

The Collinear Parton Distributions of the Proton: Achievements and Open Issues

Forward Physics and QCD at the LHC and EIC

Emanuele R. Nocera

Università degli Studi di Torino and INFN, Torino

23 October 2023

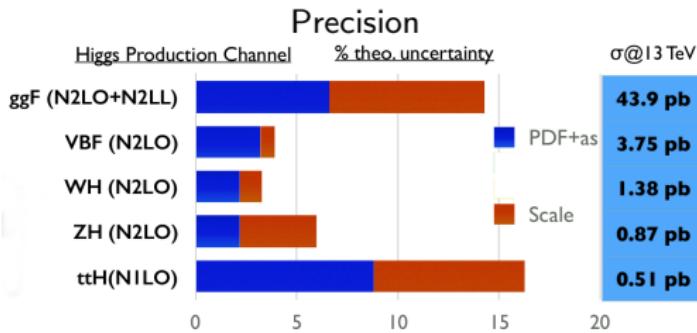


**UNIVERSITÀ
DI TORINO**

PDFs at the LHC

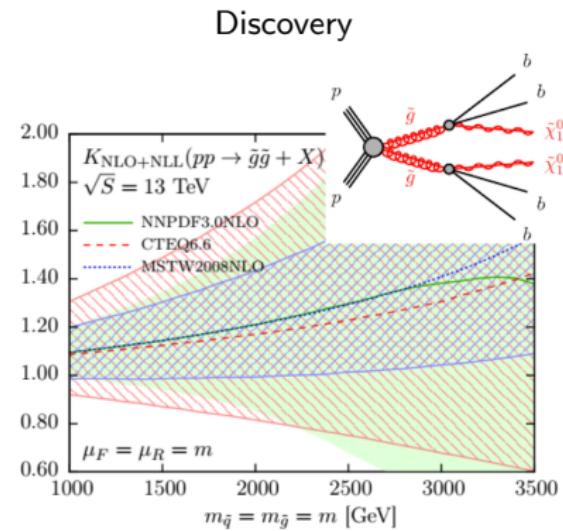
$$\sigma(Q^2, \tau, \mathbf{k}) = \sum_{ij} \int_{\tau}^1 \frac{dz}{z} \mathcal{L}_{ij}(z, Q^2) \hat{\sigma}_{ij} \left(\frac{\tau}{z}, \alpha_s(Q^2), \mathbf{k} \right) \quad \mathcal{L}_{ij}(z, Q^2) = (f_i^{h_1} \otimes f_j^{h_2})(z, Q^2)$$

PDF uncertainty is often the dominant source of uncertainty in LHC cross sections



Channel	$m_{W^+} - m_{W^-}$ [MeV]	Stat. Unc.	Muon Unc.	Elec. Unc.	Recoil Unc.	Bckg. Unc.	QCD Unc.	EW Unc.	PDF Unc.	Total Unc.
$W \rightarrow e\nu$	-29.7	17.5	0.0	4.9	0.9	5.4	0.5	0.0	24.1	30.7
$W \rightarrow \mu\nu$	-28.6	16.3	11.7	0.0	1.1	5.0	0.4	0.0	26.0	33.2
Combined	-29.2	12.8	3.3	4.1	1.0	4.5	0.4	0.0	23.9	28.0

[Plot from the CERN Yellow Report 2016]

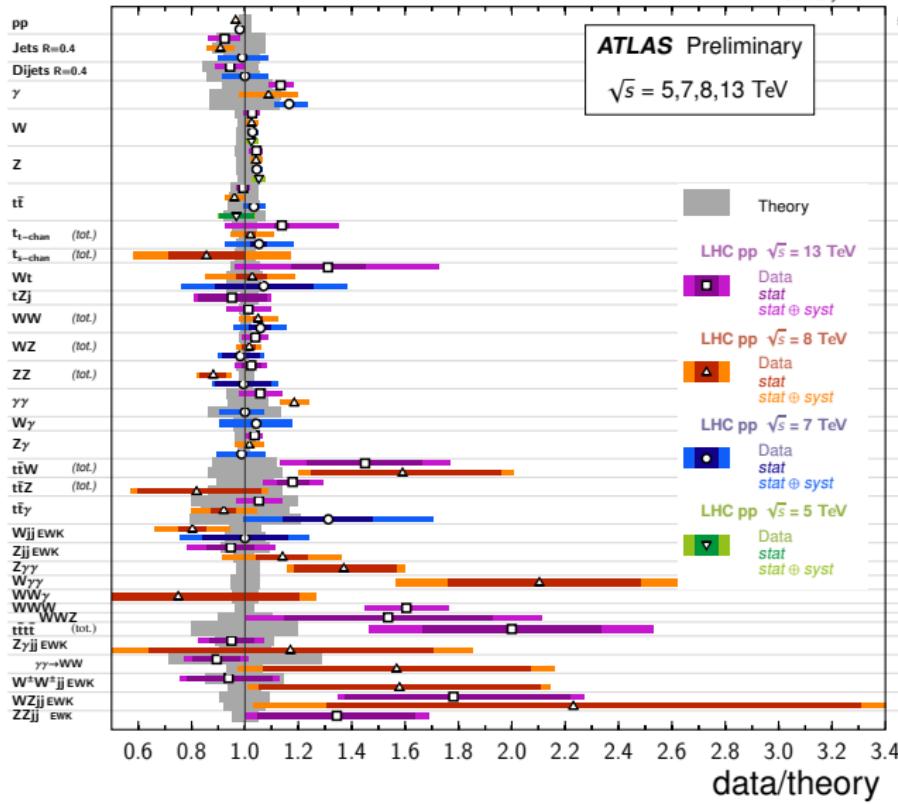


[EPJC 76 (2016) 53]

Physics at the LHC as Precision Physics

Standard Model Production Cross Section Measurements

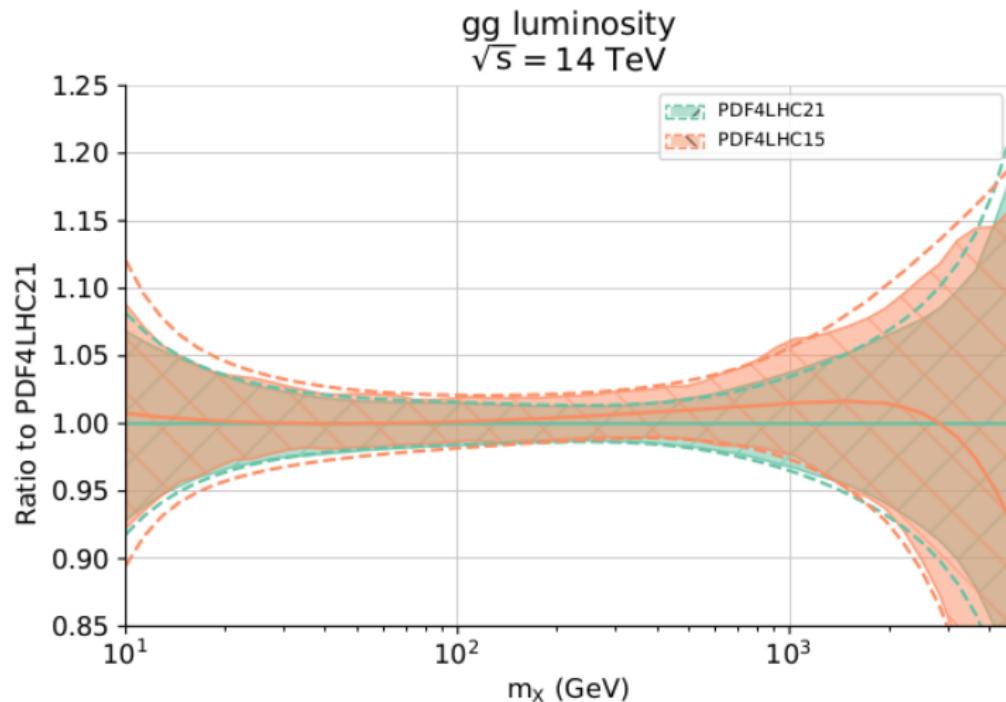
Status:
February 2022



Reference	$\int \mathcal{L} dt [fb^{-1}]$
Nucl. Phys. B, 486, 548 (2003)	50, 10 ⁻³
JHEP 05, 019 (2010)	3.2
JHEP 02, 153 (2015)	20.2
JHEP 05, 059 (2016)	3.2
JHEP 04, 074 (2014)	4.9
PLB 717, 004 (2016)	20.2
JHEP 05, 005 (2014)	0.081
PRD 89, 094004 (2014)	20.2
EPJC 79, 20 (2019)	0.025
EPJC 76, 2016 (2016)	3.2
JHEP 02, 117 (2017)	4.6
JHEP 05, 2017 (2017)	0.025
EPJC 78, 2019 (2019)	36.5
EPJC 74, 2014 (2014)	20.2
ATLAS-CONF-2021-003	0.3
JHEP 07, 017 (2017)	20.3
PRD 97, 092014 (2018)	4.6
JHEP 01, 064 (2016)	20.3
PLB 716, 142-159 (2012)	2.0
JHEP 01, 086 (2016)	139
EPJC 79, 2019 (2019)	20.3
PLB 763, 114 (2016)	20.3
PRV 66, D 67 (2013)	4.6
PRD 93, 092004 (2016)	20.3
EPJ C 72, 2016 (2017)	4.6
JHEP 01, 098 (2017)	20.3
JHEP 03, 028 (2018)	4.6
PRD 95, 092003 (2017)	20.3
PRD 95, 012005 (2017)	4.9
JHEP 01, 086 (2013)	4.6
PRD 87, 112003 (2013)	20.3
JHEP 03, 02002 (2016)	4.6
PRD 87, 112003 (2013)	20.3
PRD 91, 072009 (2019)	4.6
JHEP 11, 021 (2018)	20.3
Eur. Phys. J. C 81 (2021) 737	139
JHEP 01, 024 (2015)	20.3
EPJC 79, 2019 (2019)	20.2
JHEP 11, 086 (2017)	4.6
EPJC 77, 2017 (2015)	20.2
EPJC 77 (2017) 474	4.7
EPJC 77 (2017) 474	139
JHEP 04, 031 (2014)	20.3
PRD 93, 112002 (2016)	20.3
PRL 115, 031802 (2015)	20.2
EPJC 77 (2017) 646	139
arXiv:2011.03495	79.8
JHEP 08, 2019 (2019)	139
ATLAS-CONF-2021-038	20.3
JHEP 07, 2017 (2017)	139
PRD 95, 092004 (2016)	20.3
PRD 95, 092004 (2016)	20.3
PRD 93, 092004 (2016)	139

[Plot from ATLAS Collaboration web page]

We are approaching 1% PDF uncertainties



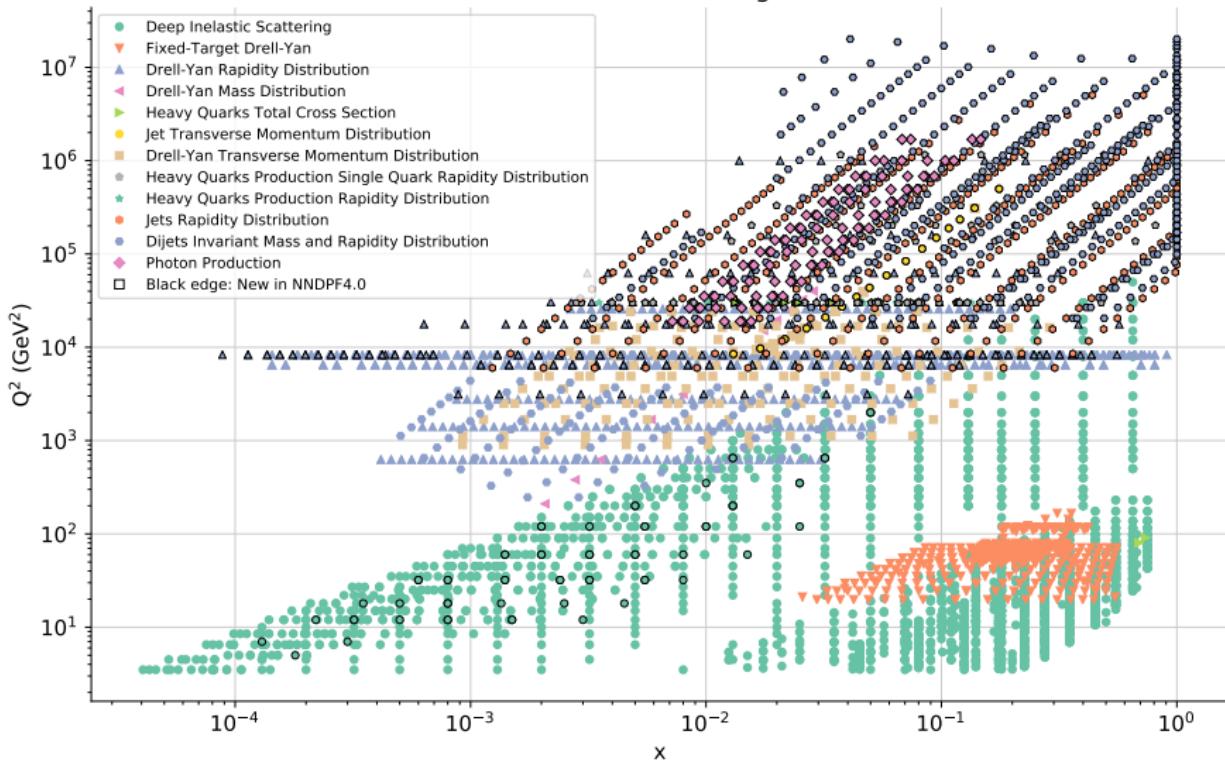
[J.Phys.G 49 (2022) 080501]

The path towards 1% PDF uncertainties goes through data, theory and methodology

1. Data

Data: kinematic coverage

Kinematic coverage



NNPDF4.0 (NNLO)

$N_{\text{dat}} = 4618$

$\chi^2/N_{\text{dat}} = 1.16$

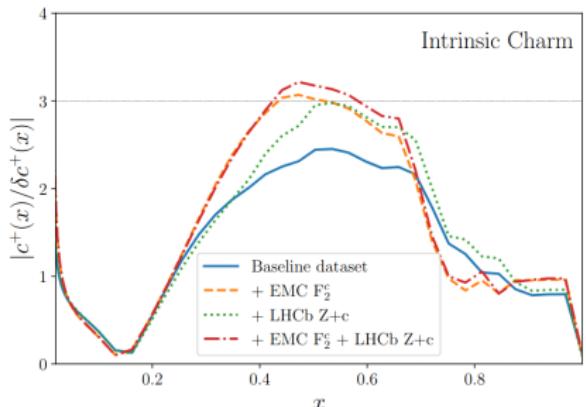
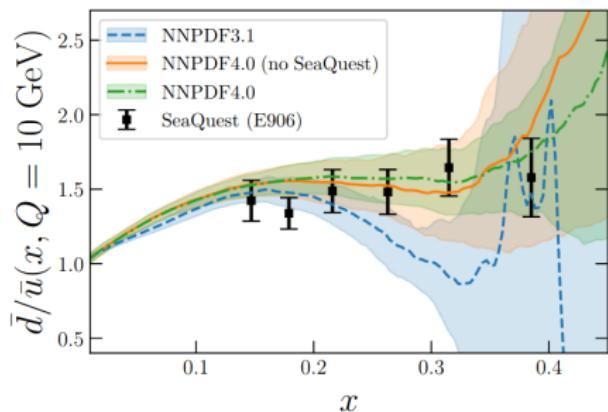
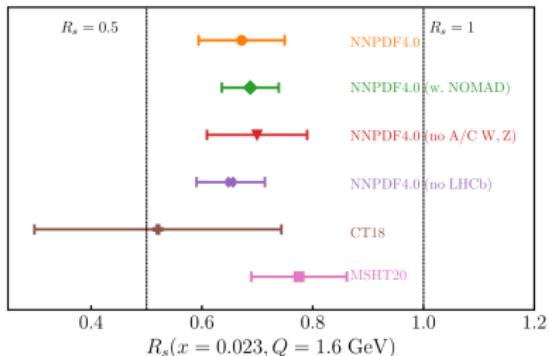
Data consistency

Strange in the proton [EPJ C80 (2020) 1168]

$$R_s(x, Q^2) = \frac{s(x, Q^2) + \bar{s}(x, Q^2)}{\bar{u}(x, Q^2) + \bar{d}(x, Q^2)}$$

The d/u ratio in the proton [EPJ C82 (2022) 428]

Charm in the proton [Nature 608 (2022) 7923 483]



Data inconsistency: tensions between data sets

Give more weight to a data set p

$$\chi^2 \rightarrow \chi^2 + w\chi_p^2$$

Refit: the total χ^2 will increase

Which data sets get worse? How much?

Refit: the data set χ_p^2 will decrease

Self-consistency? Inconsistency?

Examples: ATLAS W, Z and $t\bar{t}$

Inconsistency clearly spotted

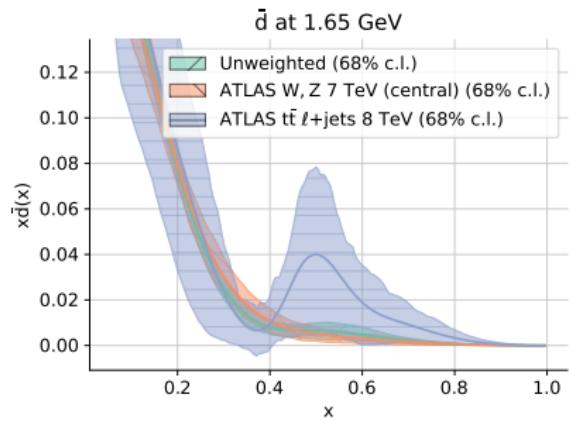
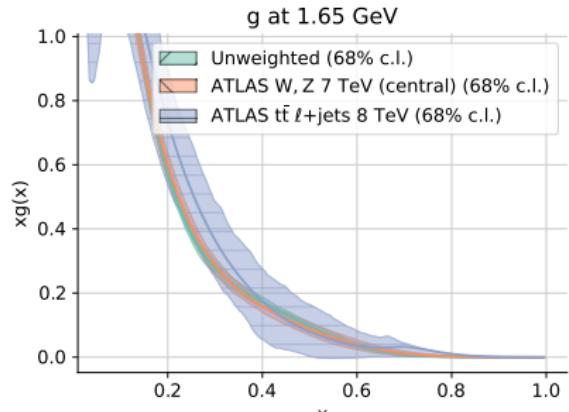
unnatural PDF shapes appear

error in other data sets increases

Otherwise global fit quality
and PDFs remain unaltered

Data set	baseline	rw W, Z	rw $t\bar{t}$
ATLAS W, Z 7 TeV	1.86	1.23	—
ATLAS $t\bar{t}$ 8 TeV	4.11	—	1.21
Total	1.20	1.21	1.73

[EPJ C82 (2022) 428]



Data inconsistency: experimental correlations

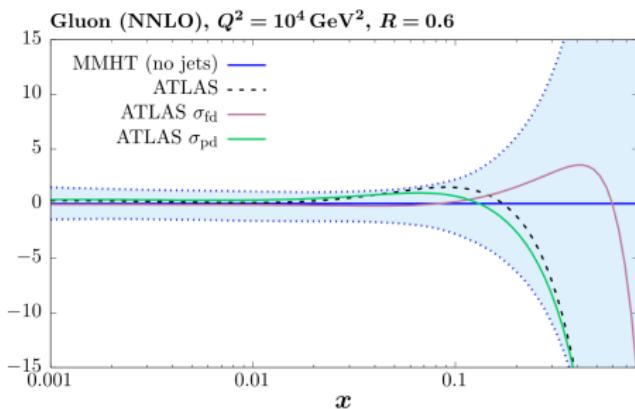
Single inclusive jet data from ATLAS 7 TeV

default correlations: terrible χ^2
(correlations across rapidity bins)

decorrelation models: improve the fit a lot

n_{dat}	default	part. decorr.	full decorr.
140	1.89	1.28	0.83

no significant effect on the extracted gluon
similar gluon irrespective of the rapidity bin



[EPJ C78 (2018) 248; EPJ C80 (2020) 797]

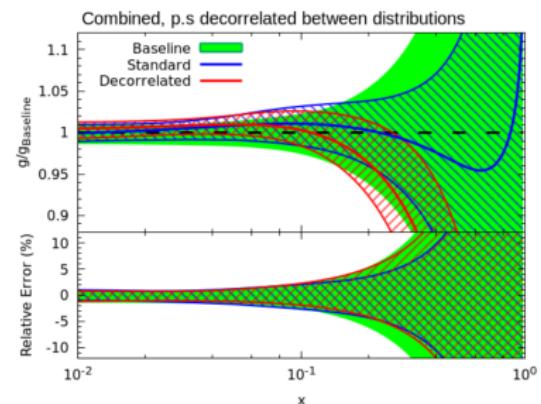
Top pair production from ATLAS 8 TeV

default correlations: terrible χ^2
(correlations across different spectra)

decorrelation models: improve the fit a lot

n_{dat}	default	stat. uncorr.	p.s. uncorr
25	7.00	3.28	1.80

appreciable effect on the extracted gluon
different gluon depending on the top spectrum



[EPJ C80 (2020) 1; Les Houches proceedings, 2019]

Good knowledge of experimental correlations is important

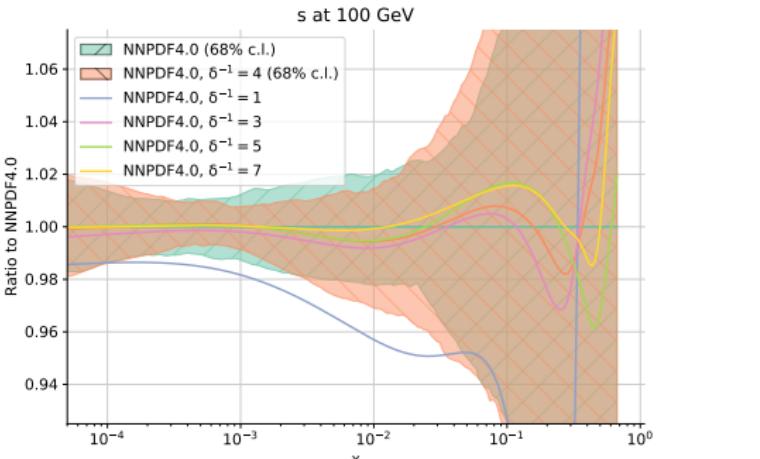
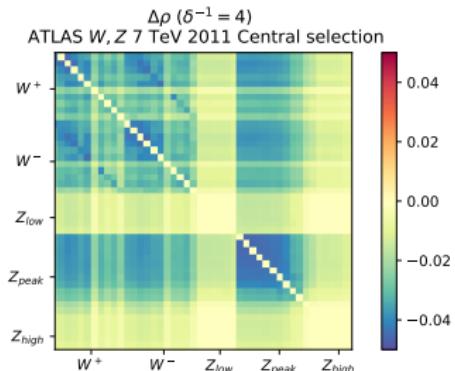
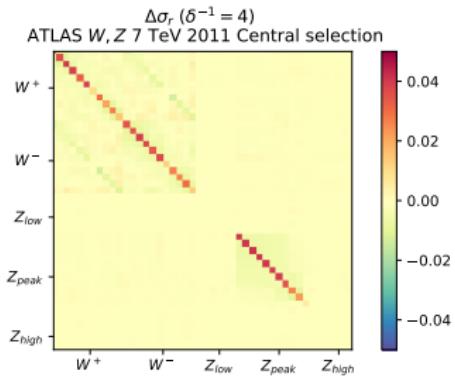
Assumptions:

correlations are determined less precisely than variances
inaccuracy is limited to a small number of uncertainties

Regularisation procedure:

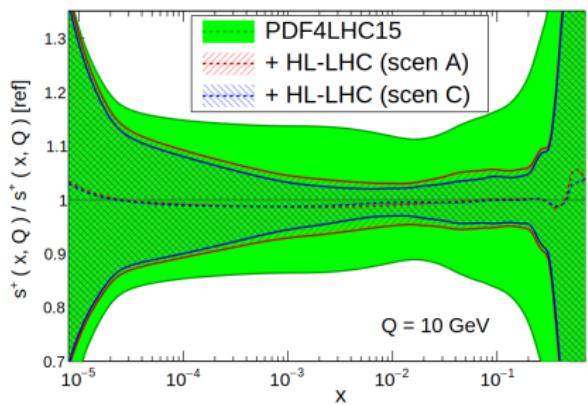
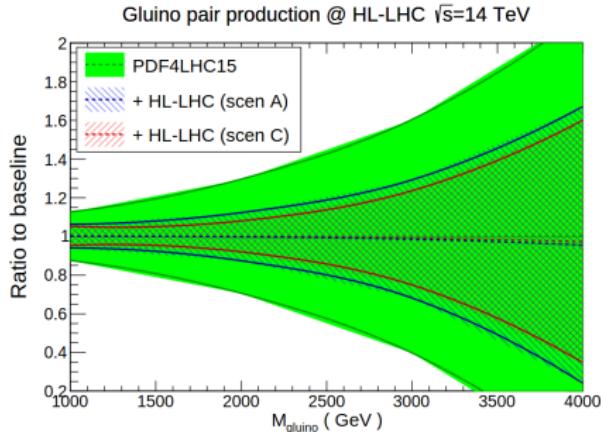
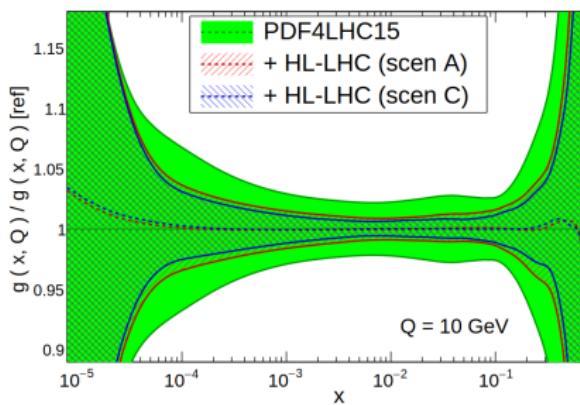
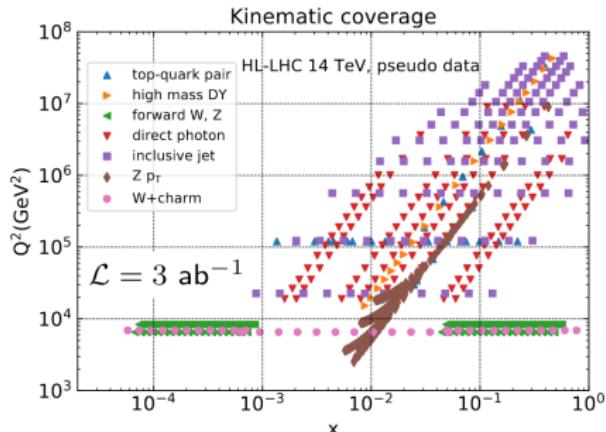
clip the singular values of the correlated part of the matrix of
uncertainties to a constant δ , whenever these are smaller than
that, while leaving the rest of the singular vectors unchanged

$$\chi^2_{4.0}/N_{\text{dat}} = 1.16 \quad \chi^2_{\delta=4}/N_{\text{dat}} = 1.11 \quad (N_{\text{dat}} = 4618)$$



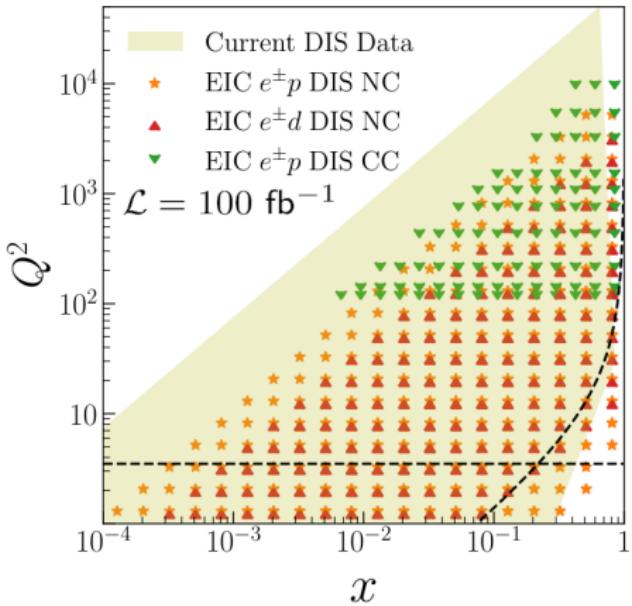
[EPJ C82 (2022) 956]

Impact of future data: HL-LHC



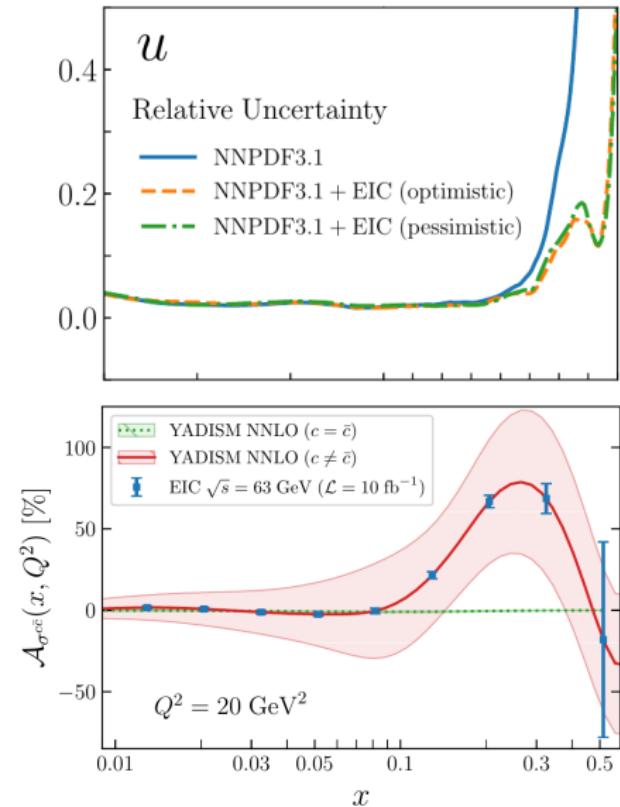
[EPJ.C 78 (2018) 962]

Impact of future data: EIC



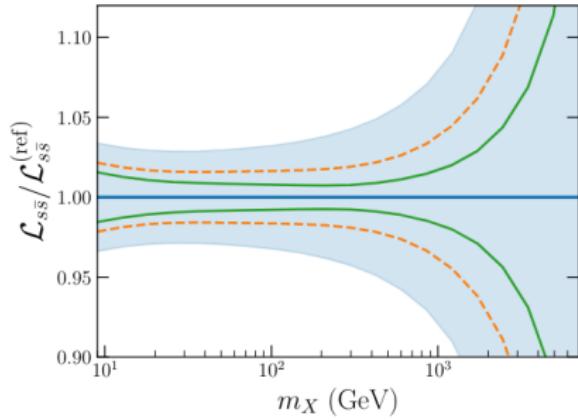
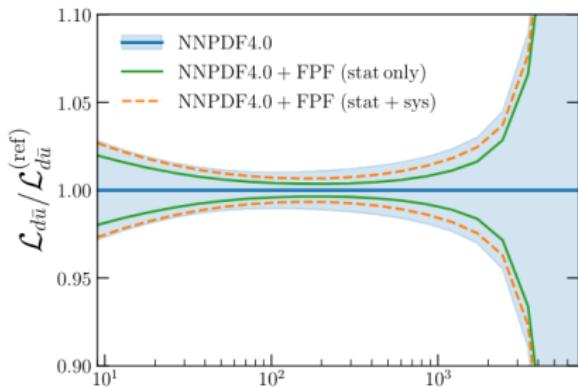
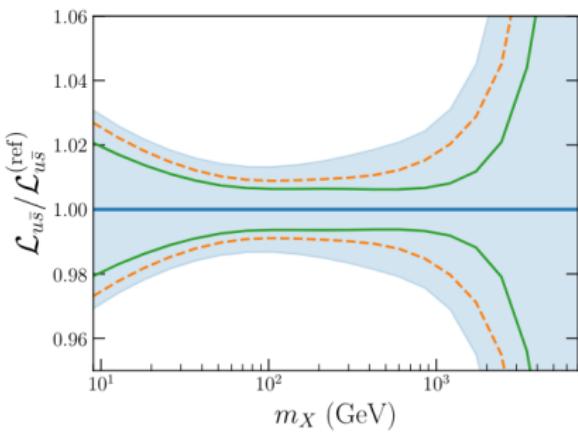
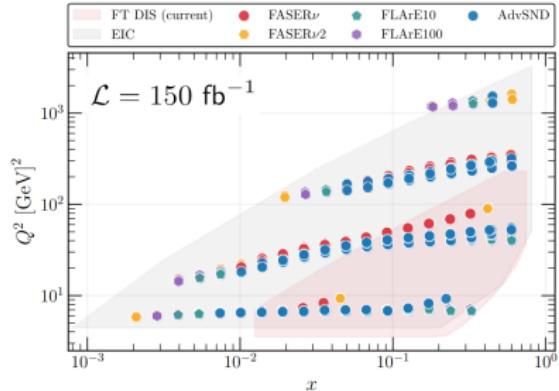
$E_\ell \times E_p$ [GeV]: 18 × 275; 10 × 100; 5 × 100

$$\mathcal{A}_{\sigma^{c\bar{c}}} = \frac{\sigma_{\text{red}}^c - \sigma_{\text{red}}^{\bar{c}}}{\sigma_{\text{red}}^{c\bar{c}}}$$



[PRD 103 (2021) 096005; see also arXiv:2309.11269 and NNPDF, in preparation]

Impact of future data: FPF



[arXiv:2309.09581]

2. Theory

$N^3\text{LO}$ QCD corrections in PDF determination

NNLO is the precision frontier for PDF determination

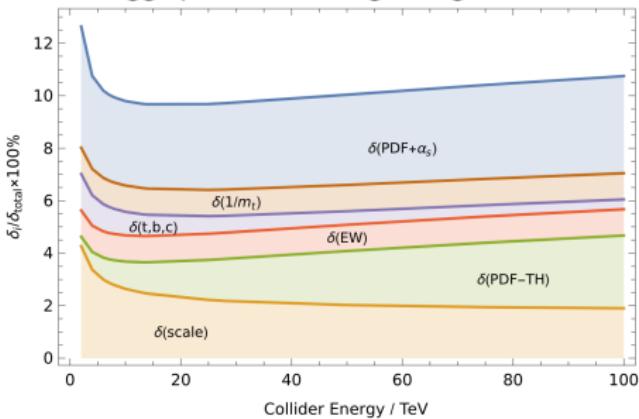
N3LO is the precision frontier for partonic cross sections

Mismatch between perturbative order of partonic cross sections and accuracy of PDFs is becoming a significant source of uncertainty

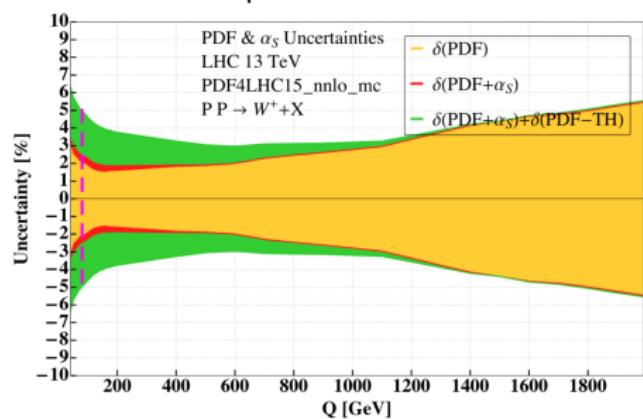
$$\hat{\sigma} = \alpha_s^p \hat{\sigma}_0 + \alpha_s^{p+1} \hat{\sigma}_1 + \alpha_s^{p+2} \hat{\sigma}_2 + \mathcal{O}(\alpha_s^{p+3})$$

$$\delta(\text{PDF} - \text{TH}) = \frac{1}{2} \left| \frac{\sigma_{\text{NNLO-PDFs}}^{(2)} - \sigma_{\text{NLO-PDFs}}^{(2)}}{\sigma_{\text{NNLO-PDFs}}^{(2)}} \right|$$

Higgs production in gluon-gluon fusion



W^+ boson production in CC Drell-Yan



[CERN Yellow Rep. Monogr. 7 (2019) 221]

[JHEP 11 (2020) 143]

$N^3\text{LO}$ QCD corrections in PDF determination

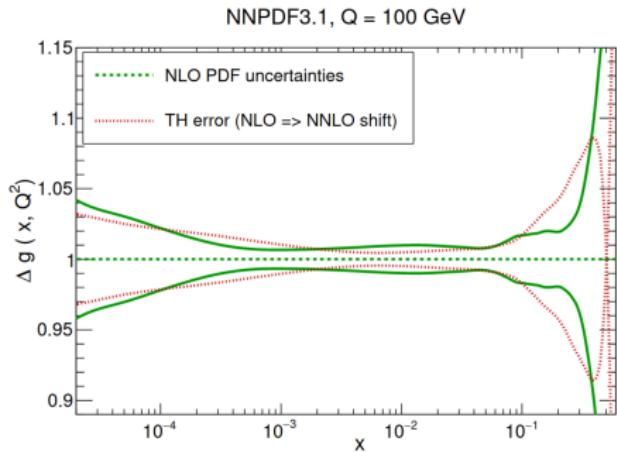
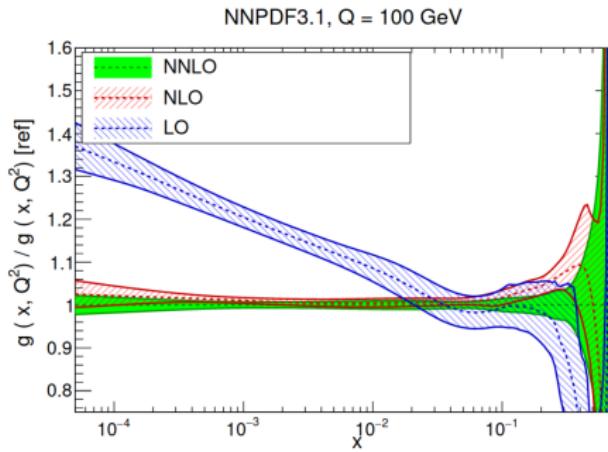
NNLO is the precision frontier for PDF determination

N3LO is the precision frontier for partonic cross sections

Mismatch between perturbative order of partonic cross sections and accuracy of PDFs is becoming a significant source of uncertainty

$$\hat{\sigma} = \alpha_s^p \hat{\sigma}_0 + \alpha_s^{p+1} \hat{\sigma}_1 + \alpha_s^{p+2} \hat{\sigma}_2 + \mathcal{O}(\alpha_s^{p+3}) \quad \delta(\text{PDF} - \text{TH}) = \frac{1}{2} \left| \frac{\sigma_{\text{NNLO-PDFs}}^{(2)} - \sigma_{\text{NLO-PDFs}}^{(2)}}{\sigma_{\text{NNLO-PDFs}}^{(2)}} \right|$$

Perturbative stability and uncertainty of the gluon PDF



[EPJ C77 (2017) 663]

$N^3\text{LO}$ QCD corrections in PDF determination

Splitting Functions

Singlet ($P_{qq}, P_{gg}, P_{gq}, P_{qg}$)

- large- n_f limit [NPB 915 (2017) 335; arXiv:2308.07958]
- small- x limit [JHEP 06 (2018) 145]
- large- x limit [NPB 832 (2010) 152; JHEP 04 (2020) 018; JHEP 09 (2022) 155]
- 5 (10) lowest Mellin moments [PLB 825 (2022) 136853; ibid. 842 (2023) 137944; ibid. 846 (2023) 138215]

Non-singlet ($P_{NS,v}, P_{NS,+}, P_{NS,-}$)

- large- n_f limit [NPB 915 (2017) 335; arXiv:2308.07958]
- small- x limit [JHEP 08 (2022) 135]
- large- x limit [JHEP 10 (2017) 041]
- 8 lowest Mellin moments [JHEP 06 (2018) 073]

DIS structure functions (F_L, F_2, F_3)

- DIS NC (massless) [NPB 492 (1997) 338; PLB 606 (2005) 123; NPB 724 (2005) 3]
- DIS CC (massless) [Nucl.Phys.B 813 (2009) 220]
- massive from parametrisation combining known limits and damping functions [NPB 864 (2012) 399]

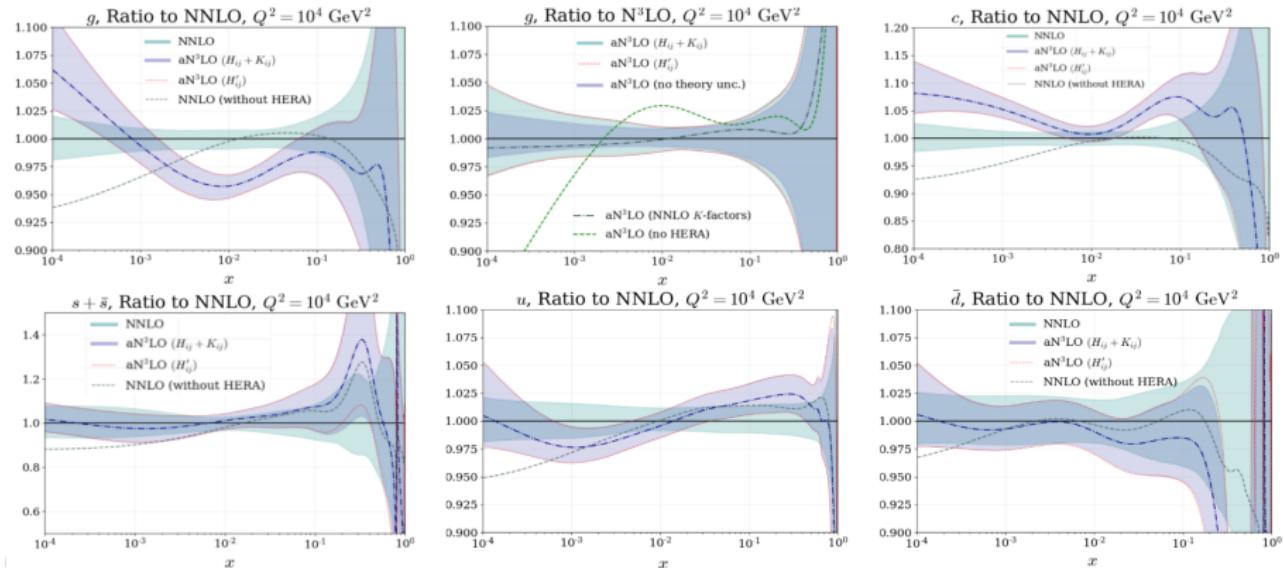
PDF matching conditions

- all known except for $a_{H,g}^3$ [NPB 820 (2009) 417; NPB 886 (2014) 733; JHEP 12 (2022) 134]

Coefficient functions for other processes

- DY (inclusive) [JHEP 11 (2020) 143]; DY (y differential) [PRL 128 (2022) 052001]

aN³LO PDFs — MSHT



[EPJ C83 (2023) 185; see also T. Cridge's poster flash talk]

3-5% correction on the gluon PDF at $x \sim 10^{-2}$

larger charm PDF (perturbatively generated)

inclusion of theory uncertainties may inflate PDF uncertainties at small x

inclusion of aN³LO corrections generally improve the χ^2 of HERA and LHC jets

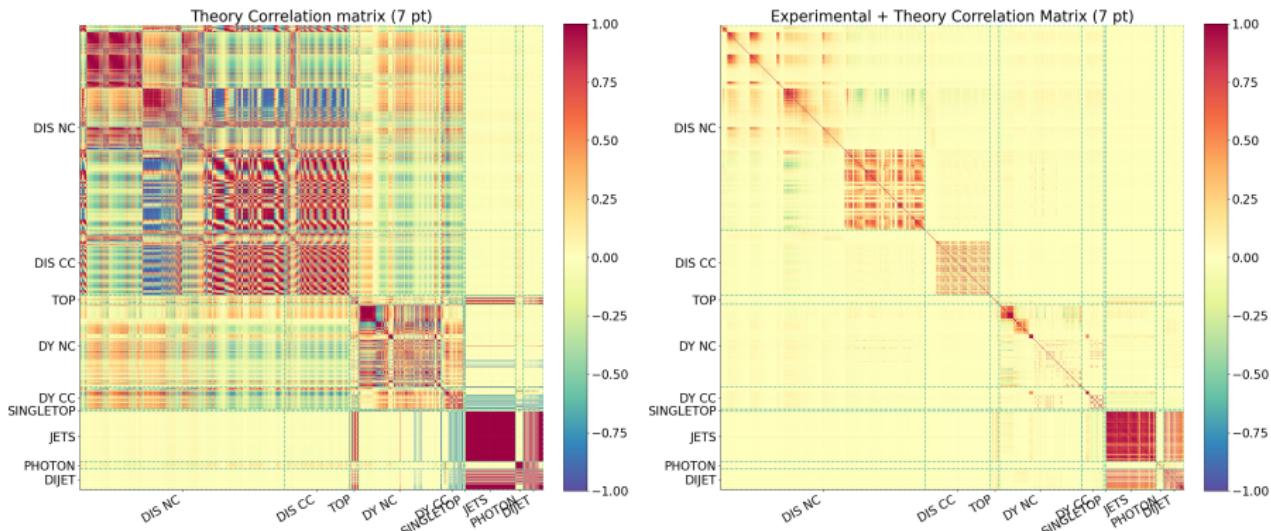
Theory uncertainties in PDF determination

Assuming that theory uncertainties are (a) Gaussian and (b) independent from experimental uncertainties, modify the figure of merit to account for theory errors

$$\chi^2 = \sum_{i,j}^{N_{\text{dat}}} (D_i - T_i)(\text{cov}_{\text{exp}} + \text{cov}_{\text{th}})^{-1}_{ij} (D_j - T_j); (\text{cov}_{\text{th}})_{ij} = \frac{1}{N} \sum_k^N \Delta_i^{(k)} \Delta_j^{(k)}; \Delta_i^{(k)} \equiv T_i^{(k)} - T_i$$

Problem reduced to estimate the th. cov. matrix, e.g. in terms of nuisance parameters

$$\Delta_i^{(k)} = T_i(\mu_R, \mu_F) - T_i(\mu_{R,0}, \mu_{F,0}); \text{ vary scales in } \frac{1}{2} \leq \frac{\mu_F}{\mu_{F,0}}, \frac{\mu_R}{\mu_{R,0}} \leq 2$$



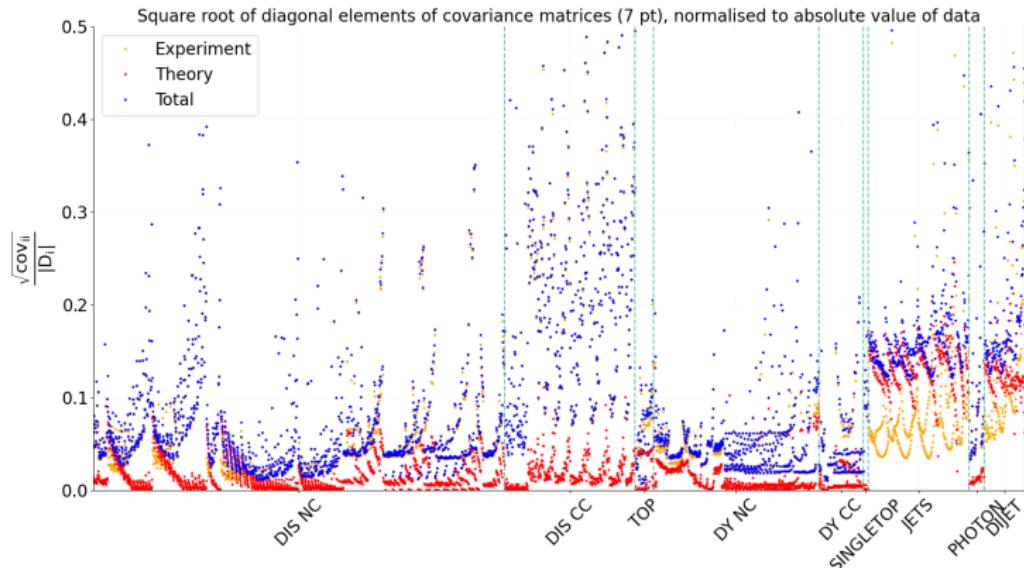
Theory uncertainties in PDF determination

Assuming that theory uncertainties are (a) Gaussian and (b) independent from experimental uncertainties, modify the figure of merit to account for theory errors

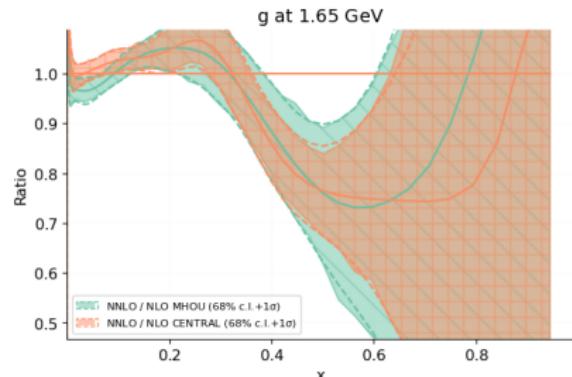
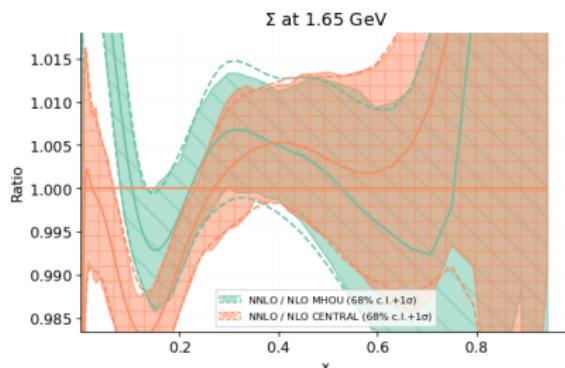
$$\chi^2 = \sum_{i,j}^{N_{\text{dat}}} (D_i - T_i)(\text{cov}_{\text{exp}} + \text{cov}_{\text{th}})^{-1}_{ij} (D_j - T_j); (\text{cov}_{\text{th}})_{ij} = \frac{1}{N} \sum_k^N \Delta_i^{(k)} \Delta_j^{(k)}; \Delta_i^{(k)} \equiv T_i^{(k)} - T_i$$

Problem reduced to estimate the th. cov. matrix, e.g. in terms of nuisance parameters

$$\Delta_i^{(k)} = T_i(\mu_R, \mu_F) - T_i(\mu_{R,0}, \mu_{F,0}); \text{ vary scales in } \frac{1}{2} \leq \frac{\mu_F}{\mu_{F,0}}, \frac{\mu_R}{\mu_{R,0}} \leq 2$$



Theory uncertainties in PDF determination



Faster perturbative convergence when MHOU are incorporated into PDFs

Overall (rather small) increase in uncertainties

Increase in PDF uncertainties due to replica generation
is counteracted by extra correlations in fitting minimisation

Tensions relieved: improvement in χ^2
exp only: $\chi^2/N_{\text{dat}} = 1.21$ exp+th: $\chi^2/N_{\text{dat}} = 1.20$

Data whose theoretical description is affected by large scale uncertainties
are deweighted in favour of more perturbatively stable data

[EPJ C79 (2019) 838; ibid. 931; NNPDF in preparation]

What happens at N3LO?

Incomplete higher order uncertainties

Approximate N³LO splitting functions as

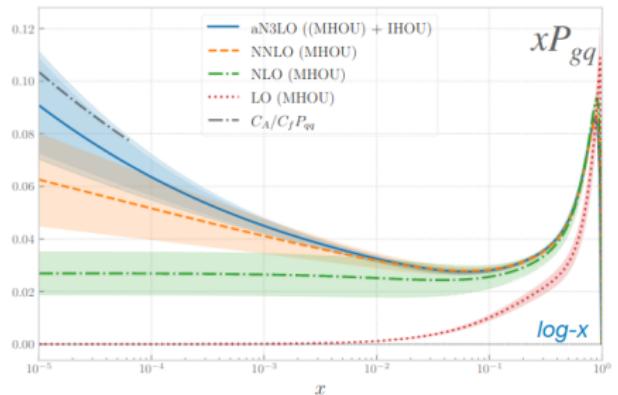
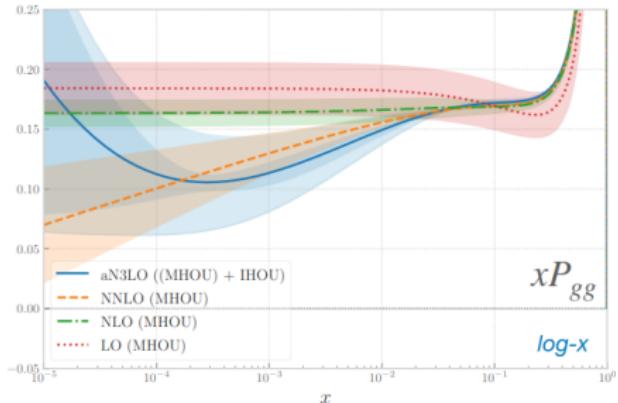
$$\gamma_{ij}^{(3)} = \gamma_{ij,n_f^3}^{(3)} + \gamma_{ij,N \rightarrow \infty}^{(3)} + \gamma_{ij,N \rightarrow 0}^{(3)} + \tilde{\gamma}_{ij}^{(3)}$$

$$\text{Parametrise } \tilde{\gamma}_{ij}^{(3)} = \sum_l a_{ij}^{(l)} G_l(N)$$

- G_1 for the leading unknown large- N term
- G_2 for the leading unknown small- N term
- 3 or 8 G_l for the sub-leading unknown small- and large- N contributions
- vary the functions G_l to generate a variety of approximations and estimate IHOU
- determine the coefficients $a_{ij}^{(l)}$ with known moments and momentum conservation

Adopted basis function for $\tilde{\gamma}_{qq}^{(3)}$

$G_1(N)$	$\mathcal{M}[(1-x) \ln^2(1-x)]$
$G_2(N)$	$-\frac{1}{(N-1)^2} + \frac{1}{N^2}$
$G_3(N)$	$\frac{1}{N^4}, \frac{1}{N^3}, \mathcal{M}[(1-x) \ln(1-x)]$ $\mathcal{M}[(1-x)^2 \ln(1-x)^2], \frac{1}{N-1} - \frac{1}{N}, \mathcal{M}[(1-x) \ln(x)]$
$G_4(N)$	$\mathcal{M}[(1-x)(1+2x)], \mathcal{M}[(1-x)x^2],$ $\mathcal{M}[(1-x)x(1+x)], \mathcal{M}[(1-x)]$



[arXiv:2306.15294; NNPDF, in preparation]

Incomplete higher order uncertainties

Approximate N³LO splitting functions as

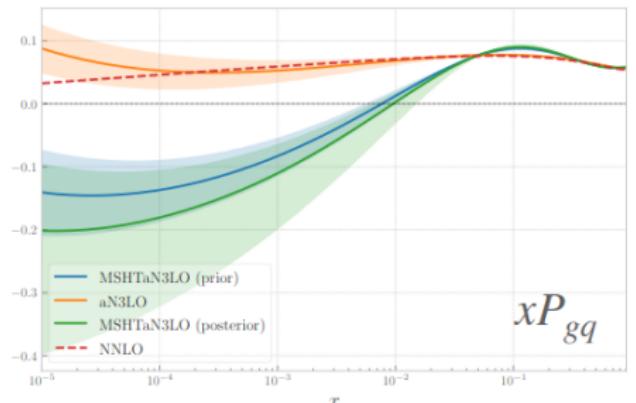
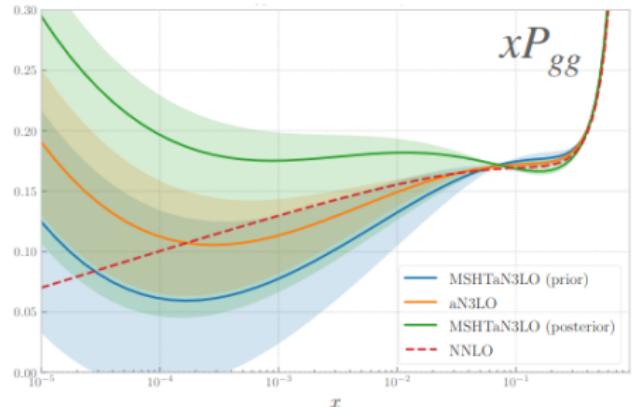
$$\gamma_{ij}^{(3)} = \gamma_{ij,n_f^3}^{(3)} + \gamma_{ij,N \rightarrow \infty}^{(3)} + \gamma_{ij,N \rightarrow 0}^{(3)} + \tilde{\gamma}_{ij}^{(3)}$$

$$\text{Parametrise } \tilde{\gamma}_{ij}^{(3)} = \sum_l a_{ij}^{(l)} G_l(N)$$

- G_1 for the leading unknown large- N term
- G_2 for the leading unknown small- N term
- 3 or 8 G_l for the sub-leading unknown small- and large- N contributions
- vary the functions G_l to generate a variety of approximations and estimate IHOU
- determine the coefficients $a_{ij}^{(l)}$ with known moments and momentum conservation

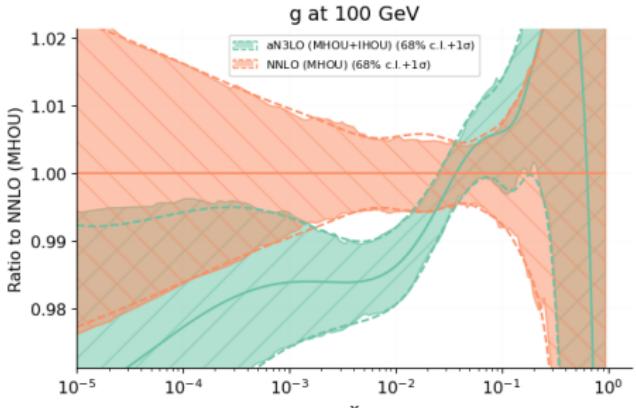
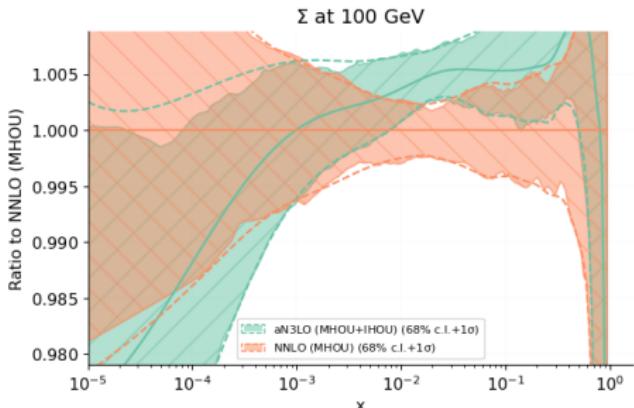
Adopted basis function for $\tilde{\gamma}_{qq}^{(3)}$

$G_1(N)$	$\mathcal{M}[(1-x) \ln^2(1-x)]$
$G_2(N)$	$-\frac{1}{(N-1)^2} + \frac{1}{N^2}$
$G_3(N)$	$\frac{1}{N^4}, \frac{1}{N^3}, \mathcal{M}[(1-x) \ln(1-x)]$ $\mathcal{M}[(1-x)^2 \ln(1-x)^2], \frac{1}{N-1} - \frac{1}{N}, \mathcal{M}[(1-x) \ln(x)]$
$G_4(N)$	$\mathcal{M}[(1-x)(1+2x)], \mathcal{M}[(1-x)x^2],$ $\mathcal{M}[(1-x)x(1+x)], \mathcal{M}[(1-x)]$



[arXiv:2306.15294; NNPDF, in preparation]

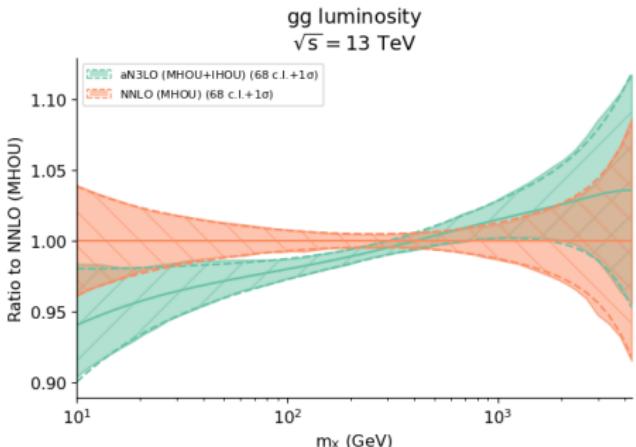
aN³LO PDFs — NNPDF PRELIMINARY



IHOU incorporated into
an independent covariance matrix
where nuisance parameters are averaged
over parametrisation variations

$$\chi^2/N_{\text{dat}} = 1.20 \text{ (NNLO (MHOU))}$$

$$\chi^2/N_{\text{dat}} = 1.19 \text{ (aN}^3\text{LO (MHOU+IHOU))}$$



PDFs only affected at small x

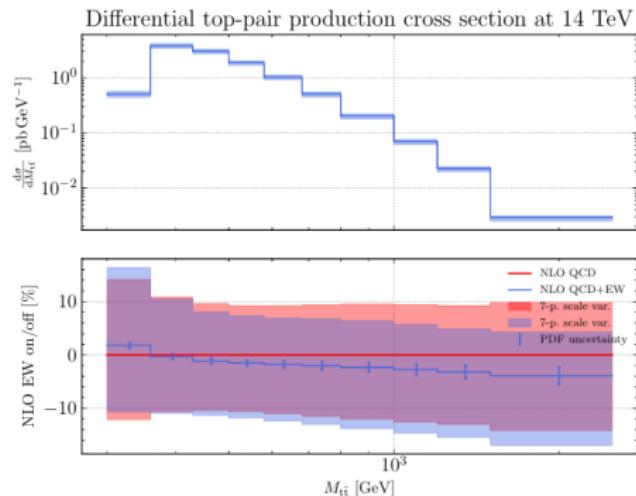
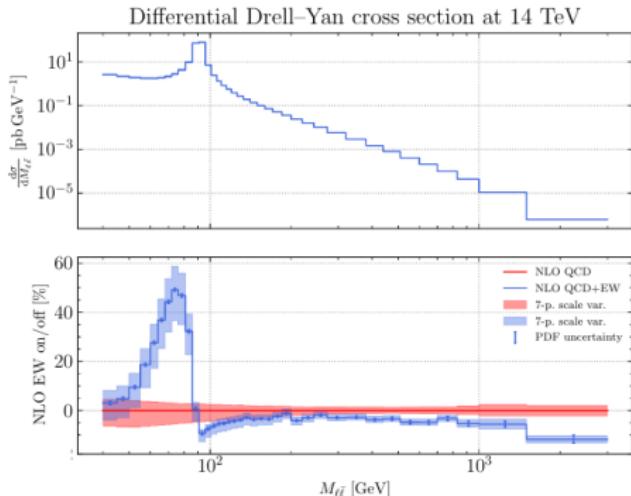
largest effect: 2% suppression in \mathcal{L}_{gg}
around the Higgs mass

NLO EW corrections in PDF determination

If we aim to PDF accurate to 1% NLO EW corrections do matter especially as higher invariant mass and transverse momentum regions are accessed

Different approaches taken in general-purpose PDF fits

NLO EW K -factors (MSHT20); no NLO EW corrections by default (NNPDF4.0)



QED corrections in DGLAP evolution

[*Com.Phys.Commun.* 185 (2014) 1647]

Photon PDF

[*PRL* 117 (2016) 242002; *JHEP* 12 (2017) 046]

Photon PDF fits à la LuxQED

[*SciPost Phys.* 5 (2019) 1; *JHEP* 79 (2019) 10]

Automation of NLO EW corrections

[*JHEP* 07 (2018) 185]

Fast interpolation grids: PINEAPPL

[*JHEP* 12 (2020) 108]

Careful scrutiny of data

(no FSR nor photon-initiated subtraction)

3. Methodology

Validation of PDF uncertainties

Data region: closure tests

Fit PDFs to pseudodata generated assuming a known underlying law

Define bias and variance

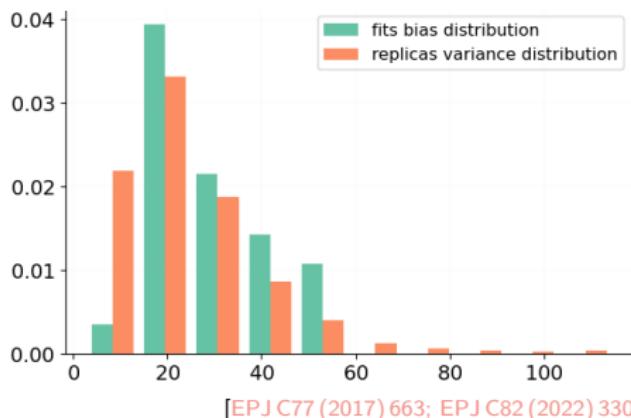
bias difference of central prediction and truth

variance uncertainty of replica predictions

If PDF uncertainty faithful, then

$$E[\text{bias}] = \text{variance}$$

25 fits, 40 replicas each

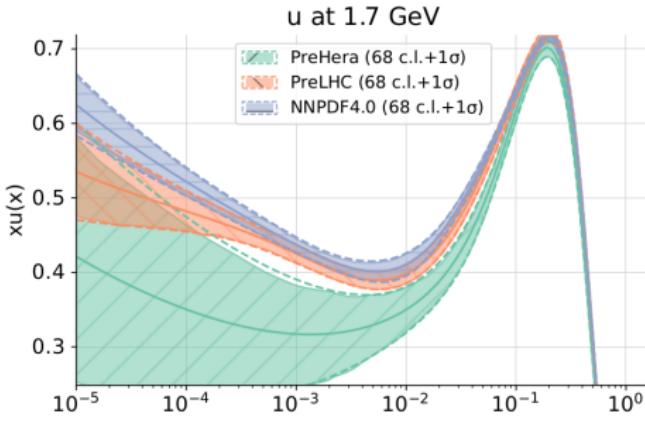


Extrapolation regions: future test

Test PDF uncertainties on data sets not included in a given PDF fit that cover unseen kinematic regions

Data set	NNPDF4.0	pre-LHC	pre-HERA
pre-HERA	1.09	1.01	0.90
pre-LHC	1.21	1.20	23.1
NNPDF4.0	1.29	3.30	23.1

Only exp. cov. matrix



[Acta Phys.Polon. B52 (2021) 243]

Validation of PDF uncertainties

Data region: closure tests

Fit PDFs to pseudodata generated assuming a known underlying law

Define bias and variance

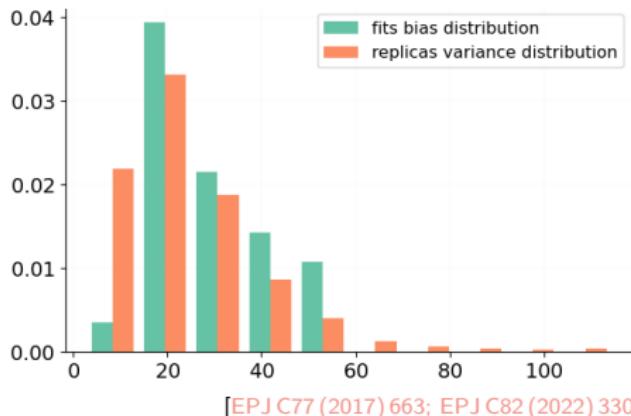
bias difference of central prediction and truth

variance uncertainty of replica predictions

If PDF uncertainty faithful, then

$$E[\text{bias}] = \text{variance}$$

25 fits, 40 replicas each

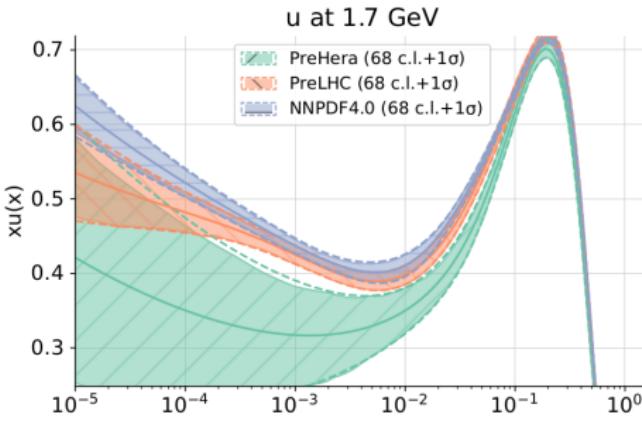


Extrapolation regions: future test

Test PDF uncertainties on data sets not included in a given PDF fit that cover unseen kinematic regions

Data set	NNPDF4.0	pre-LHC	pre-HERA
pre-HERA			0.86
pre-LHC		1.17	1.22
NNPDF4.0	1.12	1.30	1.38

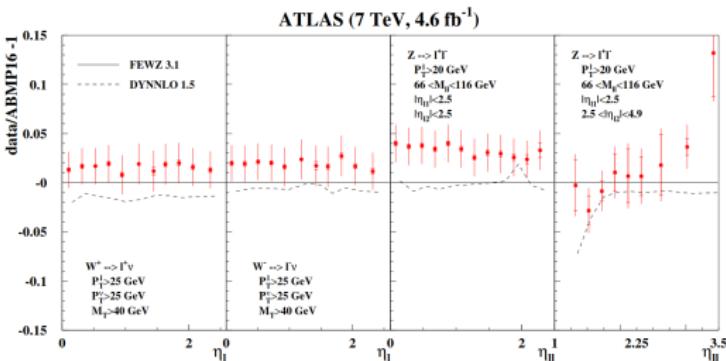
Exp+PDF cov. matrix



[Acta Phys.Polon. B52 (2021) 243]

Benchmarks

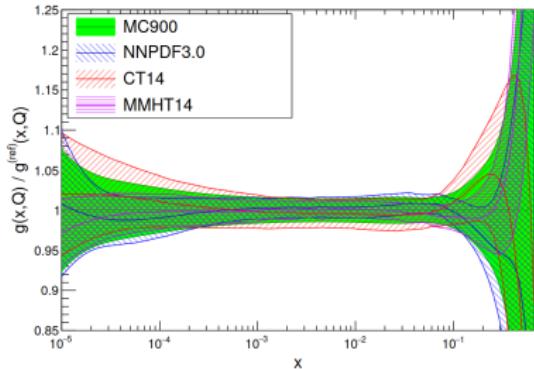
Benchmark of the theory



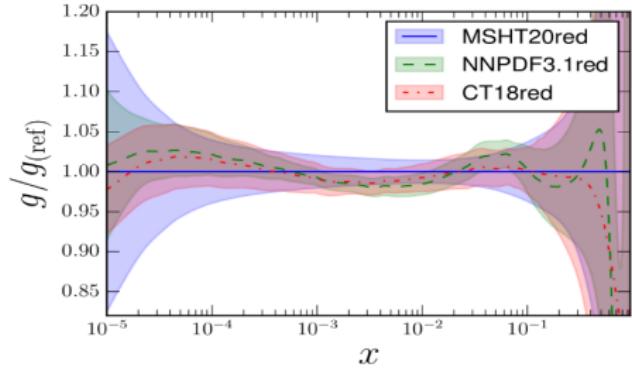
Be careful about the use of different NNLO codes for DY production in particular when experiments use non-optimal fiducial cuts [EPJ C81 (2021) 573]

NNLO corrections usually implemented via K -factors
NNLOJet/AppIFast provide NNLO lookup tables for a limited set of data

Benchmark of PDF sets



[PDF4LHC15 benchmark, JPG 43 (2016) 023001]



[PDF4LHC21 benchmark, JPG 49 (2022) 080501]

Tools

Progress has been possible thanks to the development of computational tools
Many of them are publicly available (and some are unfortunately not)

Monte Carlo generators
madgraph, MCFM, MATRIX, NNLOjet, ...

PDF evolution codes and DIS codes
Hoppet, Pegasus, QCDnum, APFEL, APFEL++, EKO, YADISM, ...

Fast-interpolation grid formats
APPLgrid, FastNLO, APPLfast, PineAPPL

Statistical tools for PDF manipulation
Monte Carlo compression, Hessian and Monte Carlo conversion

Tools to study the PDF sensitivity to data sets
L2 sensitivity, Hessian profiling, Bayesian reweighting, SMPDF

Fitting codes
XFitter, NNPDF

4. Conclusions

Summary

A precise and accurate determination of PDFs is key to do precision phenomenology.

LHC measurements are being instrumental to reduce PDF uncertainties to few percent.

The goal of achieving PDF determinations accurate to 1% opens up some challenges.

Understand experimental systematic uncertainties and their correlations.

Refine the theoretical accuracy of a PDF determination.

Represent theory uncertainties into PDF uncertainties.

Deploy a robust fitting methodology and good statistical tests of it.

Benchmark efforts may benefit from public releases of PDF codes and inputs.

Summary

A precise and accurate determination of PDFs is key to do precision phenomenology.

LHC measurements are being instrumental to reduce PDF uncertainties to few percent.

The goal of achieving PDF determinations accurate to 1% opens up some challenges.

- Understand experimental systematic uncertainties and their correlations.

- Refine the theoretical accuracy of a PDF determination.

- Represent theory uncertainties into PDF uncertainties.

- Deploy a robust fitting methodology and good statistical tests of it.

Benchmark efforts may benefit from public releases of PDF codes and inputs.

Thank you