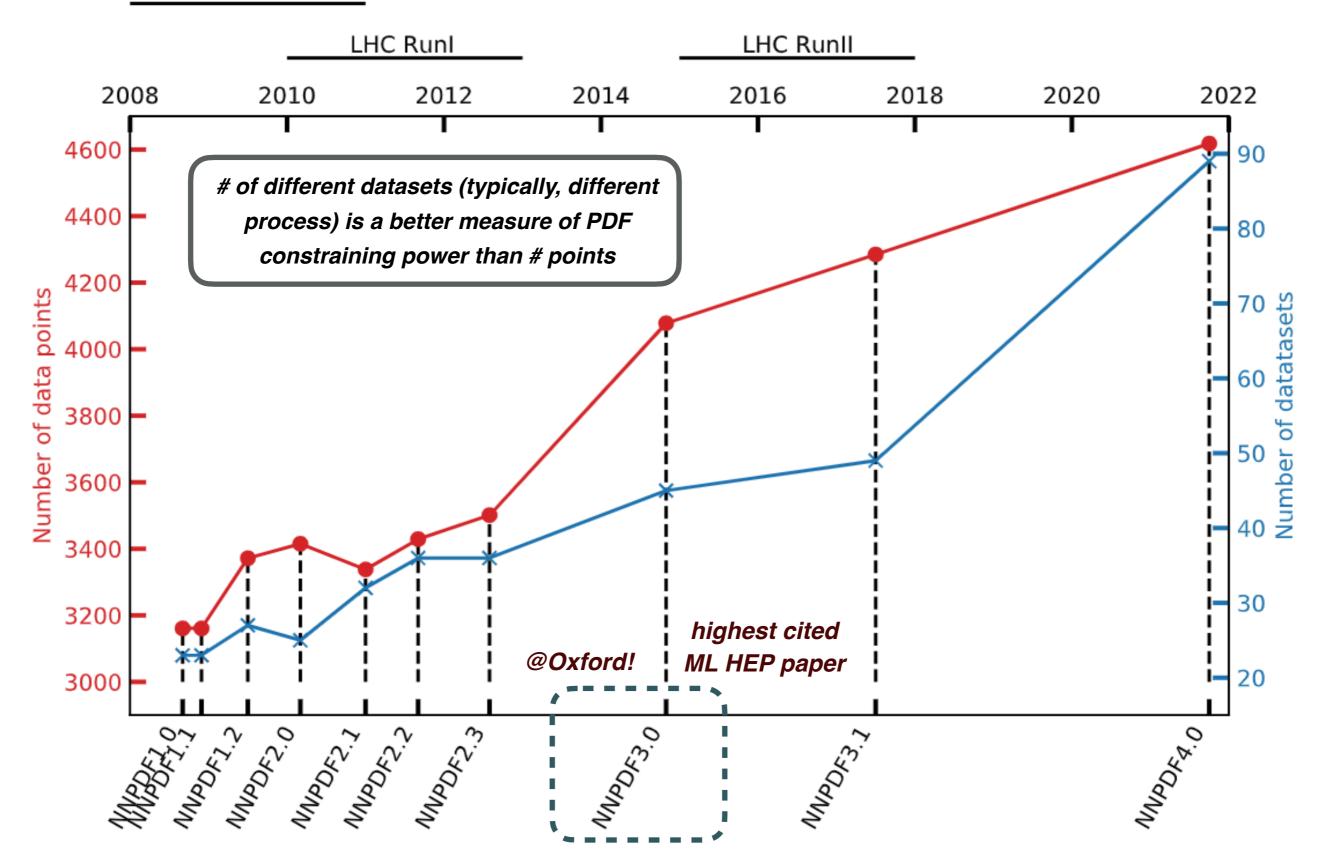
From NNPDF1.0 to NNPDF4.0

Tevatron

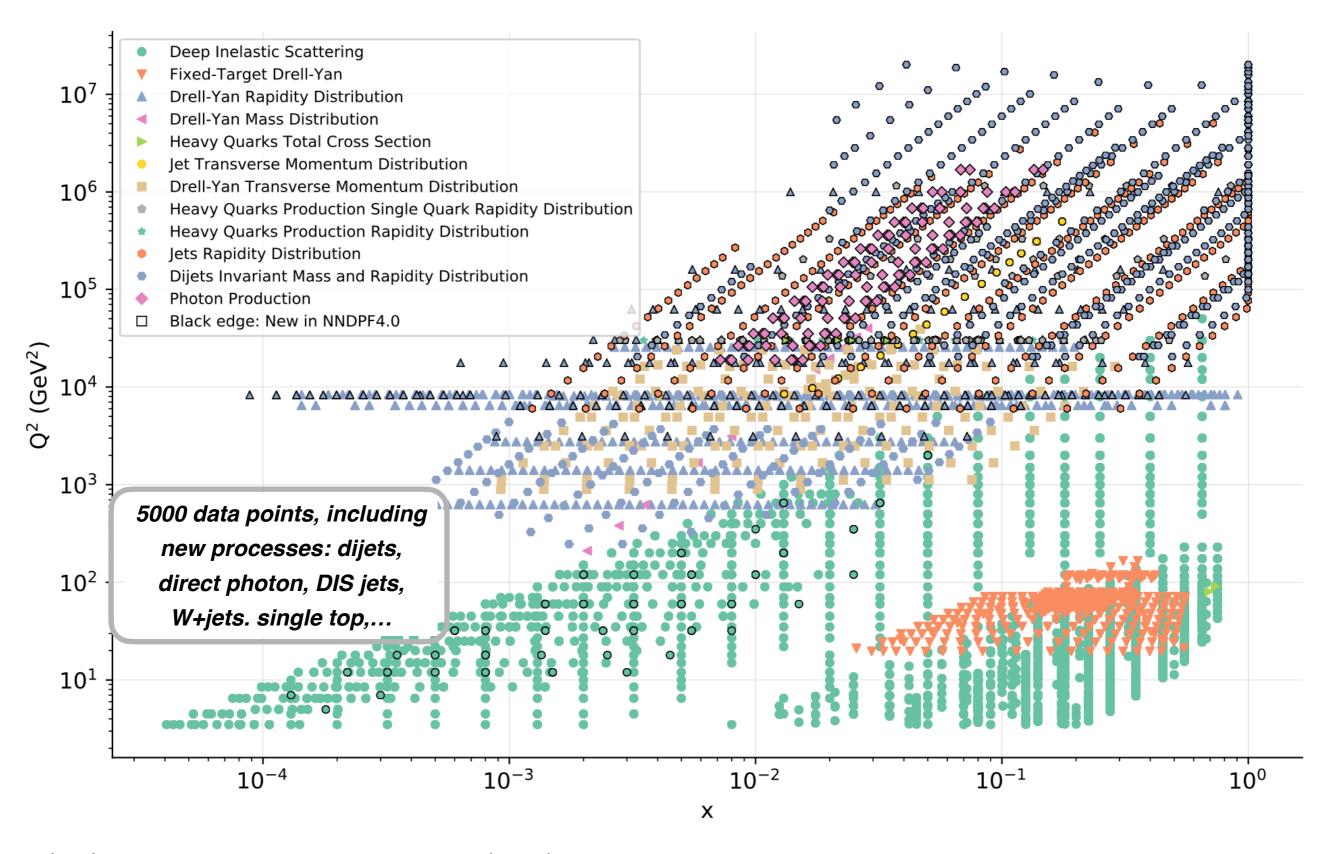


From NNPDF1.0 to NNPDF4.0

Tevatron



The NNPDF4.0 dataset



 $\mathcal{O}(50)$ data sets investigated; $\mathcal{O}(400)$ data points more in NNPDF4.0 than in NNPDF3.1

The Monte Carlo replica method

Generate a large sample of Monte Carlo replicas to construct a representation of the probability distribution in the space of experimental data

Reproduces experimental covariance matrix, can be extended to theory uncertainties

Carry out an individual PDF fit to each replica to obtain a representation of the probability distribution in the space of PDFs, for which statistical estimators can be evaluated

25

e.g. standard deviation on
the gluon PDF
$$\delta g\left(x,Q\right) = \left(\sum_{k=1}^{N_{\text{rep}}} \left(g^{(k)}\left(x,Q\right)\right)^2 - \left(\sum_{k=1}^{N_{\text{rep}}} g^{(k)}\left(x,Q\right)\right)^2\right)^{1/2}$$

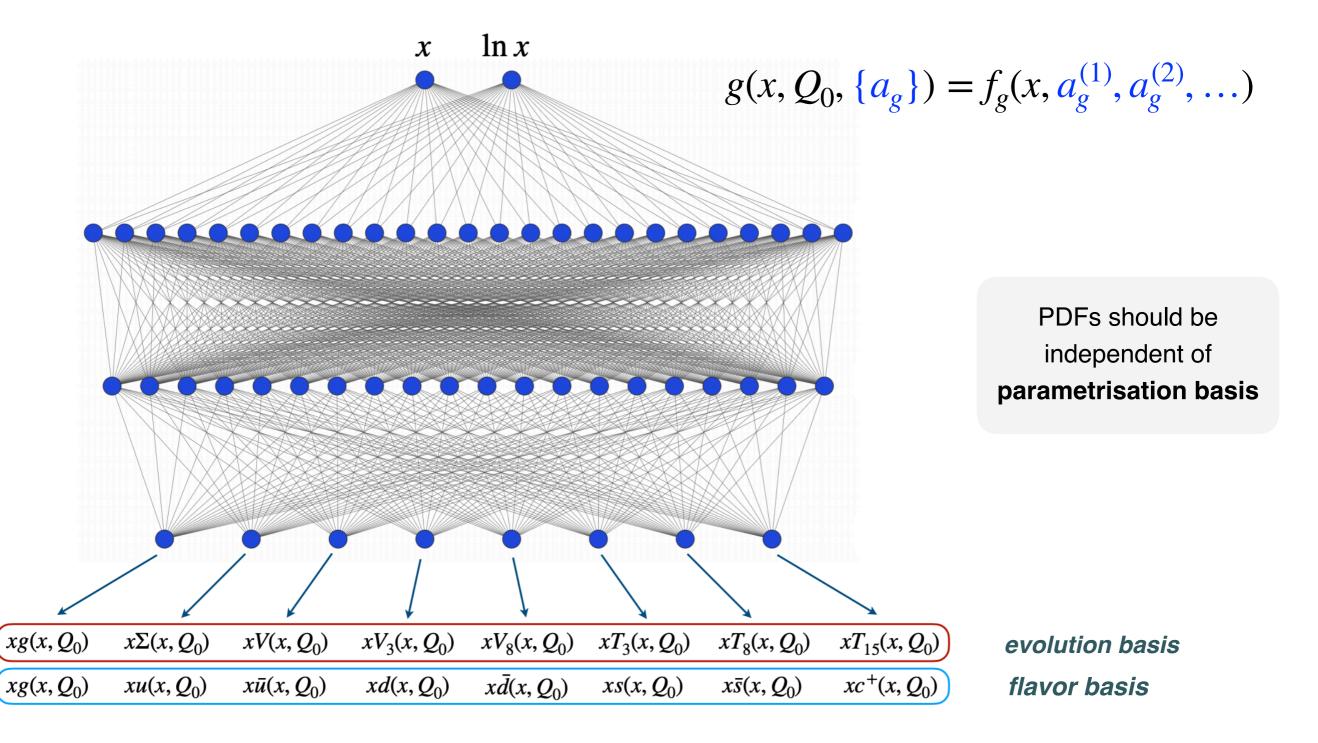
1 10

Fitting methodology

Model-independent PDF parametrisation with neural networks as **universal unbiased interpolants**

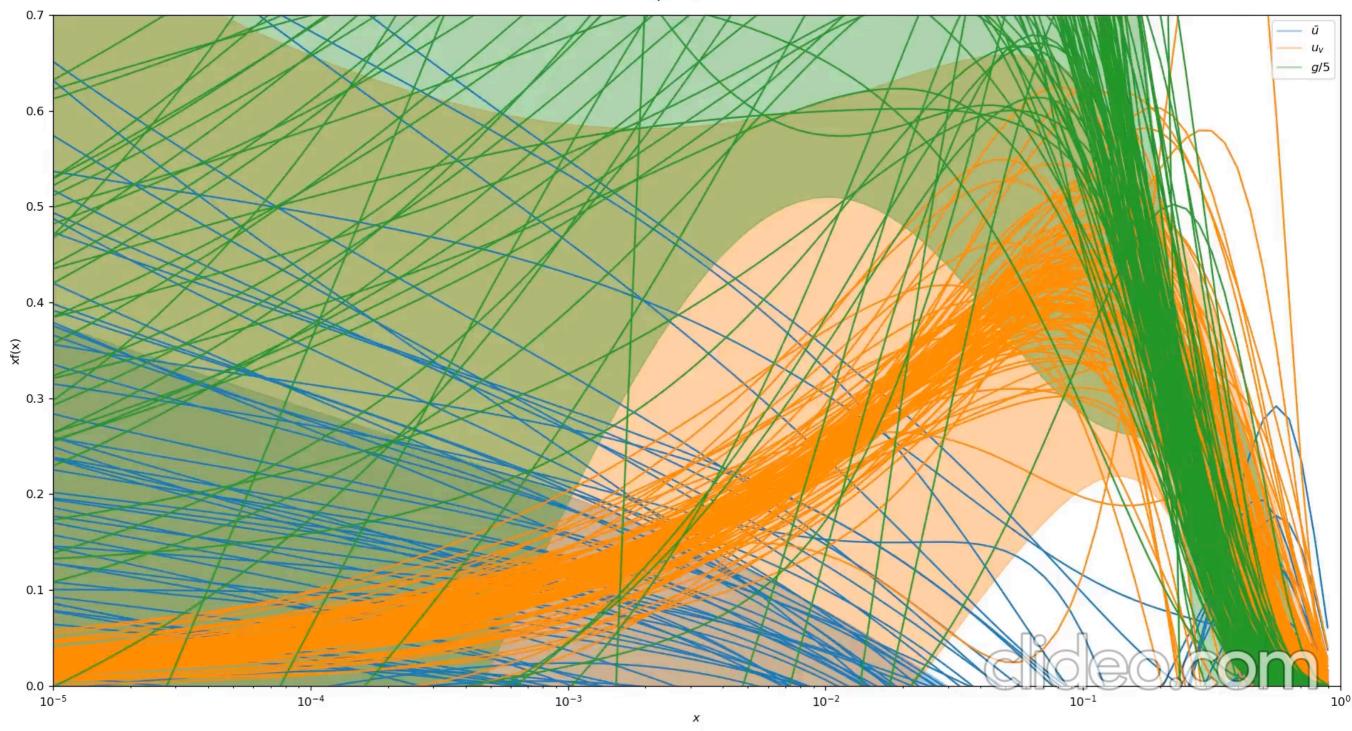
Automated model hyperparameter optimisation: NN architecture, minimiser, learning rates …

☑ Validation with future tests (forecasting new datasets) and closure tests (data based on known PDFs)



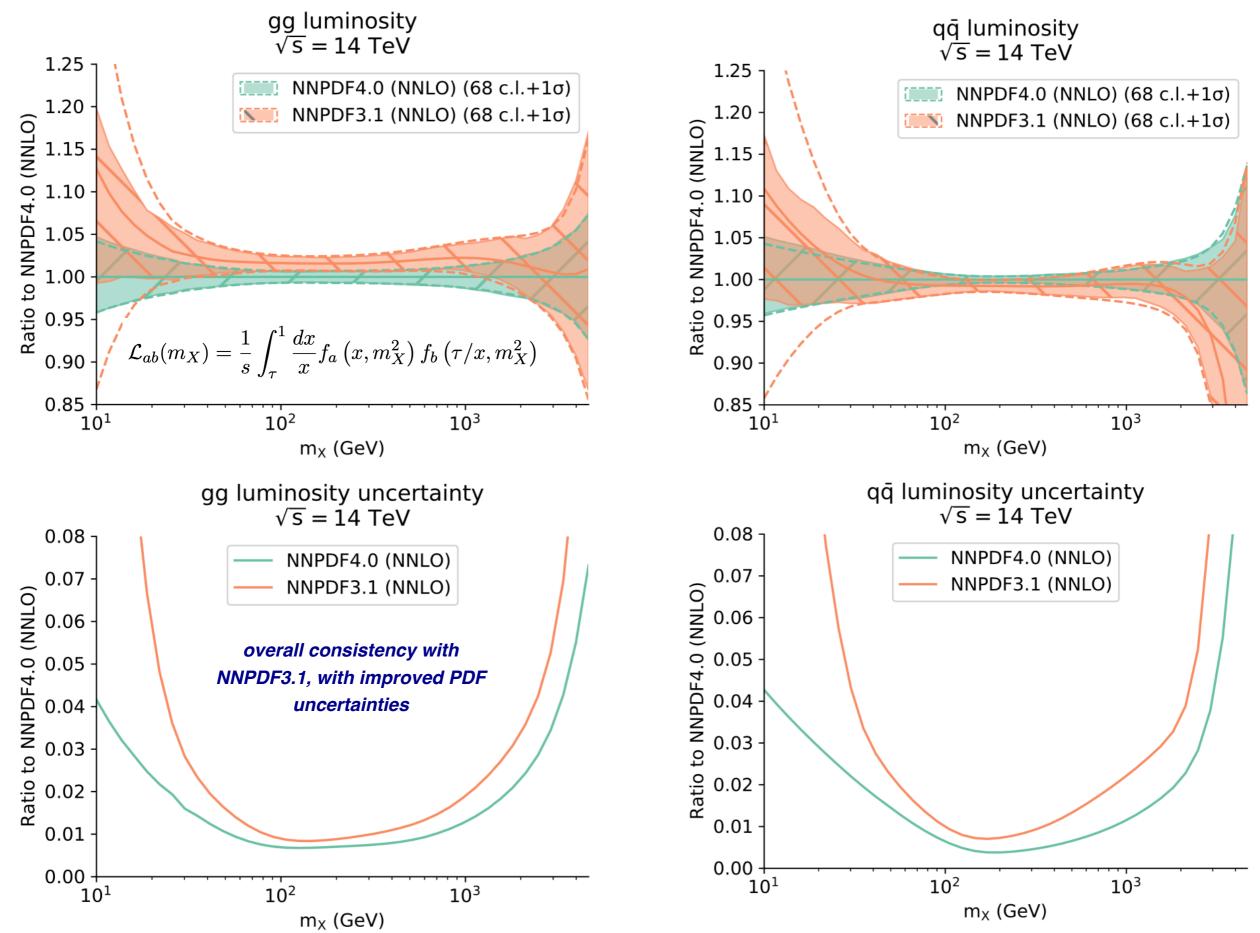
Fitting methodology





Error estimate based on Monte Carlo replica method (band: standard deviation over the MC replicas)

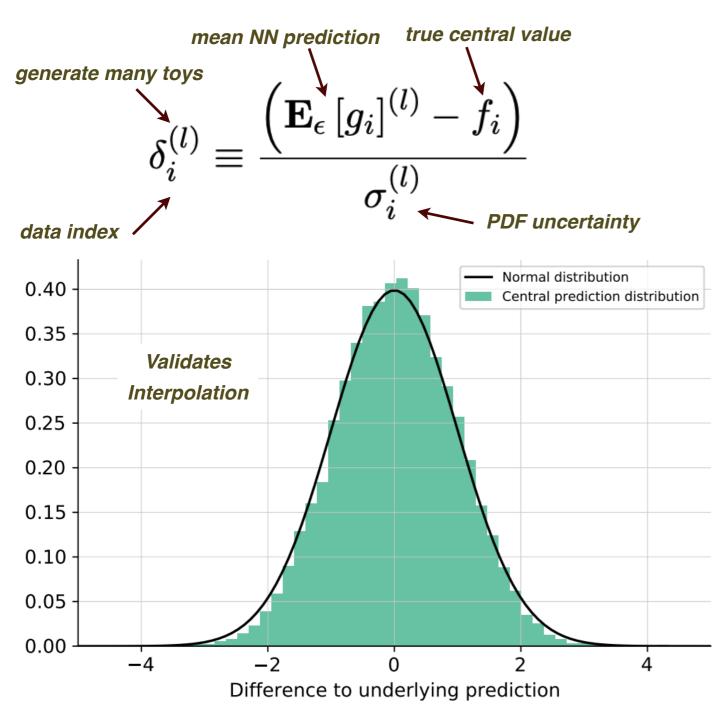
Comparison with NNPDF3.1



Closure and future tests

Closure tests

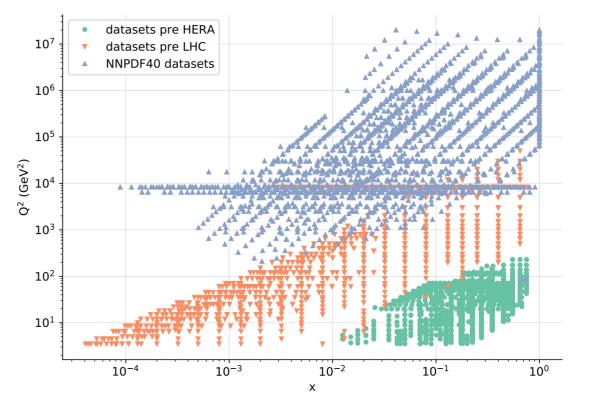
Generate **toy data** based on some known PDF, check *a posteriori* that the **true underlying law is reproduced** within errors



Future tests

Fit data restricted to specific kinematic regions,

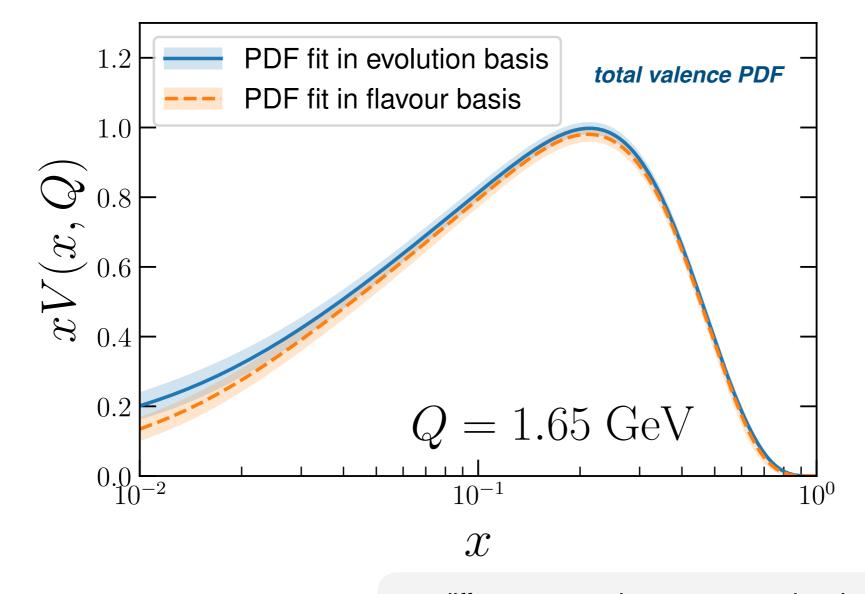
then verify succesful extrapolation



Process	χ^2 pre-HERA	χ^2 pre-LHC	χ^2 Global
Fixed target NC DIS	1.05	1.18	1.23
Fixed target CC DIS	0.80	0.85	0.87
Fixed target Drell-Yan	0.92	1.27	1.59
HERA	27.20 (1 .23)	1.22	1.20
Collider Drell-Yan (Tevatron)	5.52~(1.02)	0.99	1.11
Collider Drell-Yan (LHC)	18.91 (1.31)	2.63 (1.58)	1.53
Top quark production	20.01 (1.06)	1.30 (0.87)	1.01
Jet production	2.69 (0.98)	2.12 (1.10)	1.26

Parametrisation basis independence

$$V(x, Q_0) = \left((u - \bar{u}) + (d - \bar{d}) + (s - \bar{s}) \right) (x, Q_0)$$



evolution basis PDF parametrisation:

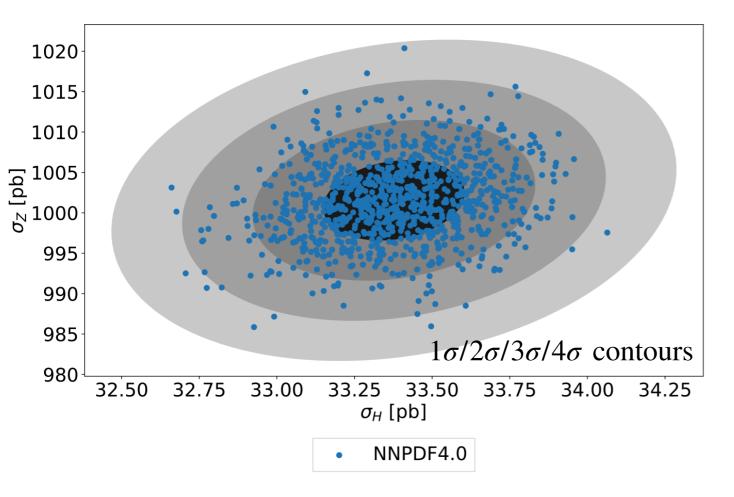
$$xV(x, Q_0) \propto \mathrm{NN}_V(x)$$

different strategies to parametrize the **quark PDF flavour** combinations lead to identical results: ultimate test of parametrisation independence

flavour basis PDF parametrisation:

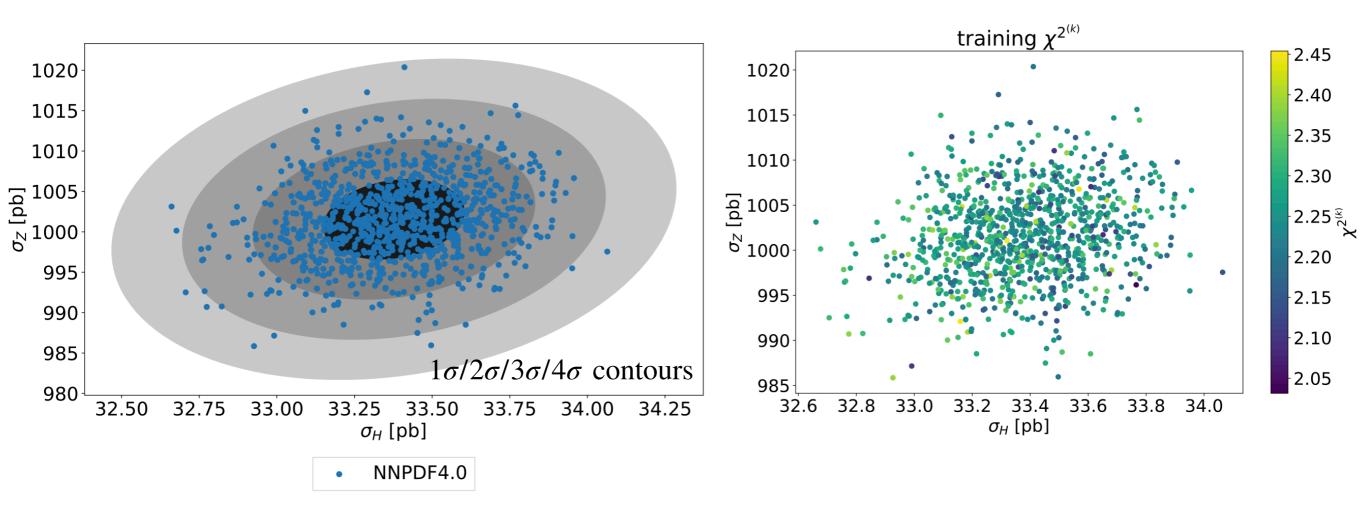
 $xV(x, Q_0) \propto \left(\mathrm{NN}_u(x) - \mathrm{NN}_{\bar{u}}(x) + \mathrm{NN}_d(x) - \mathrm{NN}_{\bar{d}}(x) + \mathrm{NN}_s(x) - \mathrm{NN}_{\bar{s}}(x) \right)$

Representative sampling in NNPDF



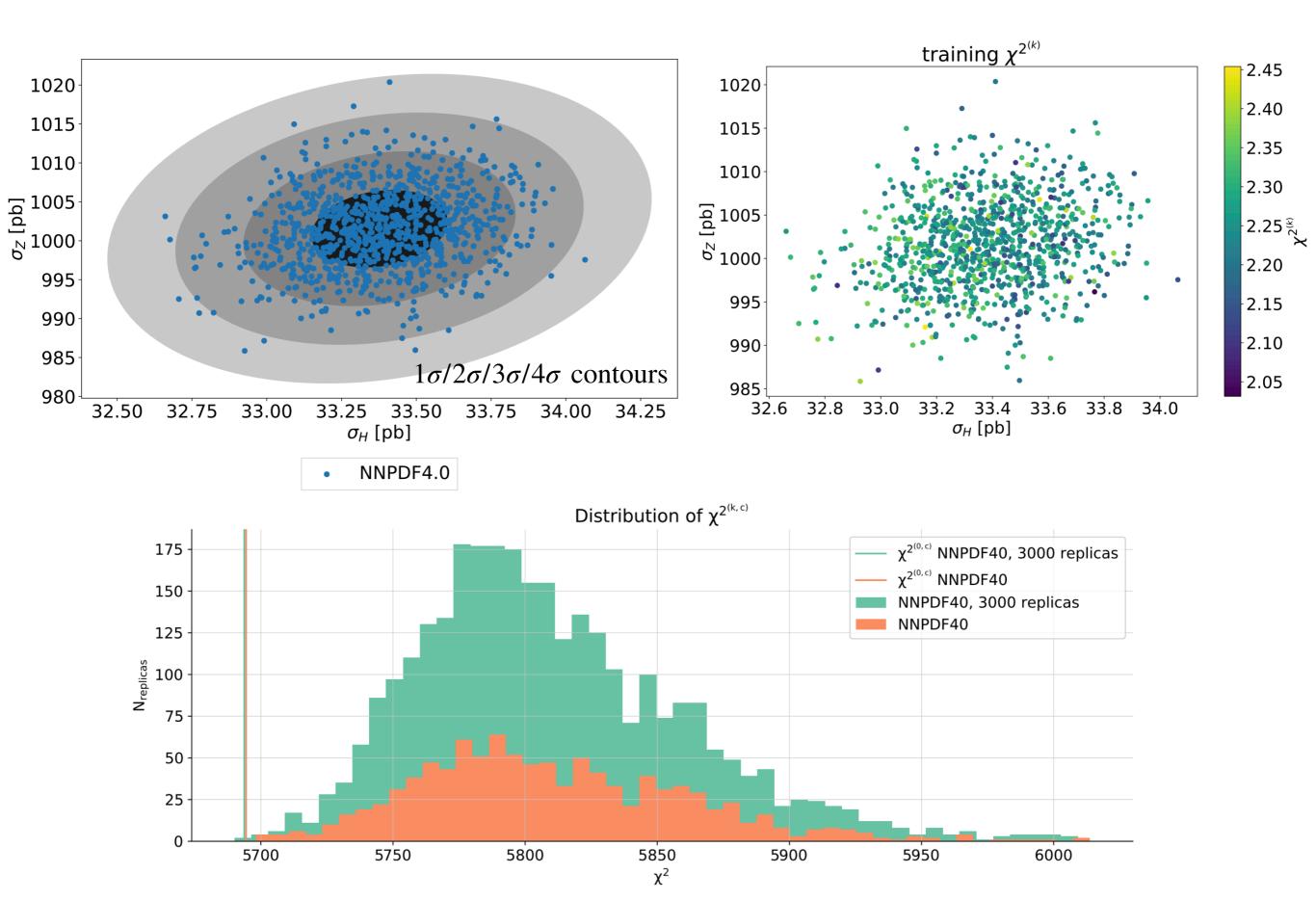
NNPDF4.0 replicas behave as expected for representative sampling e.g. around 50 replicas out of a sample of 1000 fall outside 95% CL contours

Representative sampling in NNPDF



- NNPDF4.0 replicas behave as expected for representative sampling e.g. around 50 replicas out of a sample of 1000 fall outside 95% CL contours
- From χ^2 is not the only measure of the likelihood of a replica, theory and methodological constraints (e.g. integrability, smoothness) are also accounted for
- For a sufficiently large number of sampled replicas, solutions with lower χ² than the ``central" (average) replica are guaranteed to be found

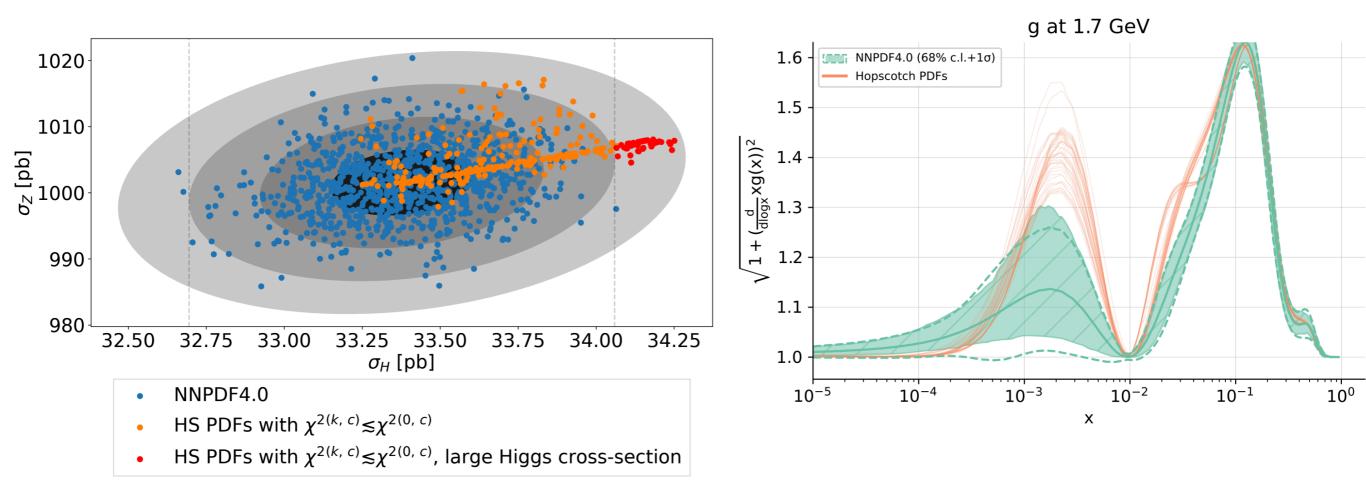
Representative sampling in NNPDF



The hopscotch PDFs

CT Hopscotch (HS) PDFs: arXiv:2205.10444

Solution \mathbf{F} **Linear combinations of NNPDF4.0 replicas**, some of them with lower χ^2 than the average NNPDF4.0 PDF set, constructed using NNPDF open-source code



HS PDFs do not provide representative sampling, e.g. cannot be used to determine PDF errors

Similar PDFs can be found with the NNPDF methodology, albeit with very low probability

Signatic energy (local measure of non-smoothness) systematically higher in HS PDFs

Large-x extrapolation

Reliable estimates of **PDF uncertainties at large-***x* crucial for BSM searches at LHC

Fake the forward-backward asymmetry in high-mass neutral current Drell-Yan as case study

$$\begin{aligned} \frac{\mathrm{d}^{3}\sigma}{\mathrm{d}m_{\ell\bar{\ell}}\,\mathrm{d}y_{\ell\bar{\ell}}\,\mathrm{d}\cos\theta^{*}} &= \frac{\pi\alpha^{2}}{3m_{\ell\bar{\ell}}s} \left((1+\cos^{2}(\theta^{*}))\sum_{q}S_{q}\mathcal{L}_{S,q}(m_{\ell\bar{\ell}},y_{\ell\bar{\ell}}) + \cos\theta^{*}\sum_{q}A_{q}\mathcal{L}_{A,q}(m_{\ell\bar{\ell}},y_{\ell\bar{\ell}}) \right) \\ \underbrace{symmetric\,\mathrm{in}\,\cos\theta^{*}}_{symmetric\,\mathrm{in}\,\cos\theta^{*}} & \underbrace{antisymmetric\,\mathrm{in}\,\cos\theta^{*}}_{antisymmetric\,\mathrm{in}\,\cos\theta^{*}} \\ \mathcal{L}_{S,q}(m_{\ell\bar{\ell}},y_{\ell\bar{\ell}}) &\equiv f_{q}(x_{1},m_{\ell\bar{\ell}}^{2})f_{\bar{q}}(x_{2},m_{\ell\bar{\ell}}^{2}) + f_{q}(x_{2},m_{\ell\bar{\ell}}^{2})f_{\bar{q}}(x_{1},m_{\ell\bar{\ell}}^{2}), \\ \mathcal{L}_{A,q}(m_{\ell\bar{\ell}},y_{\ell\bar{\ell}}) &\equiv \mathrm{sign}(y_{\ell\bar{\ell}})\left[f_{q}(x_{1},m_{\ell\bar{\ell}}^{2})f_{\bar{q}}(x_{2},m_{\ell\bar{\ell}}^{2}) - f_{q}(x_{2},m_{\ell\bar{\ell}}^{2})f_{\bar{q}}(x_{1},m_{\ell\bar{\ell}}^{2})\right] \end{aligned}$$

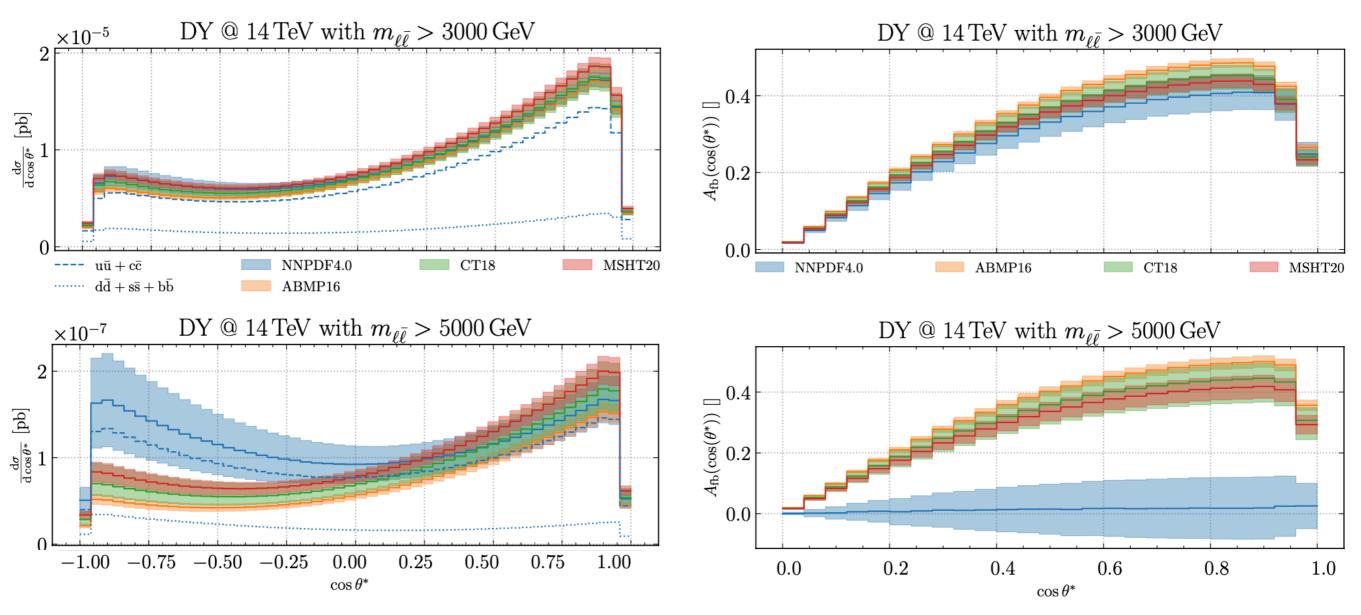
Pelevant for non-resonant BSM searches and for determination of precision SM parameters

$$A_{\rm fb}(\cos\theta^*) \equiv \frac{\frac{\mathrm{d}\sigma}{\mathrm{d}\cos\theta^*}(\cos\theta^*) - \frac{\mathrm{d}\sigma}{\mathrm{d}\cos\theta^*}(-\cos\theta^*)}{\frac{\mathrm{d}\sigma}{\mathrm{d}\cos\theta^*}(\cos\theta^*) + \frac{\mathrm{d}\sigma}{\mathrm{d}\cos\theta^*}(-\cos\theta^*)}, \quad \cos\theta^* > 0,$$

PDF sets based on fixed-functional forms and NNPDF agree for symmetric (in Collins-Soper angle) distributions, different results for antisymmetric ones like A_{FB}

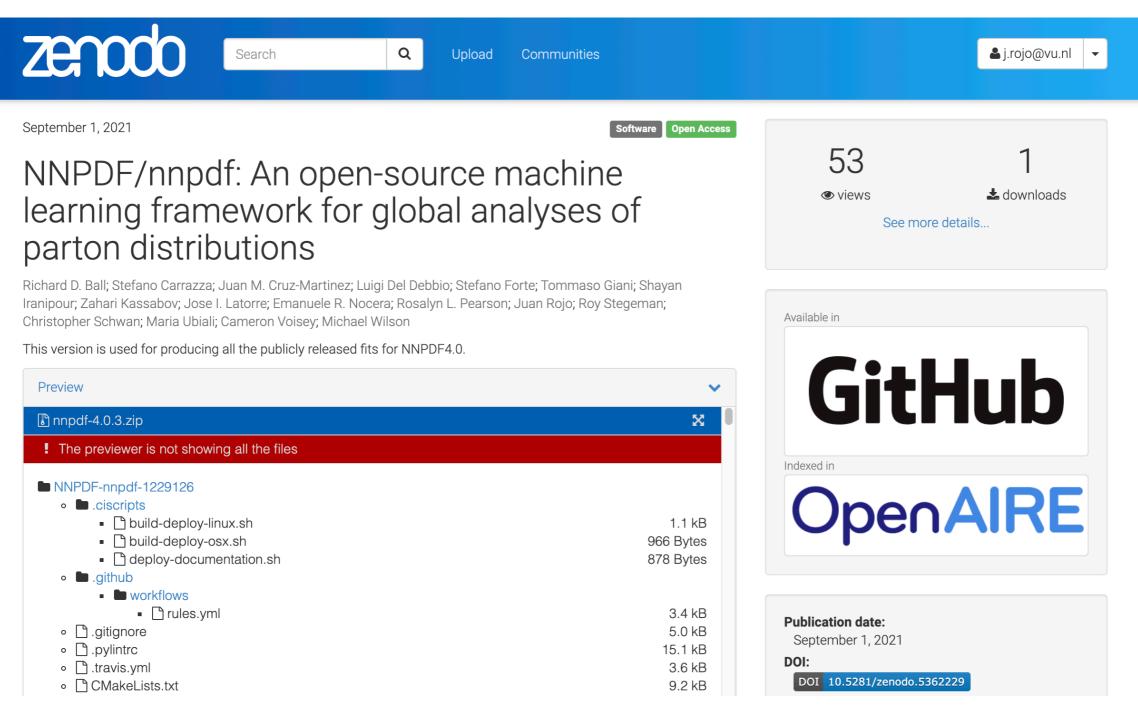
NNPDF, arXiv:2209.08115

Large-x extrapolation



- Extrapolation of CT, MSHT, ABMP determined by choice of large-x functional form, not the case in NNPDF (verified by computing effective large-x exponents)
- The forward-backward asymmetry is hence not positive-definite in the SM, unlike what is assumed in some LHC searches
- NNPDF4.0 displays the largest PDF uncertainties in extrapolation region

A ML open-source QCD fitting framework

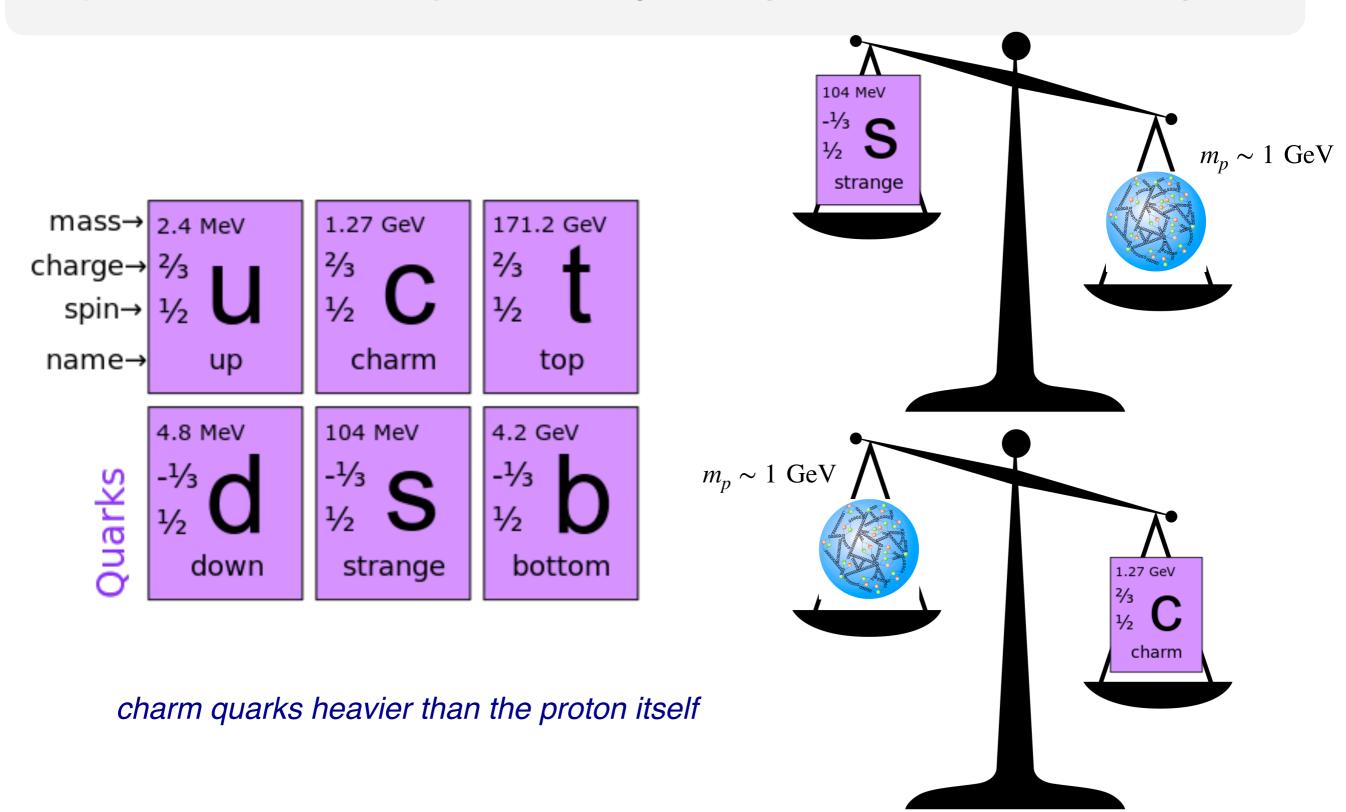


The full **NNPDF machine learning fitting framework** has been publicly released open source, together with extensive documentation and user-friendly examples

Evidence for intrinsic charm in the proton

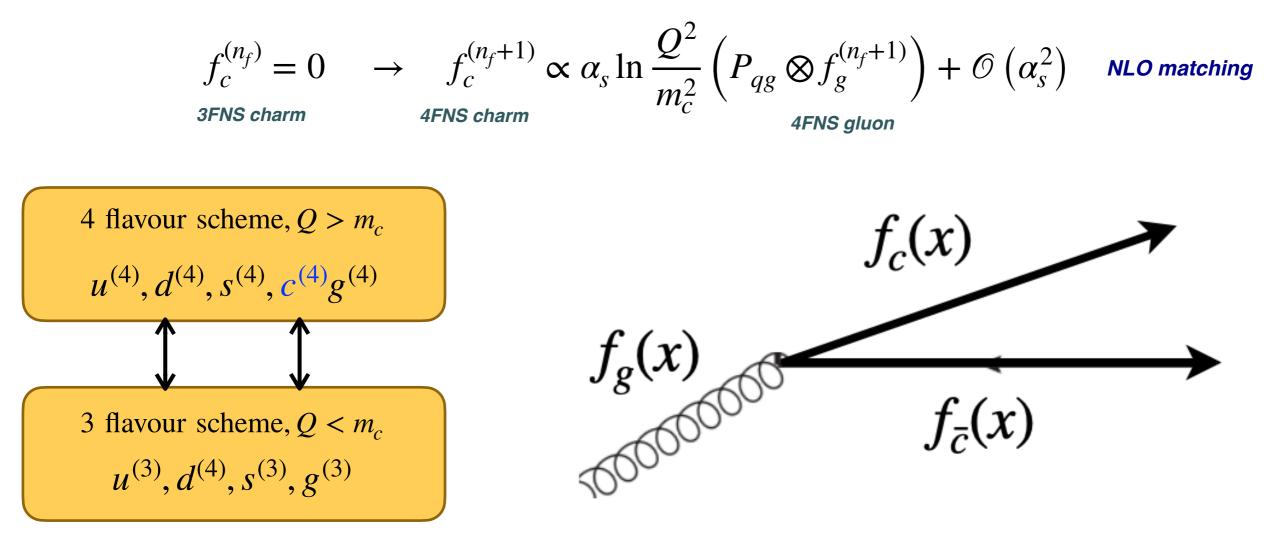
R. D. Ball, A. Candido, J. Cruz-Martinez, S. Forte, T. Giani, F. Hekhorn, K. Kudashkin, G. Magni & J. Rojo, *Nature* 608 (2022) 7923, 483-487

common assumption in PDF fits: the static proton wave function does not contain charm quarks: the proton contains **intrinsic up**, **down**, **strange (anti-)quarks** but **no intrinsic charm quarks**



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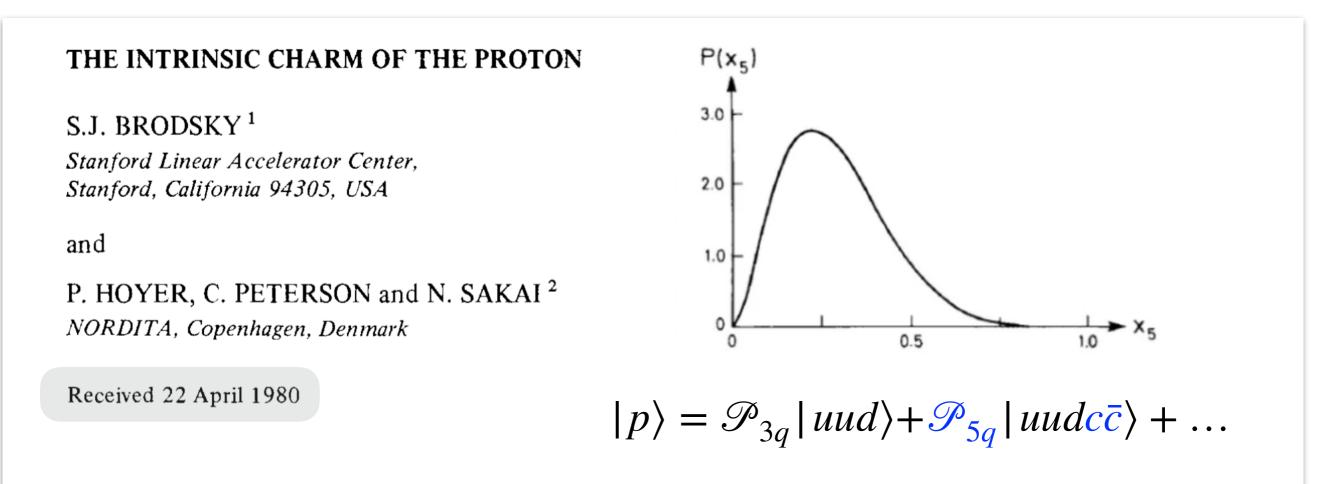
the charm PDF is generated perturbatively (DGLAP evolution) from radiation off gluons and quarks



If charm is perturbatively generated, the charm PDF is trivial

common assumption in PDF fits: the static proton wave function does not contain charm quarks: the proton contains **intrinsic up**, **down**, **strange (anti-)quarks** but **no intrinsic charm quarks**

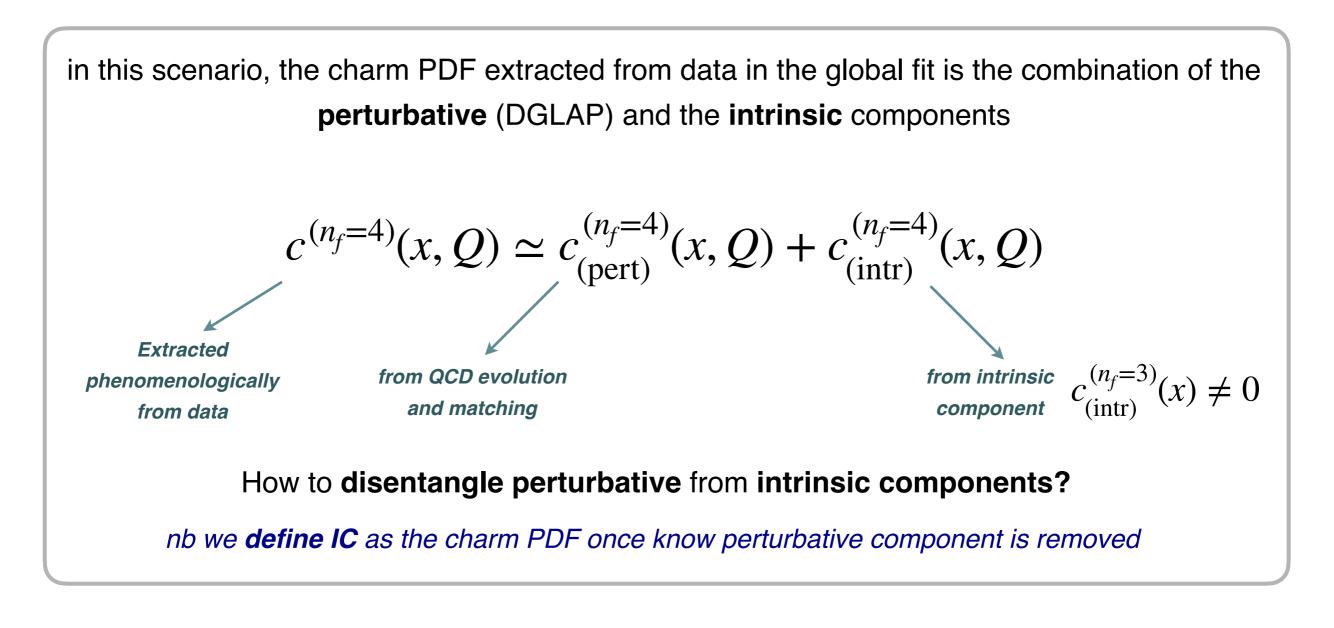
It does not need to be so! An intrinsic charm component predicted in many models



Recent data give unexpectedly large cross-sections for charmed particle production at high x_F in hadron collisions. This may imply that the proton has a non-negligible uudcc Fock component. The interesting consequences of such a hypothesis are explored.

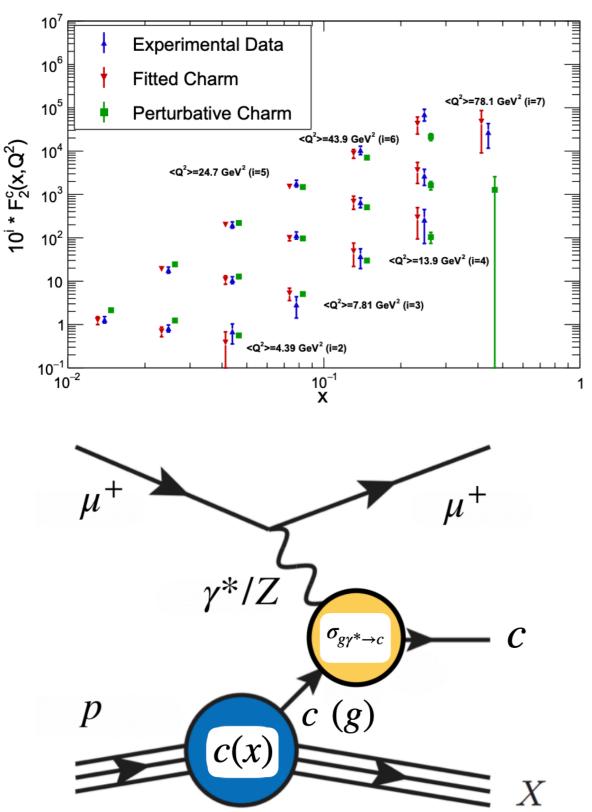
40 years of extensive searches for intrinsic charm: no unambiguous evidence

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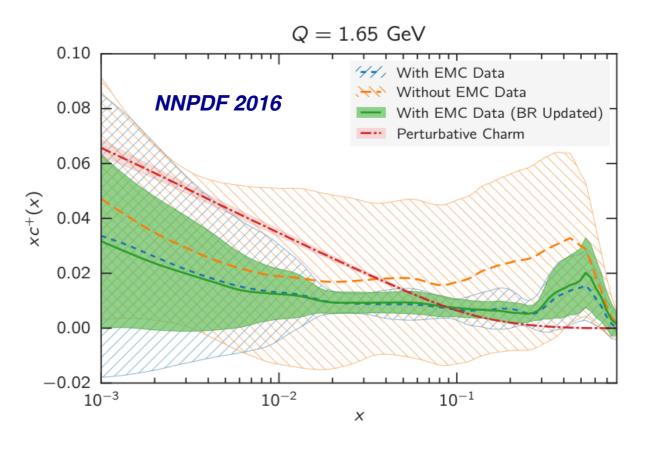
40 years of extensive searches for intrinsic charm: no unambiguous evidence

Back to the future

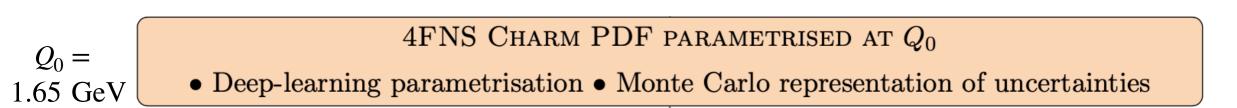


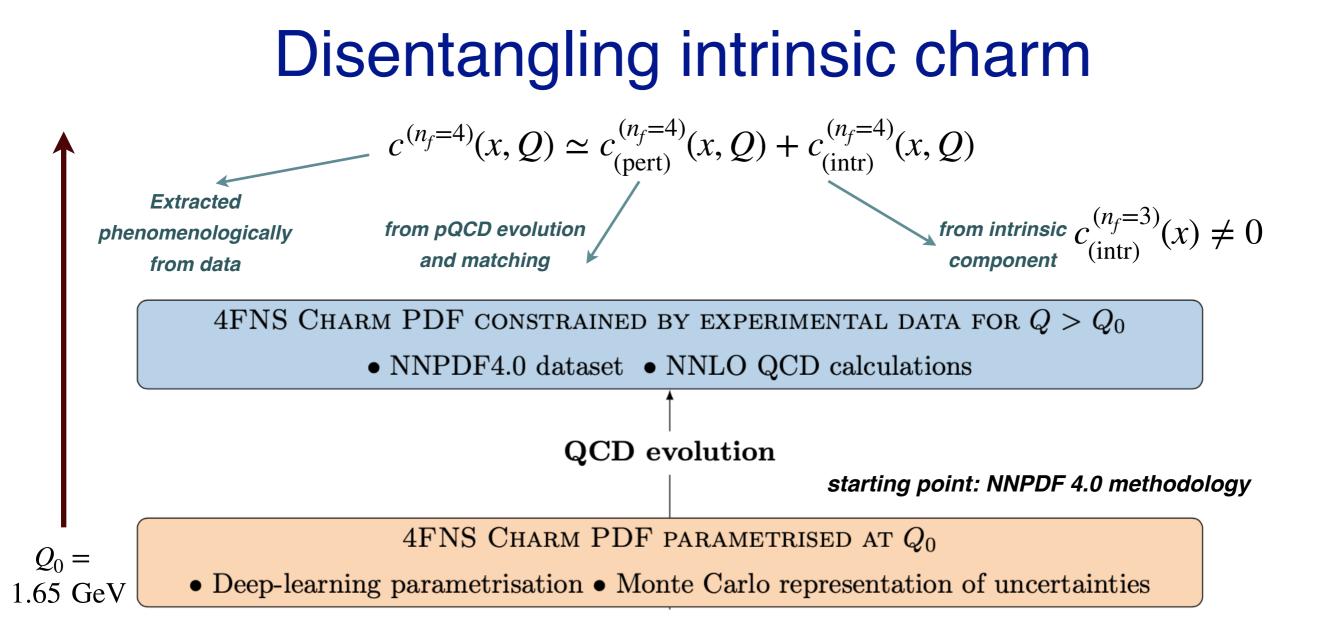
EMC charm structure functions

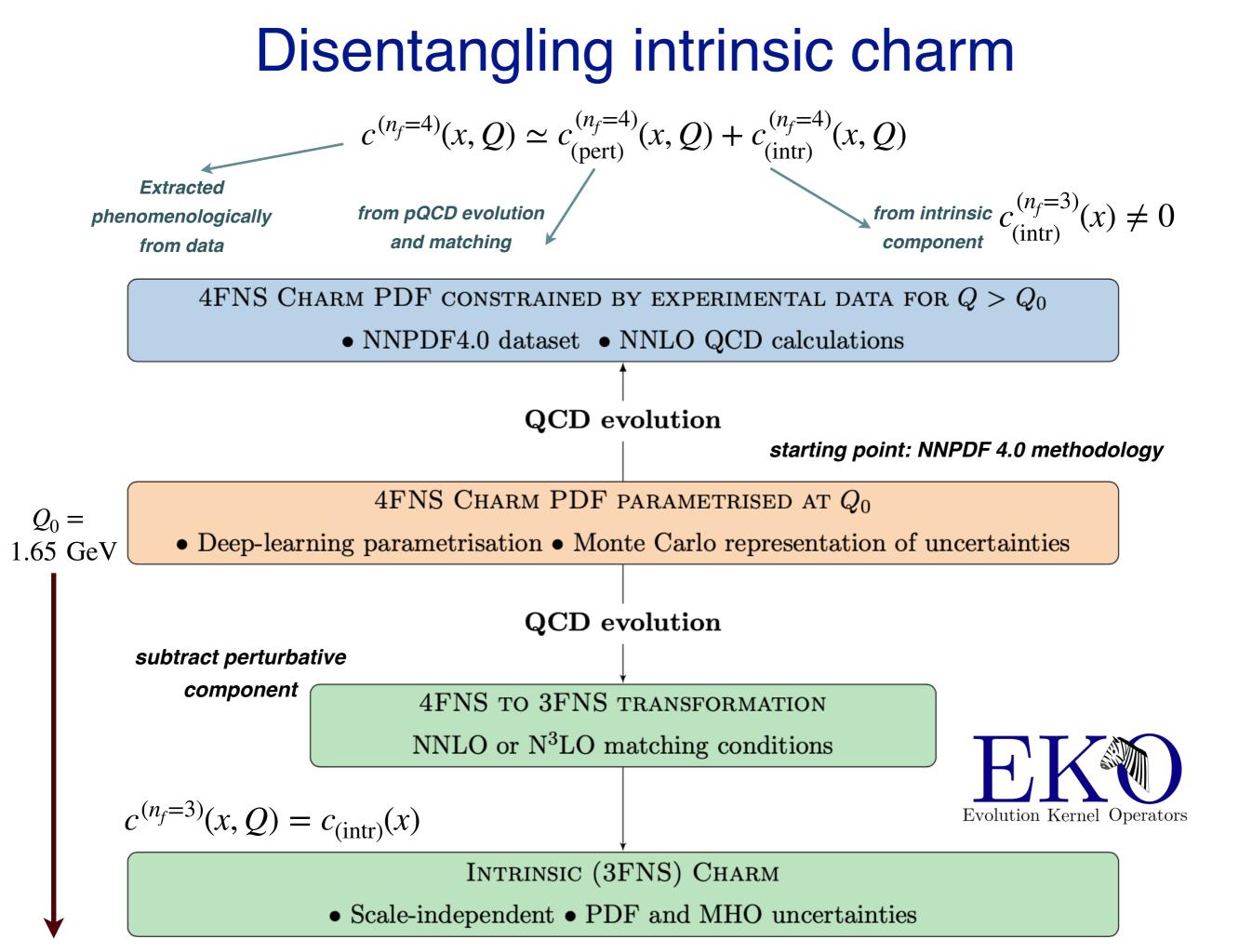
- EMC charm structure functions (1981): one of original motivations of intrinsic charm
- A purely perturbative charm PDF disfavoured by the data
- A model-independent determination of the charm PDF describes well the EMC data, but limited statistical significance



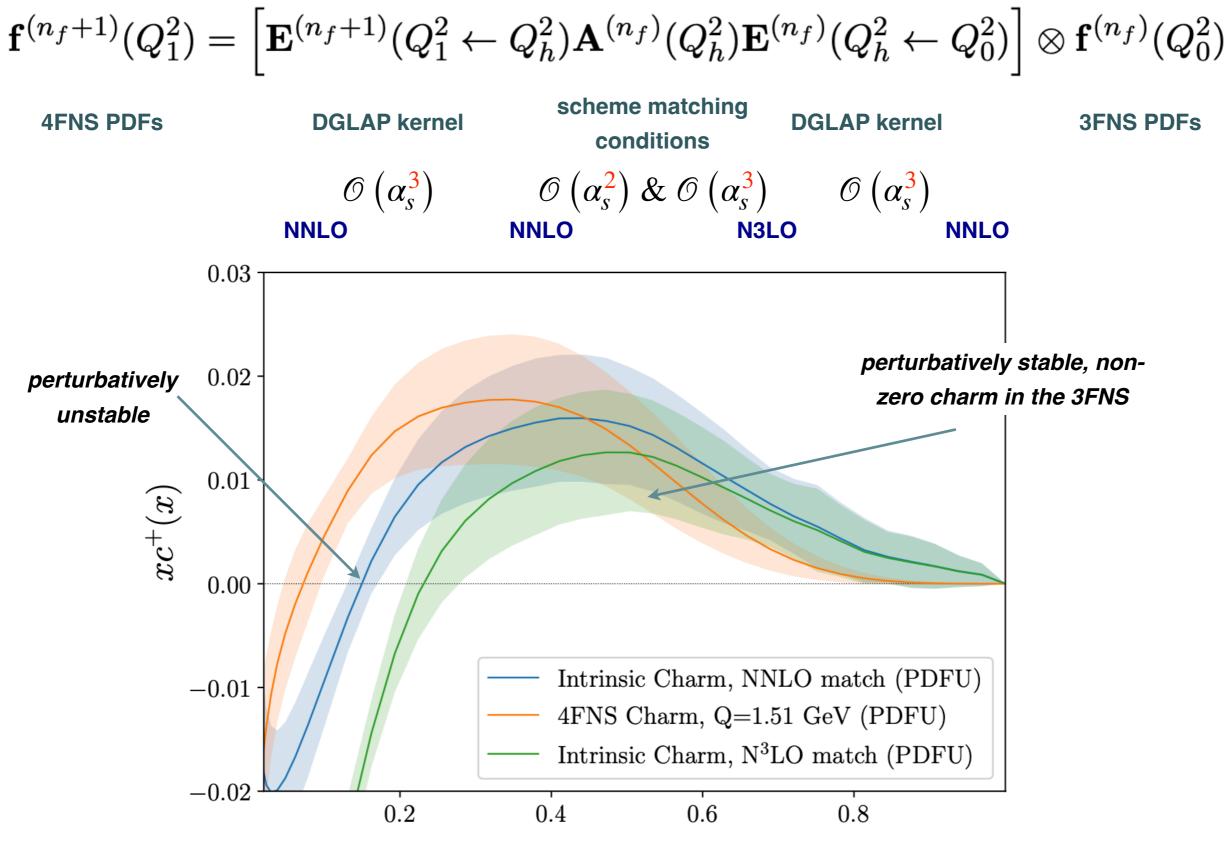
Disentangling intrinsic charm



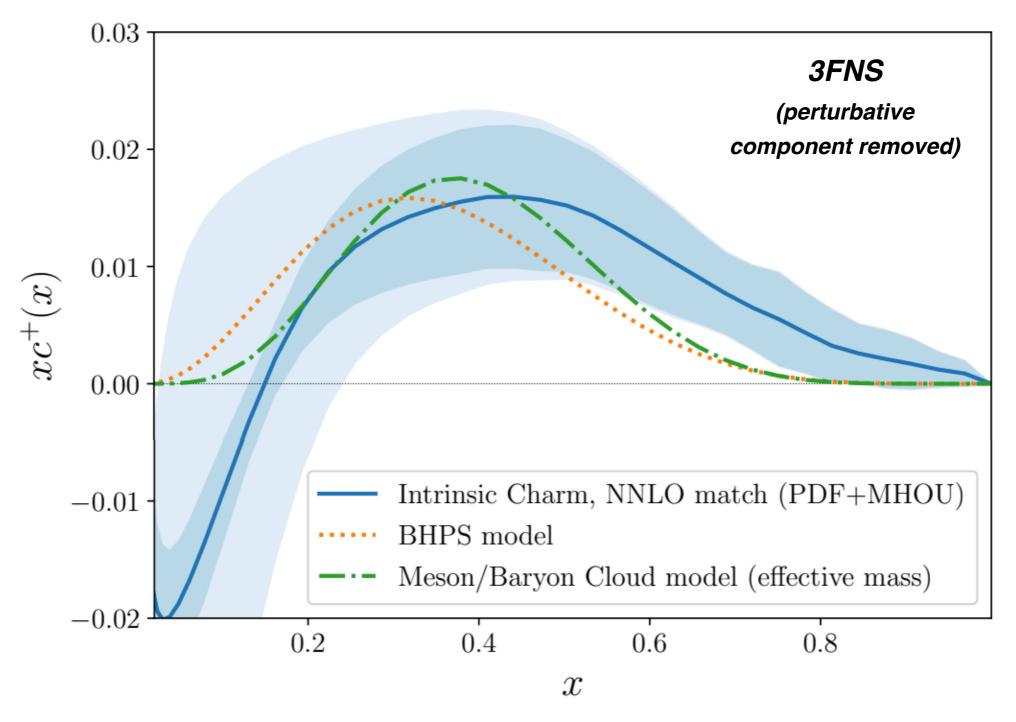




4FNS to 3FNS transformation



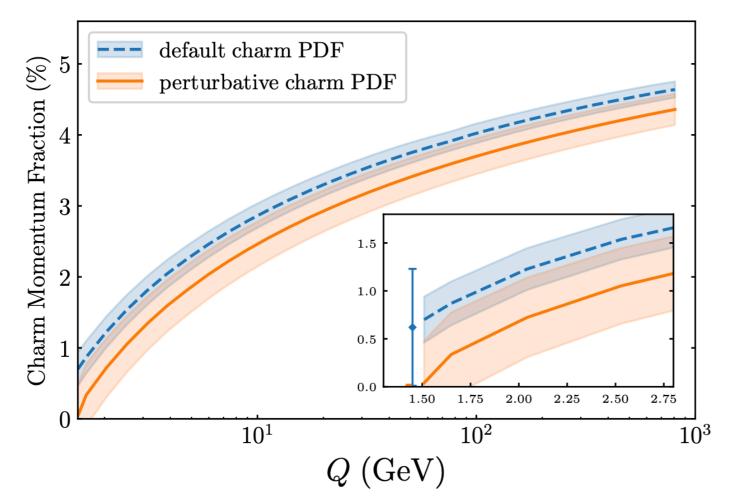
4FNS to 3FNS transformation



The 3FNS charm PDF displays **non-zero component** peaked at large-*x* which can be identified with **intrinsic charm**

The charm momentum fraction

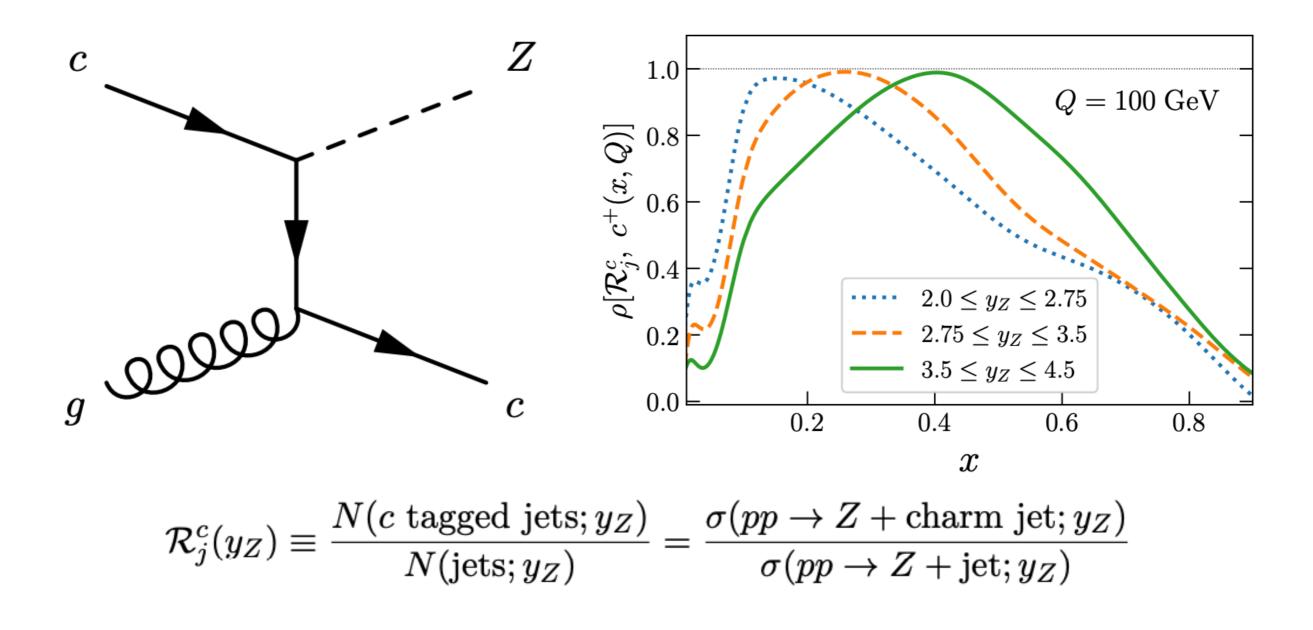
Scheme	Q	Charm PDF	m_c	[c] (%)
3FNS	_	default	$1.51~{\rm GeV}$	$0.62\pm0.28_{ m pdf}\pm0.54_{ m mhou}$
3FNS	_	default	$1.38~{ m GeV}$	$0.47\pm0.27_{ m pdf}\pm0.62_{ m mhou}$
3FNS	_	default	$1.64~{\rm GeV}$	$0.77\pm0.28_{ m pdf}\pm0.48_{ m mhou}$
4FNS	$1.65~{ m GeV}$	default	$1.51~{ m GeV}$	$0.87\pm0.23_{ m pdf}$
4FNS	$1.65~{ m GeV}$	default	$1.38~{ m GeV}$	$0.94\pm0.22_{ m pdf}$
4FNS	$1.65~{\rm GeV}$	default	$1.64 { m ~GeV}$	$0.84\pm0.24_{ m pdf}$
4FNS	$1.65~{ m GeV}$	perturbative	$1.51~{\rm GeV}$	$0.346 \pm 0.005_{ m pdf} \pm 0.44_{ m mhou}$
4FNS	$1.65~{ m GeV}$	perturbative	$1.38~{ m GeV}$	$0.536 \pm 0.006_{ m pdf} \pm 0.49_{ m mhou}$
4FNS	$1.65~{ m GeV}$	perturbative	$1.64~{ m GeV}$	$0.172 \pm 0.003_{ m pdf} \pm 0.41_{ m mhou}$



Intrinsic charm carries around 0.5% of the proton's total momentum

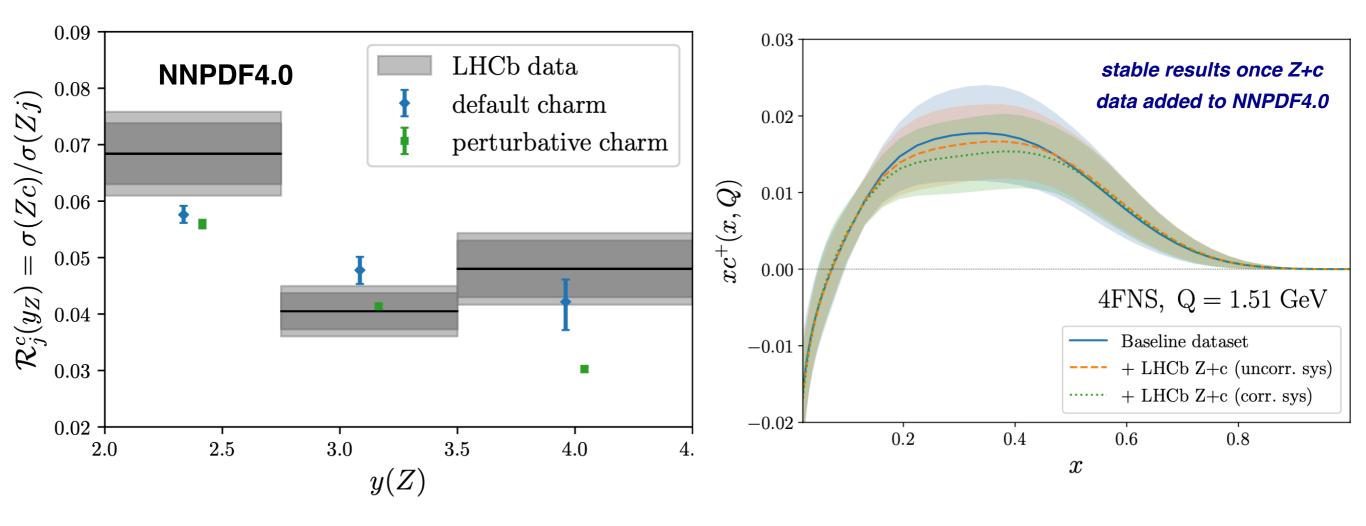
Z+charm @ LHCb

Direct handle on the charm content of the proton



Z+charm at forward rapidities (LHCb) sensitive to the charm PDF up to x=0.5

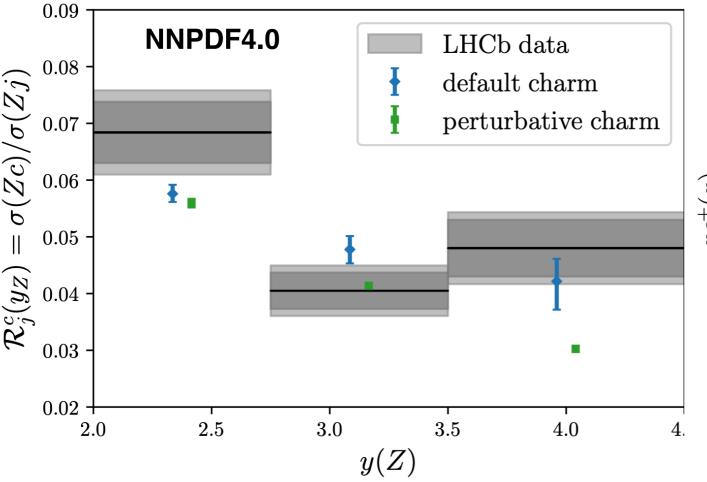
Z+charm @ LHCb



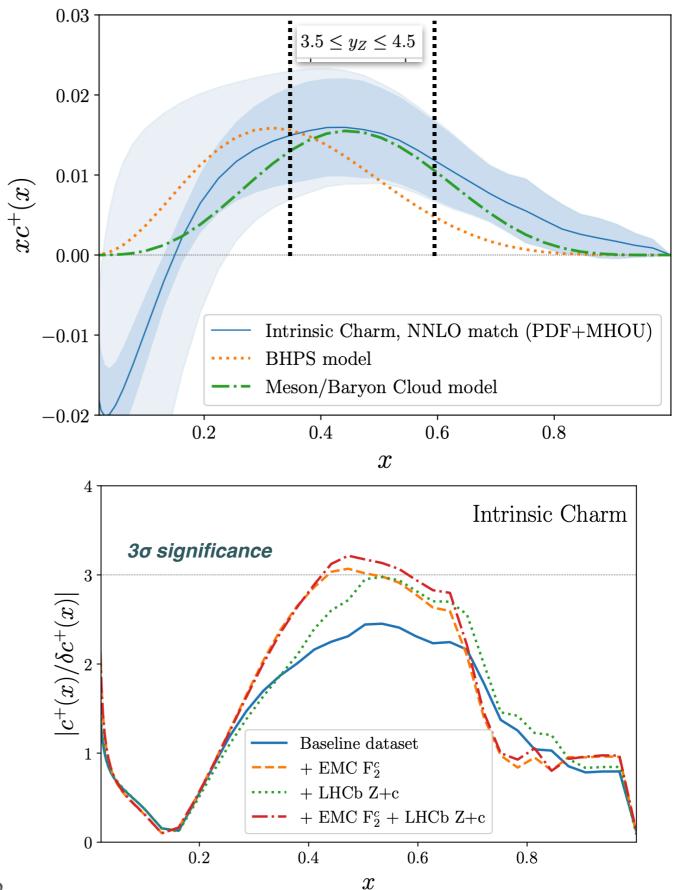
- Calculations settings: NLO+Pythia8 via the POWHEG-BOX (charm fragmentation from shower), accounting for MHO and PDF uncertainties (MHOUs cancel partially in ratio)
- Charm jets defined by overlap of anti-k_t jets with reconstructed *D*-mesons to reproduce experimental analyses: includes contribution from g => c+cbar splittings
- Mowever, there are i) suppressed in forward region where IC effects stronger and ii) do not affect shape

Fixed-order QCD cannot be used to compare with (current) data due to lack of flavour IR-safe definition

Z+charm @ LHCb



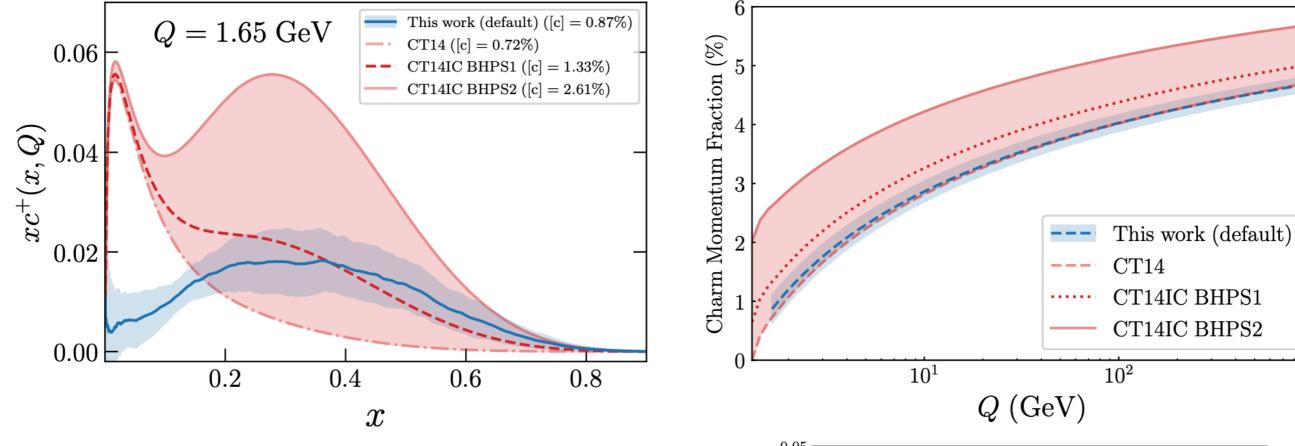
- Perturbative charm PDF disfavoured by the LHCb forward Z+charm data
- LHCb data consistent with IC carrying 0.5% of proton's momentum
- Consistency between direct (Z+c, EMC F₂c)
 and indirect constraints on the charm PDF



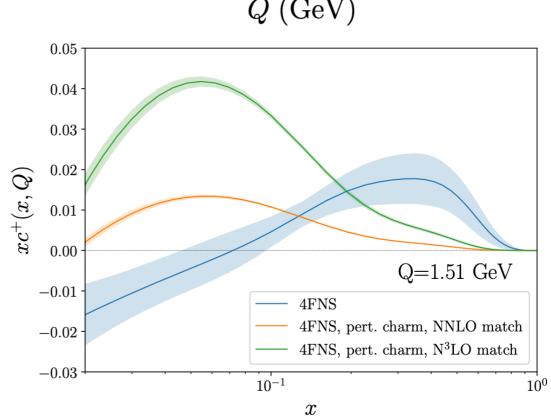
Comparison with CT14IC analysis

CT14IC consistent with NNPDF4.0 within uncertainties in the region x > 0.2 where IC is important

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- For x < 0.2, the charm PDF is affected by large theory uncertainties due to *i*) choice of m_c value and *ii*) MHOUs in 3FNS => 4FNS matching
- Both sources of theory errors avoided when charm PDF is parametrised and fitted, regardless of whether there is IC



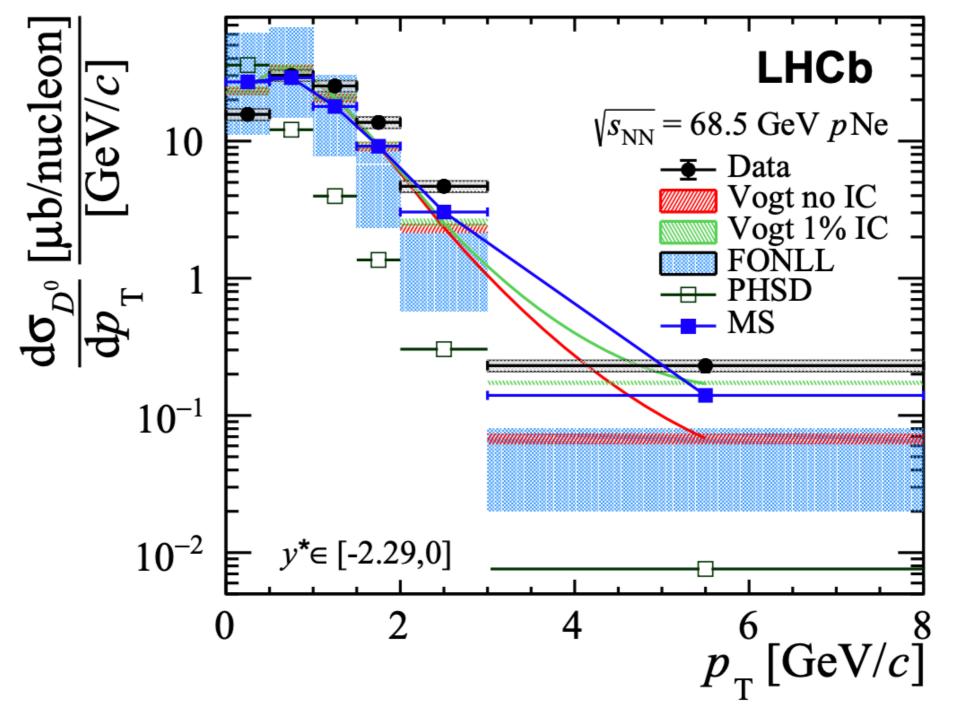
 10^{3}

What comes next?

Further testing intrinsic heavy quarks

Markov Revisit Z+charm with higher stats and **flavour IR-safe jet algorithm** (of NNLO *D* fragmentation)

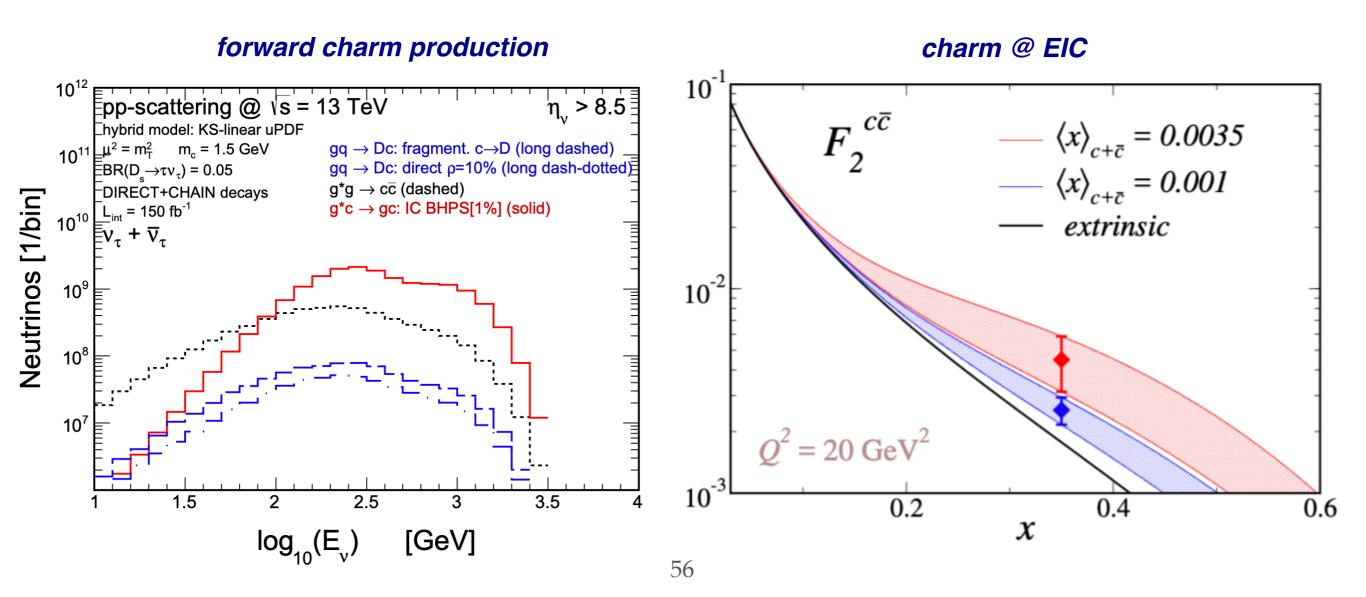
Study other LHC processes sensitive to initial state charm



LHCb earlier this week

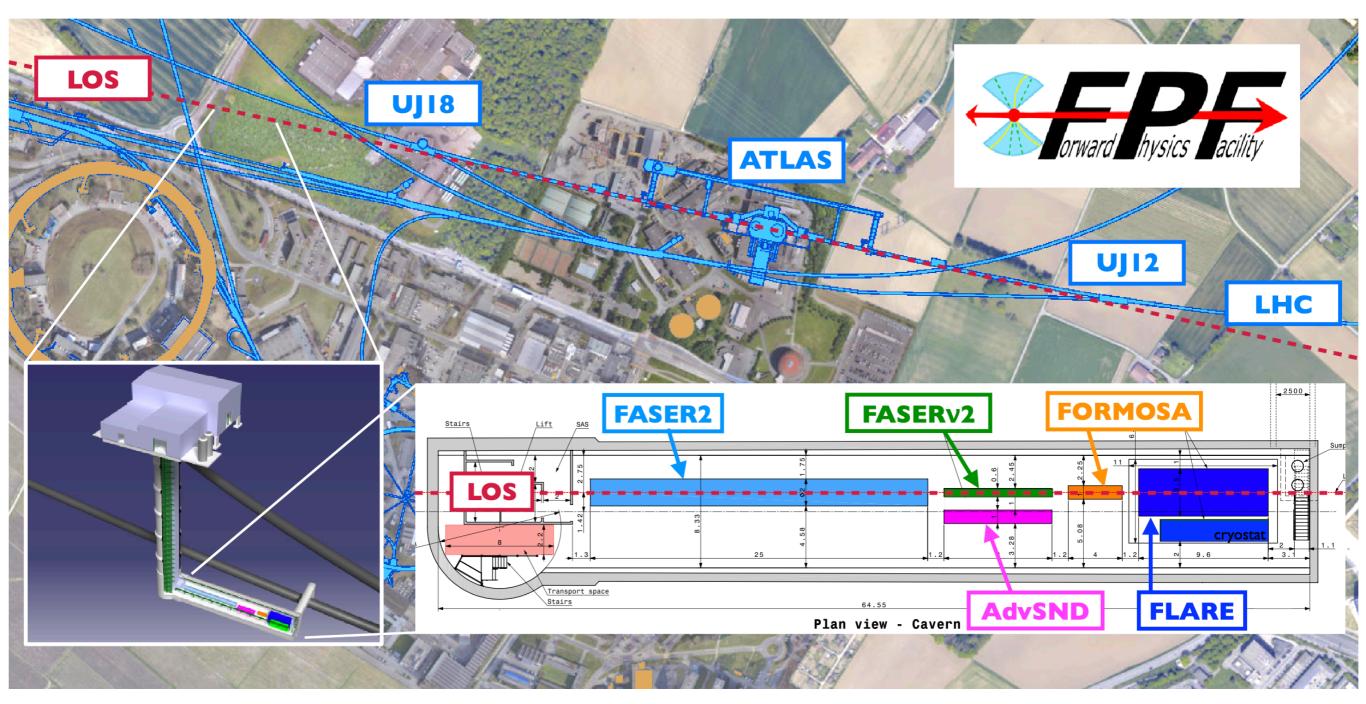
Further testing intrinsic heavy quarks

- With more LHC data, study also the possibility of intrinsic bottom quarks and of an intrinsic charm/anticharm asymmetry
- Setter charm structure function measurements to become available at **Electron Ion Collider**
- IC will also affect rates for prompt neutrino fluxes in neutrino telescopes, main background for extraterrestrial high-energy neutrinos



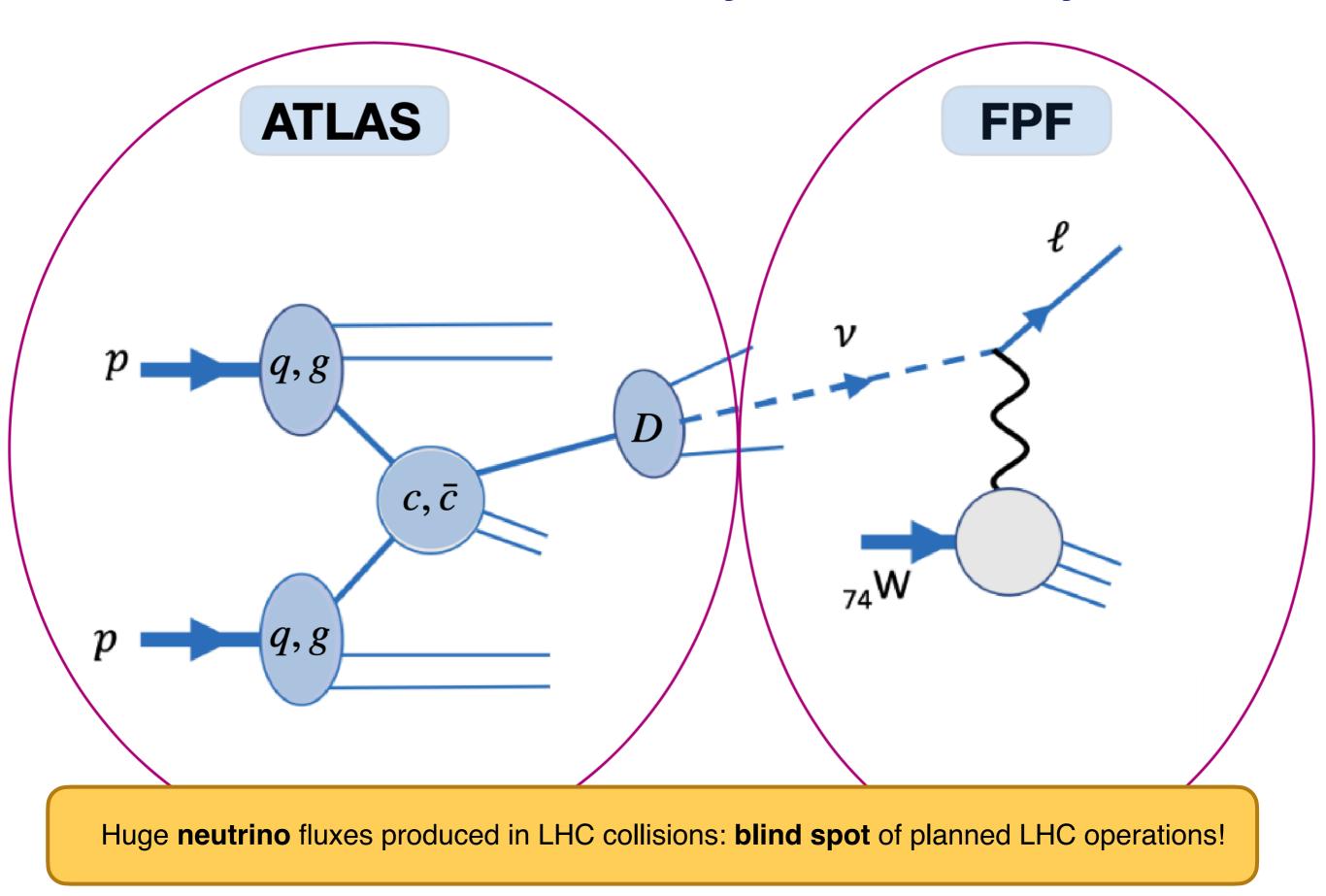
The Forward Physics Facility

A proposed new facility in a tailor-made underground cavern hosting a suite of **far-forward experiments** suitable to detect **long-lived BSM particles** and **neutrinos** produced at the High-Luminosity LHC (ATLAS interaction point)

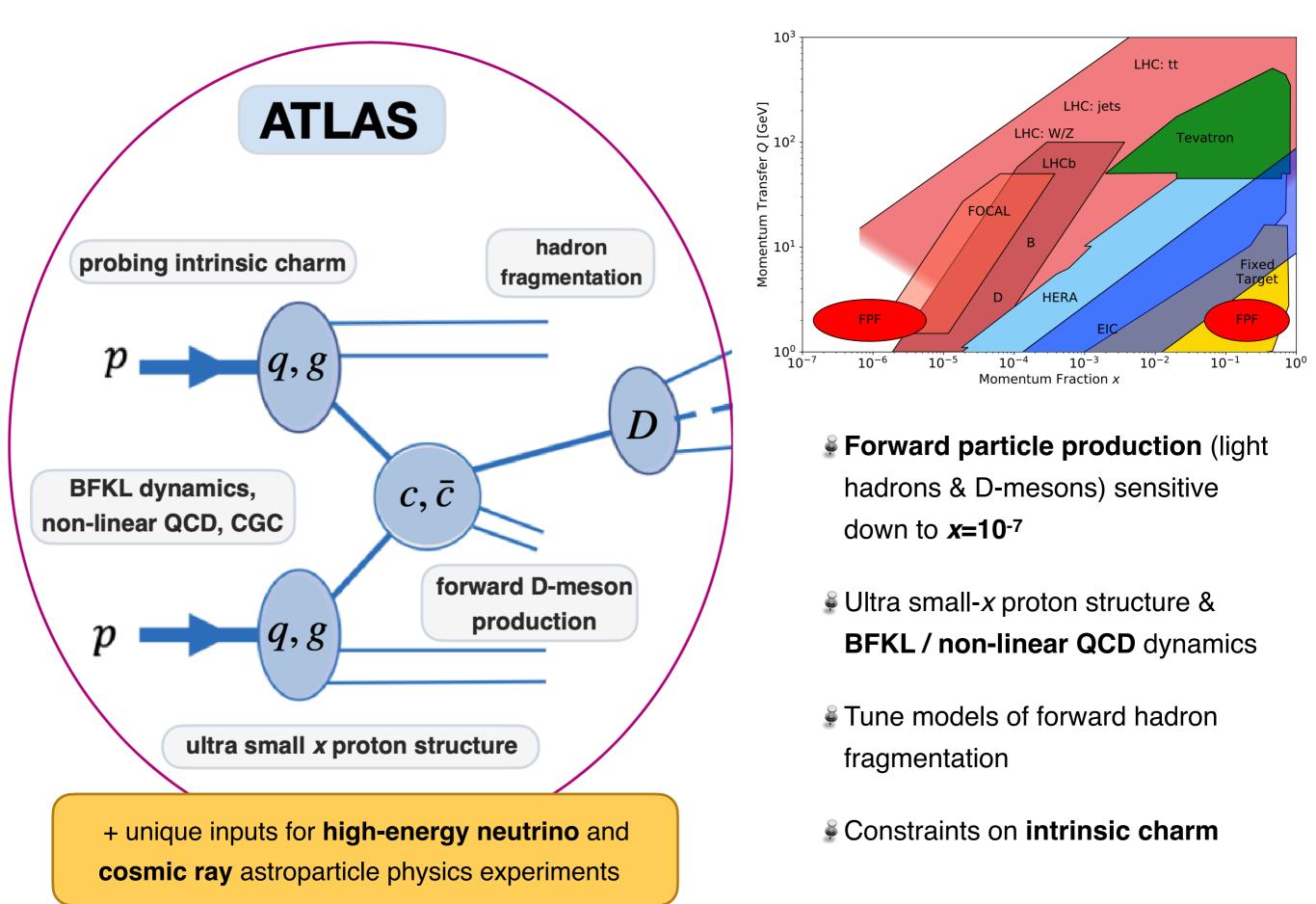


No modifications to the HL-LHC required!

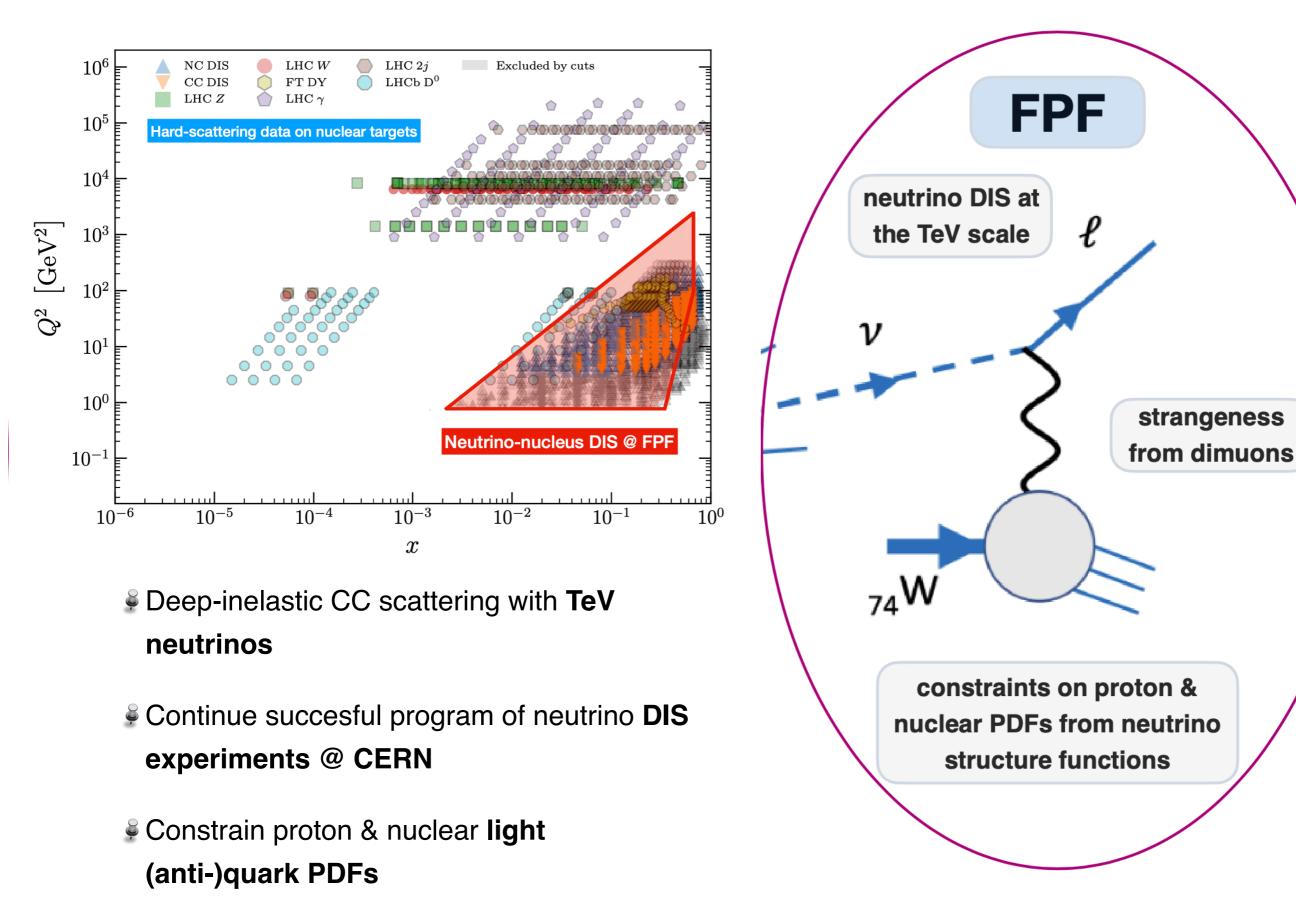
The Forward Physics Facility

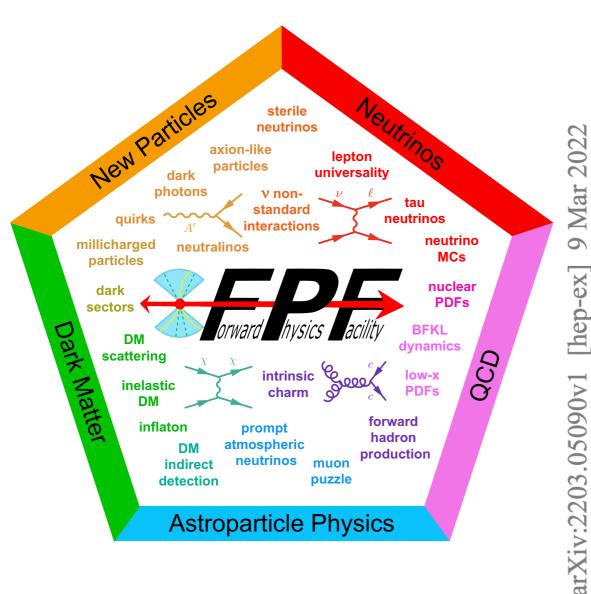


QCD at the FPF



QCD at the FPF





- 430 pages describing scientific case, infrastructure, detectors, and simulations
- Several Working Groups now assembled towards preparing a CDR: get in touch if you want to contribute!



The Forward Physics Facility at the High-Luminosity LHC

High energy collisions at the High-Luminosity Large Hadron Collider (LHC) produce a large number of particles along the beam collision axis, outside of the acceptance of existing LHC experiments. The proposed Forward Physics Facility (FPF), to be located several hundred meters from the ATLAS interaction point and shielded by concrete and rock, will host a suite of experiments to probe Standard Model (SM) processes and search for physics beyond the Standard Model (BSM). In this report, we review the status of the civil engineering plans and the experiments to explore the diverse physics signals that can be uniquely probed in the forward region. FPF experiments will be sensitive to a broad range of BSM physics through searches for new particle scattering or decay signatures and deviations from SM expectations in high statistics analyses with TeV neutrinos in this low-background environment. High statistics neutrino detection will also provide valuable data for fundamental topics in perturbative and non-perturbative QCD and in weak interactions. Experiments at the FPF will enable synergies between forward particle production at the LHC and astroparticle physics to be exploited. We report here on these physics topics, on infrastructure, detector, and simulation studies, and on future directions to realize the FPF's physics potential.

Snowmass Working Groups EF4,EF5,EF6,EF9,EF10,NF3,NF6,NF8,NF9,NF10,RP6,CF7,TF07,TF09,TF11,AF2,AF5,IF8

LEAD CONVENERS

Jonathan L. Feng^{1*}, Felix Kling², Mary Hall Reno³, Juan Rojo^{4,5}, Dennis Soldin⁶

TOPICAL CONVENERS

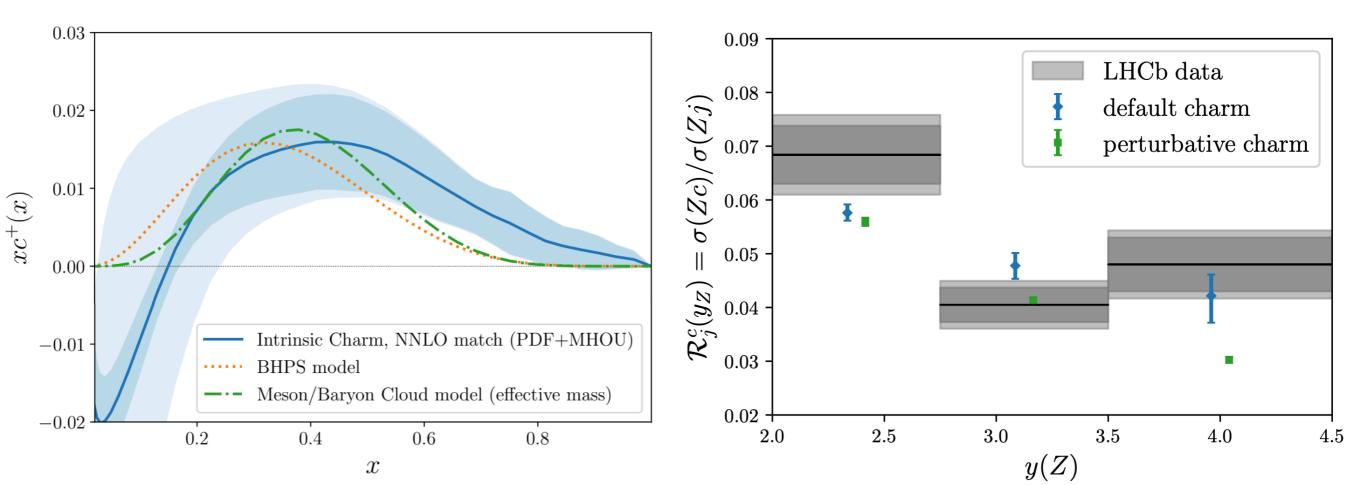
Luis A. Anchordoqui⁷, Jamie Boyd⁸, Ahmed Ismail⁹, Lucian Harland-Lang^{10,11}, Kevin J. Kelly¹², Vishvas Pandey¹³, Sebastian Trojanowski^{14,15}, Yu-Dai Tsai¹,

Summary

For more than four decades, the question of whether the proton contain charm quarks has been passionately investigated, with no clear conclusions until recently

- The NNPDF4.0 global analysis reveals evidence for intrinsic charm in the proton, consistent with BHPS and meson/baryon could models with around 0.5% momentum fraction
- NNPDF4.0 predictions in agreement with independent constraints provided by the LHCb
 Z+charm data in the forward region

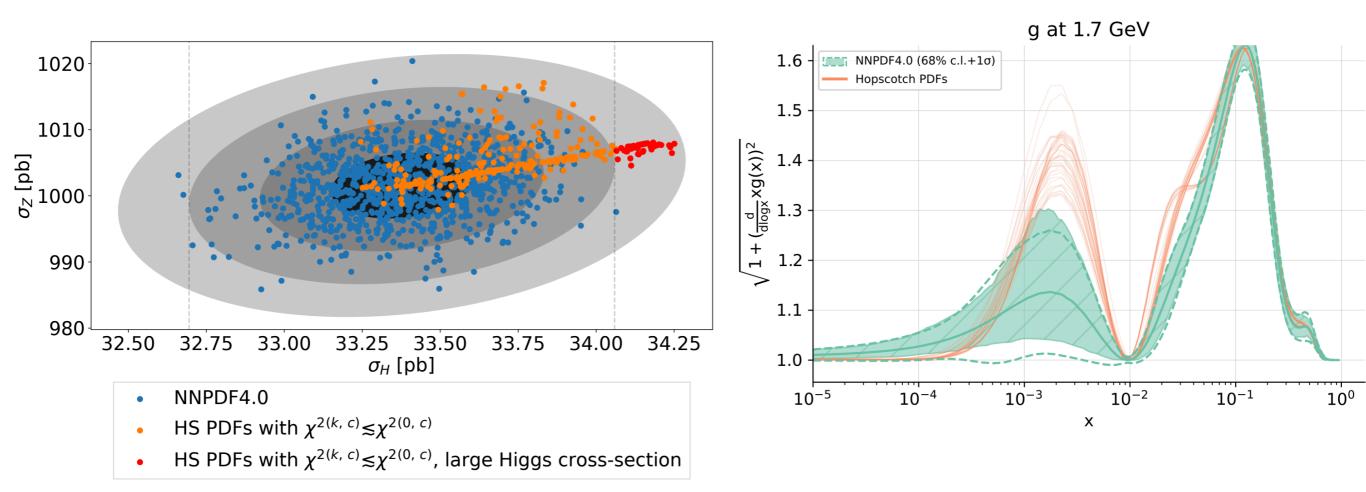
IC will be further scrutinised by upcoming LHC analyses as well as by the EIC, the FPF, as well as at high-energy astroparticle physics facilities



Extra Material

The hopscotch PDFs

Solution Solution Solution Solution Solution Solution State State



HS PDFs do not provide representative sampling, e.g. cannot be used to determine PDF errors

Similar PDFs can be found with the NNPDF methodology, albeit with very low probability

Signatic energy (local measure of non-smoothness) systematically higher in HS PDFs

Using leading-order kinematics:

$$x_1 = \frac{M_W}{\sqrt{s}} e^{+y_W}, \quad x_2 = \frac{M_W}{\sqrt{s}} e^{-y_W}$$

forward rapidities probe small and large x (momentum fractions)